

FTA

FEDERAL TRANSIT ADMINISTRATION

2018 APTA Rail Conference

FTA Safety Update

Office of Transit Safety and Oversight
Federal Transit Administration



U.S. Department of Transportation
Federal Transit Administration

June 10, 2018

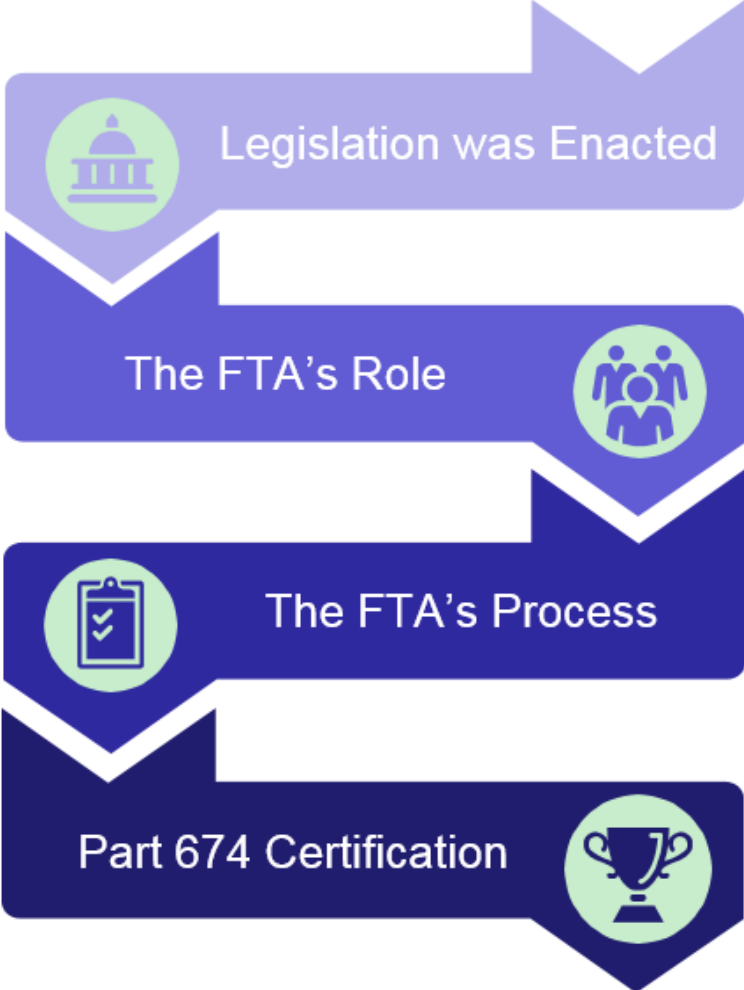
Overview

- Safety Rulemaking Update
- State Safety Oversight (SSO) Program Certification
- Accident Notification
- Safety Training
- SSO Audits
- Proposed SSO/RTA Joint Workshop
- Upcoming FTA APTA Sessions
- Resources
- Questions

Safety Rulemaking Update

Regulation	Rule Overview	Status
Interim Safety Certification Training Program Provisions	Fills gap in training needs until the PTSCTP Final Rule is published	Final Provisions Published: 2/27/2015 Effective: 5/28/2015
State Safety Oversight 49 CFR Part 674	Strengthens State oversight of rail transit systems	Final Rule Published: 3/16/16 Effective: 4/15/16
Public Transportation Safety Program 49 CFR Part 670	Establishes the procedural rules for enforcement of FTA's safety programs	Final Rule Published: 8/11/2016 Effective: 9/12/2016
National Public Transportation Safety Plan	Sets safety performance measures for PTASP performance targets	Final Guidance Published: 1/17/17
Public Transportation Agency Safety Plan 49 CFR Part 673	Requires transit agencies to develop and implement safety plans based on SMS principles	Final Rule in Development
Public Transportation Safety Certification Training Program 49 CFR Part 672	Establishes training curriculum to ensure basic level of safety-related competency for rail transit system auditing and oversight	Final Rule in Development
Preventing Transit Worker Assault NPRM	FAST Act requirement	NPRM in Development

SSO Program Background



SSO Certification Categories

Category 1: SSO Agency Independence

Category 2: General Program Requirements

Category 3: Enforcement Authorities

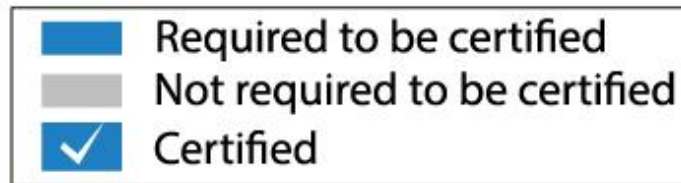
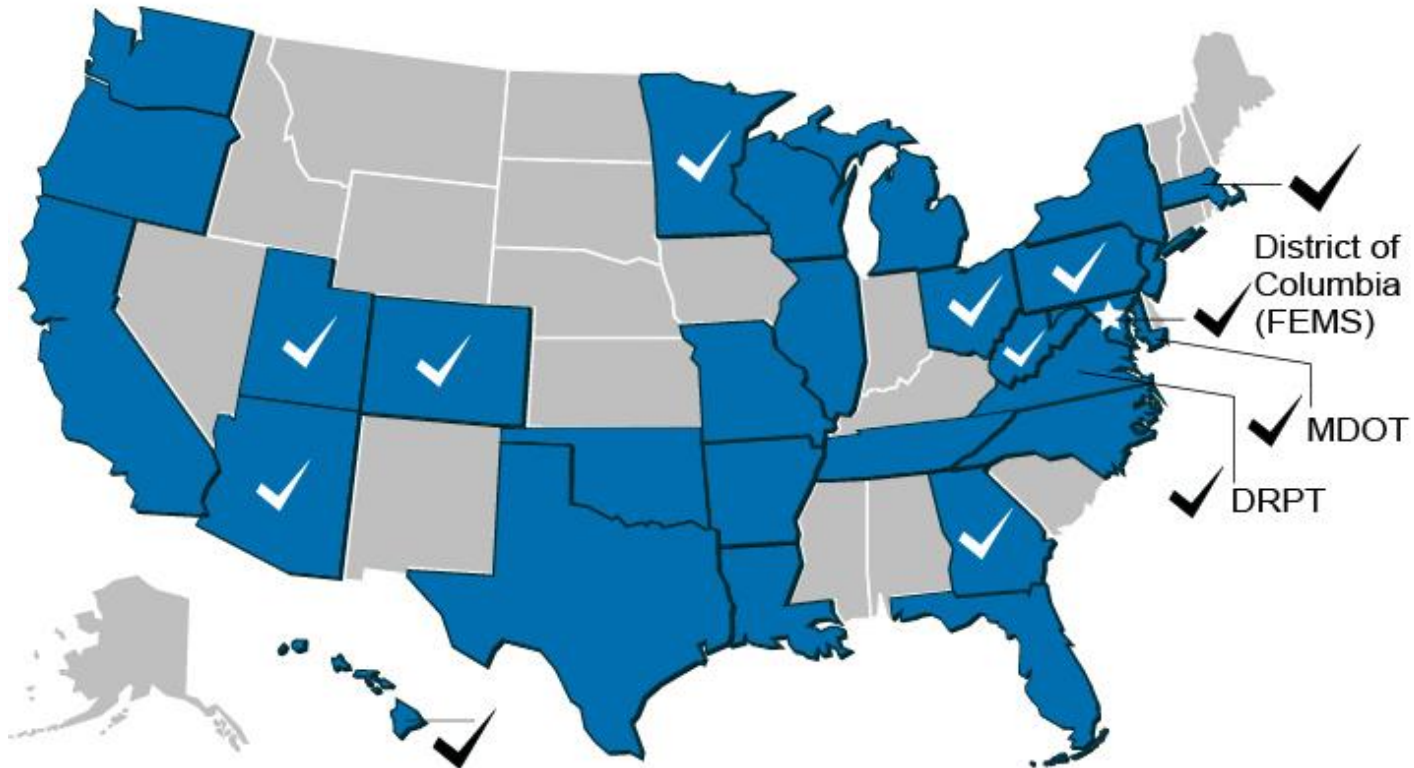
Category 4: Investigations and Audits

Category 5: SSO Staffing, Training, and Qualification

Category 6: Program Standard

SSO Certification Status

Compliance Deadline: April 15, 2019



Accident Notification Applicability

- An RTA must provide the two-hour accident notification required under Part 674 once its state's SSO Program is certified by FTA or after April 15, 2019, whichever occurs first
 - Until a state's SSO Program is certified, an RTA must continue to provide two-hour notifications to its SSO Agency as required by 49 C.F.R. Part 659

49 CFR Part 674

Accident Definition

§674.7 Definitions

Accident means an Event that involves any of the following: A loss of life; a report of a serious injury to a person; a collision involving a rail transit vehicle; a runaway train; an evacuation for life safety reasons; or any derailment of a rail transit vehicle, at any location, at any time, whatever the cause. An accident must be reported in accordance with the thresholds for notification and reporting set forth in Appendix A to this part.

49 CFR Part 674

Accident Notification

§674.33 Notifications of accidents

(a) Two-hour notification. In addition to the requirements for accident notification set forth in an SSO program standard, an RTA must notify both the SSOA and FTA within two hours of any accident occurring on a rail fixed guideway public transportation system. The criteria and thresholds for accident notification and reporting are defined in a reporting manual developed for the electronic reporting system specified by FTA as required in § 674.39(b), and in Appendix A

How to notify the FTA?

- Contact the U.S. Department of Transportation Crisis Management Center (CMC) within two hours of a reportable accident, by email (recommended method) or phone:
 - CMC-01@dot.gov / 202-366-1863
- Please submit accident information details as specified in your SSOA's program standard. The SSO required notifications may include, but are not limited to, a summary of the event and pertinent details such as:
 - Number of fatalities
 - Number of serious injuries (include type of injury if known)
 - Primary and secondary event types (e.g. collision, derailment, fire, etc.)

Public Transportation Safety Certification Training Program

Required

- Federal and state personnel who conduct safety audits and examinations
- Rail transit personnel who are directly responsible for safety oversight

Voluntary

- Safety oversight personnel at non-rail transit systems

Training Program: Voluntary participants

- Safety oversight personnel employed by:
 - Non-rail transit system
 - Passenger ferry
 - Bus
 - Bus rapid transit
 - Community transportation providers
 - State DOTs or other State entities
- Employer must receive Federal transit funds

Interim Provisions

- Provides for the immediate certification and training of designated safety oversight personnel
- Published: February 27, 2015
- Effective: May 27, 2015
- Required participants have three years to complete the curriculum.
 - (a) effective date (if already employed in role)
 - (b) employment start date (if new to role)

Interim Provisions: Covered & Designated Personnel

- A required training curriculum:
 - Courses on Safety Management Systems (SMS)
 - Courses that meet TSI's Transit Safety and Security Program (TSSP) Certificate
- A required technical training program component
 - Only for Feds, State Safety Oversight Agencies (SSOA), and their contractors

Who is “directly responsible” for safety oversight?

At a minimum:

- Chief Safety Officer
- Primary safety staff
 - Those who help develop, implement, or maintain the safety program standard

Interim Provisions: Required Curriculum

- e-Learning
 - SMS Awareness
- Virtual Classroom
 - SMS Safety Assurance
- Traditional Classroom
 - SMS Principles for Transit
 - SMS Principles for SSO Programs
 - Transit Rail Incident Investigation
 - Effectively Managing Transit Emergencies
 - Transit Rail System Safety
 - Transit System Security



FTA Safety Training Contact

- Transportation Safety Institute
 - <https://www.transportation.gov/transportation-safety-institute>
- Please contact the FTA-sponsored Transportation Safety Institute (TSI) for safety training registration and courses
 - transit@dot.gov or 405.954.682
- For other safety training questions, please contact either Dakisha.Spratling@dot.gov or Rhoderick.Ramsey@dot.gov
- For State Safety Oversight, please contact Joseph.Powell@dot.gov or Marial.Wright@dot.gov

SSO Audits

- FY18 audits postponed
- Audits scheduled for FY19

Proposed SSO/RTA Joint Workshop

- Proposed to be scheduled the Week of October 22 this year

Upcoming FTA APTA Sessions

Monday, June 11

Track 4: Enterprise Risk Management: A Safety Management System

10:30 AM – 12:00 PM

Mineral Hall F&G, Third Floor, Hyatt Regency Denver

Tuesday, June 12

Track 4: State Safety Oversight Updates from FTA

4:00 PM – 5:00 PM

Mineral Hall F&G, Third Floor, Hyatt Regency Denver

Resources to Help You Prepare Now

Read, watch, and participate

- Review FTA's *SMS Framework*
- Visit FTA's YouTube page to view previous webinars
- Participate in webinars explaining new regulations and guidance
- Read our newsletter, *TSO Spotlight*



Get trained

Register for Transit Safety Courses:

- Contact the Transportation Safety Institute (TSI) at transit@dot.gov or (405) 954-3682
- The SMS Awareness eLearning course is available on TSI's Learning Management System
 - <https://tsi-dot.csod.com>
 - ↳ “Bus/Rail Transit Safety and Security”

Sign up to receive updates

Sign up for GovDelivery:

- FTA announcements and new documents
- <https://public.govdelivery.com/accounts/USDOTFTA/subscriber/new>

Questions?

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