



American Recovery and Reinvestment Act of 2009

September 17, 2009

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Associate Administrator for Budget and Policy
Chief Financial Officer
Federal Transit Administration



➤ Recovery

- Maintain and create jobs
- Provide cash to strapped State and local economies

➤ Reinvest

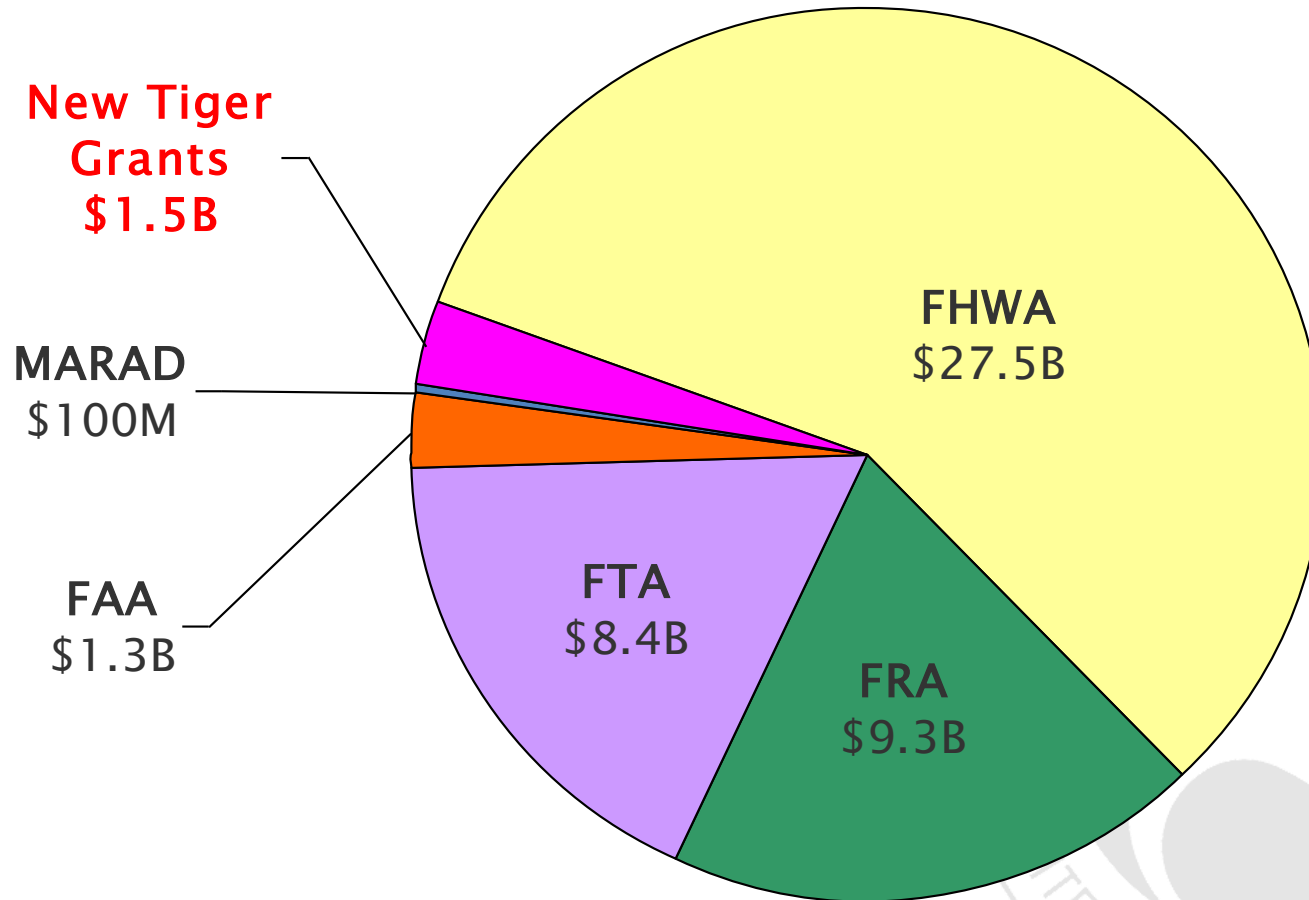
- Build and repair infrastructure



ARRA Transportation Funding



U.S. Department of Transportation
Federal Transit Administration

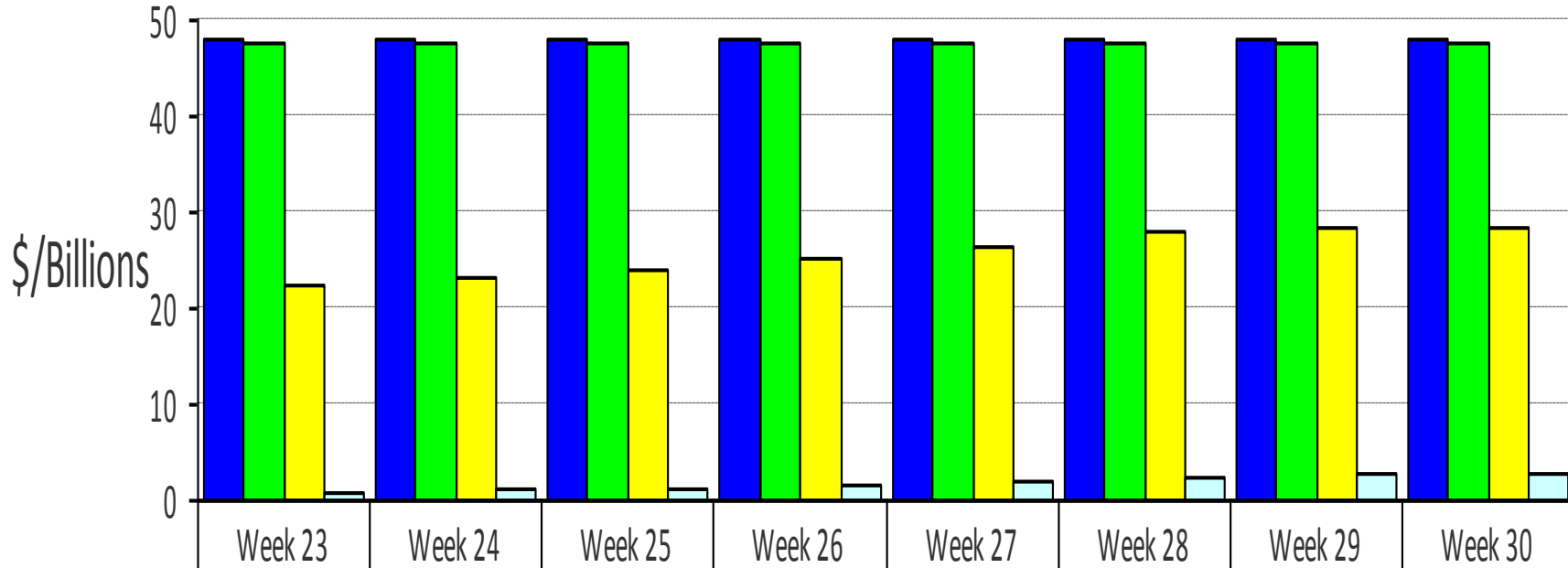


Total DOT Funding: \$48.1 Billion

DOT'S ARRA SCORECARD – As of Sept 11th



U.S. Department of Transportation
Federal Transit Administration



| | | | | | | | | |
|-----------------------|-------|-------|-------|-------|-------|-------|-------|-------|
| ■ Total Appropriation | 48.1 | 48.1 | 48.1 | 48.1 | 48.1 | 48.1 | 48.1 | 48.1 |
| ■ Total Announced | 47.6 | 47.6 | 47.6 | 47.6 | 47.6 | 47.6 | 47.6 | 47.6 |
| ■ Total Obligated | 22.5 | 23.4 | 23.9 | 25.2 | 26.6 | 28.0 | 28.3 | 28.5 |
| ■ Total Outlays | 0.916 | 1.147 | 1.321 | 1.737 | 2.023 | 2.353 | 2.621 | 2.882 |

FTA ARRA Funding



| Program | Funding |
|---|----------------------|
| Urban Formula | \$5.97 B |
| Non-Urban Formula | \$760 M |
| Fixed Guideway Modernization Formula | \$742 M |
| New Starts / Small Starts | \$742 M |
| Tribal Grants | \$17 M |
| Discretionary Energy Program [new] | \$100 M |
| Discretionary Multimodal Program [new] | [\$1.498B] |
| Administration / Oversight | \$64 M |
| Total (Transit Only) | \$8.4 Billion |



➤ Formula Programs

- **September 1:** Deadline to obligate one half of apportionment (180 days from March 5, 2009)
- **March 5, 2010:** Second deadline to obligate funds (365 days from March 5, 2009)
- **September 30, 2010:** Unobligated funds returned to US Treasury

➤ Recovered funds will be redistributed



TIGER Grants -- \$1.5 Billion



U.S. Department of Transportation
Federal Transit Administration

- Applications due **September 15, 2009**
- Awards announced by **February 17, 2010**
- Must obligate funds by **September 30, 2011**
- Projects must be completed by **February 17, 2012**
- Eligible Projects (capital only)
 - **Highways and bridges (Title 23)**
 - **Transit (Title 49)**
 - **Passenger and Freight rail**
 - **Ports**



➤ Grant Requirements

- \$20-\$300 million (waiver for less than \$20 million)
- No more than 20% awarded to one State
- Equitable geographic distribution (urban/rural)
- 100% Federal, but priority for leveraging other funds
- Projects with significant impact on Nation, metro area, region

➤ Selection Criteria

- State of Good Repair
- Economic competitiveness (job creation)
- Livability
- Sustainability
- Safety
- Innovation & Partnering
- Benefit Cost-Analysis





➤ Creation of a TIGER Team

- Secretary LaHood creates **Transportation Investments Generating Economic Recovery (TIGER) Team** to oversee implementation
- Co-chaired by Deputy Assistant Secretary for Transportation Policy & Deputy Assistant Secretary for Budget and Programs
- Mission of the Team
 - Funds **delivered quickly** and **legally**
 - Funds **tracked and monitored consistently**
 - Progress Reports provided **regularly** and are **transparent**



- **Stewardship Groups**
 - **Data Management** – Tackle all grant reporting issues using information from separate grant systems (FMIS, TEAM, SOAR)
 - **Financial Assistance** – Work with issues pertaining to grants, procurement, and contracting across the Department
 - **Communications and Governmental Affairs** – Focus on announcements, events, and press releases
 - **Financial Management** – Ensure consistent financial reporting of all financial data from apportionment through final disbursement

Making the Tiger Concept Work



U.S. Department of Transportation
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- **Information Technology** – Support the TIGER Team share point site, manages technical support for mapping, and keeps websites updated.
- **Job Counting and Reporting** – Work with White House offices in developing job counting and reporting methods.
- **Risk Management** – Use risk management strategies to ensure ARRA processes use proper internal controls.
- **Executive Accountability Board** – Provide link between the Tiger Team and the DOT Office of Inspector General and Government Accountability Office (GAO).



Federal Transit Administration (FTA), as of Sept 7th

- Awarded 664 ARRA grants for a total obligation of \$7.2 billion in ARRA funds
- Reviewing 47 grants (\$427 million), potential for 711 grant awards (\$7.6 billion)
- Received \$280 million in surface flexible fund transfers from FHWA

Federal Aviation Administration (FAA), as of Sept 7th

- Announced cumulative airport grants equal to about \$1.1 billion





Federal Highway Administration (FHWA), as of Sept 7th

- FHWA Division Offices authorized 7,321 projects totaling \$18.4 billion

Federal Railroad Administration (FRA), as of Sept 7th

- Approved \$1.1 billion of AMTRAK ARRA projects



Implementation Challenges



U.S. Department of Transportation
Federal Transit Administration

- Constant reporting requirements (currently 8 reports per week)
- Advance mapping capabilities
- **Web-site refreshing** and posting of current information
- **Refine job methodology and reporting**
- Continue **communication with Congress** on progress
- **Risk management strategy** on-going
- **Continue outreach** both internally and externally on ARRA



GAO/OIG ARRA Activities



U.S. Department of Transportation
Federal Transit Administration

- April and July GAO reports on use of funds (Sept report under review)
- Based on review of 16 States & D.C.
- April focus on transparency, accountability, and communication improvements (directed mainly to OMB). July report focused on highway projects. Sept report to include transit.
- March DOT/OIG report focused on 10 management areas
- DOT prepared action plan that addresses 10 key focus areas
- Next OIG focus on recipient reporting

Oversight Challenges Facing DOT



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➤ **10 focus areas where DOT must take actions to provide oversight to grantees receiving ARRA funding**

- (1) Acquire sufficient personnel with relevant expertise to oversee grantees.
- (2) Adhere to existing Federal requirements for programs funded under ARRA.
- (3) Evaluate the credibility and completeness of cost and schedule estimates.
- (4) Oversee grantees' contracting management activities.
- (5) Address internal control weaknesses and identify unused funds.

Oversight Challenges Facing DOT

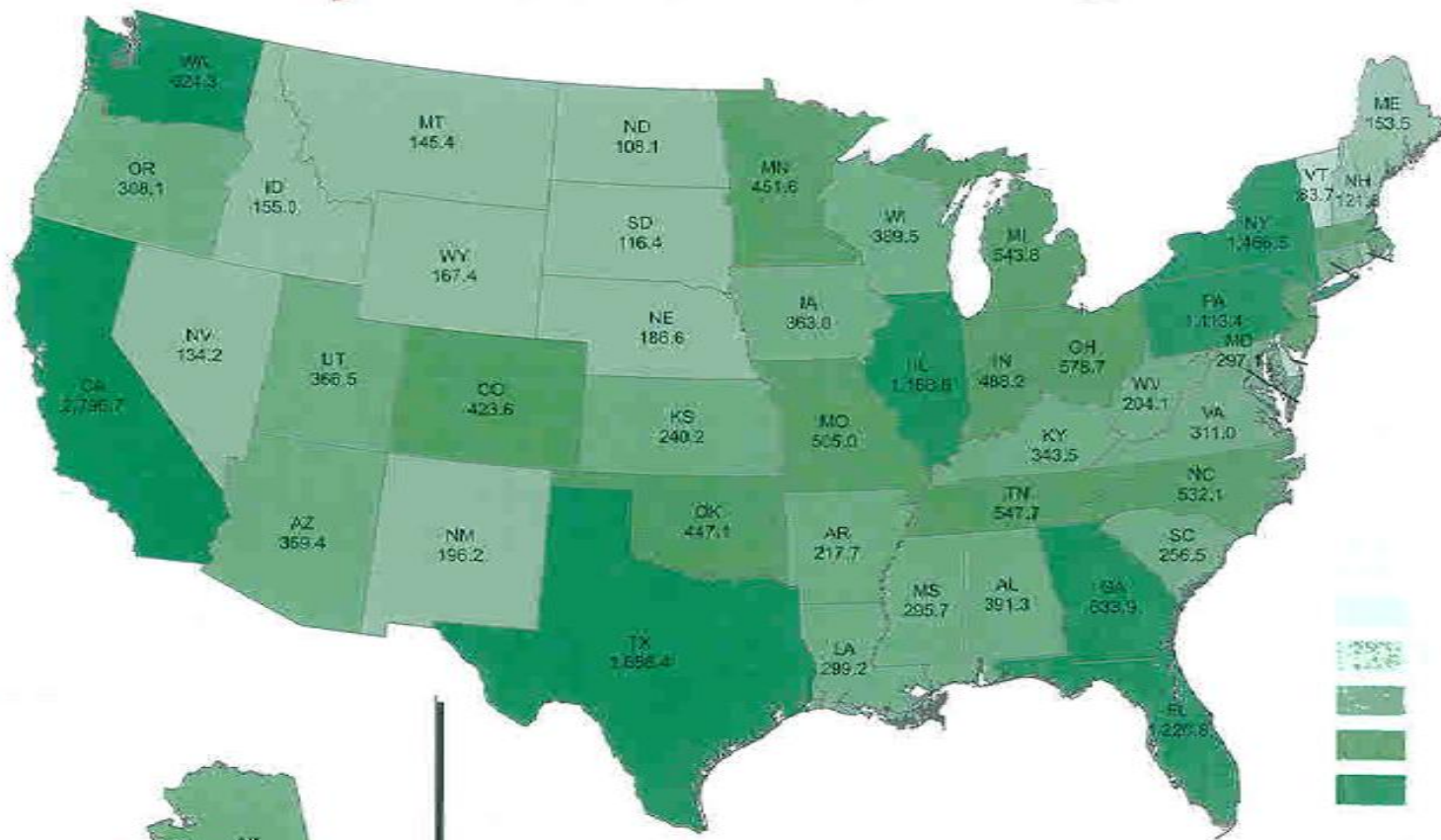


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- (6) Implement new ARRA reporting requirements to promote accountability.
- (7) Develop criteria for new discretionary grant and passenger rail programs.
- (8) Develop appropriate oversight strategies for new programs created by ARRA.
- (9) Enhance understanding on recognizing, preventing, and reporting fraud.
- (10) Take action to suspend and debar firms that have defrauded the Department.



ARRA Obligations



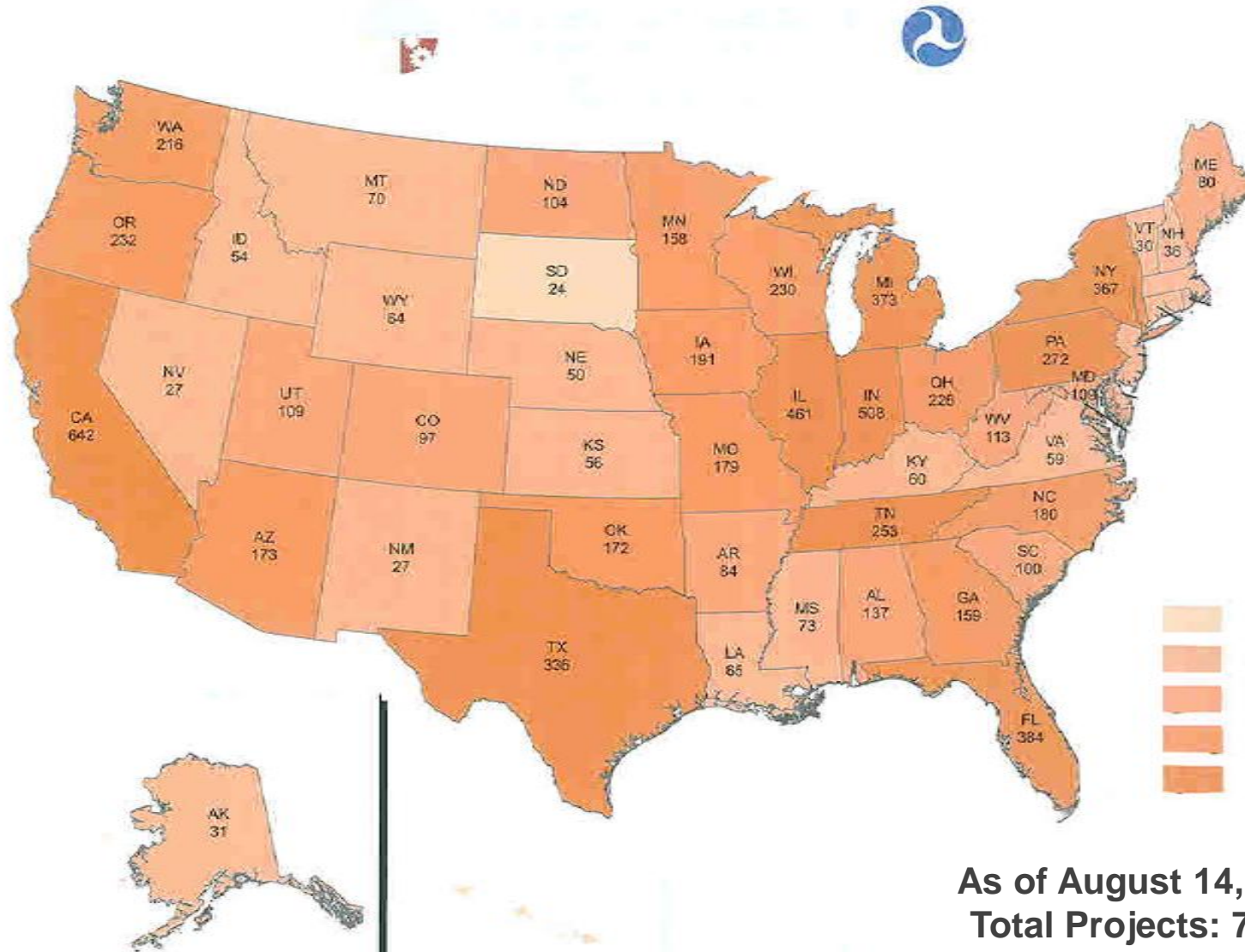
As of August 14, 2009
Total Funds Obligated: \$25 Billion



ARRA Project Count



U.S. Department of Transportation
Federal Transit Administration



As of August 14, 2009
Total Projects: 7,701