

# FTA

FEDERAL TRANSIT ADMINISTRATION

## 2017 APTA Annual Meeting

### State Safety Oversight Program Certification

Patrick D. Nemons  
Office of Transit Safety and Oversight  
Federal Transit Administration

October 11, 2017



# FTA

FEDERAL TRANSIT ADMINISTRATION

# Agenda

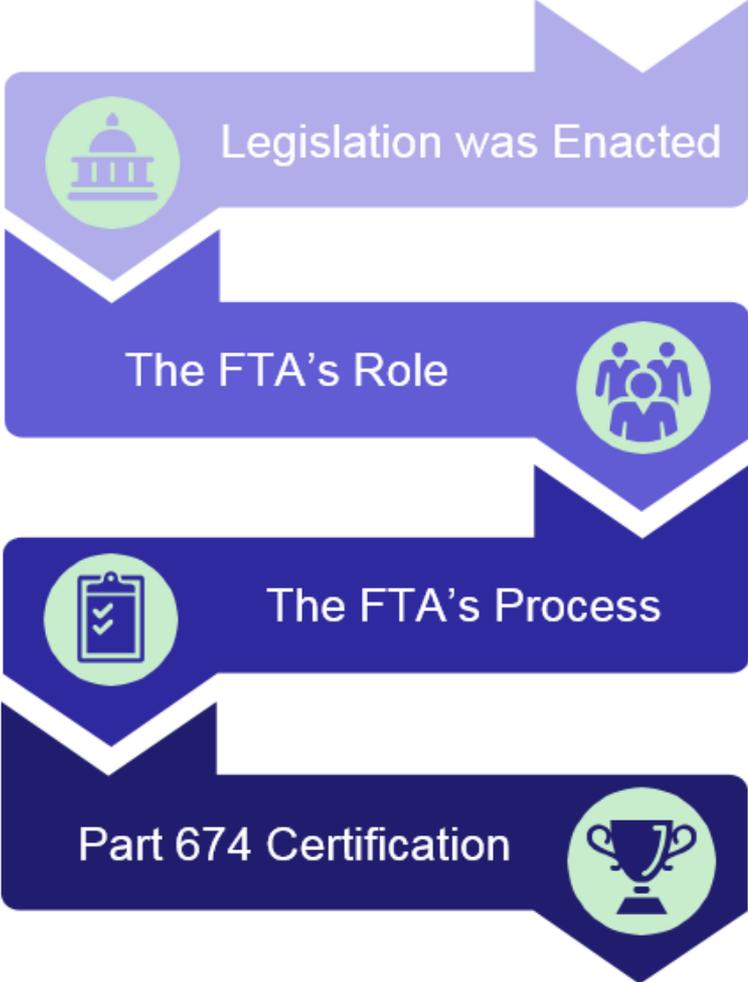
- FTA's Safety Oversight Goal
- State Safety Oversight (SSO) Certification Process
- SSO Certification
- Outreach
- Questions

# FTA's Safety Oversight Goal

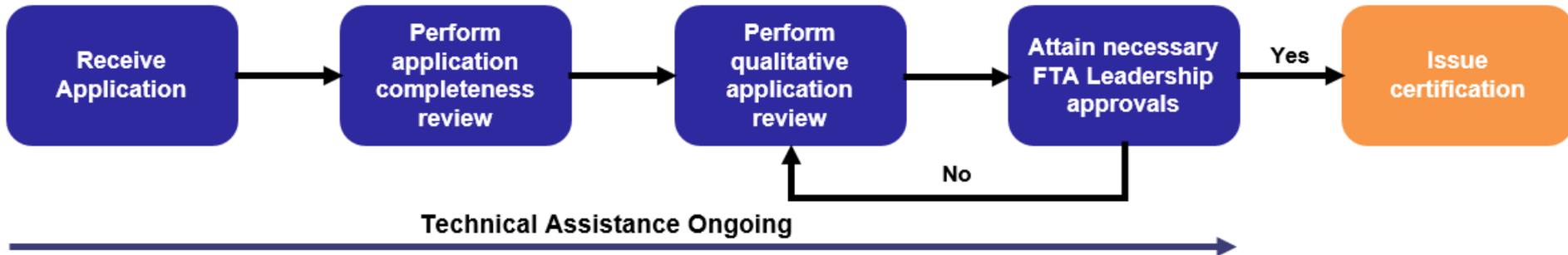
Continue to improve and strengthen SSO programs for oversight of rail transit operations.

# SSO Certification Process

# SSO Program Background

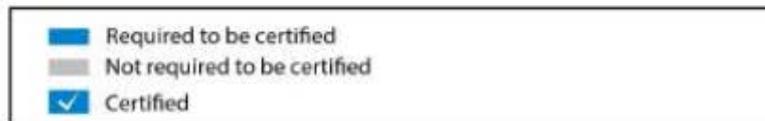
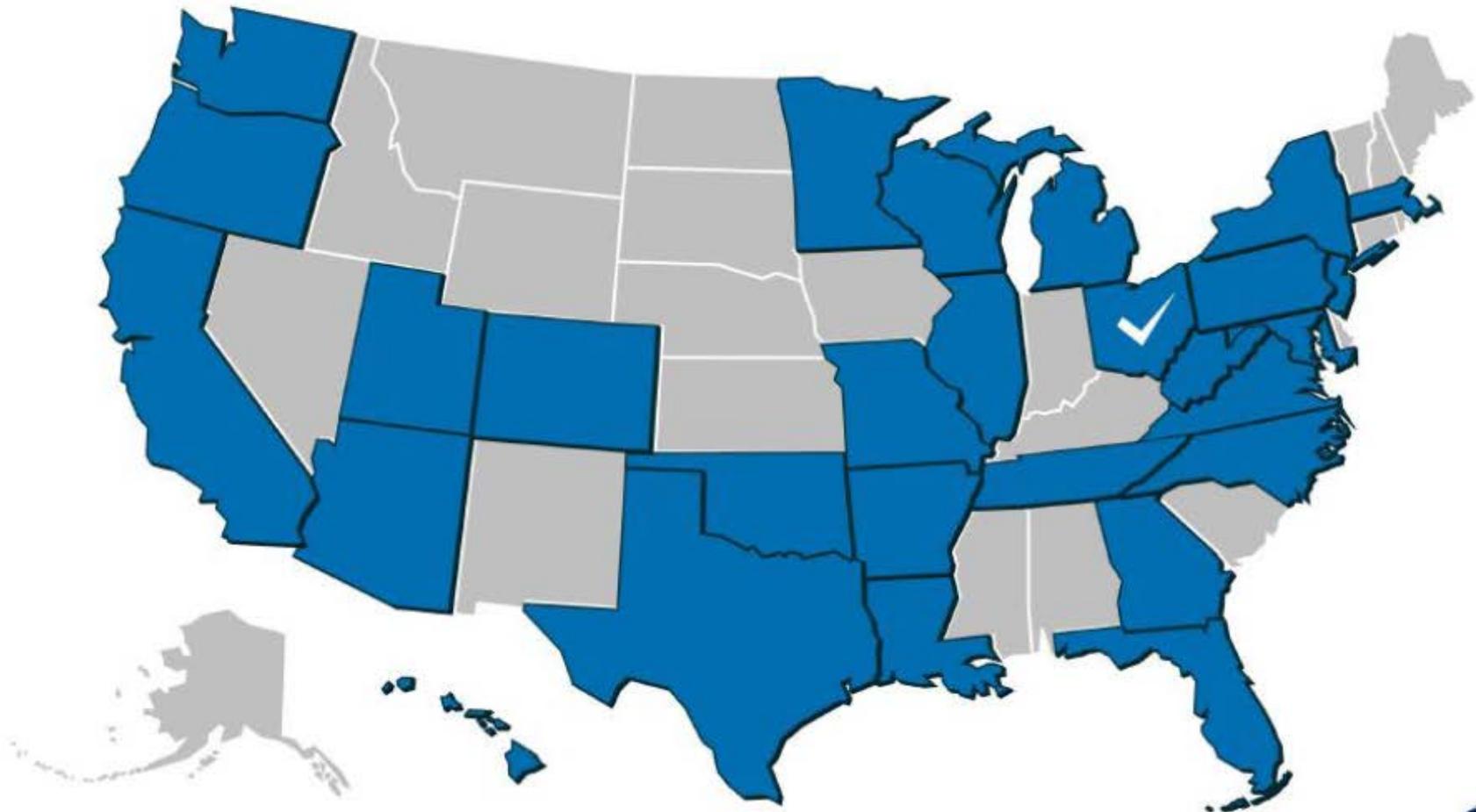


# Application Review Process



# SSO Certification

## States with Rail Transit Required to Meet State Safety Oversight Program Certification Deadline (April 15, 2019)



# Deadlines

<b>Deadlines</b>	<b>Dates</b>
Compliance Deadline	April 15, 2019
Submission Deadline	September 30, 2018
Preferred Submission Date	April 15, 2018

# Financial Penalty

What if a state misses the April 15, 2019 deadline?

- FTA can't obligate financial assistance. Funds must be withheld until SSOP is certified by FTA.
- State-wide impact
  - Applies to all Chapter 53 grant recipients

# Certification Status Table

- Status table tracks each State's certification status
  - Updated as new States are certified
  - <https://www.transit.dot.gov/regulations-and-guidance/safety/state-safety-oversight-program-certification-status>
- Inquiries?
  - Contact State's SSO manager
  - <https://www.transit.dot.gov/regulations-and-guidance/safety/state-safety-oversight-contacts>

# States Requiring State Legislative or Executive Action

States	Estimated FTA Formula Funding (FY2019)
Illinois	\$609,101,428
Michigan	\$142,597,929
New York	\$1,523,909,156
Oklahoma	\$52,170,951
Puerto Rico	\$74,078,304
Tennessee	\$92,833,519

# What FTA is doing for SSOAs

- \$90 million in grant funds
- Technical assistance workshops
- Monthly one-on-one calls
- Quarterly conference calls
- Certification toolkit
- Site visits

# What the Rail Transit Industry can do?

- Be aware of the statutory deadline
  - April 15, 2019
- Support your State in its certification efforts

# Outreach

- Transit Safety and Oversight Spotlight:
  - FTA’s monthly safety newsletter
  - Features certification status updates
  - Access online: <https://www.transit.dot.gov/regulations-and-guidance/safety/tso-spotlight-newsletter>
  - Subscribe:  
<https://public.govdelivery.com/accounts/USDOTFTA/subscribe/new>

# Questions?

Patrick D. Nemons  
Acting Director, Office of Safety Review  
Office of Transit Safety and Oversight  
Federal Transit Administration  
202.366.4986  
[Patrick.Nemons@dot.gov](mailto:Patrick.Nemons@dot.gov)