

# FTA

FEDERAL TRANSIT ADMINISTRATION

## **APTA Midyear Safety Meeting**

### **FTA's Safety Management System (SMS) Programs and Initiatives**

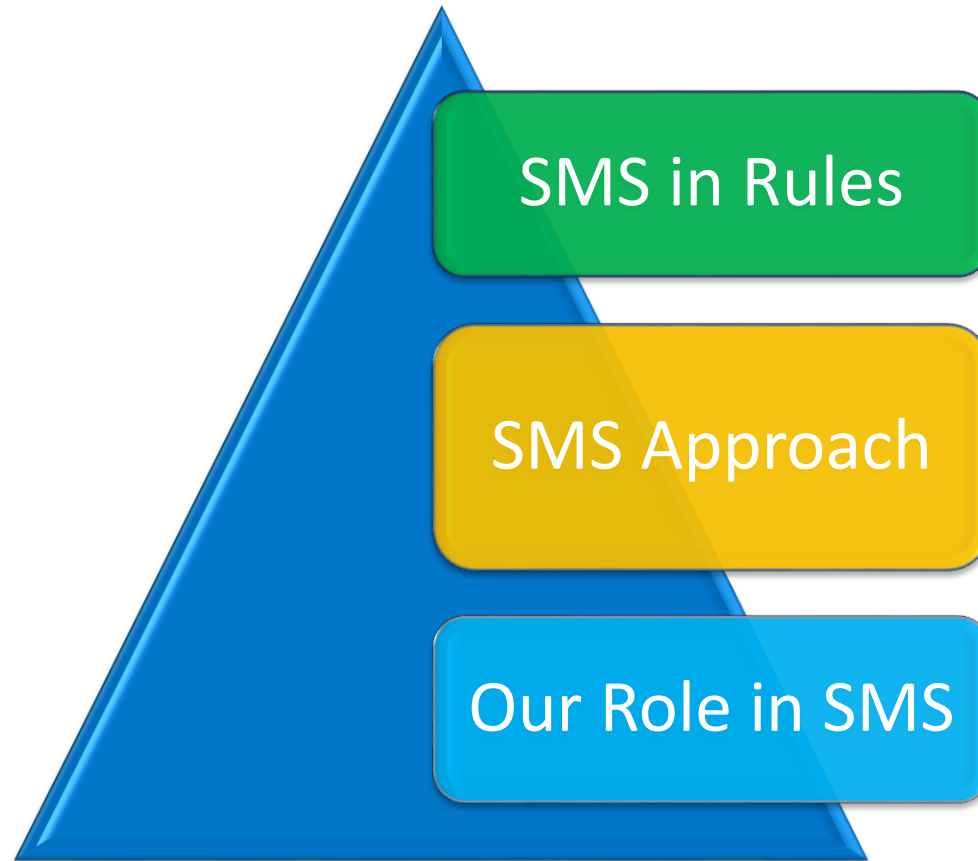
**Aloha Ley**  
**Office of Transit Safety and Oversight**  
**Federal Transit Administration**

**December 6, 2017**



U.S. Department of Transportation  
Federal Transit Administration

# Agenda



# SMS in Rulemaking

Umbrella:  
Approach & Plan

Program

NSP

State Safety  
Oversight  
Agencies

SSO

Transit  
Agencies

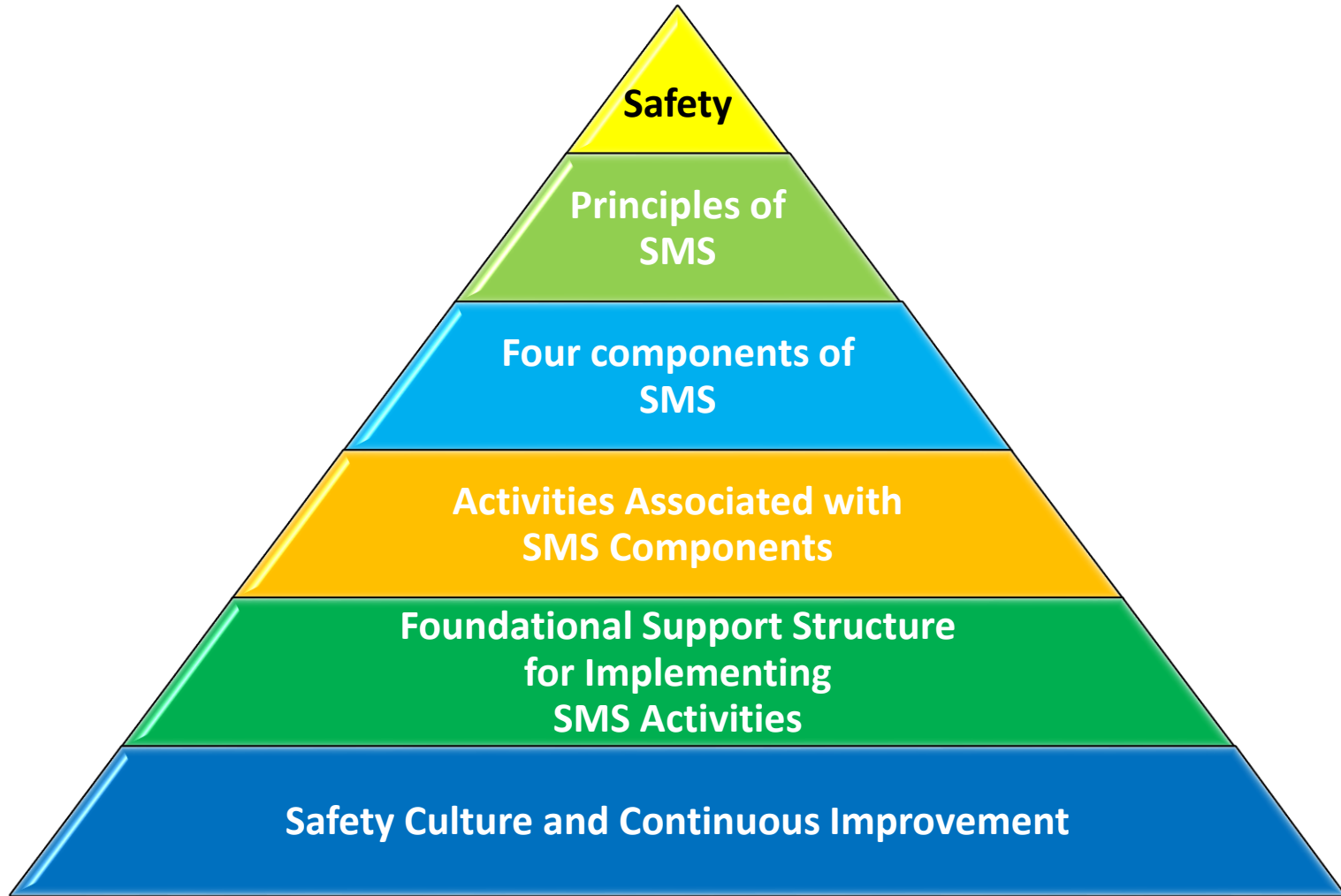
PTASP

Infrastructure:  
People &  
Equipment

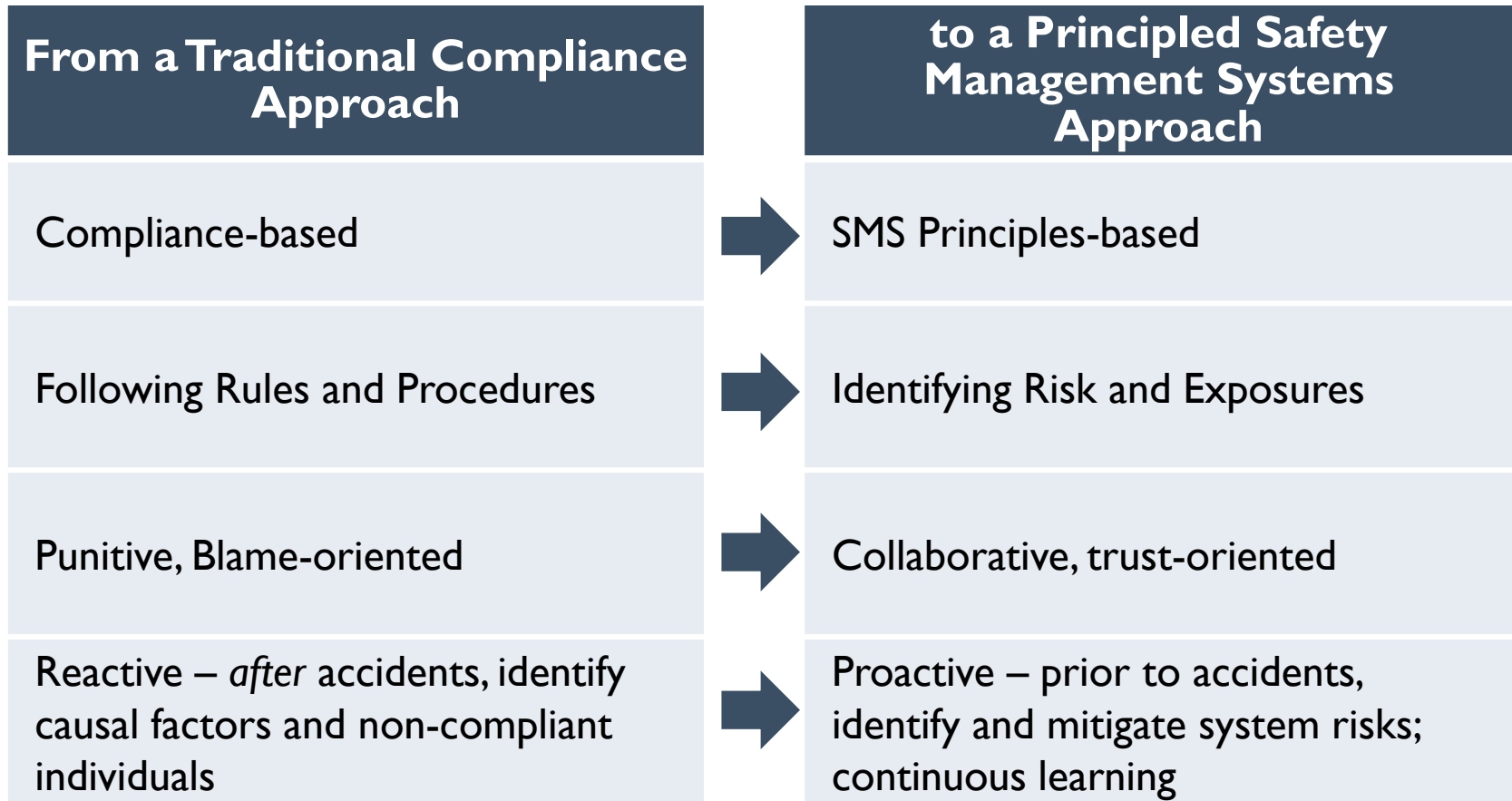
Training

TAM

# Approach to SMS



# Safety in Context



# Safety Management System



## Safety Policy: Leadership Commitment

Prioritize  
Safety

Take  
Responsibility

Encourage  
Collaboration

Document  
Processes

## Safety Promotion: Communicate Commitment

Raise Awareness

Train Employees

SMS  
Implementation

Communication  
Plan

## Safety Risk Management: Identify, Assess and Prioritize

Identify

Assess Risks

Prioritize

Decision  
Makers

## Safety Assurance: Mitigate, Measure and Monitor

Mitigate

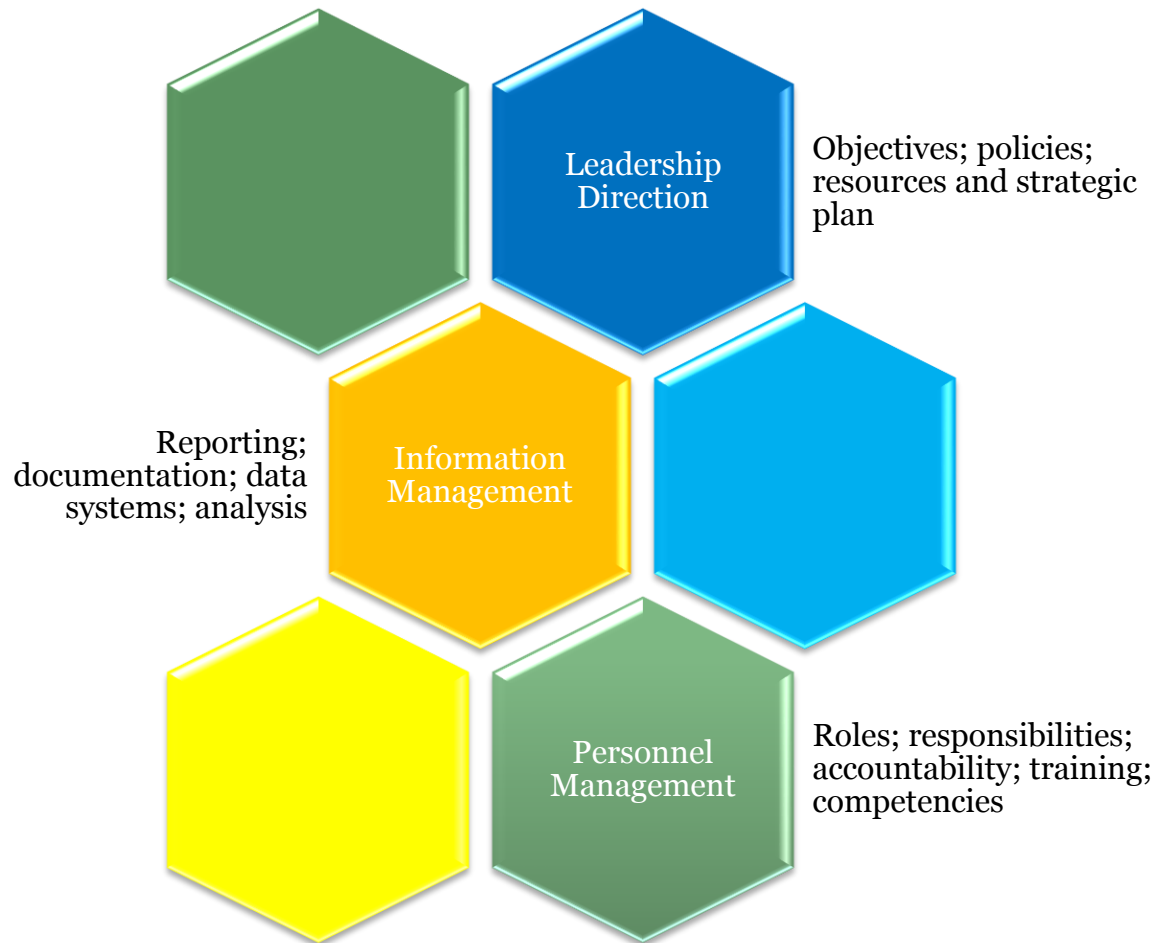
Measure

Monitor

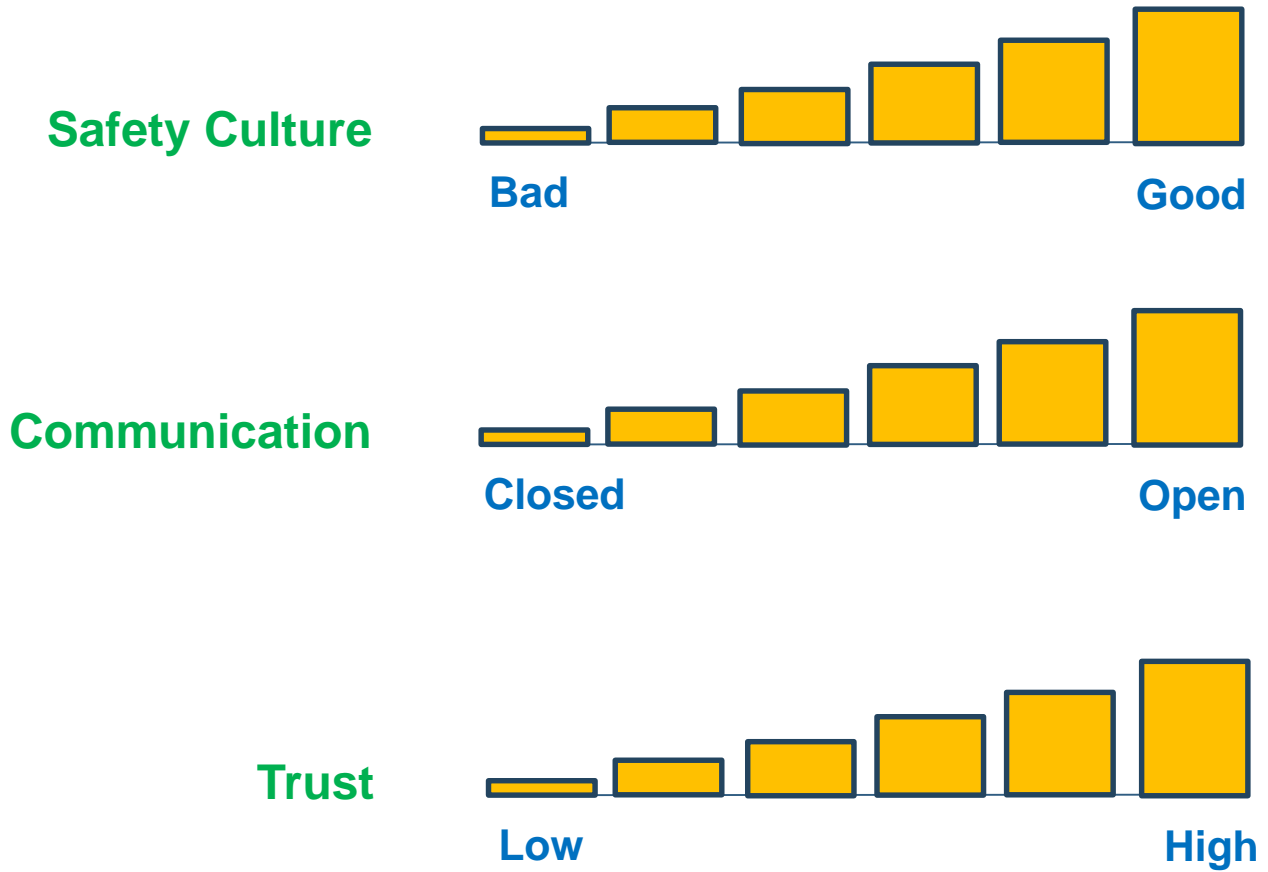
Feedback  
Loop



# Foundational Infrastructure Support

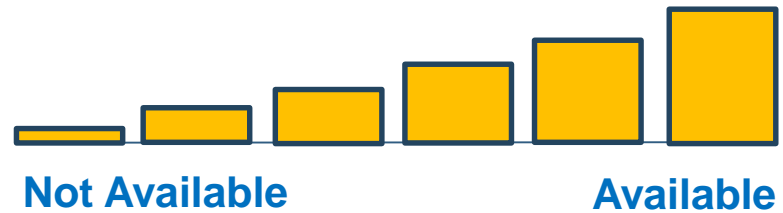


# Where is your safety culture?

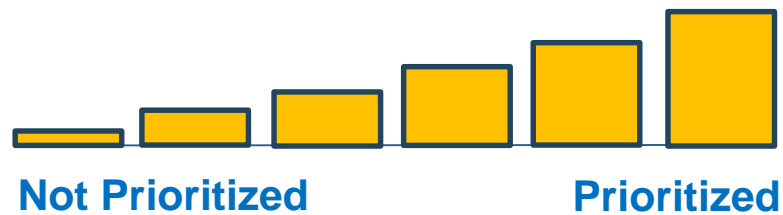


# Where is your safety culture?

Safety Training



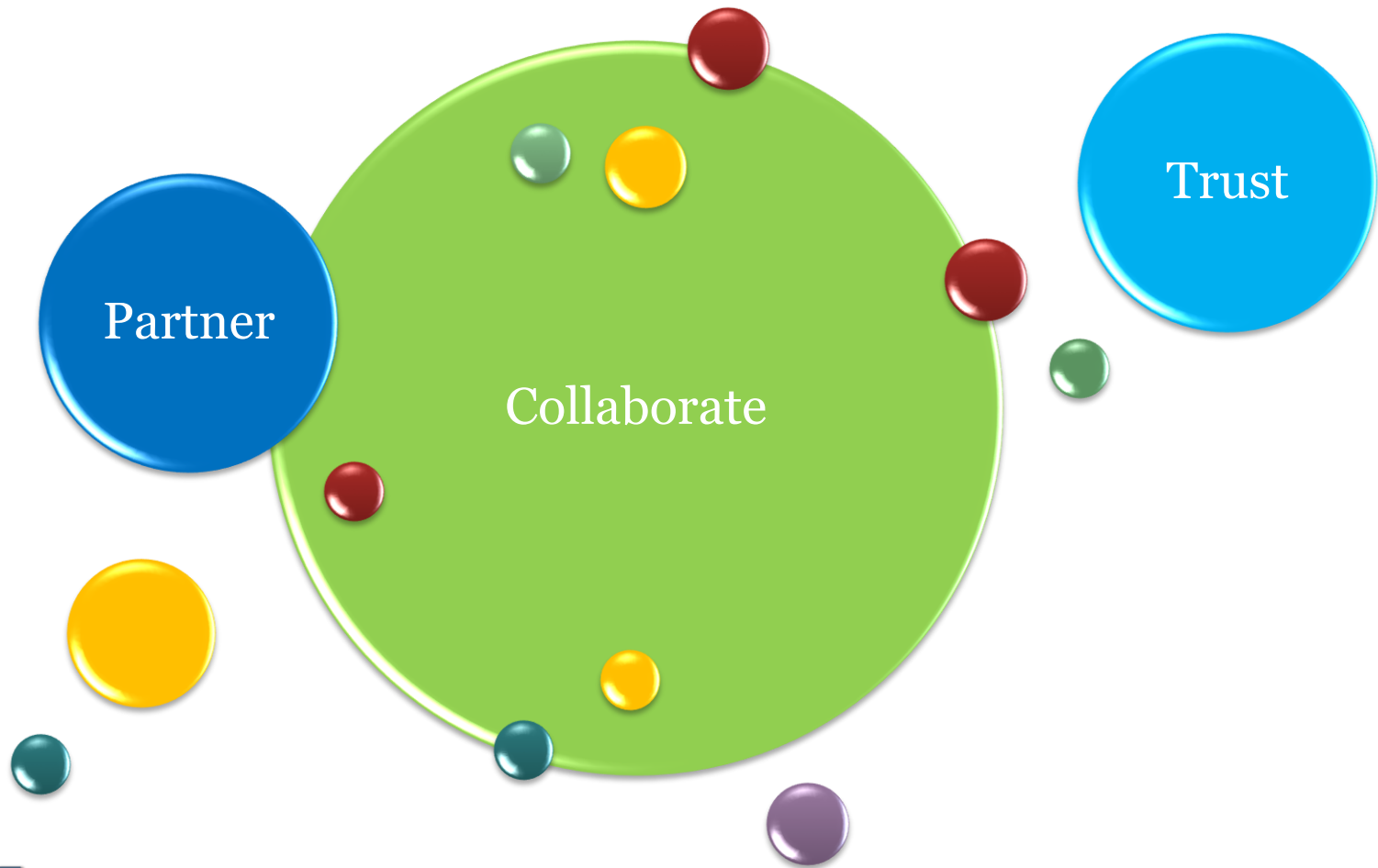
Safety Commitment



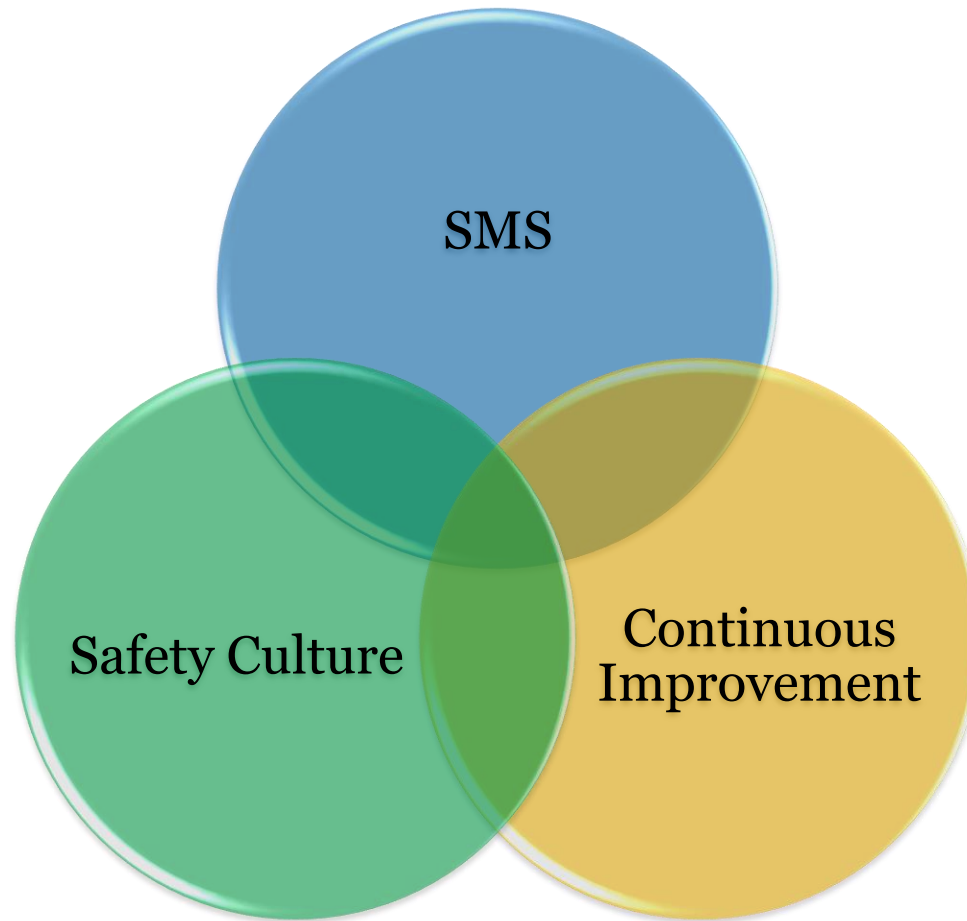
Reporting System



# An Exercise in the Principles of Safety Culture



# SMS, Safety Culture and Continuous Improvement



# Our Role in SMS



# Resources to Help You Prepare Now

## Read, watch, and participate

- Review FTA's *SMS Framework*
- Participate in webinars explaining new regulations and guidance
- Visit FTA's YouTube page to view previous webinars
- Read our newsletter *TSO Spotlight*



## Get trained

### Register for Transit Safety Courses

- Contact the Transportation Safety Institute (TSI), at [transit@dot.gov](mailto:transit@dot.gov) or (405) 954-3682
- The SMS Awareness eLearning course is available on TSI's Learning Management System (LMS) <https://tsi-dot.csod.com>

## Sign up to receive updates

### Sign up for GovDelivery

- FTA announcements and new documents
- <https://public.govdelivery.com/accounts/USDOTFTA/subscribe/new>

A screenshot of the GovDelivery sign-up form for the Federal Transit Administration. The header features the U.S. Department of Transportation logo and the text 'U.S. Department of Transportation Federal Transit Administration'. Below the header, there is a section titled 'Email Updates' with the instruction: 'To sign up for updates or to access your subscriber preferences, please enter your contact information below.' There is a text input field for 'Email Address' with a red asterisk indicating it is required. Below the input field are 'Submit' and 'Cancel' buttons. At the bottom, there is a small line of text: 'Your contact information is used to deliver requested updates or to access your subscriber preferences.' and a link for 'Privacy Policy - Help'.

# Questions?

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