



FEDERAL TRANSIT ADMINISTRATION

2017 SSO Training Workshop

Enhanced Federal Oversight (EFO)

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Enhanced Federal Oversight (EFO) Background

The Fast Act, grants the Federal Transit Administration (FTA) authority to assume state safety oversight (SSO) responsibilities for states that receive 5329 grant funds, as needed

Fast Act Ground

Ground 1

SSOA “is not being carried out in accordance” with 49 U.S.C. § 5329(e)

Interpretation

If an SSOA is not fully compliant with 49 USC 5329(e), the FTA may assume SSO. Currently, to be fully compliant with 49 USC 5329(e), SSOAs must be compliant with 49 CFR Part 659.

Ground 2

SSOA “has become inadequate to ensure enforcement of federal safety regulation”

If an SSOA does not independently have the ability to enforce federal safety regulations and hold the RTAs under its purview accountable to set safety standards then the FTA may assume SSO.

Ground 3

SSOA “is incapable of providing adequate safety oversight consistent with the prevention of substantial risk of death or personal injury”

If SSOA capabilities or events occurring under an SSOA’s authority illustrate that an SSOA does not possess the ability to dependably keep incidents involving death or personal injury from occurring, then the FTA may assume SSO

EFO Framework Overview

The FTA has developed a high-level framework, which contains the high-level processes that enable it to exercise its authority over noncompliant or ineffective state safety oversight agencies (SSOAs).



What: A framework that enables the FTA to routinely monitor SSOAs and provides the FTA a repeatable process and the tools to address noncompliant or ineffective SSOAs



Who: The FTA carries out monitoring activities and initiates further FTA intervention at an SSOA, if necessary



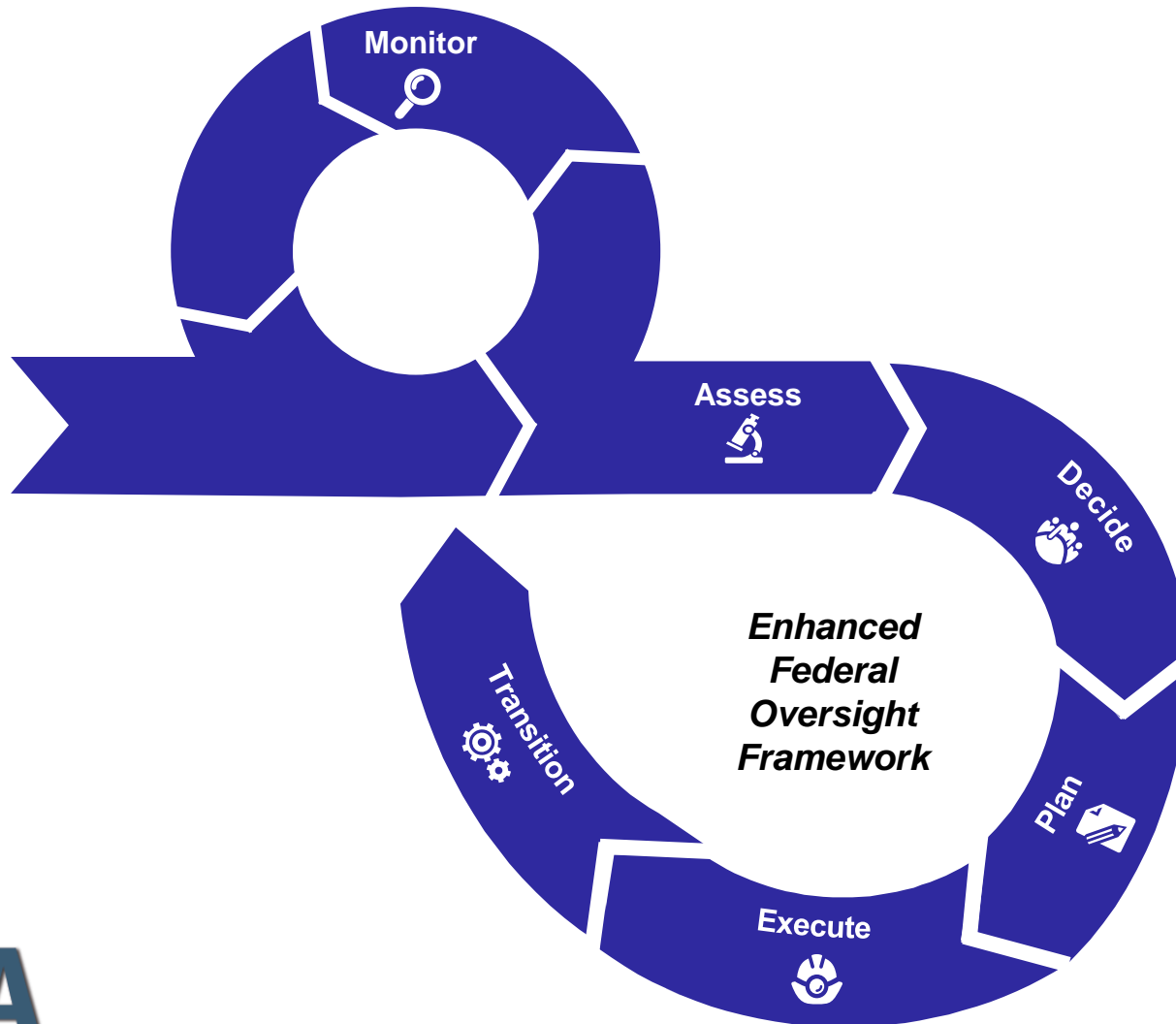
When: Monitoring of SSOAs is constantly ongoing and additional FTA intervention occurs when information collected supports the notion that an SSOA requires additional oversight



How: The EFO framework standardizes the way that the FTA collects information regarding SSOAs and provides a standardized approach to developing tailored oversight solutions to each unique SSOA, as needed

EFO Framework

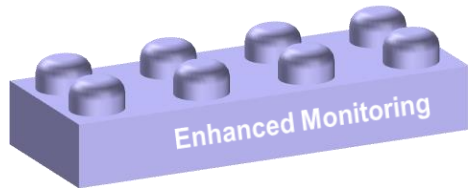
The framework standardizes the FTA's approach to EFO by detailing distinct phases for SSOA's depending on their state safety oversight circumstances.



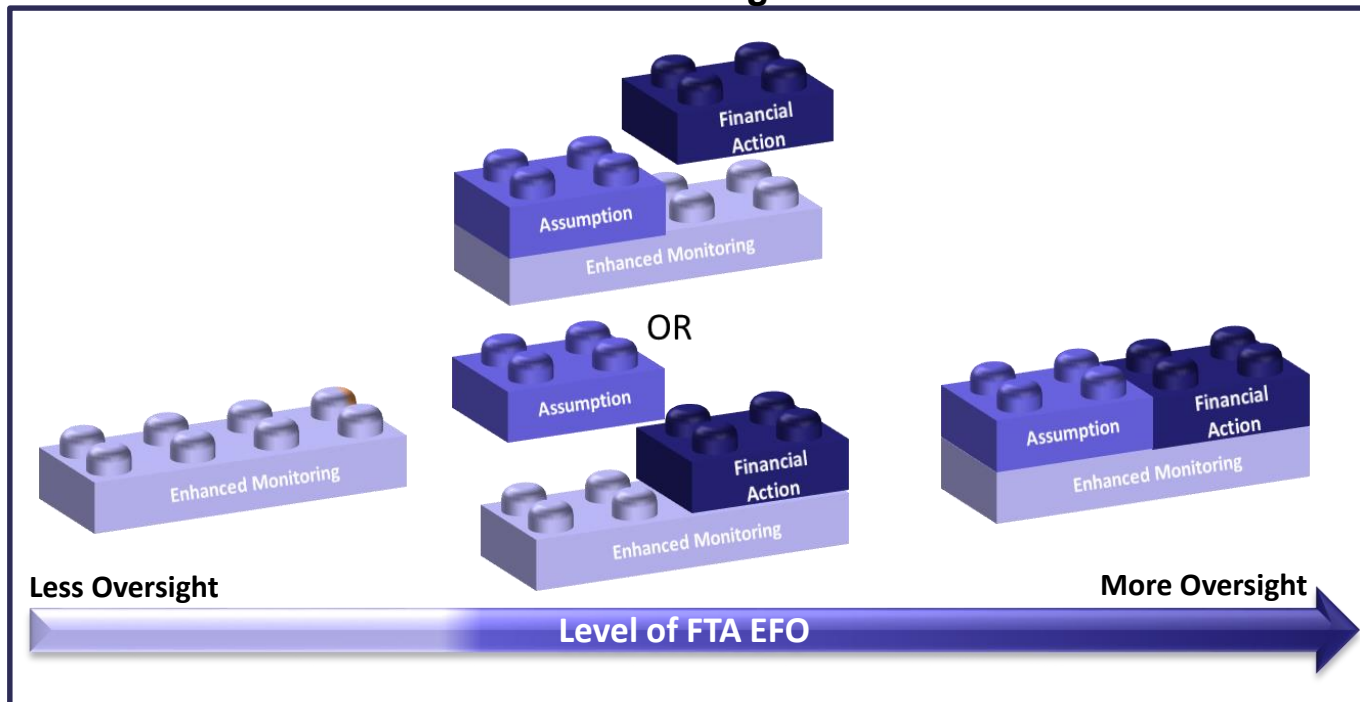
EFO Options

Once the FTA determines the nature and degree of EFO required for an SSOA it has standard options at its disposal.

EFO Options



EFO Usage



Questions?

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