



FEDERAL TRANSIT ADMINISTRATION

# **Public Transportation Agency Safety Plan Final Rule**

## **Frequently Asked Questions**

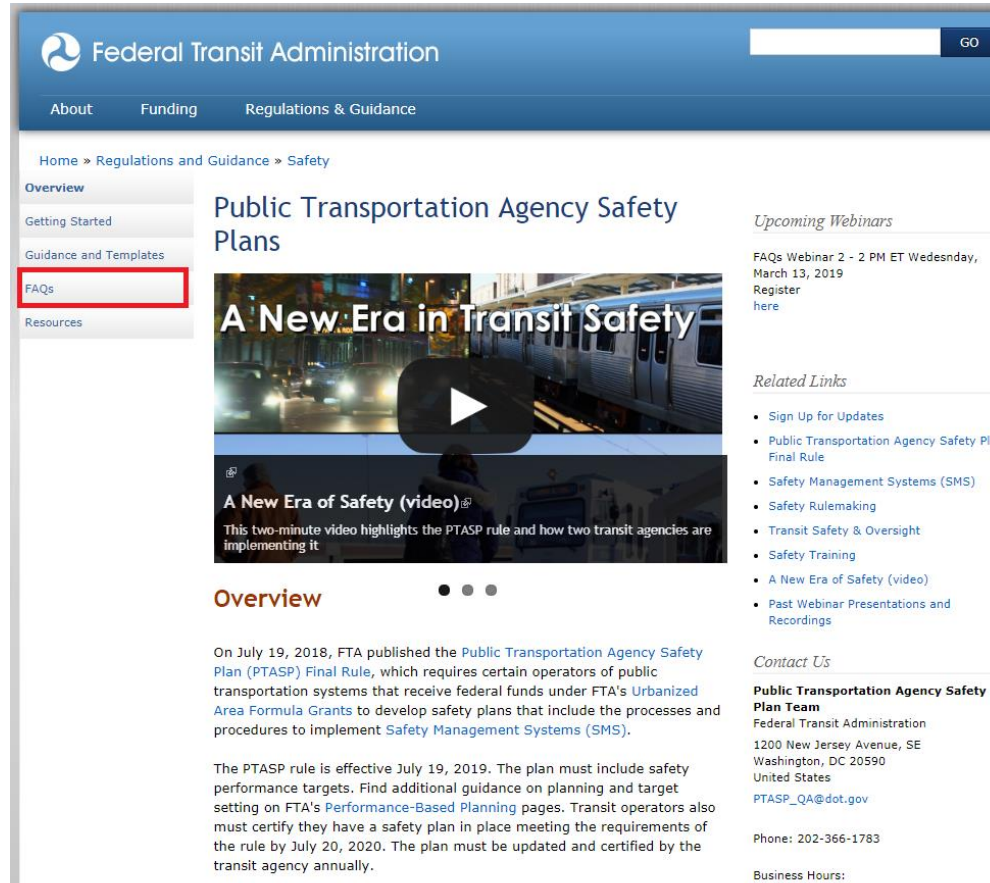
**Office of Transit Safety and Oversight**

**March 13, 2019**



U.S. Department of Transportation  
Federal Transit Administration

# Where can I find the FAQs?



The screenshot shows the Federal Transit Administration (FTA) website. The top navigation bar includes links for About, Funding, and Regulations & Guidance. The main content area is titled 'Public Transportation Agency Safety Plans'. On the left sidebar, the 'FAQs' link is highlighted with a red box. The main content area features a video titled 'A New Era in Transit Safety' and a section titled 'Overview' with text about the PTASP rule. The right sidebar contains sections for 'Upcoming Webinars' and 'Related Links'.

**Federal Transit Administration**

Home » Regulations and Guidance » Safety

**Overview**

Getting Started

Guidance and Templates

**FAQs**

Resources

## Public Transportation Agency Safety Plans

### A New Era in Transit Safety

**A New Era of Safety (video)**

This two-minute video highlights the PTASP rule and how two transit agencies are implementing it

### Overview

On July 19, 2018, FTA published the [Public Transportation Agency Safety Plan \(PTASP\) Final Rule](#), which requires certain operators of public transportation systems that receive federal funds under FTA's [Urbanized Area Formula Grants](#) to develop safety plans that include the processes and procedures to implement [Safety Management Systems \(SMS\)](#).

The PTASP rule is effective July 19, 2019. The plan must include safety performance targets. Find additional guidance on planning and target setting on FTA's [Performance-Based Planning](#) pages. Transit operators also must certify they have a safety plan in place meeting the requirements of the rule by July 20, 2020. The plan must be updated and certified by the transit agency annually.

#### Upcoming Webinars

FAQs Webinar 2 - 2 PM ET Wednesday, March 13, 2019  
[Register here](#)

#### Related Links

- [Sign Up for Updates](#)
- [Public Transportation Agency Safety Plan Final Rule](#)
- [Safety Management Systems \(SMS\)](#)
- [Safety Rulemaking](#)
- [Transit Safety & Oversight](#)
- [Safety Training](#)
- [A New Era of Safety \(video\)](#)
- [Past Webinar Presentations and Recordings](#)

#### Contact Us

**Public Transportation Agency Safety Plan Team**  
Federal Transit Administration  
1200 New Jersey Avenue, SE  
Washington, DC 20590  
United States  
[PTASP\\_QA@dot.gov](mailto:PTASP_QA@dot.gov)

Phone: 202-366-1783

Business Hours:

## New FAQs

### **What FTA funding sources can I use to develop and implement my Public Transportation Agency Safety Plan (PTASP)?**

Transit agencies and States may use FTA's Planning Program funds under 49 U.S.C. § 5305 (Section 5305) and FTA's Urbanized Area Formula funds under 49 U.S.C. § 5307 (Section 5307) to assist with the *development* of PTASPs.

Transit operators may use a variety of other FTA funding sources for the *implementation* of their PTASPs, including Section 5307 funds, FTA's State of Good Repair Program funds under 49 U.S.C. § 5337 (Section 5337), and FTA's Bus and Bus Facilities Program funds under 49 U.S.C. § 5339 (Section 5339). Those funding sources may be used for activities that are eligible under the applicable grant program, independent of the PTASP rule.

Because some State Departments of Transportation (DOTs) that are required by 49 C.F.R. § 673.11(d) to draft and certify PTASPs on behalf of small public transportation providers do not receive Section 5307 funds, States may use FTA's Planning Program Section 5305 funds to develop PTASPs. FTA encourages State DOTs to coordinate with the Metropolitan Planning Organizations located within their States to determine whether the States should use Planning Program funds through Section 5305 for the one-time drafting and certifying of PTASPs for small public transportation providers.

For additional information on FTA Grant Programs, please see our website:

<https://www.transit.dot.gov/funding/grants/grant-programs>.

## New FAQs

### **Can the Accountable Executive be a contractor?**

The definition of “Accountable Executive” from 49 C.F.R. § 673.5 of the PTASP final rule is: a single, identifiable person who has ultimate responsibility for carrying out the Public Transportation Agency Safety Plan of a public transportation agency; responsibility for carrying out the agency’s Transit Asset Management Plan; and control or direction over the human and capital resources needed to develop and maintain both the agency’s Public Transportation Agency Safety Plan, in accordance with 49 U.S.C. § 5329(d), and the agency’s Transit Asset Management Plan in accordance with 49 U.S.C. § 5326. The Accountable Executive may be a contractor if that person has control or direction over the human and capital resources needed to develop and maintain both the agency’s Safety Plan and TAM Plan.

For further technical assistance regarding the sufficiency of a transit agency’s organizational structure, please submit your question to the [PTASP\\_QA@dot.gov](mailto:PTASP_QA@dot.gov) email box.

# Upcoming Webinar

Webinars	Dates
Contractor Operated Service	Wednesday, April 24 at 2:00 PM ET   <a href="#">Register</a>

# Questions?

- Questions: [PTASP\\_QA@dot.gov](mailto:PTASP_QA@dot.gov)
- Other questions? Call the TSO Main Number at 202-366-1783

The screenshot shows the Federal Transit Administration (FTA) website. The header includes the FTA logo and navigation links: About, Funding, and Regulations & Guidance. The main content area is titled "Public Transportation Agency Safety Plans". Below the title is a video player with the title "A New Era in Transit Safety" and a play button. To the right of the video player is a sidebar with "Webinars" and "Related Links". The "Webinars" section lists four webinars: "Multimodal Agencies Webinar" (Monday, August 6 at 2:00 PM ET), "State Safety Oversight Agencies Webinar" (Thursday, August 9 at 2:00 PM ET), "Bus-only Agencies Webinar" (Tuesday, August 28 at 2:00 PM ET), and "State DOTs Webinar" (Wednesday, August 29 at 2:00 PM ET). The "Related Links" section lists six links: "Sign Up for Updates", "Public Transportation Agency Safety Plan Final Rule", "Safety Management Systems (SMS)", "Safety Rulemaking", "Transit Safety & Oversight", and "Safety Training". The "Contact Us" section provides the FTA's address, phone number, and email address.

**Federal Transit Administration**

About Funding Regulations & Guidance

**Public Transportation Agency Safety Plans**

**A New Era in Transit Safety**

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**Overview**

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The PTASP rule is effective July 19, 2019. The plan must include safety performance targets. Find additional guidance on planning and target setting on FTA's **Performance-Based Planning** pages. Transit operators also must certify they have a safety plan in place meeting the requirements of the rule by July 20, 2020. The plan must be updated and certified by the transit agency annually.

The rule applies to all operators of public transportation systems that are recipients and sub-recipients of federal financial assistance under the Urbanized Area Formula Program (49 U.S.C. § 5307). However, FTA is deferring applicability of this requirement for operators that only receive funds through FTA's **Enhanced Mobility of Seniors and Individuals with Disabilities Formula Program** (Section 5310) and/or **Rural Area Formula Program** (Section 5311).

**Webinars**

**Registration is required to join these webinars.**

Multimodal Agencies Webinar  
Monday, August 6 at 2:00 PM ET  
[Register](#)

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