

FTA

FEDERAL TRANSIT ADMINISTRATION

APTA Midyear Safety Meeting

FTA Safety Update

Aloha Ley
Office of Transit Safety and Oversight
Federal Transit Administration

December 5, 2017



U.S. Department of Transportation
Federal Transit Administration

Today's Agenda



TSO Organizational
Changes

Why SMS

PTASP Rule

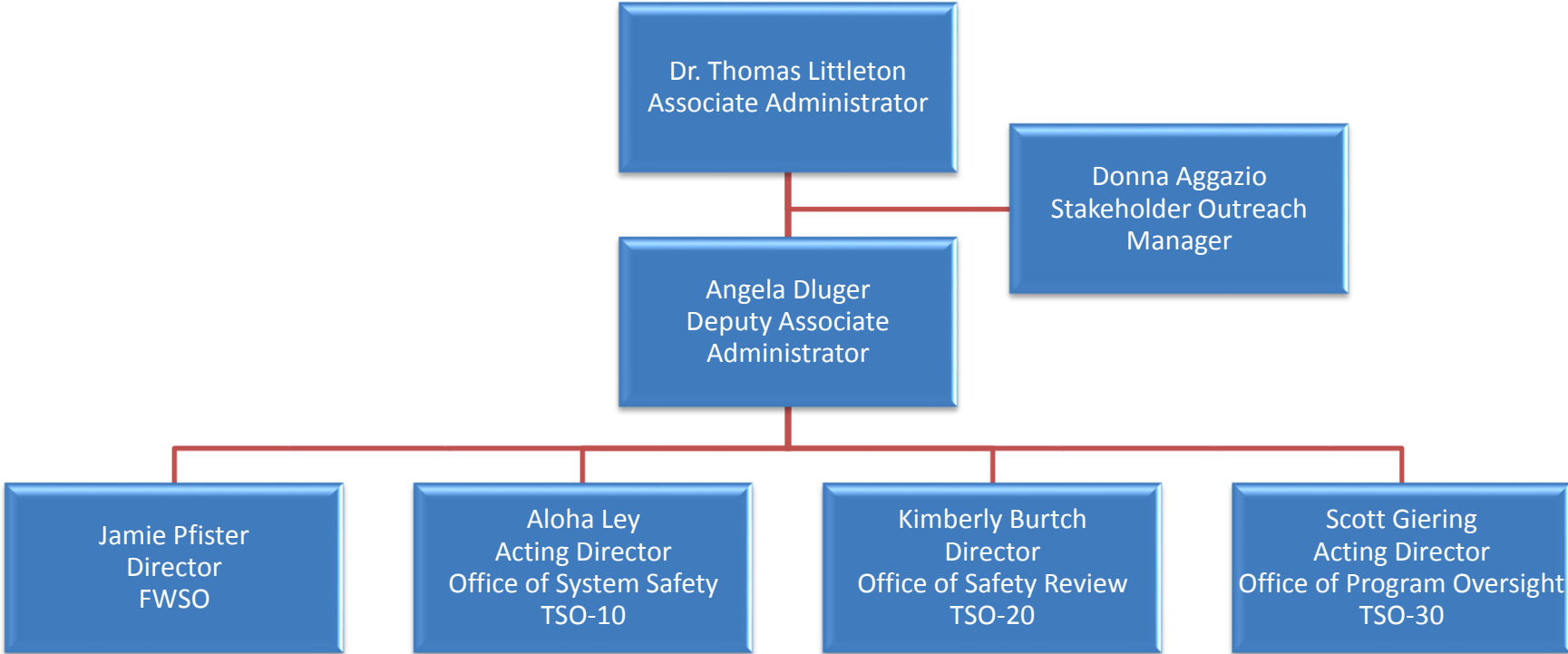
SMS Pilots

Resources

Questions

TSO Organizational Update

Office of Transit Safety and Oversight



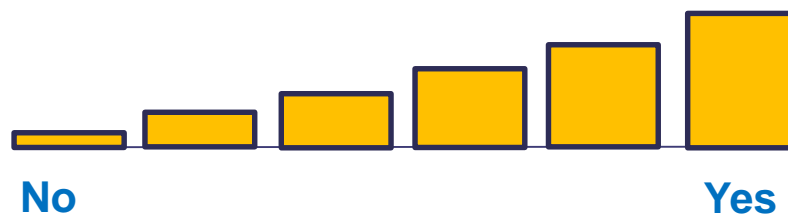
Office of System Safety (TSO-10)

- Safety Rulemaking
- Safety Management System (SMS)
- Accident Investigations
- Safety Data Analysis and Management
- Safety Risk Management
- Safety Training and Technical Assistance
- Safety Promotion

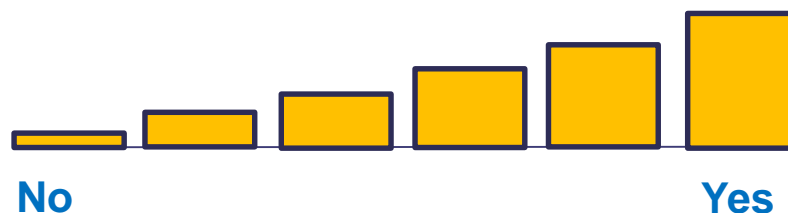
Why SMS?

Start with Some Questions

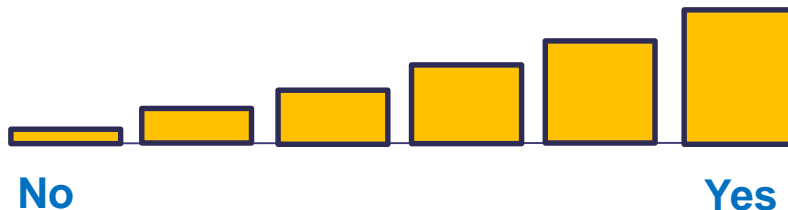
Do you have confidence you are operating safely?



Are you confident your employees understand your safety mission?

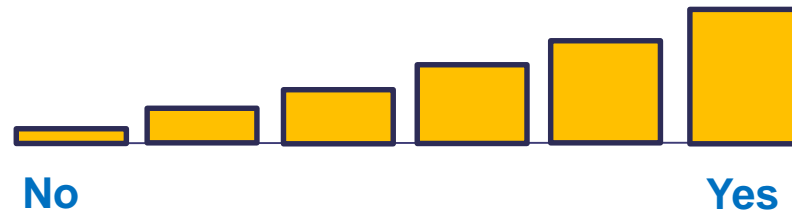


Are your processes and procedures documented and followed?

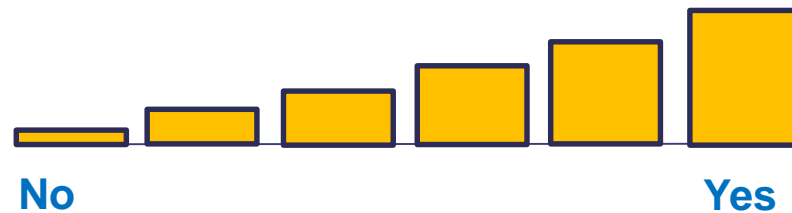


Start with Some Questions

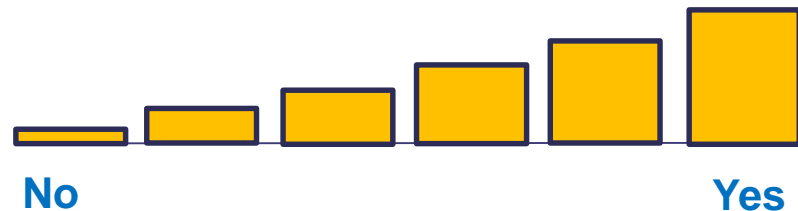
Is safety integrated across the organization?



Are employees and managers alike held accountable for safety?

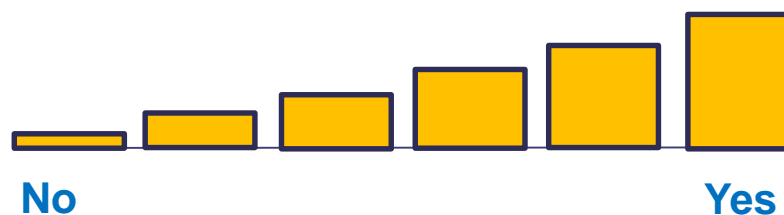


Are you being predictive and risk-based about your safety decisions?

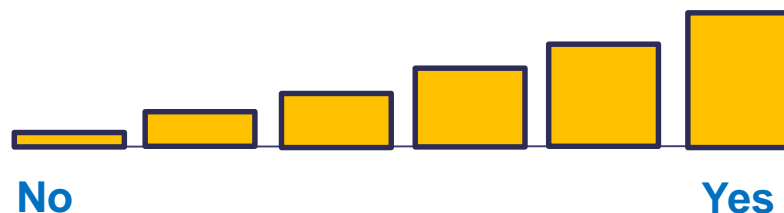


Start with Some Questions

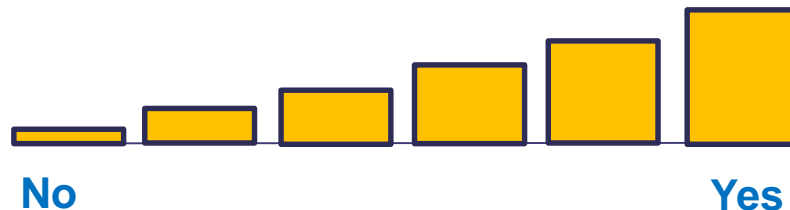
Do you have an accountable executive who can make data informed decisions?

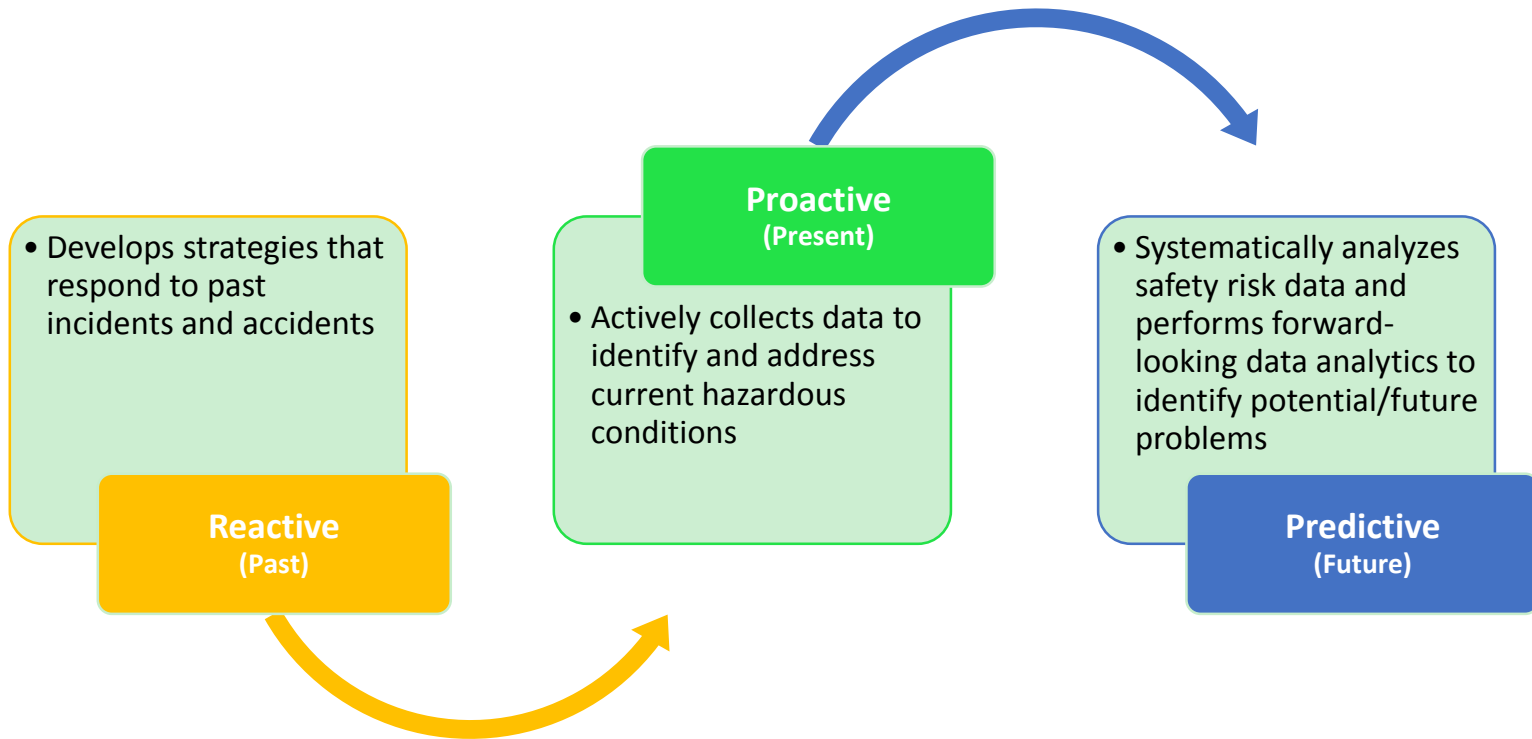


Do you have a reliable safety data system based on reliable employee data?



Is the labor/management relationship in your organization based on trust?







PTASP NPRM

PTASP Requirements: NPRM

Include SMS in plan:

- Safety Policy
- Safety Promotion
- Safety Risk Management
- Safety Assurance



- Identify PTASP requirements (e.g., Accountable Exec, Key staff, Board approves the Plan)

- Describe what the agency currently does for each requirement in the PTASP (e.g., how an agency analyzes safety hazards today).

SMS Pilot Programs

SMS Implementation Pilot Program Overview

In partnership with select transit agencies, FTA is developing and testing practical guidance materials and tools to help the transit industry transition to safety management systems (SMS).

Through this program, FTA

- Provides onsite training, guidance, technical assistance, and expertise on SMS implementation
- Identifies best practices and lessons learned
- Develops insights on how best to support the industry-wide transition to SMS

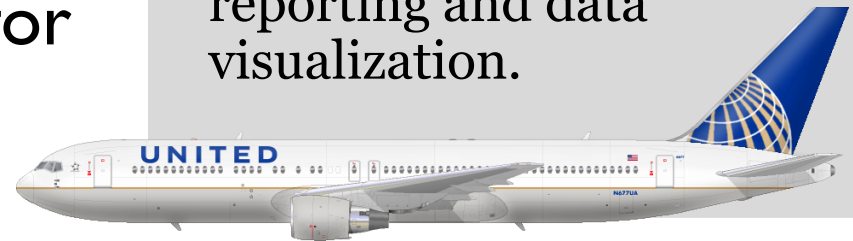
SMS Implementation Pilot Program Status Update

Chicago Transit Authority (CTA) Pilot

FTA continues to support CTA's transition to an SMS, applying lessons learned to the development of SMS guidance documents and technical assistance tools for the industry.

Leveraging aviation's SMS expertise

Safety experts from United Airlines have shared their real-world experience implementing and operating an SMS, including employee safety reporting and data visualization.



SMS Implementation Pilot Program Status Update

Small and Mid-size Bus Agencies Pilot

FTA initiated an SMS pilot with three small and mid-size bus agencies in Maryland. This pilot is focused on developing and testing SMS guidance documents and technical assistance tools for:

- Bus agencies of all sizes
- Rural transit operators
- Contractor-operated services



Montgomery County, MD



Frederick County, MD



Charles County, MD

Resources

Safety Rulemaking Website

Federal Transit Administration

About Funding Regulations & Guidance

Home » Regulations and Guidance » Safety

Transit Safety & Oversight

Safety Rulemaking

Safety Guidance

State Safety Oversight Program

Safety Management Systems (SMS)

Program Oversight

TRACS

Drug and Alcohol Program

Bus Safety Program

Safety Training

Stakeholder Outreach

FAQ

Safety Rulemaking

Navigate to sections below:

- Public Transportation Agency Safety Plan
- National Public Transportation Safety Plan
- State Safety Oversight (SSO) Final Rule
- Public Transportation Safety Program Final Rule
- Interim Safety Certification Training Program Provisions
- Advanced Notice of Proposed Rulemaking (ANPRM)
- Resources

Public Transportation Agency Safety Plan

- Public Transportation Agency Safety Plan Notice of Proposed Rulemaking (NPRM)
 - Regulatory Impact Analysis for the Federal Transit Administration's Public Transportation Agency Safety Plan NPRM
- Public Transportation Agency Safety Plan Notice of Proposed Rulemaking (NPRM): Request for Comments
 - Federal Register
- Crosswalk Matrix: 49 CFR Part 659.19 System Safety Program Plan Requirements with Proposed Requirements for Public Transportation Agency Safety Plans
- Public Transportation Agency Safety Plan NPRM FAQ
- Fact Sheet: Public Transportation Agency Safety Plan NPRM

Related Links

- Transit Safety & Oversight (TSO)
- National Public Transportation Safety Plan
- Public Transportation Safety Program Final Rule
- State Safety Oversight (SSO) Final Rule
- Public Transportation Agency Safety Plan NPRM

Contact Us

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Business Hours:
8:30 a.m.-5 p.m. ET, M-F

Share

<https://www.transit.dot.gov/regulations-and-guidance/safety/map-21-fast-act-safety-related-rulemaking>

Resources to Help You Prepare Now

Read, watch, and participate

- Review FTA's *SMS Framework*
- Participate in webinars explaining new regulations and guidance
- Visit FTA's YouTube page to view previous webinars
- Read our newsletter *TSO Spotlight*

Get trained

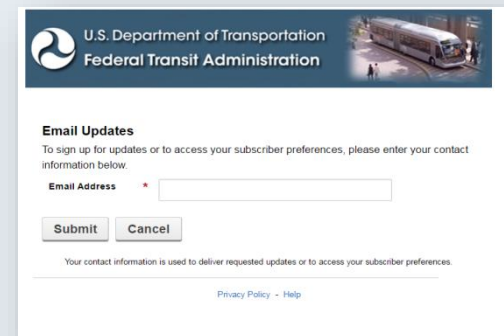
Through FTA's safety website:

- Take the *SMS Awareness* online course
- Sign up for classroom courses
- Request an Individual Training Profile (ITP)
 - Courses based on your role

Sign up to receive updates

Sign up for GovDelivery

- FTA announcements and new documents
- <https://public.govdelivery.com/accounts/USDOTFTA/subscriber/new>



The screenshot shows the FTA GovDelivery sign-up form. At the top, it features the U.S. Department of Transportation Federal Transit Administration logo and a small image of a bus. Below the logo, the text reads "Email Updates" and "To sign up for updates or to access your subscriber preferences, please enter your contact information below." There is a text input field for "Email Address" with a red asterisk indicating it is required. Below the input field are "Submit" and "Cancel" buttons. At the bottom, there is a small line of text: "Your contact information is used to deliver requested updates or to access your subscriber preferences." and links for "Privacy Policy" and "Help".

Questions? Comments? Email us at FTASafetyStakeholder@dot.gov

Questions?

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