



## Learn. Share. Engage.

This issue of the *Biweekly Message* focuses on Safety Risk Management (SRM).

## Featured Resources

**New!** PTASP Applicability Webinar (January 21, 2020)

[Webinar Presentation](#) | [Webinar Recording](#)

SRM Webinar (June 13, 2019)

[Webinar Presentation](#) | [Webinar Recording](#)

The [SRM Fact Sheet](#) highlights key information for developing the SRM component of an Agency Safety Plan (ASP).

The [Sample Hazard Classification System](#) provides a model for transit agencies to consider with suggested categories grouped by type, and offers examples of specific transit safety hazards.

The [Hazard Management vs. Safety Risk Management Guide](#) covers the differences between the hazard management process, previously required under the former State Safety Oversight regulation (49 CFR Part 659), and the SRM process described in FTA's PTASP regulation at 49 CFR § 673.25.

FTA developed the [PTASP Hazards and Consequences Self-Guided Learning Tool](#) to help individuals distinguish between hazards and consequences in SRM under a Safety Management System (SMS).

[Potential Sources of Hazard Information for Bus Transit Operations](#) helps identify potential sources of hazards for analysis through the SRM process.

The [Sample Safety Risk Assessment Matrices for Bus Agencies](#) and [Sample Safety Risk Assessment Matrices for Rail Transit Agencies](#) provide a structured approach for addressing PTASP Safety Risk Assessment requirements.

### Need Assistance?

Contact a PTASP Specialist today!



[PTASP-TAC@dot.gov](mailto:PTASP-TAC@dot.gov)



1-87 PTASP-AID  
1 (877) 827-7243

9 am to 8 pm EST  
Monday through Friday



### ASP Tip

#### Drafting the SRM Section

Describe the **activities** and **tools** your agency uses in each step of SRM (i.e., hazard identification, safety risk assessment, and safety risk mitigation). For each activity, identify **who** at your agency will be responsible.

### Upcoming Webinar:

#### ASP Review, Approval, and Certification

When: February 26, 2-3:30 EST

[Register Here](#)



The [Sample Safety Risk Register for Bus Transit Agencies](#) and the [Sample Safety Risk Register for Rail Transit Agencies](#) are information management tools agencies may consider using to document your transit agency's SRM and Safety Assurance activities. Each register is accompanied by a guide: [Guide to the Sample Safety Risk Register for Bus Transit Agencies](#) and [Guide to the Sample Safety Risk Register for Rail Transit Agencies](#).

The [Safety Risk Mitigations and Corrective Actions Guide](#) explains the distinct functions of safety risk mitigations and corrective actions and respective roles within the SRM and Safety Assurance processes.

Access the entire library by visiting FTA's [PTASP Technical Assistance Center website](#).

## Q & A Highlights

### Question 1:

Are small public transportation providers required to have a single all-hazards log?

### FTA Response:

FTA does not require transit agencies to use a particular type of data management system for hazards. Agencies may choose to consolidate hazard and consequence information in one location for easier sorting, analysis, and sharing of information (e.g., risk register or hazard log, either paper or electronic).

### Question 2:

Are small providers required to determine the probability and severity of hazards?

### FTA Response:

All agencies are required to establish methods or processes to assess the likelihood and severity of the *consequences* of hazards and prioritize the hazards based on the assessed

## 2020 FTA PTASP Workshops

### Region 2 (New York City)

[February 11](#)

[February 12](#)

### Region 3 (Philadelphia)

[March 4](#)

[March 5](#)

### Region 8 (Denver)

[March 10](#)

[March 11](#)

### Region 6 (Fort Worth)

[March 17](#)

[March 18](#)

At these one-day workshops, you will benefit from the following:

- SAMPLE ASPs to help with drafting your ASP sections,
- NEW EXERCISES to apply your knowledge of PTASP to help draft or review ASPs,
- TECHNIQUES to help you leverage existing processes and activities,
- RESOURCES for drafting your ASP,
- PTASP SPECIALISTS available onsite to answer your questions or discuss your draft ASP, and
- APPROACHES to help ensure your ASP meets the PTASP Regulation (49 CFR Part 673) requirements and is adequately documented.



safety risk of the consequences (§ 673.25). Note that transit agencies are to assess the severity and likelihood of the *potential consequences of hazards*, not the hazards themselves. Agencies will establish safety risk based on their assessment of how often they may experience a potential consequence of a hazard (likelihood) and the consequence's degree of harm or damage (severity), including any existing mitigations. Agencies may decide to use tools, such as a safety risk matrix customized to an agency's unique operating realities, to facilitate risk-based prioritization.

### Question 3:

Are we supposed to list all of our hazards within our ASP?

### FTA Response:

The regulation does not require transit agencies to list their hazards within their ASPs; however, it requires agencies to develop, implement, and document within their ASP an SRM process for all elements of their transit system. The SRM process must include activities for hazard identification, safety risk assessment, and safety risk mitigation (§ 673.25(a)).

## Get Involved with the PTASP Community of Practice

We want to hear from you! Highlighted below are two of many topics for discussion. Start the conversation today to support your ASP development and learn from your peers.

- Which activities and tools are you using for **hazard identification**? Who at your agency is responsible for this safety risk management step?
- What **SMS roles and responsibilities** are you assigning to key staff in your ASP?

### PTASP Technical Assistance Forum

Get Involved

### State Safety Oversight PTASP Forum

Get Involved

*The guidance in this document is not legally binding in its own right and will not be relied upon by the Federal Transit Administration as a separate basis for affirmative enforcement action or other administrative penalty. Compliance with the guidance in this document (as distinct from existing statutes and regulations) is voluntary only, and noncompliance will not affect rights and obligations under existing statutes and regulations.*