FTA AASHTO Safety Webinar

State Safety Oversight (SSO) Certification Overview

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Agenda

- FTA’s Safety Oversight Goal
- State Safety Oversight (SSO) Certification Process
- SSO Certification
- Outreach
- Questions
FTA’s Safety Oversight Goal

Continue to improve and strengthen SSO programs for oversight of rail transit operations.
SSO Certification Process
SSO Program Background

- Legislation was Enacted
- The FTA’s Role
- The FTA’s Process
- Part 674 Certification
States with Rail Transit Required to Meet State Safety Oversight Program Certification Deadline (April 15, 2019)

- Required to be certified
- Not required to be certified
- Certified
## Deadlines

<table>
<thead>
<tr>
<th>Deadlines</th>
<th>Dates</th>
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</thead>
<tbody>
<tr>
<td>Compliance Deadline</td>
<td>April 15, 2019</td>
</tr>
<tr>
<td>Submission Deadline</td>
<td>September 30, 2018</td>
</tr>
<tr>
<td>Preferred Submission Date</td>
<td>April 15, 2018</td>
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</tbody>
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Financial Penalty

What if a state misses the April 15, 2019 deadline?

- FTA can’t obligate financial assistance. Funds must be withheld until SSOP is certified by FTA.

- State-wide impact
  - Applies to all Chapter 53 grant recipients
Certification Status Table

- Status table tracks each state’s certification status
  - Updated as new states are certified

- Inquiries?
  - Contact state’s SSO manager
## States Requiring State Legislative or Executive Action

<table>
<thead>
<tr>
<th>States</th>
<th>Estimated FTA Formula Funding (FY2019)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Illinois</td>
<td>$609,101,428</td>
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<tr>
<td>Michigan</td>
<td>$142,597,929</td>
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<tr>
<td>New York</td>
<td>$1,523,909,156</td>
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<td>Oklahoma</td>
<td>$52,170,951</td>
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<tr>
<td>Puerto Rico</td>
<td>$74,078,304</td>
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<tr>
<td>Tennessee</td>
<td>$92,833,519</td>
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</tbody>
</table>
What FTA is doing for SSOAs

• $112 million in grant funds (since FY2013)
• Technical assistance workshops
• Monthly one-on-one calls
• Quarterly conference calls
• Certification toolkit
• Site visits
What the states can do?

• Be aware of the statutory deadline
  – April 15, 2019

• Support your state in its certification efforts
  – Update Safety/Security Plans and Corrective Action Plans (CAPs)
Outreach

• Transit Safety and Oversight Spotlight:
  – FTA’s monthly safety newsletter
  – Features certification status updates
  – Subscribe: [https://public.govdelivery.com/accounts/USDOTFTA/subscriber/new](https://public.govdelivery.com/accounts/USDOTFTA/subscriber/new)
Questions?

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