The FTA Human Trafficking Awareness and Public Safety Initiative: 2019 Funding Opportunities

Office of Transit Safety and Oversight

April 2019
AGENDA

- Human Trafficking and Public Safety Initiative Overview
- Funding Opportunity for Innovations in Transit Public Safety
  - Eligibility Requirements
  - Evaluation Criteria
- Funding Opportunity for Crime Prevention and Public Safety Awareness
  - Eligibility Requirements
  - Evaluation Criteria
- Application Submission and Grants.gov
- Application Pitfalls
- Keys to Success
- Questions and Answers
HUMAN TRAFFICKING AND PUBLIC SAFETY INITIATIVE OVERVIEW
The FTA Human Trafficking Awareness and Public Safety Initiative

The U.S. Department of Transportation (DOT) and FTA are committed to detecting, deterring and eliminating human trafficking occurring on all modes of transportation, including public transit.

The FTA Human Trafficking Awareness and Public Safety Initiative supports the Department of Transportation’s Transportation Leaders Against Human Trafficking initiative through transit-focused industry engagement, education, public awareness and outreach, and research and technical assistance with the objective of combating human trafficking in transit. In addition, the program supports FTA’s operator assault and crime prevention efforts.
FTA Human Trafficking Awareness and Public Safety Initiative (continued)

The FTA Human Trafficking Awareness and Public Safety Initiative focuses on the following:

- **Training and Education**
- **Public Awareness and Industry Engagement**
- **Research and Technical Assistance**
FTA Human Trafficking Awareness and Public Safety Initiative (continued)

Two Separate Funding Opportunities totaling $4 million

1. Innovations in Transit Public Safety
   • Grant
   • $2 million

2. Crime Prevention and Public Safety Awareness
   • Cooperative Agreement
   • $2 million
Important Dates

- NOFOs Published: March 29, 2019
- Applications Due: May 28, 2019
- Project Evaluations: June 2019
- Award Announcements: Fall 2019
FUNDING OPPORTUNITY FOR INNOVATIONS IN TRANSIT PUBLIC SAFETY
## Innovations in Transit Public Safety Funding

### Eligibility Requirements

| Purpose | • Identify innovative solutions to reduce or eliminate human trafficking occurring on transit systems, protect transit operators from the risk of assault, and reduce crime on public transit vehicles and in facilities.  
• Assist transit agencies with identifying and adopting specific measures to address public safety in transit systems, including crime prevention, human trafficking, and operator assault. |
| --- | --- |
| Eligible Projects | Projects that identify innovative solutions to perform one or more of the following:  
• Reduce or eliminate human trafficking occurring at transit systems or through the use of transit systems,  
• Protect transit operators from the risk of assault,  
• Reduce crime on public transit vehicles and facilities, and  
• Improve rider and public safety  
Key focus areas should be education and training, policy development, public awareness and outreach, and information sharing and analysis. |
| Eligible Applicants | Eligible applicants are limited to FTA grantees who would be the primary beneficiaries of the innovative products and services that are developed.  
• State and local government entities  
• Providers of public transportation  
• Non-profit organizations  
• Consortium of entities, including providers of public transportation, that will share the costs, risks, and rewards of early deployment and demonstration of innovation. |
# Innovations in Transit Public Safety Evaluation Criteria

## Demonstration of Need
- How does the project address crime reduction and improving rider and operator safety, including operator assaults?
- Proposals should clearly define public safety challenges and how the proposed project will address them.

## Demonstration of Benefits
Proposals will be rated on the degree to which they address the following:
- The project’s ability to improve transit public safety and operator safety;
- The project’s ability to provide quantitative data for expected benefits and output;
- The degree to which the project addresses the prevention of human trafficking;
- Benefits such as increased educational opportunities; and
- Outputs that promote collaboration between community organizations and local, state and Federal agencies.

## Coordination, Planning and Partnerships
Applicants must outline project partners (if any) and their specific role. Proposal should also include descriptions of the following:
- Level of support by the community and other organizations;
- Opportunities for public participation;
- How the project complements other safety projects;
- Implementation schedule, including timeframe, staffing and procurement; and
- Other planning and coordination efforts not previously mentioned.

## Local Financial Commitment
- Identify source of local share.
- Describe whether funds are currently available or need to be secured.
- Note: The minimum local share allowed is 20 percent.

## Project Readiness
Applicants should include short-term, mid-range and long-term goals. Readiness factors include:
- Project implementation plan documents are complete;
- Projects funds can be obligated and the project can be implemented quickly; and
- Applicant demonstrates the ability to carry out projects successfully.

## Technical, Legal and Financial Capacity
- FTA intends to select projects with a high likelihood of long-term success, sustainability and the ability to be replicated in other communities.
- There should be no outstanding legal, technical, or financial issues with the applicant that would cause the project to be a high-risk project.
FUNDING OPPORTUNITY FOR CRIME PREVENTION AND PUBLIC SAFETY AWARENESS
## Crime Prevention and Public Safety Awareness Funding

### Eligibility Requirements

<table>
<thead>
<tr>
<th>Purpose</th>
<th>To develop, disseminate, and implement technical assistance materials supporting public safety awareness campaigns addressing public safety in transit systems, including crime prevention, human trafficking, and operator assault.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eligible Projects</td>
<td>Projects that include development and dissemination of technical assistance materials supporting transit public safety awareness campaigns. The technical assistance materials will address one or more of the following topics: • Reducing or eliminating human trafficking occurring at transit systems or through the use of transit systems, • Protecting transit operators from the risk of assault, • Reducing crime on public transit vehicles and facilities, and • Improving rider and public safety. Key focus areas will be education and training, policy development, public awareness and outreach, and information sharing and analysis.</td>
</tr>
<tr>
<td>Eligible Applicants</td>
<td>• National non-profit organizations Organizations must have crime prevention experience, the capacity to provide public transportation-related technical assistance, and the ability to deliver a national public awareness campaign.</td>
</tr>
</tbody>
</table>
### Crime Prevention and Public Safety Awareness Evaluation Criteria

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ability to Meet the Goal of the Crime Prevention and Public Safety Awareness project</td>
<td>Planned approach and project activities that will assist the transit industry in improving public transit safety awareness through the development and dissemination of technical assistance materials supporting public safety awareness campaigns.</td>
</tr>
</tbody>
</table>
| Qualifications of Key Personnel, Experience and Knowledge | • Knowledge and experience with crime prevention, to include human trafficking, operator assault, and transit rider safety;  
• Knowledge and experience with public transit safety; and  
• Knowledge and experience with public awareness campaigns. |
| Communication, Technical Assistance and Outreach Strategy | The proposal should demonstrate the following:  
• The ability to execute a technical assistance project with a national and local scope;  
• Strategies for delivering targeted outreach to state, regional, and local stakeholders;  
• The ability to efficiently and effectively carry out outreach, dissemination and information management activities; and  
• Innovative approaches, such as the use of social media and other information technologies, to accomplish this strategy. |
| Research and Demonstration Capacity | The proposal should demonstrate the applicant’s capability and capacity (either internally or through external sources) to conduct research, analysis, and demonstration projects related to crime prevention, human trafficking, operator assault, and rider safety. |
| Management Approach | • An effective management plan to administer and manage the Crime Prevention and Public Safety Awareness project;  
|                     | • Technical capacity to administer and manage the activities proposed;  
|                     | • Total budget and staffing;  
|                     | • Evidence of understanding of the Crime Prevention and Public Safety Awareness project objective and a comprehensive technical approach to delivering the project;  
|                     | • Plan for evaluation and data collection; and,  
|                     | • A plan for coordinating with FTA staff. |
APPLICATION SUBMISSION AND GRANTS.GOV
FTA Notice of Funding Opportunity

FTA website:
Funding Opportunity Notices:
https://www.transit.dot.gov/
https://www.transit.dot.gov/funding/grants/notices
FTA Notice of Funding Opportunity

FTA NOFO Page contains:
- Summary Description
  - Application requirements
  - Eligibility
- Supplemental Form
- Links to Grants.gov
- Links to Program Information
- Other references and links

Link and Instructions for attaching the supplemental form to the SF-424: All applicants must complete the attached supplemental form and attach it to their submission in GRANTS.GOV.

https://www.transit.dot.gov/funding/grants/notices

FTA NOFO provides hyperlinks to Grants.gov opportunity notice, related documents, and application package.
NOTE: Anyone can download the application package from Grants.gov. However, only “authorized organizational representatives” can submit applications in Grants.gov.
Application Package

<table>
<thead>
<tr>
<th>Synopsis</th>
<th>Version History</th>
<th>Related Documents</th>
<th>Package</th>
</tr>
</thead>
</table>

Select Grant Opportunity Package

PLEASE READ BEFORE APPLYING! If you view and complete your application package using Grants.gov downloadable PDF forms, you MUST have Adobe Reader installed. You may receive a validation error using incompatible versions of Adobe Reader. To prevent a validation error, it is now recommended you uninstall any earlier versions of Adobe Reader and install the latest compatible version of Adobe Reader.

If more than one person is working on the PDF forms, ALL applicants must be using the same Adobe Reader version. Click for more information on Adobe Reader Compatibility.

OCCUPANCY PACKAGE(S) CURRENTLY AVAILABLE FOR THIS FUNDING OPPORTUNITY:

<table>
<thead>
<tr>
<th>CFDA</th>
<th>Competition ID</th>
<th>Competition Title</th>
<th>Opportunity Package ID</th>
<th>Opening Date</th>
<th>Closing Date</th>
<th>Actions</th>
</tr>
</thead>
</table>

Preview Opportunity Package Details

Opportunity Package Details:

Agency Contact Information: Oshika Spratling Office of Transit Safety and Oversight (202) 366-2530 or FTAPublicSafety@ost.gov.
Who Can Apply: Organization Applicants

PACKAGE FORMS:

<table>
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<tr>
<th>Mandatory Forms</th>
<th>Optional Forms</th>
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</table>
Section I. Applicant Information

Is this a resubmission due to an invalid/error message from FTA?  
- [ ] Yes  
- [ ] No

Organization Legal Name: 

FTA Recipient ID number (if applicable): 

Applicant Eligibility:  
- [ ] State Government Entity  
- [ ] Local Government Entity  
- [ ] Public Transportation Provider  
- [ ] Non-profit Organization  
- [ ] Other

Description of current services and/or products:
The “Attachment Form” shown below is included in the SF-424 Grant Application Package in Grants.gov.

Please attach the FTA Supplemental Form to the Attachment Form shown below when submitting in Grants.gov.

CAUTION: Do not use “Supplemental Form” from prior years or create your own form. Please use the file provided on the FTA website.
Grants.gov – Applicants

https://www.grants.gov/web/grants/applicants.html
Applicant – Organization Registration

Organizations must register in Grants.gov in order to submit applications.
Workspace in Grants.gov

Get Started on Your Workspace Application

Workspace is the standard way for organizations or individuals to apply for federal grants in Grants.gov. Workspace allows a grant team to simultaneously access and edit different forms within an application. Plus, the forms can be filled out online or offline—your choice.

Grants.gov Workspace also allows applicants and organizations to tailor their application workflow. Here are three approaches that applicants can take when completing a Workspace application:

**Basic**
- Best for organizations with 1-2 registered Grants.gov users
- Application forms are downloaded and emailed to collaborators before being uploaded back to the workspace

**Intermediate**
- Best for organizations with 3-5 registered Grants.gov users
- Applicant teams can typically complete AOR who use a Workspace overseas for

**Advanced**
- Best for organizations with external Grants.gov users, such as consultants

Using Custom Roles in Workspace

Organizations are also able to create custom roles for their organization.

Grants.gov Roles & Privileges

![Grants.gov Roles & Privileges Diagram]

When an applicant organization user registers with Grants.gov, the organization E-Business Point of Contact (E-Biz POC) — or a user with the Expanded AOR role — can assign the user a core role, a custom role, or no role.

- Administrator
- E-Biz POC
- Expanded AOR
- Core Role
- AOR
- Custom Role
- No Role

E-Business Point of Contact

The E-Business Point of Contact (E-Biz POC) works primarily at the administrative level to assign roles to organization users once they register with Grants.gov.
Application and Submission

Applications must include:

1. SF-424 Application for Federal Assistance
2. Supplemental Form
3. Supporting Documentation

Applications must be submitted through Grants.gov by 11:59 PM EDT on May 28, 2019. Late applications may not be accepted.

Links to Federal Register Notices (FRN):

Innovations in Transit Public Safety FRN

Crime Prevention and Public Safety Awareness FRN
Application Pitfalls

1. Ineligibility: applicants and projects
2. Priorities/outcomes not aligned with criteria
3. Lack of evidence substantiating project outcome claims in narrative
4. Not providing statutorily-mandated match
5. Late submission
Keys to Success

1. Adhere to the information provided in the NOFO

2. Qualitative and Quantitative Information

3. Provide evidence to support your statements

4. Where have you been, where are you now, and where would you like to go?
More Information and Follow-up Questions

- The FTA Human Trafficking Awareness and Public Safety Initiative email address: FTAPublicSafety@dot.gov
- The FTA Funding Opportunities: https://www.transit.dot.gov/funding/grants/notices
- Applying for FTA Funding: https://www.transit.dot.gov/funding/grants/applying/applying-fta-funding
- Grants.gov Homepage: https://www.grants.gov/
- Grants.gov Applicant Support Email Address: support@grants.gov
- Grants.gov Applicant Support Phone Number: 1-800-518-4726