

FTA

FEDERAL TRANSIT ADMINISTRATION

FTA Transit Award Management System (TrAMS) User Guide

Recipient Organizations
Version 1.3

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1	OVERVIEW	8
1.1	RECIPIENTS IN TRAMS	8
1.2	GRANT LIFE CYCLE IN TRAMS	8
1.3	TRAMS SYSTEM INTERFACES	11
2	GETTING TO KNOW TRAMS	12
2.1	NAVIGATION AND VIEWS	12
2.1.1	<i>Navigation Tabs</i>	13
2.1.1.1	News	13
2.1.1.2	Tasks	14
2.1.1.3	Records	14
2.1.1.4	Reports	15
2.1.1.5	Actions	16
2.1.2	<i>Account Information</i>	16
2.1.3	<i>Left Navigation Menu</i>	17
2.1.4	<i>Main Content</i>	17
2.2	SEARCHING AND VIEWING TRAMS INFORMATION	17
2.2.1	<i>Searching from the Actions Tab</i>	17
2.2.2	<i>Searching from the Records Tab</i>	18
2.2.3	<i>Viewing and Acting on Records</i>	19
2.3	UNDERSTANDING WORKFLOWS	19
2.3.1	<i>Understanding Tasks</i>	20
2.3.1.1	Task Notifications	20
2.3.1.2	Accepting Tasks	21
2.3.1.3	Returning Tasks	22
2.3.2	<i>Emails</i>	22
2.4	WORKING WITH TRAMS FORMS	23
2.4.1	<i>Browsers</i>	23
2.4.2	<i>Buttons</i>	24
2.4.2.1	Back Button	24
2.4.2.2	Cancel Button	25
2.4.2.3	Close Button	25
2.4.2.4	Save Button	26
2.4.2.5	Additional Buttons	26
2.4.3	<i>Calendars</i>	27
2.4.4	<i>Grids</i>	28
2.4.4.1	Selecting Records	28
2.4.4.2	Expanding and Collapsing Data	28
2.4.4.3	Sorting	30
2.4.4.4	Navigating Records	30
2.4.5	<i>Drop Downs</i>	31
2.4.6	<i>Viewing and Printing</i>	32
2.4.7	<i>Working with News Items</i>	32
2.4.8	<i>Posts</i>	32
2.4.8.1	Create Post	33
2.4.9	<i>Messages</i>	35
2.4.9.1	Create Message	35
2.4.10	<i>Kudos</i>	37
2.4.10.1	Give Kudos	37



2.4.10.2	Post Comment on Post, Message, or Kudos	39
2.4.10.3	Delete Post, Message, or Kudos.....	40
2.4.10.4	Follow/Unfollow User	41
2.4.11	Tasks	44
2.4.11.1	Assign Task.....	44
2.4.11.2	View and Close Assigned Tasks	46
2.4.12	Search News	47
2.4.12.1	View Options.....	47
2.4.12.2	Search Options.....	49
2.4.13	Subscribe/Unsubscribe News Feeds.....	50
3	USER ACCESS AND USER MANAGEMENT	52
3.1	ACCESSING TRAMS.....	52
3.1.1	Logging into TrAMS	52
3.1.2	User Name and Password.....	53
3.1.3	Setting your Password	53
3.2	USER RECORDS.....	53
3.2.1	Introduction	53
3.2.2	TrAMS User Roles.....	53
3.2.3	Searching for User Records	55
3.2.3.1	Profile access from Account Information.....	55
3.2.3.2	Searching from the Records Tab	55
3.3	WORKING WITH YOUR OWN RECORD	56
3.3.1	Users Summary Page	56
3.3.1.1	Customize Summary Page.....	56
3.3.1.2	Adding/Changing Profile Picture.....	56
3.3.1.3	Adding/Changing background	56
3.3.1.4	Adding/Changing text	Error! Bookmark not defined.
3.3.1.5	Follow Users.....	56
3.3.1.6	Provide Kudos	57
3.3.2	User Details.....	57
3.3.3	Related Actions	57
3.3.3.1	Related Actions: Edit User Profile	57
3.3.3.2	Related Actions: TrAMS Manage User PIN.....	58
3.3.3.3	Create/Update PIN.....	58
3.3.3.4	Reset PIN.....	Error! Bookmark not defined.
3.4	USER MANAGER RESPONSIBILITIES	58
3.4.1	Action: Add New System User.....	59
3.4.1.1	Assigning User Roles	59
3.4.2	Action: Bulk Add New Users.....	60
3.4.3	Action: Deactivate System Users	Error! Bookmark not defined.
3.4.4	Action: Recertify Users.....	Error! Bookmark not defined.
3.4.5	Updating Existing User Records	60
3.4.5.1	Related Action: Edit User Profile	60
3.4.5.2	Related Action: Deactivate User	61
3.4.5.3	Related Action: Reactivate User.....	61
3.4.5.4	Related Action: Assign User Manager Privileges.....	Error! Bookmark not defined.
3.4.5.5	Related Action: Update User Roles	61
4	RECIPIENT ORGANIZATION MANAGEMENT	62
4.1	SEARCH RECIPIENT ORGANIZATION RECORDS	62



4.1.1	<i>Searching Recipient Organization from Records Tab</i>	62
4.1.2	<i>Searching Recipient Organizations from Records Tab</i>	63
4.2	VIEWING RECIPIENT ORGANIZATION RECORDS AND RELATED ACTIONS.....	65
4.2.1	<i>Summary</i>	65
4.2.2	<i>News</i>	66
4.2.3	<i>Applications/Awards</i>	67
4.2.4	<i>TrAMS Users</i>	68
4.2.5	<i>Locations</i>	69
4.2.6	<i>Designated Recipient</i>	70
4.2.7	<i>Suballocations</i>	72
4.3	MANAGING RECIPIENT ORGANIZATION DETAILS.....	73
4.3.1	<i>Recipient Organization Record Related Actions</i>	73
4.3.2	<i>Related Action: Recipient Documents</i>	74
4.3.3	<i>Related Action: Civil Rights Information</i>	76
4.3.4	<i>Related Action: Certifications & Assurances</i>	79
4.3.5	<i>Related Action: Fleet Status</i>	83
4.3.6	<i>Related Action: Congressional Districts</i>	87
4.3.7	<i>Related Action: Direct Recipients</i>	88
4.3.8	<i>Related Action: POC and Union Information</i>	90
4.3.9	<i>Related Action: Sync Recipient Organization with SAM</i>	95
4.3.10	<i>Related Action: Formula Suballocations</i>	96
4.3.11	<i>Task: Allocate Apportionments</i>	99
5	CIVIL RIGHTS MANAGEMENT	103
5.1	CIVIL RIGHTS COMPLIANCE REQUIREMENTS	103
5.2	OVERVIEW OF PROGRAMS	103
5.2.1	<i>EEO Program</i>	104
5.2.2	<i>DBE Program</i>	108
5.2.3	<i>DBE Goal</i>	112
5.2.4	<i>Title VI</i>	117
5.3	SUBMITTING TO THE FTA	121
5.3.1	<i>Overview of Statuses</i>	121
5.3.1.1	In Review - Under FTA Review	121
5.3.1.2	In Review - Returned to Grantee	121
5.3.1.3	Concur	121
5.3.1.4	Expired	121
5.3.1.5	N/A.....	121
6	APPLICATION / AWARD OVERVIEW AND APPLICATION DEVELOPMENT	122
6.1	APPLICATION / AWARD STATUS	122
6.2	SEARCHING FOR APPLICATIONS/AWARDS	124
6.2.1	<i>Action: Search Application/Awards</i>	124
6.2.2	<i>Records: Searching for Applications/Awards</i>	126
6.2.3	<i>Records: Searching for Projects</i>	127
6.3	WORKING WITH APPLICATIONS/AWARDS	129
6.3.1	<i>Summary</i>	129
6.3.2	<i>News</i>	130
6.3.3	<i>Related Actions</i>	130
6.3.3.1	Related Action: Application Documents	131



6.3.3.2	Related Action: Application Details.....	134
6.3.3.3	Related Action: View-Print Application.....	136
6.3.3.4	Related Action: View-Print Budget Change History	136
6.3.3.5	Related Action: Application Review Comments.....	137
6.3.4	<i>Points of Contact</i>	140
6.3.5	<i>Application Control Totals</i>	141
6.3.6	<i>Application Projects</i>	142
6.4	APPLICATION DEVELOPMENT.....	145
6.5	ACTION: CREATE APPLICATION	146
6.6	RELATED ACTION - ADD PROJECT TO APPLICATION	150
6.7	PROJECTS	155
6.7.1	<i>Project Details and Narratives</i>	156
6.7.2	<i>Project Location</i>	157
6.7.3	<i>Project Plan Information</i>	158
6.7.4	<i>Budget Activity Line Items and Milestones</i>	159
6.7.5	<i>Environmental Determination</i>	172
6.7.6	<i>Related Action: Validate Project</i>	177
6.7.7	<i>View-Print Application</i>	183
6.7.8	<i>Project Documents</i>	183
6.7.9	<i>Project Control Totals</i>	185
6.8	RELATED ACTION: VALIDATE AND TRANSMIT APPLICATION.....	186
6.8.1	<i>Validate and Retransmit Application to FTA</i>	189
6.8.2	<i>Related Action: Delete Project</i>	190
6.8.3	<i>Submit Application to FTA</i>	192
6.8.4	<i>Execute the Award</i>	196
7	MANAGING EXISTING AWARDS - POST-AWARD MANAGEMENT.....	200
7.1	EXISTING AWARDS	200
7.1.1	<i>Related Action: Execution & Award Summary</i>	201
7.1.2	<i>Related Action: Award Fund Status</i>	202
7.1.2.1	Project Related Action: Project Funds Status.....	205
7.1.3	<i>Related Action: Application Fleet Status</i>	208
7.2	BUDGET REVISIONS	209
7.2.1	<i>Related Action: Create Budget Revision</i>	209
7.2.2	<i>Related Action: Budget Revision Activity Line Items</i>	211
7.2.3	<i>Budget Revision Activity Line Items (TrAMS Application)</i>	211
7.2.4	<i>Budget Revision Activity Line Items (TEAM Application)</i>	218
7.2.5	<i>Related Action: Current Budget Change Log</i>	223
7.2.6	<i>Related Action: View-Print Budget Change History</i>	224
7.2.7	<i>Related Action: Modify Budget Revision Details</i>	224
7.2.8	<i>Related Action: Validate and Submit Budget Revision</i>	225
7.2.9	<i>FTA Review of Submitted Budget Revisions</i>	228
7.3	AMENDMENTS.....	229
7.3.1	<i>Related Action: Create Amendment</i>	230
7.3.2	<i>Projects</i>	230
7.3.3	<i>Related Action: Amendment Budget Activity Line Items and Milestones</i>	231
7.3.4	<i>Transmit and Submit Amendment</i>	237
7.3.5	<i>Amendment Execution</i>	237



7.4	ADMINISTRATIVE AMENDMENTS	238
7.5	CLOSEOUT AMENDMENT	239
7.5.1	<i>Related Action: Create Closeout Amendment</i>	240
7.5.2	<i>Complete and Submit Final MPR</i>	243
7.5.3	<i>Complete and Submit Final FFR</i>	252
7.5.4	<i>Related Action: Closeout Details</i>	260
7.5.5	<i>Related Action: Closeout Budget Reconciliation</i>	261
7.5.6	<i>Related Action: Validate and Submit Closeout</i>	267
7.5.7	<i>Review Submitted Closeout Request</i>	270
8	POST-AWARD REPORTING	271
8.1	SEARCH FOR MPR OR FFR REPORTS.....	271
8.2	MILESTONE PROGRESS REPORT (MPR).....	275
8.2.1	<i>Task: Complete and Submit MPR</i>	275
8.3	FEDERAL FINANCIAL REPORT (FFR)	284
8.3.1	<i>Task: Complete and Submit FFR</i>	284
8.4	DISADVANTAGED BUSINESS ENTERPRISE (DBE) REPORT	292
8.4.1	<i>Search for DBE Reports</i>	292
8.4.2	<i>Task: Submit Disadvantaged Business (DBE) Report</i>	293
9	REPORTS	298
9.1	REPORT TAB.....	298
9.2	EXCEL REPORTS	298
9.2.1	<i>Application Budget by ALI Report</i>	300
9.2.2	<i>Application Budget Report</i>	301
9.2.3	<i>Application by Status Report</i>	302
9.2.4	<i>Deobligation by Funding Source Report</i>	304
9.2.5	<i>Discretionary Allocation Detail Report</i>	305
9.2.6	<i>FFR Detail Report</i>	306
9.2.7	<i>General Discretionary and Earmark Allocation Report</i>	308
9.2.8	<i>MPR Detail Report</i>	309
9.2.9	<i>Project Budget Report</i>	310
9.2.10	<i>Project Scope Budget Report</i>	311
9.2.11	<i>Recipient POC Detail Report</i>	313
9.3	STATIC REPORTS	314
	APPENDIX A – ABBREVIATIONS, ACRONYMS, AND TERMS	316
	APPENDIX B - TEAM VS. TRAMS	317
	APPENDIX C – TRAMS VALIDATION MESSAGES	320



Overview

The Federal Transit Administration (FTA) as part of the U.S. Department of Transportation (DOT) provides financial assistance to develop new transit systems and improve, maintain, and operate existing systems. Financial assistance to states and local transit providers (from here on referred to as recipients) is provided through federal grants and cooperative agreements. Recipients of these federal funds are responsible for managing their programs in accordance with federal requirements, and the FTA is responsible for ensuring that the recipients of these funds follow federal mandates along with statutory and administrative requirements. The Transit Award Management System (TrAMS) is a web-based tool that was developed to allow recipients to apply for federal funds, manage their programs in accordance with federal requirements, and provide the FTA with a method to review, approve, control, and oversee the distribution of funds.

The TrAMS application is based on the Appian Business Process Management (BPM) platform. The platform provides workflow control through role-based access and by assigning 'Tasks' to the appropriate 'User Roles' when a particular step in a grants life cycle should be performed. Recipients initiate the grants process within the TrAMS application and are notified by email of any assigned tasks. Access to specific TrAMS grant functions are restricted to only be available during certain times within the grants life cycle and to users who are allowed to perform those tasks.

1.1 Recipients in TrAMS

The TrAMS system maintains information on each recipient organization, the organizations compliance with eligibility requirements for awards, and the users within their organization.

Recipient Organization profile information is automatically imported from the System for Award Management (SAM). Any changes to organizations information such as name, address, phone, emails, contact information, etc. must be made in SAM. Additional organizational information including, Congressional Districts, Fleet, Point of Contact and Union, Direct Recipient and Sub-allocation information is updated using TrAMS functionality. When applying for a grant, all required organizational information is taken from the Recipients organizations record.

To apply for FTA grants, recipients must ensure that they are in compliance with required civil rights programs. Using TrAMS, recipients must annually submit their Certification and Assurance that they adhere to the program standards. Through TrAMS they document, manage, and verify compliance to Equal Employment Opportunity (EEO), Title VI, and Disadvantage Business Enterprise (DBE) programs.

Each user within a recipient organization is provided with a unique 'User Name' for accessing TrAMS. Their user name is linked to one of more 'User Roles' that controls the information that they are allowed to view and update in TrAMS. Each organization has one or more users that have been granted the role of 'User Manager'. The 'User Manager' is able to manage the access to TrAMS for their organization, including adding new users, inactivating users, and assigning user roles. Each user role is linked to a specific subset of allowed activities and linked to assigned tasks as a grant moves through its life cycle.

1.2 Grant Life Cycle in TrAMS

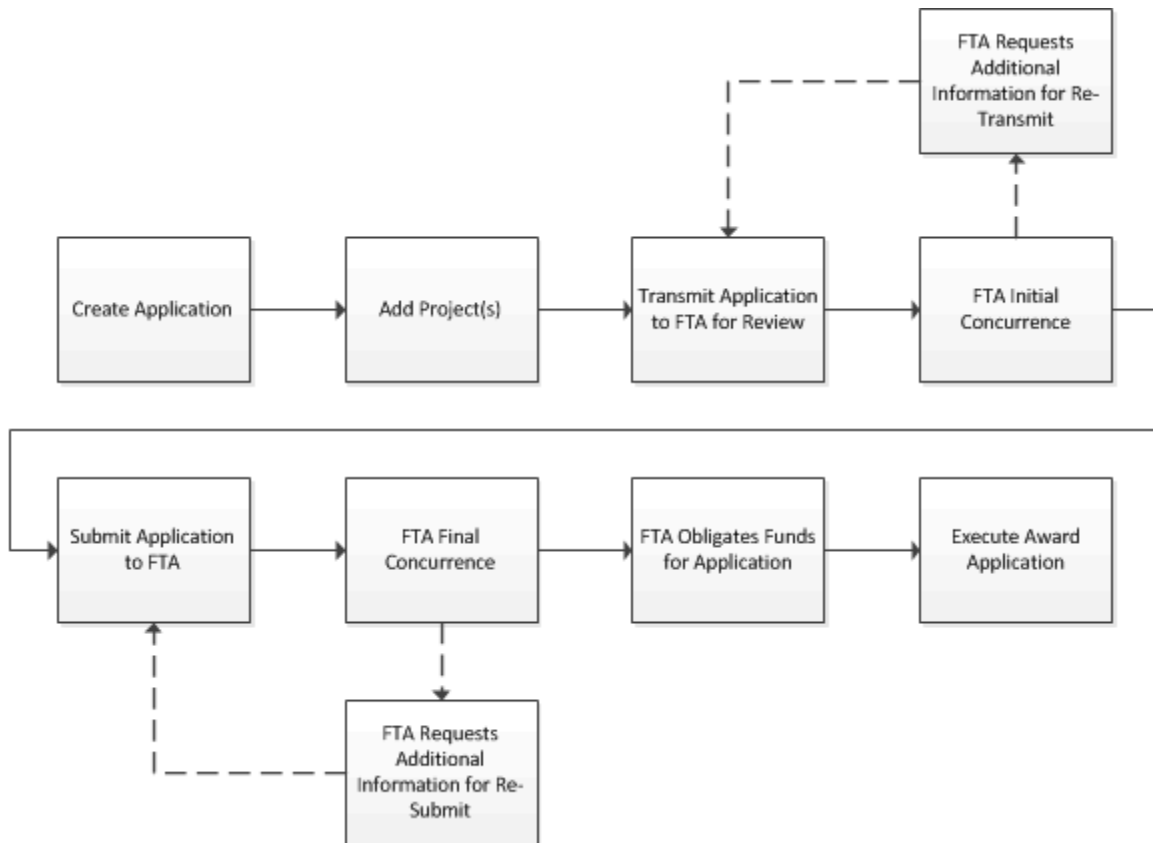
Using TrAMS a designated user representing the recipient organization will draft an application to begin the TrAMS Grant Life Cycle. Recipients will need to provide a high-level overview of the general purpose of the grant and answer some general questions regarding the purpose of the request. The



recipient will then be required to add more specific information regarding the specifics of a grant by adding one or more projects. Projects within TrAMS allow for adding the details associated with an application including such items as location of project, type of work to be performed, environmental findings, funding source, funds requested, and expected project milestones. Additionally, TrAMS allows recipients to upload supporting documentation both on a project level and at the application level. Once a project is complete the system will perform a validation on the project to verify its completeness. After all projects for an application have been completed and validated, the system will perform a final validation on the application prior to the recipient being able to submit it for an initial FTA review.

Each recipient organization belongs to one of 10 regional FTA offices. Applications submitted to the FTA in TrAMS are transmitted to the recipients' local regional office. A pre-award manager from the regional office will be assigned to review the application for completeness and accuracy. The pre-award manager will use TrAMS to assign any additional required reviews (environmental, civil rights, and technical). These additional reviewers will receive a TrAMS task to log their concurrences or objections and provide any additional feedback. After all requested reviews have been completed; TrAMS will notify the pre-award manager and assign him a new task to complete. The pre-award manager may at this point assign a task to the recipient to make changes or comments to their application or have TrAMS assign the application its Federal Awards Identification Number (FAIN). Once the FAIN has been assigned the recipient will receive an email indicating that they have received initial approval of their application and that they have been assigned a task to submit the final application.

After submission has occurred, the pre-award manager will receive a task to determine and assign any additional reviews that need to take place and request that funds are reserved. Again, tasks will be assigned to additional regional reviewers to log their concurrences or objections along with a task to the regional reservationist to reserve the funds. Once more TrAMS will allow the pre-award manager to route the application back to the recipient for additional changes if necessary. Following the completion of the approval process the regional Administrator will receive a task to approve and obligate the funds for the award. A user designated as the recipient 'Official' will receive a task to accept the reward. At this point, the reward will be executed and will move into a post award phase of grant.



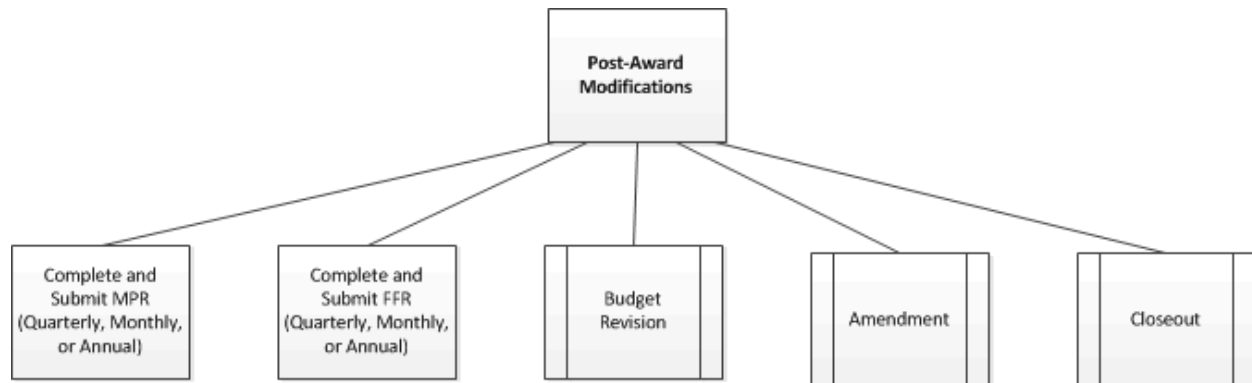
During the post-award phase, the recipient organization will be required to fulfill the terms of the award. Each award requires the recipient to provide a Federal Financial Report (FFR) and a Milestone Progress Reports (MPR). Frequency of these reports depends on the size of the recipient organization, the type of funding, and the amount of the funding. The TrAMS application will maintains the required frequency of these reports for each grant and will assign a task to the recipient prior to their due dates. Both the FFR and the MPR report will be created within the TrAMS application. Upon their completion, they will be forwarded to FTA for review and will be maintained within the system throughout the life of the grant.

Required modifications to any grant are also maintained, reviewed, and approved using TrAMS. Three different types of changes to grants are available using TrAMS, Budget Revisions, Grant Amendments, and Administrative Amendments. Budget Revisions may be initiated by the recipient for changes that do not involve changes to scopes and funding of the grant. For changes to the scope or funds, the recipient may initiate a Grant Amendment. When an amendment has been initiated, TrAMS will send the request through a full cycle of FTA reviews and approvals similar to the initial grant approval process. An Administrative Amendment is initiated by the FTA and will be used to modify grant name changes, clarify or modify terms and conditions of the grant or make funding adjustments to the grant.

Once all activities of a grant have been completed or all Federal funds expended the recipient must request a 'Closeout' of the grant using the TrAMS application. The recipient will be required to submit within TrAMS a final version of their FFR and MPR reports. Any unexpended balance of Federal funds



will automatically deobligated by TrAMS upon closing of the grant. The grant closeout process may also be initiated by FTA in the event that the FTA determines that there is a failure to comply with the terms and conditions, failure of the recipient to make progress, or if they determine the funds are no longer needed for various reasons.



Following the completion of the closeout process of a grant, all financial records, supporting documents, and any submitted reports associated with the grant shall remain available in TrAMS for review by both the FTA and the recipient organization.

1.3 TrAMS System Interfaces

The TrAMS system interacts directly or indirectly with other FTA and government systems in the awarding and executing of grants.

Recipient information in TrAMS is obtained from the System of Award Management (SAM). TrAMS performs a nightly update and syncs any organizational information such as name, address, phone numbers, emails, DUNS, TIN, Cage Numbers and organization contact information with the information in SAM. Changes to organizational information must therefore be made in SAM. Designated Users within the recipient organization may sync with SAM on an ad hoc basis.

Applications to be funded from non-formula grant programs and discretionary funds are required to go through the Congressional Release Process. An XML file is transmitted to the Grant Notification System 'GNS' when these applications are ready to be reviewed and awarded by the FTA Administrator.

Awarded Grant information is transmitted nightly to the Financial Management System (FMS). The FMS system is the tracking system which ensures that funds are properly utilized.

Requests to draw down grant funds are made through the use of the Electronic Clearing House Operation (ECHO) Web 2.0 application. ECHO Web 2.0 interacts with FMS to ensure that only approved funds are distributed.

As mandated through the Federal Funding Accountability and Transparency Act, awarded grant information shall be available for public access on the usa.spending.gov website. TrAMS transmits a file on a weekly basis to provide awarded grant information to the site.



2— Getting to know TrAMS

The following section provides a high level view of the TrAMS system and how to navigate, find, and work with data in TrAMS.

2.1— Navigation and Views

After logging in users see the TrAMS homepage. The homepage and all other TrAMS pages contain four distinct areas as shown in the figure below:

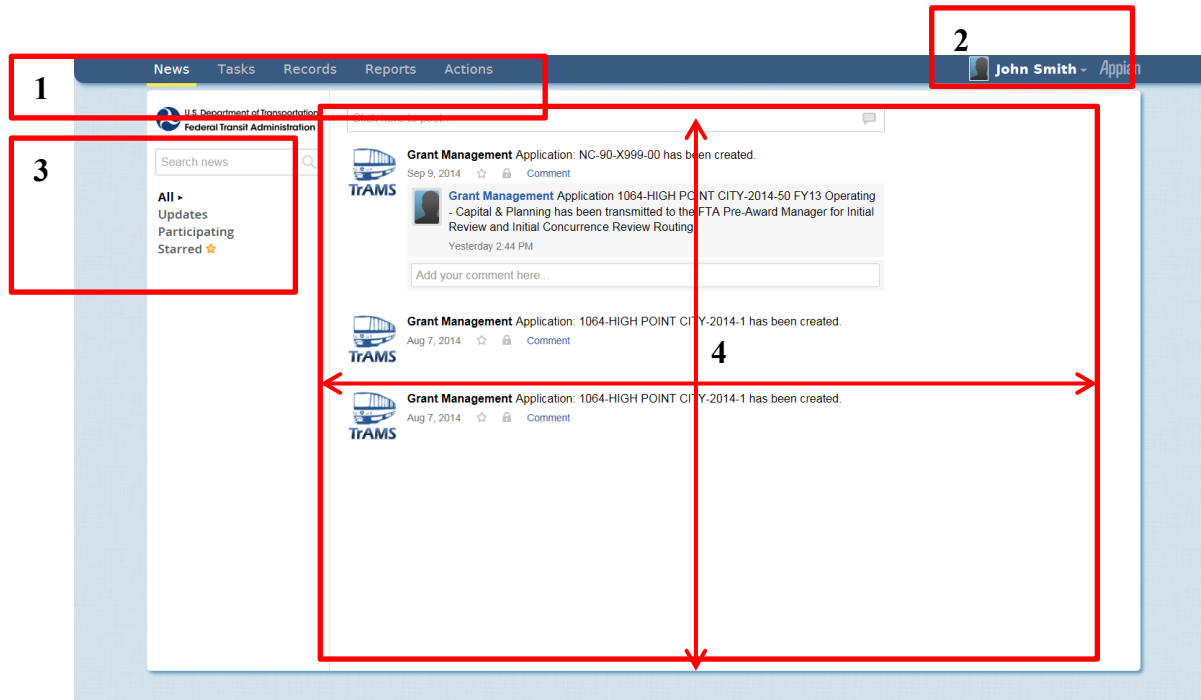


Figure 2-1 Navigation

1) **Navigation Tabs:** located at the top left corner of the TrAMS screen with the following five tabs:

- a) News
- b) Tasks
- c) Records
- d) Reports
- e) Actions

The tabs are used to navigate through the system. All TrAMS users regardless of the User Roles assigned will see these five tabs.

2) **Account Information:** located at the top right corner of the TrAMS screen provides quick access to the logged in users profile, their user settings, and provides sign out from TrAMS.

3) **Left Navigation Menu:** located in the leftmost middle portion of the TrAMS screen. The contents of the navigation menu will vary depending on the Main Content area. It will either



provide additional ways to search and filter the contents of the main area or it will provide a menu of options to see more detailed information about the currently selected data.

4) **Main Content:** This area will be where additional menus, forms, and reports display.

2.1.1 Navigation Tabs

The navigation tabs comprise your primary method of navigating in TrAMS. Each of the five tabs represents a different data type to work with in TrAMS.

2.1.1.1 News

The 'News' tab is where users go to receive application and system news, and to collaborate with other TrAMS users through messaging. News feeds and messages may be targeted to specific users, groups, or to all users. This tab is the default tab that is selected when initially logging into the TrAMS application.

As an example, TrAMS automatically posts news feeds to the recipient's organization when:

- Applications are created
- When Applications are returned to the recipient for comments and changes
- When Applications are transmitted to FTA for initial review/concurrences
- When Applications are submitted to FTA for final review/concurrences

These messages will be grouped by application and are viewable by members of the recipient organization and FTA users.

Users may also use this tab add their own comments to the news feeds, communicate with other TrAMS user or groups using the post or message options, assign a social task, or to provide kudos.

Feeds will appear with the most recent posting found at the top of the list. The left hand navigation may be used to search for specific events or to filter down to a selected view. Users may manage their feeds further by following specific users or groups.





2.1.1.2 Tasks

The 'Tasks' tab shows specific work items that have been assigned to the user or a group of users to complete. It lists by default all process tasks assigned to the user or the group they belong to and any social tasks assigned to them or by them. By clicking the task it opens the form that needs to be filled out. The number of open tasks assigned to the user (both process and social tasks) displays next the 'Tasks' tab title.

TrAMS will assign a task to a specific user or group when a certain step in a grants life cycle needs to be performed. As an example, users that have been assigned the 'Official' user role will receive a task when an award is ready for execution. By accepting the task the 'Official' will be able to review the awards information and sign their acceptance.

Social tasks are derived from other users and not directly from TrAMS, they do not have forms and can be commented on or closed directly from the task list.

2.1.1.3 Records

The 'Records' tab provides a way to access and work on records that already exists in the system. Records are comprised of all the information that makes up a specific type of data, such as all the information about the recipients organization. Clicking on the 'Records' tab gives the user a list of the types of records that they have viewer rights to. All users should see following record types,

- Application/Awards — provides access to application and awards associated with the recipients' organization
- Projects — provides direct access to the individual projects that make up applications and awards
- Recipient Organization — provides access to recipients' organization records
- Static Reports — provides access to nightly generated reports and archived reports from TEAM
- Users — provides access to the users within the recipients' organization.



Selecting a type displays a listing of the first one hundred records associated with that type. Clicking on a record displays the detailed information associated to the selected record. Additional high level information about the record may be selected by choosing from the menu items found in the left hand navigation section of the screen. Choosing the 'Related Action' item provides a menu of more detailed data views and actions that may be performed on the record such as editing the record or transmitting an application to FTA.

The screenshot shows the 'Records' tab selected in the top navigation bar. The left sidebar contains the FTA logo and the text 'U.S. Department of Transportation Federal Transit Administration' along with an 'All >' link. The main content area is titled 'Records' and lists five categories, each with a folder icon:

- Applications / Awards**: List of All Applications / Awards for Your Organization
- Projects**: List of All Projects for Your Organization
- Recipient Organization**: View Profile Information for Your Organization
- Static Reports**: List of TrAMS Excel Reports and Archived Reports from TEAM
- Users**: Directory of users

2.1.1.4 Reports

The 'Reports' tab is currently not in use in TrAMS.

- Previously generated reports (Static Reports) are accessible from the Records tab.
- Ad hoc Excel reports can be generated from the Actions tab.
- Previously created MPR and FFR reports may be searched for and viewed from the Actions tab.
- Tasks are assigned to create the MPR and FFR reports.

The screenshot shows the 'Reports' tab selected in the top navigation bar. The left sidebar contains the FTA logo and the text 'U.S. Department of Transportation Federal Transit Administration' along with a search box labeled 'Search reports' and an 'All >' link. The main content area displays a light blue message box that says 'No reports available'.



2.1.1.5— Actions

The activities available under this tab are always available to the user to execute at any time. The 'Actions' tab provide a way to create new records, create and view excel reports, and perform searches for specific records. Visibility of the allowable actions for each user is controlled by the user roles that have been assigned to them.

News Tasks Records Reports **Actions**

U.S. Department of Transportation
Federal Transit Administration

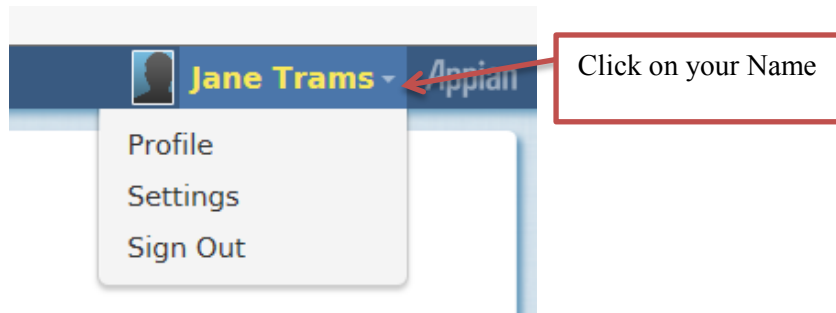
All ▾
Starred ☆
Applications
TrAMS 1.0 (5)
TrAMS Excel Reports (11)

- Application Budget by ALI Report** ☆
Generate Application Budget by ALI Report
- Application Budget Report** ☆
Generate Application Budget Report
- Application by Status Report** ☆
Generate Application by Status Report
- Create Application** ☆
Create New Application Record
- Deobligation by Funding Source Report** ☆
Generate Deobligation by Funding Source Report

2.1.2— Account Information

The account information area provides access to information that is specific to the user. It lists the logged in users first and last name. By clicking on the user name the user will be presented with the following three options:

- 1) **Profile**— provides a means for the user to update and view their profile information, including editing of user information and setting the users Personal Identification Number (PIN). Refer to the 'User Access and User Management' section for full details.
- 2) **Settings**— opens the users Settings Page. From here the user can subscribe to Group Feeds and recipients may change of passwords (not for FTA use).
- 3) **Sign Out**— signs the user out of TrAMS.



2.1.3—Left Navigation Menu

The left navigation menu allows you to filter for specific data within the tab that is being viewed or contains a menu of additional information that is viewable for the data selected. Filters and menu items will vary depending on the tab and data type selected.

In some instances a search bar exists that allows the user to search for specific records. As an example after navigating to the Application/Awards from the record tab, the user may search for an award number or partial award number using the search box.

2.1.4—Main Content

The Main Content is where news items, tasks, forms, data, record specific menus, and search results are displayed in TrAMS.

- 1) The information displayed is specific to the tab you are working in (for example, only tasks will display when working in the 'Tasks' tab).
- 2) When printing within a web browser, only content within the Main Content area will be included.

2.2—Searching and Viewing TrAMS Information

Users may find specific applications, awards, users, reports, and organizations by using either the 'Records' tab or from the 'Actions' tab.

2.2.1—Searching from the Actions Tab

Users may search for a variety of information from the 'Actions' tab through the use of pre-defined data type searches. After selecting the type of data to search for the user will be presented with a search screen that will allow them to define filters for their search. The data that each user will be allowed to search on will depend on their user role.

To search for records using the 'Actions' tab the user selects the type of data he is searching for from the menu of available search options shown in the Main Content area of the Page. After selecting the search type the user will be presented with a search form to filter the data down to either the specific data they would like to see or to a smaller subset to choose from.

To search for records in the system using this tab:

- 1) Click on the 'Actions' tab on the navigation bar.



2) Select from one of the Search options. Search options will vary depending on the user roles assigned to you.

The screenshot shows the TrAMS user interface. At the top, there is a navigation bar with tabs for 'News', 'Tasks', 'Records', 'Reports', and 'Actions'. The 'Actions' tab is currently selected. Below the navigation bar, there is a sidebar on the left with the U.S. Department of Transportation Federal Transit Administration logo and a list of items: 'All', 'Starred', 'Applications', and 'TrAMS 1.0'. The main content area displays a list of search actions, each with a lightning bolt icon and a star icon:

- Create Application**: Create New Application Record
- Search Applications / Awards**: Search and View Existing Application / Awards
- Search DBE Report**: Search Existing DBE Reports
- Search FFR and MPR for Review**: Search Federal Financial and Milestone Progress Reports for Review
- Search Recipient Organizations**: Search and View Existing Organization Profiles

2.2.2 Searching from the Records Tab

Users may also search for data using the Records Tab, the record types that the user will see and have access to will depend on their user role.

To search for records using the 'Records' tab, the user selects the type of data he is searching for from the menu of available options shown in the 'Main Content' area of the page. After selecting the data type, the user will be shown a list of the first 100 available records. A search box and additional filters will be available in the left hand navigation section of the page to help find a specific record.

To search for records in the system using this tab:

- 1) Click on the 'Records' tab on the navigation bar.
- 2) Select from one of the record types available.



News Tasks **Records** Reports Actions

U.S. Department of Transportation
Federal Transit Administration

All ▾

Records

- Applications / Awards**
List of All Applications / Awards for Your Organization
- Projects**
List of All Projects for Your Organization
- Recipient Organization**
View Profile Information for Your Organization
- Static Reports**
List of TrAMS Excel Reports and Archived Reports from TEAM
- Users**
Directory of users

2.2.3 Viewing and Acting on Records

After having located the record you wish to view, you will be shown a high level summary of the data in the main content area of the screen. The left hand navigation will allow you to select different high level views of the data item selected, this often will include a 'Related Actions' option. By choosing the 'Related Actions' option the user will be provided additional options to view more detailed record information. The 'Related Action' menu is also where the user will find options that will allow for the updating of the record. Updating of record information will depend on the users role as well as the current state of the data (ex. recipients may not edit applications that currently being reviewed).

Summary	
News	
Related Actions ▾	 Recipient Documents Manage Recipient Documents
Applications/Awards	 Civil Rights Information Manage Civil Rights Information
TrAMS Users	 Certifications & Assurances Manage Certifications and Assurances
Locations	 Fleet Status Manage Fleet Status Information
Designated Recipient	
Suballocations	

2.3 Understanding Workflows

The TrAMS system automates the workflow (steps in a pre-defined process) associated with creating and administrating the FTA awards. Each step in creating, awarding, and administrating awards has been



defined and associated with a user role to perform it. Workflows may be initiated by the system such as the yearly recertification of users or by a user such as when an application is transmitted for FTA review. Workflows are initiated from the 'Actions' tab or from the 'Related Actions' menu associated with a record. After the user initiates the process other users that are part of the workflow will be notified of steps they need to complete. Steps in a workflow must be completed in the order defined.

2.3.1 Understanding Tasks

Tasks are part of workflows and are assigned when a user needs to perform a specific action as a part of the workflow. Users will be notified by email when tasks are assigned to them.

Tasks may be assigned to an individual user or to a group of users. When tasks are assigned to a group of users any user within the group may pick up the task to perform the necessary action. Once the task has either been picked up and/or performed by one of the user, the task becomes unavailable to the other users in the group. If a user selects a task and then decides that they cannot complete the task they may return the task to the group.

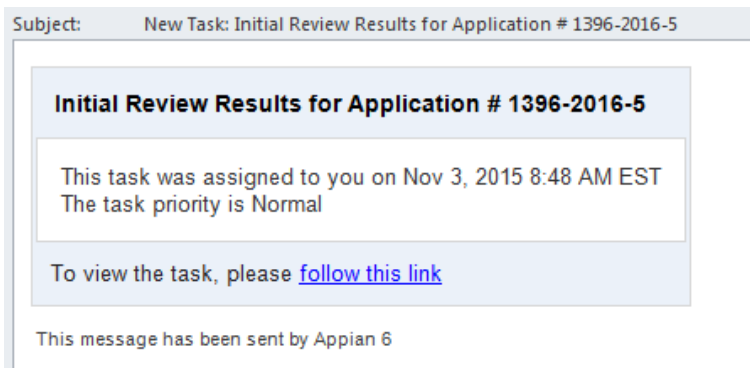
When tasks are assigned as part of workflows then the workflow stops and waits until the task is completed prior to assigning or completing the next step in the process. In some instances multiple users may be assigned a task concurrently, in these instances all users may perform their task simultaneously and the process will wait to proceed until all users have completed their required step.

2.3.1.1 Task Notifications

When a 'Task' is created, all users that have been assigned the task will receive a task notification email from the system. This is a system generated message and will not accept any responses.

Task notifications will have the following characteristics

- From: Appian for Federal Transit Administration (PROD)
- Subject: New Task [Type of Task that has been assigned]



Note: If you believe you should have received a task notification and cannot find it in your email make sure to check your Junk folder.



2.3.1.2 — Accepting Tasks

When a user receives a Task notification email in his inbox the user may click on the link provided. This will bring the user to the TrAMS log on screen. After logging into the system you will need to check your task queue.

- 1) Click on the ‘Tasks’ tab.
- 2) You may use the filters provided in the left hand navigation to filter and find the task that you would want to work on.
- 3) Click on the link provided for the task you wish to accept.

- 4) A form will open for the task selected and you will need to click the ‘Accept’ button prior to being able to work with the form.

- 5) The Task will be closed once the user completes the required work.



Note: If the form you are trying to work with appears to be in read-only mode, scroll to the top of the form to verify that you have accepted the task.

2.3.1.3 — Returning Tasks

If a user decides that they have selected the wrong task or that they would prefer to work on the task at another time the user can simply return the task. Returned tasks will be available to all users that initially had the task assigned.

To return a task that you selected, but have not yet accepted:

1) Click the 'Go Back' button.

A screenshot of a task action bar. It contains a message: "You must accept this task before completing it". To the right of the message are two buttons: a green "Accept" button and a grey "Go Back" button.

2) Once a task has been assigned the user may return the task by using the 'Return to Group' button or similarly phrased button.

A screenshot of a form titled "Select Pre/Post Award Manager". It has two dropdown menus: "Pre-Award Manager" and "Post-Award Manager", both with the placeholder text "Select the Pre-Award Manager" and "Select the Post-Award Manager" respectively. Below the dropdowns are two buttons: a green "Continue" button and a grey "Return to Group" button. The "Return to Group" button is circled in red.

3) Or they may click the link 'Return task to all assignees' on the top of the page.

A screenshot of a confirmation message box. It contains the text: "You have accepted this task. Return task to all assignees."

Note: If you have returned the task but do not immediately see the task back in your task queue, refresh the task queue by clicking on the 'Task' tab again.

2.3.2 — Emails

In addition to tasks users may receive emails from the system indicating that they need to perform some action as part of a TrAMS workflow. After receiving an email and logging on, the user will not find any corresponding tasks to perform instead they will need to navigate to the appropriate record and required action on their own.

As an example a user may receive an email indicating that an Application has been returned by the pre-award manager and that they should review the comment.



Hello,

Application 1707-2016-1 has been returned by region10.preawardmanager1 (Pre-Award Manager) for review of the FTA comment. Please login to TrAMS to review the FTA comment for this application.

Thank you

*** Please note that this is a system generated email notice. Please do not reply to this email. ***

It is expected that the user will:

- Log into the system
- Navigate to the application record indicated in the email
- Select the related actions menu
- Click on the 'Application Review Comments' and review the comments made by FTA.
- Make the necessary changes as indicated and re-transmit their application.

You will be able to distinguish between emails sent by the system and system emails associated with tasks:

- 'New Task' will be at the beginning of the subject line for emails associated with tasks.
- Emails not associated with a Task 'From' line will be listed as 'TrAMS Admin'.

In both cases the workflow will not continue until the required actions have been completed.

2.4 Working with TrAMS Forms

2.4.1 Browsers

The following web browsers are supported by TrAMS:

- Internet Explorer 9, 10, and 11
- Safari 5.x
- Firefox
- Chrome

Although all of the listed browsers are all compatible with TrAMS some items may look and behave differently depending on the browser you are using.

Note: The instructions and screen shots shown in this guide have been created using Internet Explorer 11.

Sample browser differences include:

When selecting documents to upload

- Internet Explorer and Firefox



Upload New Document

* Document Context

* Document Type

* Document Description

* Select Document

● Chrome & Safari

Upload New Document

* Document Context

* Document Type

* Document Description

* Select Document No file chosen

2.4.2 Buttons

Buttons on forms allow the user to perform some sort of action on the form such as saving, or deleting data and also provide a way to leave the form. When no buttons exist on a form you may simply click on another item from the left hand navigation menu or on another tab in order to close the form.

2.4.2.1 Back Button

A 'Back' button is provided when multiple forms are part of a workflow and should be used when you want to move to a previous form to either verify the data entered or make changes to the data. You must use the 'Back' button provided on the form not the browser back button. When applicable the 'Back' button will appear on the bottom left side of the form.



The screenshot shows a form titled "Narrative" with a text area labeled "* Location Narrative". Below the text area are three buttons: "Back", "Next Step", and "Cancel". The "Back" button is circled in red.

Note: Using the Browser back button exits you from the workflow and will not return you to the previous form as expected. The browser back button should not be used to navigate in TrAMS

2.4.2.2 Cancel Button

A 'Cancel' button on forms closes the current action without saving changes made. When using the 'Cancel' button within a workflow, the 'Cancel' action will only apply to the current form; data from previous forms will have already been saved.

As an example, when initially adding a project there are three pages that are part of the workflow, the project details and narratives, project location, and project plan information. If you select the 'Cancel' button on the project location page, you exit the form and return to the related actions menu. Data entered on the location page will not be saved. Information from the 'Project Details and Narratives' page, the first form in the workflow is saved.

The screenshot shows the same "Narrative" form as above. In this version, the "Cancel" button is circled in red.

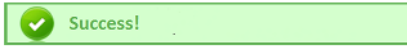
2.4.2.3 Close Button

The 'Close' button completes an action or a workflow and returns the user to the menu that they initiated the action from. You will most often see this button presented on read-only types of forms where saving is not warranted such as a success screen indicating the end of a step in a process.

On some forms you may see both a 'Cancel' and a 'Close' button this is usually on a form that has expanded after you have made a selection from a grid. In these instances the 'Cancel' will close the expanded portion of the form without saving the information and the 'Close' button will close the entire form without saving.



Application | Created



Application Number 1707-2016-3
Click the link below to open the new Application record.
1707-2016-3 | application 1



Note: Clicking the 'Close' button on success screens will complete the process and will eliminate stray tasks appearing in the task list. Best Practice is to always use the 'Close' button.

2.4.2.4 — Save Button

The 'Save' button on a form, saves the changes that have been made to the form. 'Save' buttons are often located on forms that expand after selecting an item from a grid and allows for the user to save data intermittently for the expanded section.

If a form has both a 'Save' button and a 'Cancel' button, then changes made and saved are not affected when the 'Cancel' button is selected. Only the changes made after the 'Save' button was clicked are not saved.

Project | Add New Budget Activity Line Items (ALIs)

You can add up to ten (10) line items at a time. Click "Save" to save your current line items and add more. Click "Finish" to save your current line items and return to previous form.

New Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name	
✓	113-00 BUS - STATION/STOPS/TERMINI	CONSTRUCTION OF BUS STATIONS/	11.33.02 CONSTRUCT - BUS STATION	DEL

+Add Item



2.4.2.5 — Additional Buttons

You will see a variety of other button labels, many of these buttons will provide a method to commit to the changes or the request being made. They will save the data and either close the form or move to the next appropriate form.

These buttons have been labeled to help you identify what will happen by clicking them.

Examples include:

- **Next** — shown when workflow steps you through a series of forms. It will save the data on the current form and move to the next form in the workflow.



- **Generate Report** – Shown when creating excel reports. Initiates the creation of the report after selecting the search criteria.
- **Submit** – Saves the data and closes the current form.

2.4.3 Calendars

When entering dates into TrAMS system, users may either type the date directly into the field or they can use the calendar function to pick a date. Dates will display in ‘m/d/yyyy’ format and should be entered this way:

To select a date:

1) Click in the Date field.

Long-Range Plan Documents

Upload Long-Range Plan Document

Long-Range Plan Date

Long-Range Plan Document Description

Provide details about the uploaded Long-Range Plan docur

2) The current month calendar will be displayed. Use the arrows (< and >) to navigate to the correct month and year.

M/d/yyyy

Feb 2016						
S	M	T	W	T	F	S
31	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	1	2	3	4	5
6	7	8	9	10	11	12

Mar 2016						
S	M	T	W	T	F	S
28	29	1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31	1	2
3	4	5	6	7	8	9

3) Click on the date to select it.

Long-Range Plan Date



2.4.4 Grids

Grids in the TrAMS system are used to display data and allow users a way to select a specific item(s) to perform some other action on.

2.4.4.1 Selecting Records

Checkboxes are shown when a user is allowed to select a specific record from a grid to work with.

- 1) To select one record from a grid, click the check box associated with it and then click the appropriate action button (ex. Remove Document).

Existing Documents

<input type="checkbox"/>	Document Context	Document Type	Document File Name	Document Description	Date Uploaded	Uploaded By
<input checked="" type="checkbox"/>	Comments	General Comments	Jellyfish.jpg	jellyfish document is always needed	Jun 07, 2016	jane.trams@yahoo.com
<input type="checkbox"/>	Fleet	Other Fleet Details	Koala.jpg	koala Cars	Jun 07, 2016	jane.trams@yahoo.com

- 2) To select all items in a grid, click on the top checkbox and all other checkboxes will automatically be selected, then click the appropriate button to perform the action on all items in the table.

Existing Documents

<input checked="" type="checkbox"/>	Document Context	Document Type	Document File Name	Document Description	Date Uploaded	Uploaded By
<input checked="" type="checkbox"/>	Comments	General Comments	Jellyfish.jpg	jellyfish document is always needed	Jun 07, 2016	jane.trams@yahoo.com
<input checked="" type="checkbox"/>	Fleet	Other Fleet Details	Koala.jpg	koala Cars	Jun 07, 2016	jane.trams@yahoo.com

2.4.4.2 Expanding and Collapsing Data

Grids are also used to select items for which you want to display additional details for. For grids which are used to expand data details only one row may be picked at a time.

- 1) Click the checkbox next to the item to expand.



Existing Line Items

To edit a line item, click on the checkbox for the line item and a new section will appear below with line item and milestone details

<input type="checkbox"/>	Status	Scope Name / Code	Line Item Number / Name	↑	Activity Type	Quantity	FTA Amount	Total Eligible Cost
<input type="checkbox"/>	In-Progress	112-00 BUS TRANSITWAYS/LINES	11.22.01 ACQUIRE - BUSWAY		Acquire Bus Transitways/lines	0	\$0	\$0
<input type="checkbox"/>	In-Progress	115-00 ELECTRIFICATION/POWER DIST (BUS)	11.52.01 PURCHASE TRACTION POWER EQUIP		Aquisition	0	\$0	\$0

2) The page will expand below the current grid to show the additional information.

Existing Line Items

To edit a line item, click on the checkbox for the line item and a new section will appear below with line item and milestone details

<input type="checkbox"/>	Status	Scope Name / Code	Line Item Number / Name	↑	Activity Type	Quantity	FTA Amount	Total Eligible Cost
<input type="checkbox"/>	In-Progress	112-00 BUS TRANSITWAYS/LINES	11.22.01 ACQUIRE - BUSWAY		Acquire Bus Transitways/lines	0	\$0	\$0
<input checked="" type="checkbox"/>	In-Progress	115-00 ELECTRIFICATION/POWER DIST (BUS)	11.52.01 PURCHASE TRACTION POWER EQUIP		Aquisition	0	\$0	\$0

Line Item Scope: 115-00 ELECTRIFICATION/POWER DIST (BUS)

Line Item #
11.52.01

Standard Line Item Name
Purchase Traction Power Equip

Custom Line Item Name

Purchase Traction Power Equip

Activity Type
Aquisition

Line Item Details


3) To collapse the item, simply uncheck the selection box, or click the appropriate action buttons that are present on the form.




2.4.4.3 — Sorting

A red arrow within a grid header field indicates that the table may be sorted by this field either ascending or descending.

1) Click in the header field to view the red arrow and sort. If no red arrow displays then the table cannot be sorted by this field.

UZA Code	Cost Center	Account Classification Code	FPC	Transaction Date	Transaction Type 	Amount
020000	65000	2015.25.90.91.2	00	1/28/2016	Authorized Disbursement	\$100.00
020000	65000	2015.25.90.91.2	00	1/28/2016	Authorized Disbursement	\$100.00
020000	65000	2015.25.90.91.2	00	1/28/2016	Obligation	\$100.00
020000	65000	2015.25.90.91.2	00	1/28/2016	Obligation	\$100.00

2) Click in the header field again, to sort the other direction.

UZA Code	Cost Center	Account Classification Code	FPC	Transaction Date	Transaction Type 	Amount
020000	65000	2015.25.90.91.2	00	1/28/2016	Obligation	\$100.00
020000	65000	2015.25.90.91.2	00	1/28/2016	Obligation	\$100.00
020000	65000	2015.25.90.91.2	00	1/28/2016	Authorized Disbursement	\$100.00

2.4.4.4 — Navigating Records

Each grid on a page is limited to the number of records that it will display at a time. When the number of records exceed the number of rows that will display comfortably on a page you may navigate to see additional records using the navigation arrows on the bottom of the grid.

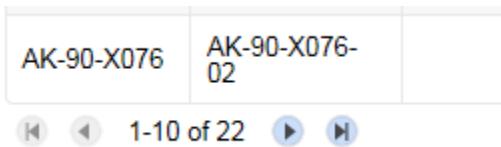
1) Click on the arrow (>) to show the next set of records.

2) Click on the arrow and bar (>|) sign to move to the last record.



3) Click on the arrow (←) to move to the previous page.

4) Click on the bar and arrow (|←) to move to the first record.



2.4.5 Drop-Downs

Drop downs are used for fields that have a pre-determined list of allowable values. The drop-down field ensures that only valid values are selected. In many instances the values allowed in a field may depend on the values selected in other fields. In these instances the other fields must be selected first. The drop-down lists for the dependent fields will be populated with the appropriate values following the initial selection.

1) Click on the drop-down value for the left-most field.

2) Select a value from the picker, and then move to the dependent field to see the correct subset of values.

New Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name	
	121-00 RAIL - ROLLING STOCK	Select Activity	Item	DEL
<p>You must select a scope, activity type and item name for this line item.</p> <p>+Add Item</p>				
<p>ENGINEERING/DESIGN (RAIL) LEASE - EXPANSION - CAPITAL RAIL LEASE - REPLACEMENT - CAPITAL RAIL MID-LIFE OVER/REBUILD - RAIL ROLL STOCK PURCHASE - RAIL SERVICE EXPANSION PURCHASE - REPLACE RAIL ROLLING STOCK RAIL - ROLLING STOCK REHAB/REBUILD - RAIL ROLL STOCK</p>				
			Save	Finish
			Cancel	

3) If you select a different value from the first picker list a different subset of data will be displayed in the next picker list.



New Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name	
	127-00 OTHER CAPITAL ITEMS (RAIL) ▾	Select Activity 3RD PARTY CONSULTANT SERVICES (RAIL) FORCE ACCOUNT OTHER CAPITAL ITEMS (RAIL) REAL ESTATE (OTHER) REAL ESTATE (RIGHT-OF-WAY)	Item ▾	DEL

You must select a scope, activity type and item name for this line item.

+Add Item

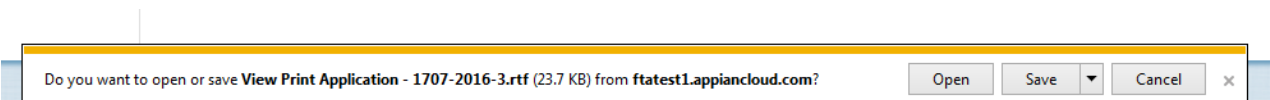
Save Finish Cancel

2.4.6 Viewing and Printing

Records that may be viewed and printed have been formatted as ‘Rich Text Format’ (.rtf). These files will need to be opened using a program that is compatible with this type of file. The most common used program to open this type of file is Microsoft Word.

After selecting the ‘View/Print’ action, you will be prompted on how to open this type of file. Variations will exist depending on the browser that you are working with and the program you have defined to be the default program to open rtf files.

Example: Internet Explorer 11 and Microsoft Word



2.4.7 Working with News Items

The TrAMS system allows you to openly communicate with other users and stay informed with events occurring within the TrAMS system. You may communicate with other users through:

- Posts
- Messages
- Kudos
- By assigning Tasks

In the ‘News’ tab you can view an ongoing list of entries created by fellow users, system administrators, and internal business processes.

2.4.8 Posts

A post is an entry that displays on the ‘News’ tab. The post may be from your followers and/or any users and groups you specify. Followers are users who have selected the ‘Follow’ option (button) on the user business card of your User Profile page. You can see the number of people following you from your profile page; however, the names of your followers will not be displayed.

Recipient users will receive system posts automatically whenever a grant application from their organization is created or updated. FTA users will receive system posts automatically whenever any



application is created or updated for all regions. Both recipient and FTA users will see any posts or messages directly sent to their username.

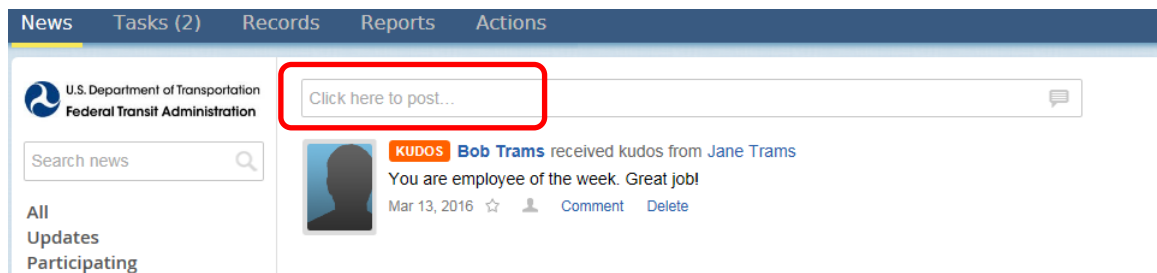
Posts will be visible from the respective 'News' tabs of the sender and recipient(s) of the post. The post will also be visible to users added later to a post after it has been published. The post will then appear in their 'News' tabs automatically, even if they are not following you. If a user is added to the post, that user will receive an email notification that they were added, along with a link to the post. You can select the 'Participating' filter on the 'News' tab to only display the posts that you have participated in.

All posts can be searched irrespective of author and recipient(s).

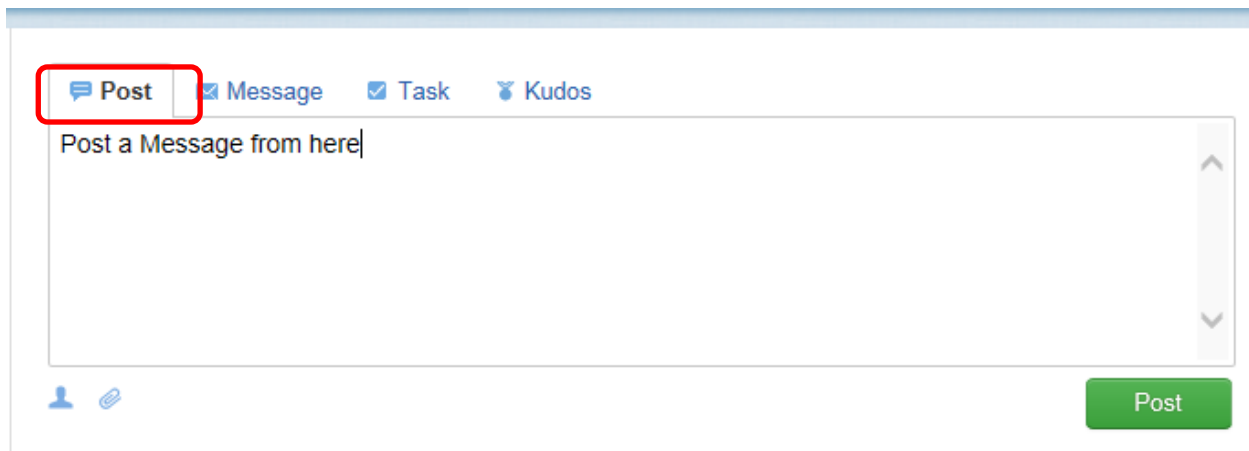
2.4.8.1 — Create Post

To create a new post:

- 1) At the top of the 'News' tab, click inside the field which displays 'Click here to post...', the 'Post' tab will be initially selected by default.



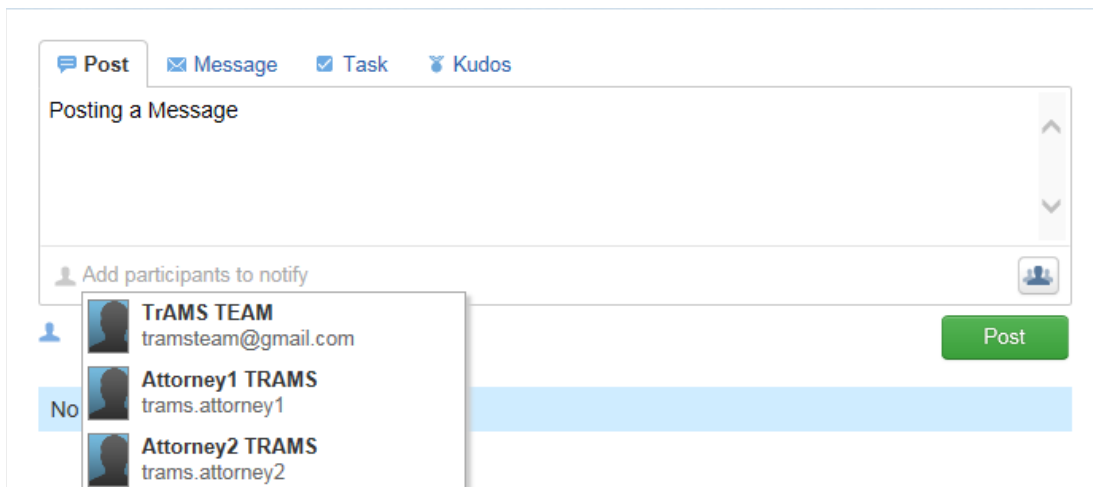
- 2) Enter the post's text into the 'Type post here' prompt. At a minimum, you must enter at least one character which is not a space. Posts can be a maximum of 4,000 characters including spaces.



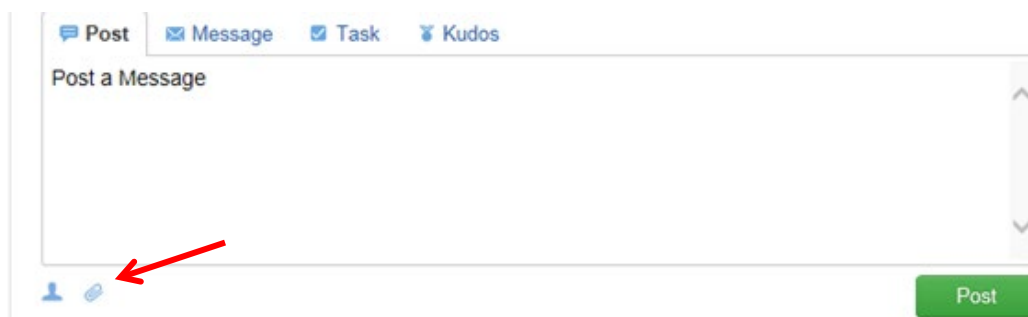
- 3) To add users or groups to the post:
 - a) Click the person icon in the bottom left of the entry box.



- b) Type the name of a user in the text field or click the button with a group icon to the right of the field. This is a type-ahead field; names will auto-populate under the field as you type, from which you can then select the recipient(s).
- c) Select the user from the drop-down menu that appears. The selected user will display as a button in the text field. To remove a participant, select the 'x' which appears in each participant's button.
- d) Repeat as needed for any other users that the post is intended for.



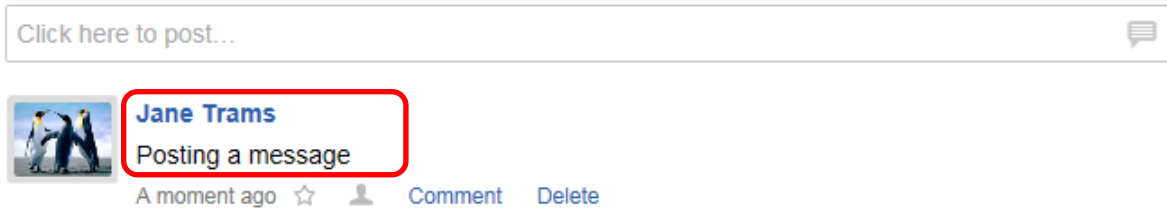
- 4) To attach files to the post:
 - a) Click the paperclip icon below the entry box.
 - b) Select the file that you want to attach using the file browser and then open it for upload.
 - c) Repeat as needed for any additional files you would like to attach to the post.
 - d) You can remove any attached files before posting by clicking on the 'x' which appears to the right of the file's name.
- 5) Once finished, click the 'Post' button.





Note: — Any attachments added to posts may be deleted by the sender. However, any attachments added to comments replying to a post cannot be deleted after the comments have been published.

6) — The post will display on your ‘News’ tab, the ‘News’ tabs of your followers, and the ‘News’ tabs of any users and groups that you added to the post. By default, you will receive an email notification every time a user comments on the post.



2.4.9 Messages

Messages are entries you can send to a single user or group, multiple users and/or groups, or all users in the system. Only the recipients you send the message to and any users and groups added to the message can view it. The message will not show up in the search results of other users.

FTA users can send messages (as well as posts, tasks, or kudos) to all other FTA users or any recipient users. Recipient users can only send messages to users within their organization, for example, those found within their TrAMS ‘Users’ dashboard. Recipient users cannot send messages to FTA users.

The message you send can be "locked" or "unlocked":

- Locked Messages: Can only ever be seen by the target audience. Additional users and groups cannot be added after you send the message.
- Unlocked Messages: Can only be seen by the target audience and any users and groups added after you create the message.

2.4.9.1 Create Message

To send a message to a single user or group or a list of users and/or groups:

1) — At the top of the ‘News’ tab, click inside the field which displays ‘Click here to post...’ and then select the ‘Message’ tab.

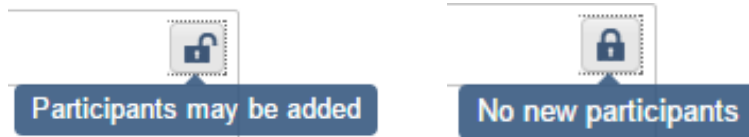


The screenshot shows the 'Message' tab selected in the top navigation bar. Below the navigation bar, there is a 'To:' field with the placeholder text 'Add recipients' and a lock icon on the right. Below the 'To:' field is a text area with the placeholder text 'Type message here'. At the bottom right, there is a green 'Send Message' button.

- 2) To add a user to the recipients list, type the name of the user in the 'To:' field and select it from the drop-down that appears. The selected user will display as a button in the 'To:' field. You can remove any selected recipients by clicking on the 'x' which appears in each recipient's button.

The screenshot shows the 'Message' tab selected. The 'To:' field now contains a dropdown menu with a search bar and a list of users. The user 'Bob Trams' with email 'test@fake2.com' is selected and displayed as a button in the 'To:' field. A 'Send Message' button is visible at the bottom right.

- 3) Repeat previous steps in this section as needed for any other users intended for the message.
- 4) To lock the message to only the original list of recipients and prevent any other recipients from being added to the message, click the lock icon to the right of the recipient list. This configures the message as a locked message and the lock icon changes to a locked state. Clicking it again will return it to an unlocked state. Note that only the message's sender can lock and unlock the message before it gets published. After a locked message has been published, a lock icon shown in a locked state will always be displayed under the message and cannot be unlocked—this is to indicate that once you have posted your message, you cannot unlock the message to add additional participants.



5) Enter the message's text into the 'Type message

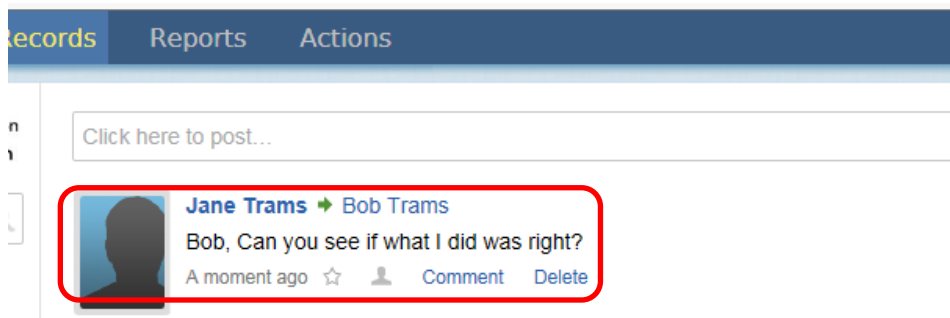
here' prompt. At a minimum, you must enter at least one character which is not a space. Messages can be a maximum of 4,000 characters including spaces.

6) To attach files to the message:

- a) Click the paperclip icon below the entry box.
- b) Select the file that you want to attach using the file browser and then open it for upload.
- c) Repeat as needed for any additional files you would like to attach.
- d) You can remove any attached files before sending the message by clicking on the 'x' which appears to the right of the file name.

7) Once finished, click the 'Send Message' button.

8) The message will display on the 'News' tabs of your selected recipients. By default, recipients will receive an email notification that the message was created, and you will receive an email notification whenever a user comments on your message. If one of the message's original recipients adds additional users and groups to your unlocked message, you (the original author of the message) will also receive an email notification by default.



2.4.10 Kudos

Kudos is a way to publicly show your praise for another user's work. Kudos are displayed on the 'News' tabs of the recipient who received the Kudos and their followers. Kudos can also be viewed by all users when they are visiting the user's profile. You can only give kudos to a single user with which you have viewer rights to. Recipients cannot give kudos to FTA users, but only to other users within their own organization. FTA users are able to give kudos to any other FTA users and recipients, regardless of region separations.

Note: You cannot send kudos to yourself, deactivated users, or users you do not have viewer rights to.

2.4.10.1 Give Kudos

To give kudos to another user:



- 1) At the top of the 'News' tab, click inside the field which displays 'Click here to post...' and then select the 'Kudos' tab.

- 2) Begin typing the name of the user you want to give kudos to. This is a type-ahead field; names will auto-populate under the field as you type, from which you can then select the recipient(s).
- 3) Enter text for your kudos. At a minimum, you must enter at least one character which is not a space. Kudos can be a maximum of 4,000 characters including spaces.
- 4) To attach files to the kudos:
 - a) Click the paperclip icon below the entry box.
 - b) Select the file that you want to attach using the file browser and then open it for upload.
 - c) Repeat as needed for any additional files you would like to attach.
 - d) You can remove any attached files before giving the kudos by clicking on the x which appears to the right of the file name.
- 5) Once finished, click the 'Give Kudos' button.

- 6) The kudos will display on the 'News' tabs of any users currently following you as well as for the user you gave the kudos to.



Click here to post...

 **KUDOS** **Jane Trams** received kudos from Civil Rights1 TRAMS
Thanks for helping out, Job well done!
A moment ago ☆ 👤 Comment Delete

 **KUDOS** **Bob Trams** received kudos from Jane Trams
You are employee of the week. Great job!
Mar 13, 2016 ☆ 👤 Comment


By default, the kudos recipient will receive an email notification that the kudos was created and you will receive an email notification whenever a user comments on the kudos given by you.


2.4.10.2 Post Comment on Post, Message, or Kudos

To post a comment on a published posting:

1) Click the 'Comment' link shown under the entry.

Click here to post...

 **KUDOS** **Jane Trams** received kudos from Civil Rights1 TRAMS
Thanks for helping out, Job well done!
A moment ago ☆ 👤 **Comment** Delete

 **KUDOS** **Bob Trams** received kudos from Jane Trams
You are employee of the week. Great job!
Mar 13, 2016 ☆ 👤 Comment

2) Enter the comment's text into provided text field. Comments can be a maximum of 4,000 characters including spaces.

3) To attach files with the comment:

a) Click the paperclip icon below the entry box.

b) Select the file that you want to attach using the file browser and then open it for upload.

c) Repeat as needed for any additional files you would like to attach to the comment.



d) You can remove any attached files before posting by clicking on the 'x' which appears to the right of the file's name.

4) Once finished, click the 'Comment' button.



5) The comment will be posted and associated with the original Post, Message, or Kudo.



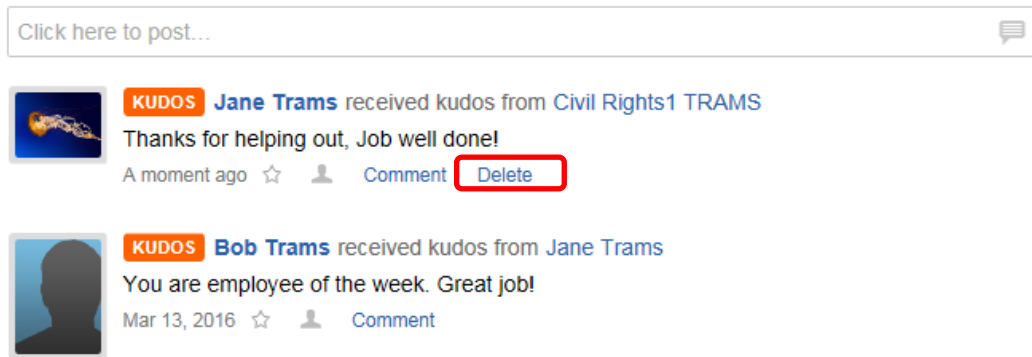
2.4.10.3 Delete Post, Message, or Kudos

A post, message, or kudos' author will be able to delete his/her posting only if no comments have been entered and saved for it yet.

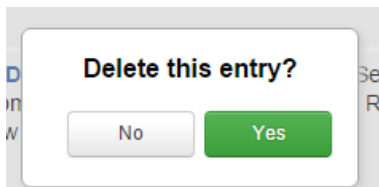
Note: You cannot delete a post, message, or kudos after it has been published with comments; only the Help Desk will have the ability to delete them from the 'News' tab.

To delete a post, message, or kudos that has not had any comments added to it:

- 1) Ensure you are logged on as the post, message, or kudos author. All recipients will not be allowed to delete postings.
- 2) Find your posting on the 'News' tab and then click the 'Delete' link.



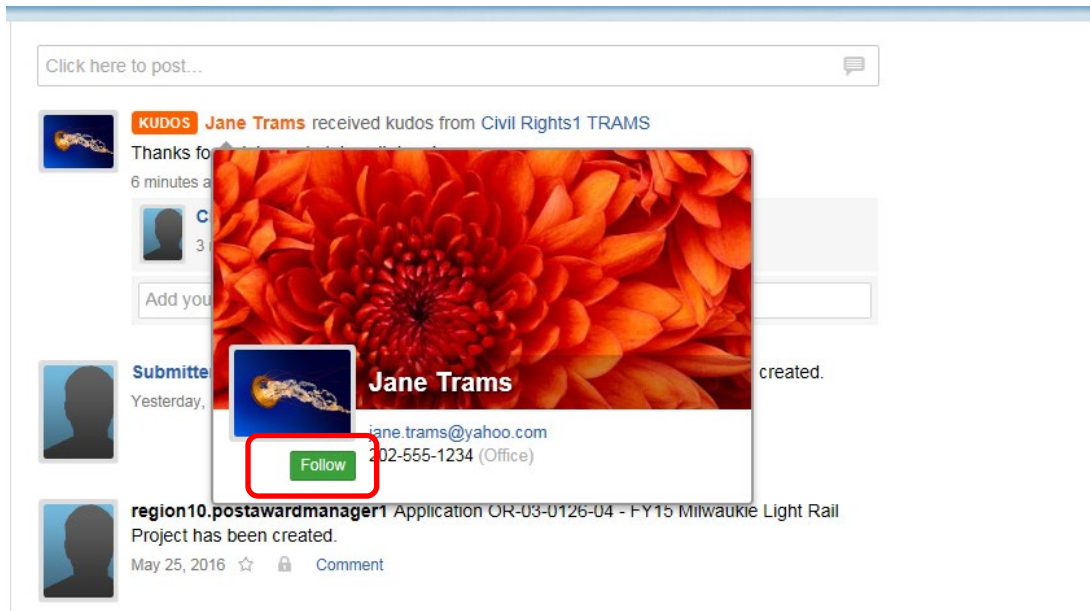
3) A prompt message will be displayed: “Delete this entry?” Click the ‘Yes’ button to remove the posting.



2.4.10.4 Follow/Unfollow User

The option to ‘follow’ a user lets you select whose posts can be viewed from your ‘News’ tab. If you follow a user, any post created by that user will automatically display in your ‘News’ tab. The ‘Follow’ option will be displayed for a user if you position your cursor over a sender’s hyperlinked name from the ‘News’ tab. Note that this option is displayed as ‘Unfollow’ if the user is someone you are already following.

- 1) From the ‘News’ tab, click on the person name that you want to follow
- 2) Click the ‘Follow’ button.



If the user you wish to follow is not shown in your 'News' tab:

- 1) Click on the 'Records' tab.
- 2) Click on 'Users'.






3) Find the record of the user you wish to follow and then select the name to view its 'Summary' dashboard.

4) Click the 'Follow' button. The button will turn gray and change to 'Unfollow'.

Records / Users

Trams, Jane




The user profile for Jane Trams features a profile picture of a blue and white globe, a background image of orange chrysanthemums, and contact information: jane.trams@yahoo.com, 202-555-1234 (Office), 1234 Main St, Orlando, Florida 12345. Below the profile is a statistics bar with Followers: 1, Following: 0, and Kudos: 1. A red box highlights the 'Follow' button, which is currently green, and a 'Give Kudos' button is also visible.

Note: These same steps may be repeated to unfollow a user that you are already following.

Records / Users

Trams, Jane



The user profile for Jane Trams is identical to the previous image, but the statistics bar now shows Followers: 2, Following: 0, and Kudos: 1. A red box highlights the 'Unfollow' button, which is now gray, and the 'Give Kudos' button remains visible.



Any posts that this user creates will now show up in your 'News' tab. In order to view posts previously created by the user, refresh your browser. A post will remain on the 'News' tab after it has been published until it is deleted, which can only be done by the Help Desk.

If you unfollow a user, posts created by the user will stop automatically showing up in your 'News' tab. You can continue to receive messages from them (refer to previous section, Messages), but you will no longer see posts published by them unless they add you or one of your groups as a participant to the post. You may still continue to search for a post (or posts) made by the user.

2.4.11 Tasks

Sending a task is a way to create a task for another user or yourself through TrAMS.

- Tasks can only be completed through TrAMS.
- Tasks are not based on a process, but instead are only based on the text you enter.

Note: You cannot send a task to multiple users, deactivated users, or users you do not have viewer rights to.

Note: A task cannot be deleted after it has been created and launched—it can only be closed after that.

2.4.11.1 Assign Task

To assign out a task:

- 1) At the top of the 'News' tab, click inside the field which displays 'Click here to post...' and then select the 'Tasks' tab.

The screenshot shows a user interface for creating a task. At the top, there is a navigation bar with four options: 'Post', 'Message', 'Task', and 'Kudos'. The 'Task' option is selected and highlighted with a red box. Below the navigation bar is a form with a 'To:' field containing the placeholder text 'Select task assignee'. Below the 'To:' field is a larger text area with the placeholder 'Type task description here'. At the bottom right of the form is a green button labeled 'Send Task'.

- 2) In the 'To:' field, begin typing the name of the user you want to assign the task to. This is a type-ahead field; names will auto-populate under the field as you type, from which you can then select the recipient(s). You will also be allowed to select your own name if you wish to create the task for yourself.

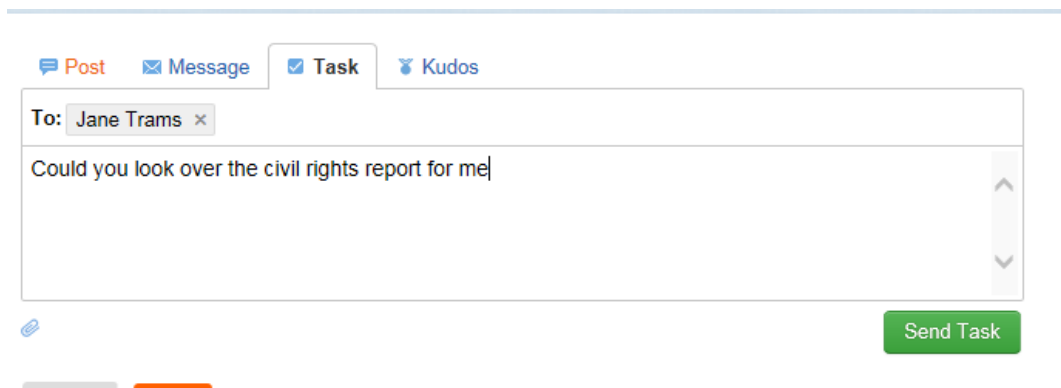
- 3) Enter a description for your task. At a minimum, you must enter at least one character which is not a space. The task description can be a maximum of 4,000 characters including spaces.



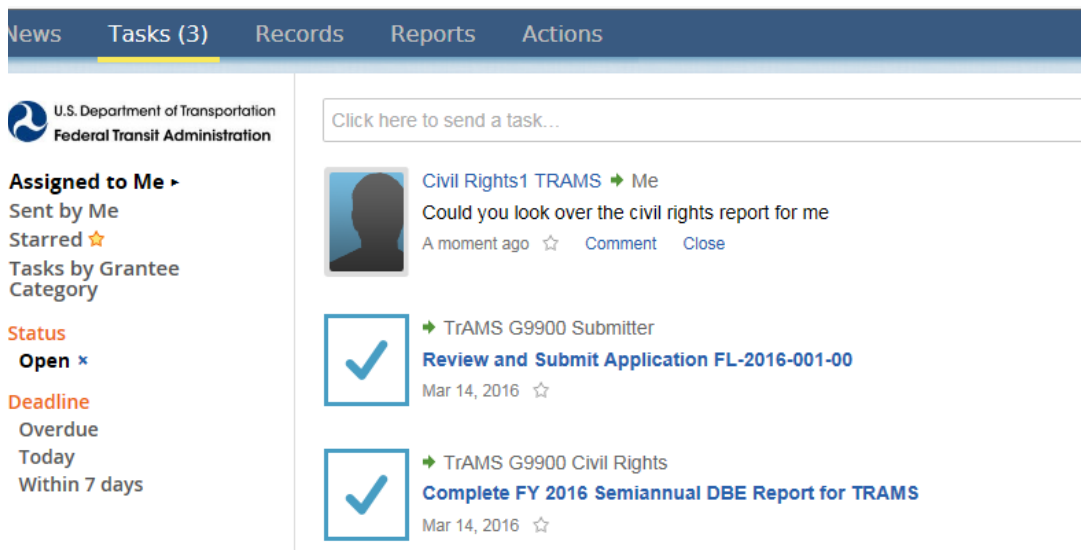
4) To attach files to the task:

- a) Click the paperclip icon below the text box.
- b) Select the file that you want to attach using the file browser and then open it for upload.
- c) Repeat as needed for any additional files you would like to attach.
- d) You can remove any attached files before sending the task by clicking on the x which appears to the right of the file name.

5) Once finished, click the 'Send Task' button.



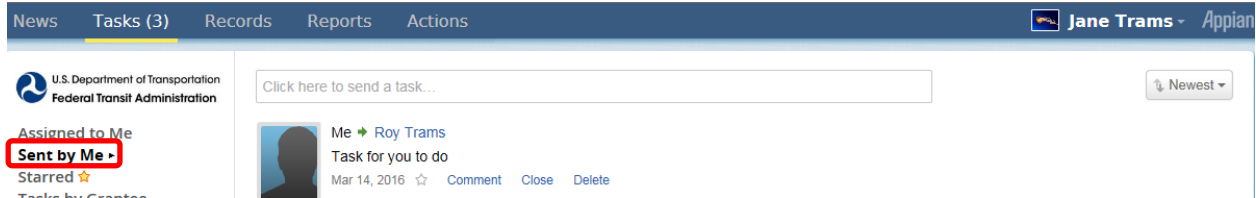
6) The recipient of the Task will now receive the Task in their 'Task' list under the 'Task tab.'





2.4.11.2 View and Close Assigned Tasks

A task will be added to the assigned recipient's "Tasks" tab and can be filtered by selecting "Assigned to Me" after logging in as that recipient. You can also view tasks that you had previously assigned out by selecting "Sent by Me" under your "Tasks" tab.

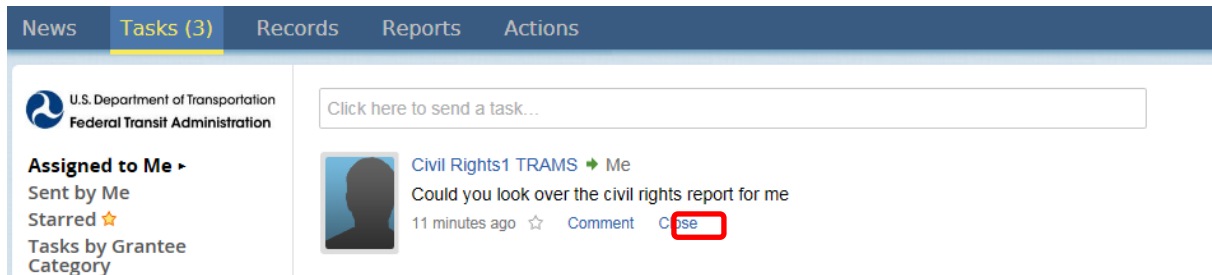


From here, you can also comment on, close, or delete the task while it is still alive. Tasks cannot be sent to more than one recipient; therefore, only the sender and the recipient of the task are able to view the task itself.

By default, you will receive an email notification when the assignee comments on or closes a task assigned by you.

To close a task as the assignee:

- 1) Click "Tasks" from the navigation bar and then select your task. The full description of the task will be displayed under the sender's name.



- 2) Click the "Close" link under the task. A text entry box will be displayed where you will be given the option to enter a comment regarding the task.



3) Once finished, click the 'Close' button.

4) A notification will be emailed to the task's sender, letting him/her know that the task has been closed by the assignee. The notification will include your message if one had been entered.

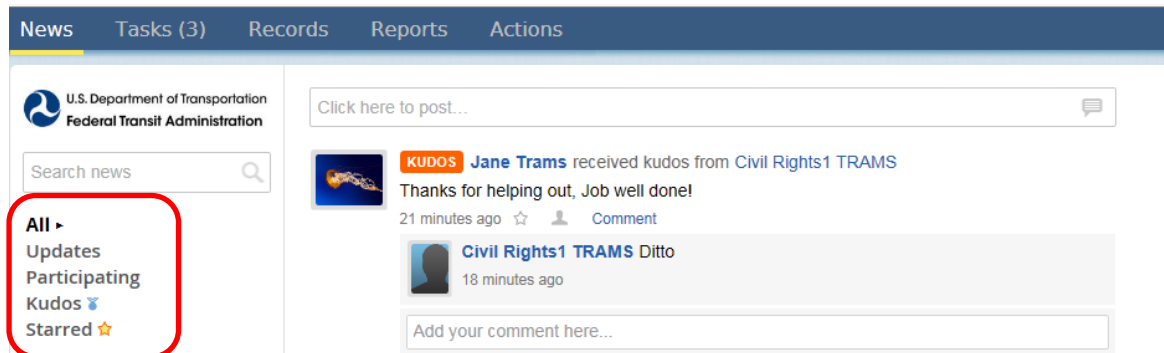
Note: — Comments can be added both before and after you complete a task.

2.4.12 Search News

Any user with view permissions to a post can search for it. View permissions for posts, messages, and kudos are granted only to the sender and his/her intended recipient users/groups. This includes all current followers. Tasks cannot be searched from the 'News' tab, but can be filtered from the 'Tasks' tab as needed.

2.4.12.1 View Options

On the left navigation menu of the 'News' tab, you can select from the provided viewing options to filter entries and change their sort order depending on the view selected.



● — ‘All’

This view lists all the following entries by the most recently added:

- — Posts added by you.
- — Posts added by users you follow.
- — Messages sent by you.
- — Messages sent to you or a group in which you're a member.
- — Kudos given by you.
- — Kudos given by users you follow.
- — Events added to feeds in which you are subscribed.
- — Entries that a user added you to or that include a group in which you're a member of.

● — ‘Updates’

This view lists the same entries you see in the All view but sorts them by those with the most recent activity. Activity includes both the initial creation of an entry and comments added to it. For example, if a user adds a comment to an entry created yesterday, it now becomes the first on the list.

● — ‘Participating’

This view lists any News entries that you are a part of, which include the following:

- — Entries created by you.
- — Entries created by the system on your behalf.
 - — For example, events created by a process where you are the user associated with the “event,” such as after you create a new application, the “Application #xxxx xxxx x has been created” event will appear alongside your name in the ‘News’ tabs of users subscribed to those events.
- — Entries targeted to you.
 - — For example, a message targeted to a list of users including yourself or a kudos given to you.
 - — This does not include entries targeted to a group you are a part of.



○ Entries you comment on.

○ Entries you are added to.

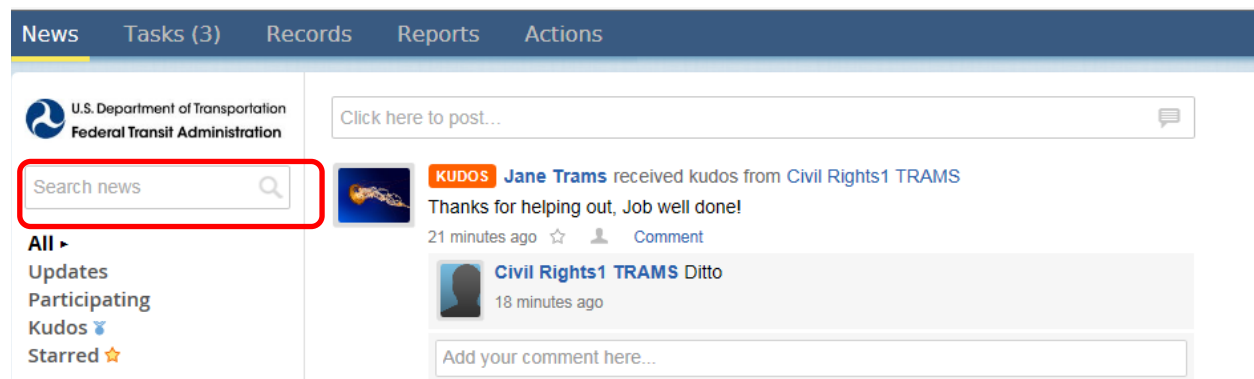
Note: If, at any time, you are removed from a group and in turn lose viewer rights to a locked message, the entry no longer displays in your “Participating” view, even if you have commented on it. If it is an open message, however, that post continues to appear in your “Participating” view regardless of your group membership status.

● ‘Starred’

This view lists favorite feed items that you have starred by clicking the star icon for the entry. The star is similar to using the flag feature in MS Outlook. Once the star has been selected, it will become yellow to highlight the selection.

2.4.12.2 Search Options

Each time you perform a search, you can narrow down your results in one or more of the ways described below. The search bar is displayed at the top of the left navigation menu while the ‘News’ tab is selected.



● ‘By Keyword’

Enter a word, the first part of a word, or multiple words into the search box and then press ‘Enter’ on your keyboard (note that the magnifying glass icon in the search bar is simply an image and has no function). The ‘News’ tab will return posts, messages, kudos, and events that contain the search term(s) either in the main entry or within a related comment.

To search for a specific phrase, enclose the phrase in quotation marks (“”).

● ‘By Author’

Enter the text `from:` followed by all or part of a name, and then press ‘Enter’. The ‘News’ tab will return entries attributed to a user with a partially or fully matching first name, last name, or username. For example, `from: Smith` might return entries by John Smith, Matt Smithers, Smith Jordan, Smithe Company, or robert.smith.

It does not search through comments attributed to the user.



To include both a first and last name, enclose the name in quotation marks. For example, `from:"John Smith"` will only return entries attributed to John Smith.

• **‘By Keyword and Author’**

By combining the two tactics above, you can search for entries that contain a specific keyword and were attributed by a specific author.

For example, `contract from:"John Smith"` only returns entries authored by John Smith that contain the word "contract." Entering `from:"John Smith" contract` returns the same results since `contract` is outside the quotes.

• **‘By Star Status’**

Enter the text `is:starred` along with your other search requirements to narrow down results to only those you had previously starred.

For example, `is:starred contract` only returns entries containing the word "contract" that were starred by you. Entering `contract from:"John Smith" is:starred` only returns entries authored by John Smith, containing the word "contract", and had been starred by you.

2.4.13 **Subscribe/Unsubscribe News Feeds**

To view all of your available subscriptions and subscribe/unsubscribe:

1) While logged on, click on your username at the top right corner of the page and then select ‘Settings’.



2) From the left navigation menu, select ‘News’.

3) To subscribe to a feed, click either the ‘All’ or ‘Personalized’ link below the feed’s name.

- a) Clicking ‘All’ subscribes you to all events added to that feed. A check mark will indicate that you have subscribed to ‘All’.
- b) Clicking ‘Personalized’ subscribes you to only events added to that feed and targeted specifically to a group in which you're a member. Check with your system administrator before enabling it as they will need to configure this option. A person icon will indicate that you have subscribed to ‘Personalized’.



News Tasks (3) Records Reports Actions

U.S. Department of Transportation
Federal Transit Administration

Regional
News ▾
Password
Third-Party Credentials

The following feeds are available
Use the links below each feed to manage your subscription

TrAMS 1.0 ▲

TrAMS Grant Management
Contains general news and updates for the TrAMS Application
Subscribe to: All • Personalized

4) To unsubscribe from a feed, click the 'Unsubscribe' link below the feed's name. Once you unsubscribe, you will no longer see events in your 'News' tab from that specific feed.

News Tasks Records Reports Actions

U.S. Department of Transportation
Federal Transit Administration

Regional
News ▾
Password
Third-Party Credentials

The following feeds are available
Use the links below each feed to manage your subscription

TrAMS 1.0 ▲

TrAMS Grant Management ✓
Contains general news and updates for the TrAMS Application
Unsubscribe

5) You can also unsubscribe from a feed from the 'News' tab directly by positioning your cursor over an event and then clicking the 'Unsubscribe' button that appears.

Note: If you do not wish to see the system's automatic posts about grants, you can unsubscribe from the 'TrAMS Grant Management' news feed.



3— User Access and User Management

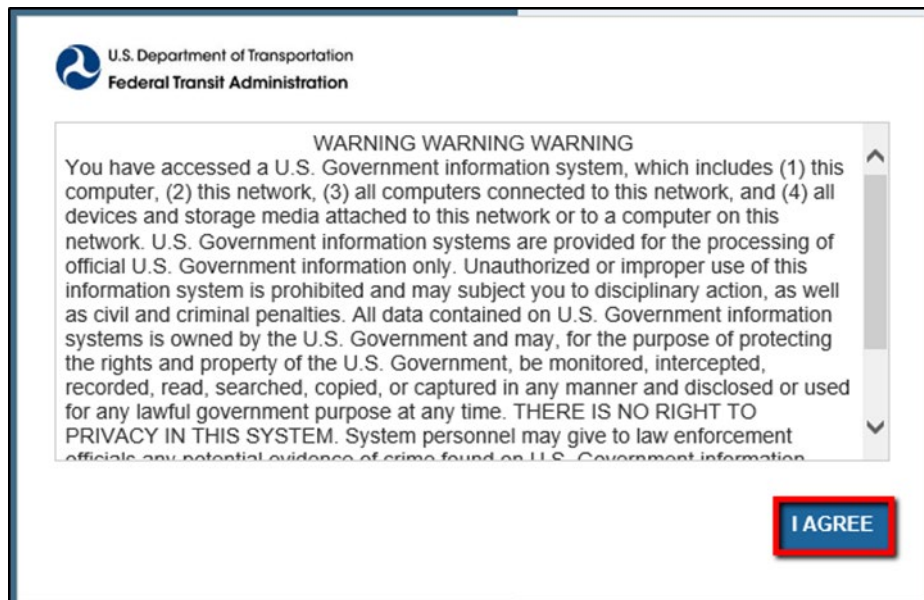
3.1— Accessing TrAMS

3.1.1— Logging into TrAMS

The TrAMS web system may be accessed through a web browser.

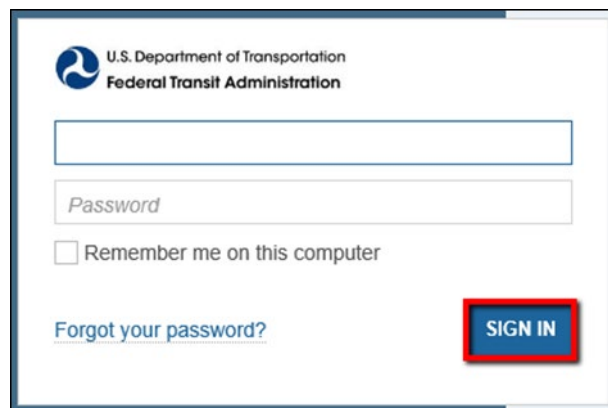
To access TrAMS:

- 1) Open a web browser and enter the TrAMS web address, <https://faces.fta.dot.gov/suite/>.



- 2) Read the security policy and select 'I AGREE'

- 3) Enter the appropriate Username and Password



Click 'Sign In'



For more detailed information on accessing TrAMS refer to Section 4 User Access, and Section 4.3.3 New User Login within the FACES User Guide.

3.1.2 User Name and Password

In order to log into TrAMS, users need a user name and password. A user's email address is used in TrAMS as their user name. TrAMS user names and passwords are case sensitive.

New users should contact their organizations 'User Manager' in order to obtain access to TrAMS. After the User Manager has added the users contact information into TrAMS the user will receive an email from TrAMS indicating that the account has been created. Users will need to use the 'Reset Your Password' option to initially set their password.

For more detailed step by step instructions on the login process (including screenshots) refer to Section 4.3 Logging In within the FACES User Guide.

Note: All users that had access to TEAM will be automatically added into TrAMS. The users email address from TEAM will be the users TrAMS user name. Their user name will be created using lower case. The system will send an email notification to all new recipient users with their username and instructions to set their new password.

3.1.3 Passwords

TrAMS will allow you to update or change your password or request a password reset from the login screen. Both new users and users that have forgotten their password should use this option.

For more detailed step by step instructions on the login process (including screenshots) refer to Section 4.5 Passwords within the FACES User Guide.

3.2 User Records

3.2.1 Introduction

A User Record includes all information directly related to the user's Profile (e.g., name, address, title, and role(s), audit history). It also includes all news items specific to the user and any Kudos received. Users may see other staff members' User Summary page and User Details within their organization.

Each user may manage their own Profile information. Some user information may be edited by the individual user and some user information must be managed by a user's User Manager(s), Supervisor(s), Local Security Manager(s) (LSMs), and Global Security Manager(s) (GSMs).

For more information on the User Record, refer to Section 3 User Records within the FACES User Guide.

3.2.2 TrAMS User Roles

Each recipient organization will have a User Manager assigned to them and it will be the User Manager's responsibility to assign roles to each user within their organization. Recipient users will be assigned one or multiple roles. The roles assigned to a user control the 'Actions' that a user will have access to and the 'Tasks' that the system assigns to the user. Recipient users are limited to viewing the data for the recipient organizations that the user belongs to.



The following table lists the available user roles that may be assigned to recipient users and the system actions that these roles provide access to (Refer to 'Appendix B - TEAM vs. TrAMS' for equivalent TEAM roles):

User Role & Description	Available Actions	Tasks Assigned
<p>Read Only – View only</p> <p><i>Note: • The Read Only role cannot be assigned at the same time as any other recipient roles within a single recipient organization.</i></p>	<ul style="list-style-type: none"> • Search Applications/Awards • Search Recipient Organizations • Search FFR and MPR for Review • Search DBE Reports • Application Budget by ALL Report • Application by Status Report • Application Budget Report • Application Discretionary Allocation Detail Report • TrAMS User Detail Report • FFR Detail Report • Recipient POC Detail Report • MPR Detail Report • Project Scope Budget Report • Project Budget Report 	<p>N/A</p>
<p>User Manager – Adds, edits, or removes roles and privileges for organization's users; edits agency point of contact (POC) and profile information; deactivates and reactivates users</p>	<ul style="list-style-type: none"> • ALL Read Only role actions • Add New System User • Deactivate System Users 	<p>N/A</p>
<p>Submitter – Creates and edits applications and their details; creates, edits, and deletes applications' projects and their details; validates and transmits initial draft applications for FTA review; formally submits applications to FTA for award consideration; implements post-award activities (amendments, budget revisions, and closeouts); adds, edits, and deletes direct recipients and formula program sub-allocations (if designated recipient)</p>	<ul style="list-style-type: none"> • ALL Read Only role actions • Create Application 	<ul style="list-style-type: none"> • Submit and Transmit Application • Re-Submit Application • Complete Sub-Allocations
<p>Developer – Creates and edits applications and their details; creates,</p>	<ul style="list-style-type: none"> • ALL Read Only role actions • Create Application 	



User Role & Description	Available Actions	Tasks Assigned
edits, and deletes applications' projects and their details; validates draft applications (but CANNOT transmit or submit); creates and edits post-award activities (amendments, budget revisions, and closeouts)		
Official —Executes grant/cooperative agreements; certifies (PINs) the annual Certifications & Assurances; adds, edits, and deletes direct recipients and formula program sub-allocations (if designated recipient)	ALL Read-Only role actions	<ul style="list-style-type: none"> Execute Application
Attorney —Prepares and submits/uploads recipient legal documents; certifies (PINs) the annual Certifications & Assurances	ALL Read-Only role actions	N/A
Civil Rights —Updates civil rights program compliance and documents; completes and submits DBE reports	ALL Read-Only role actions	<ul style="list-style-type: none"> Submit DBE Report Update DBE Report
FFR Reporter —Prepares and submits Federal Financial Reports	ALL Read-Only role actions	<ul style="list-style-type: none"> Complete FFR Update FFR
MPR Reporter —Prepares and submits Milestone Progress Reports	ALL Read-Only role actions	<ul style="list-style-type: none"> Complete MPR Update MPR

3.2.3 Searching for User Records

Users may view and edit their own profile information and view other users within their organization. You may access your own user record by selecting the 'Profile' option from the account information at the top right hand corner or by searching within the 'Users' from the 'Records' tab.

3.2.3.1 Profile access from Account Information

You can access your profile information from the Account Information area.

For more detailed step-by-step instructions on accessing your profile information (including screenshots) refer to Section 4.3.4 Accessing Your Record within the FACES User Guide.

3.2.3.2 Searching from the Records Tab

You may find your own or to find other users profile(s) from within your organization from the 'Records' tab in TrAMS.



For more detailed step by step instructions on finding other user profiles (including screenshots) refer to Section 6.1 Locating a User Profile from the Record Tab within the FACES User Guide.

3.3—Working with your own Record

3.3.1—Users Summary Page

The Users summary page is the landing page when a user either selects the ‘Profile’ option from their account information at the top right hand corner or they choose themselves or another user from the ‘Records’ tab. This page consists of the users’ business card and news items related to the user. Users may customize their page by uploading a background, adding a profile picture, or adding some brief text such as their business title.

When viewing others users summary pages, users will have the option to select to follow them or to provide them with kudos.

3.3.1.1—Customize Summary Page

Users may customize their business card by adding a profile picture, a background, and/or text.

For more detailed step by step instructions on customizing your summary page (including screenshots) refer to Section 5.1 Customizing the User Summary Page within the FACES User Guide.

3.3.1.2—Adding/Changing Profile Picture

Users may select to add or update their profile picture. This profile picture will be associated with the user and will be displayed alongside any posts attributed to them.

For more detailed step by step instructions on adding/changing your profile picture (including screenshots) refer to Section 5.1.1 Adding/Changing Profile Picture within the FACES User Guide.

3.3.1.3—Adding/Changing Background

Users may modify the background of their business card. This image will be seen when other users view your summary page.

For more detailed step by step instructions on adding/changing the background of their business card (including screenshots) refer to Section 5.1.2 Adding/Changing Profile Background within the FACES User Guide.

3.3.1.4—Follow Users

To automatically see posts attributed to other users, a user may choose to follow others. By following a user their posts will automatically appear in their News feeds. Users may select to follow users from the News tab (Refer to Follow/Unfollow User) or from selecting their Profiles.

For more detailed step by step instructions on following a user (including screenshots) refer to Section 6.2 Following Another User within the FACES User Guide.



3.3.1.5 — Provide Kudos

Kudos is a way to praise another user's work. Kudos will display for a user under their business card on the Users Summary page. Kudos may be provided from the News tab (Refer to Kudos) or by locating the users profile from the 'Records' tab. Kudos will be visible to all users that visit the user's profile page.

For more detailed step by step instructions on following a user (including screenshots) refer to Section 6.3 Provide Kudos to Another User within the FACES User Guide.

3.3.2 — Viewing User Details

This is a 'view only' page; users are able to see their own profiles and the profiles of other users within their organization. User Details are split between multiple pages for legibility: the User Details page, the User Roles page, and the History page.

3.3.2.1 — User Details Page

The User Details page consists of two groups of essential information about the user: account information and contact information.

For more detailed step by step instructions on viewing the User Details page (including screenshots) refer to Section 6.4.1 User Details Page within the FACES User Guide.

3.3.2.2 — User Roles Page

The User Roles page consists of two main parts: a Roles section, and, if applicable, a section listing User Managers for the selected user.

For more detailed step by step instructions on viewing the User Roles page (including screenshots) refer to Section 6.4.2 User Roles Page within the FACES User Guide.

3.3.2.3 — History Page

The History page contains user audit information. It consists of three sections: Role History, Account History, and Profile History.

For more detailed step by step instructions on viewing user's audit history (including screenshots) refer to Section 6.4.3 History Page within the FACES User Guide.

3.3.3 — Related Actions

By selecting 'Related Actions' users will be provided with additional options that can be performed on their User Record.

For more detailed step by step instructions on viewing a user's Related Actions (including screenshots) refer to Section 5.2 Related Actions within the FACES User Guide.

3.3.3.1 — Related Actions: Edit User Profile

All recipient users can edit their own user profile (name, contact information, and business address) using a profile related action.



For more detailed step-by-step instructions on editing a user profile (including screenshots) refer to Section 5.2.1 Related Action: Edit Profile within the FACES User Guide.

3.3.3.2 — Manage User PIN

TrAMS employs a PIN-based system to add security to some of its functions. A four-digit numeric Personal Identification Number (PIN) code, as set by the users themselves, will be required to complete specialized actions only available to specific user roles (Submitter, Attorney, and Official). For a recipient organization, these actions include formally submitting an application to FTA for review as the Submitter, executing an application as the Official, and signing off on Certifications and Assurances as either the Official or Attorney.

3.3.3.3 — Create/Update PIN

If the User Manager for your organization elects to add one or more of the PIN-based roles (Submitter, Attorney, and/or Official) to your user record, you will gain access to a new Related Action to set your personal four-digit PIN code.

For more detailed step-by-step instructions on creating or updating your PIN (including screenshots) refer to Section 5.2.4 Related Action: Creating a PIN and Section 5.2.5 Related Action: Changing the PIN within the FACES User Guide.

3.3.3.4 — Related Action Set Security Questions/Answers

New user accounts are automatically assigned a ‘Task’ to set up an initial set of Security Questions and Answers (Q&As) to ensure the security of the account and to provide a mechanism to re-establish access when lost due to a lockout, etc.

For more detailed step-by-step instructions on setting up security questions and answers (including screenshots) refer to Section 5.2.2 Related Action: Set Security Questions/Answers within the FACES User Guide.

3.3.3.5 — Related Action: Manage Security Questions/Answers

Users can update or change their security questions/answers from the Related Action: Manage Security Questions/Answers.

For more detailed step-by-step instructions on changing security questions and answers (including screenshots) refer to Section 5.2.3 Related Action: Manage Security Questions/Answers within the FACES User Guide.

3.3.1 — A Locked Account

Users with locked accounts can still log onto the FTA platform but they will be unable to complete any actions on their account or specific to their roles. The standard tabs (News, Tasks, Records, Reports, and Actions) will contain a limited amount of data and security-related actions. For example, no tasks will be available.

Locked users can unlock their accounts using one of two methods: (1) correctly answering their existing security questions; or (2) submitting an unlock request. Both methods are available via a single action on the Actions tab. It is preferred that all users attempt to self-unlock their accounts by answering their



previously setup security questions before submitting an unlock request; this is the quickest and most efficient route to unlocking an account. Once an account is unlocked, the user's access privileges will be fully restored.

3.3.1.1 — Answer Security Questions

If the account is locked and security questions were previously set up, the user can attempt to unlock the account by answer their security questions through the Unlock Account link on the Actions tab.

For more detailed step by step instructions on unlocking your account by answering your security questions (including screenshots) refer to Section 5.3.1 Answer Security Questions within the FACES User Guide.

3.3.1.2 — Submit Unlock Request

If a user has not set up security questions or cannot remember their answers, they can submit an unlock request by selecting Unlock Account on the Actions tab.

For more detailed step by step instructions on unlocking your account by submitting an unlock request (including screenshots) refer to Section 5.3.2 Submit Unlock Request within the FACES User Guide.

3.4 — User Manager Responsibilities

Each organization will have one or more users that have been assigned the 'User Manager' role. As a User Manager for an organization a user is able to

- Create new users
- Deactivate users
- Reactivate users
- Assign new roles to users
- Edit user profile information
- Recertify users

For more detailed information about user management responsibilities refer to Section 7.1 User Management Responsibilities within the FACES User Guide.

3.4.1 — Action: Create New User

User Managers have access to the Action 'Create New User'. This action allows the User Manager to add new users for their recipient organization(s).

For more detailed step by step instructions on creating a new user (including screenshots) refer to Section 7.2.1.1 Organization and External Users within the FACES User Guide.

3.4.1.1 — Assigning User Roles

Users are assigned roles during the user creation process. The User Manager adds roles based on a user's access and permissions. Security rules govern which types of roles can be added or removed from a user.

For more detailed step by step instructions on assigning and managing user roles (including screenshots) refer to Section 7.3.2. Related Action: Manage User Roles within the FACES User Guide.



3.4.2 Action: Create Multiple Users

If more than one user needs to be created for a recipient organization the User Manager may “bulk load” users into the system through the use of a CSV (Comma Separated Values) file.

For more detailed step-by-step instructions on the bulk upload process (including screenshots) refer to Section 7.2.3 Create Multiple Users within the FACES User Guide.

3.4.3 Action: Review Role Requests

Some roles added by User Managers require elevated approvals (Submitter, Official, and Attorney). When these roles are added, a role request is generated.

For more detailed step-by-step instructions on reviewing role requests (including screenshots) refer to Section 7.3.5 Action: Review Role Requests within the FACES User Guide.

3.4.4 Action: Manage Role Documentation

Some roles require justification for their assignment to a specific user. The TrAMS Submitter, Attorney, and Official roles require a Delegation of Authority letter from the agency’s CEO justifying the assignment of the role to the specific user. Justification documentation can be uploaded in advance of role assignment via the Manage Role Documentation action or uploaded at the time the role is added on the Manage Roles form.

For more detailed step-by-step instructions on uploading role documentation (including screenshots) refer to Section 7.2.2 Action: Manage Role Documentation within the FACES User Guide.

3.4.5 Action: Review Unlock Requests

User accounts are automatically locked after 60 days of user inactivity. Users who are locked out will still be able to log into TrAMS but their access will be severely restricted. User Managers may review unlock requests for users in their organization.

For more detailed step-by-step instructions on reviewing unlock requests (including screenshots) refer to Section 7.3.6 Action: Review Unlock Requests within the FACES User Guide.

3.4.6 Updating Existing User Records

Once a user has been created, users with the User Manager role are able to manage details for existing users in their organization including: managing the users’ profiles, updating their user roles/privileges, and assigning User Manager privileges. Users may be deactivated from the Users Record, and deactivated users may be reactivated as well.

For more information on managing user records refer to Section 7.3 Managing User Records within the FACES User Guide.

3.4.6.1 Related Action: Edit User Profile

User Managers may edit the user profile using the Related Action: Edit User Profile.

For more detailed step-by-step instructions on editing the user profile (including screenshots) refer to Section 7.3.1 Related Action: Edit User Profile within the FACES User Guide.



3.4.6.2 — Related Action: Deactivate User

User Managers may deactivate a user using the Related Action: Deactivate Users.

For more detailed step-by-step instructions on deactivating users (including screenshots) refer to Section 7.3.3 Related Action: Deactivate Users within the FACES User Guide.

3.4.6.3 — Related Action: Reactivate User

User Managers may reactivate users using the Related Action: Reactivate Users.

For more detailed step-by-step instructions on reactivating users (including screenshots) refer to Section 7.3.4 Related Action: Reactivate Users within the FACES User Guide.

3.4.6.4 — Related Action: Manage User Roles

User roles must be assigned at the time of user creation and may be updated at any time by selecting the 'Manage User Roles' related action. Each user may be assigned multiple roles.

For more detailed step-by-step instructions on managing user roles (including screenshots) refer to Section 7.3.2 Related Action: Manage User Roles within the FACES User Guide.

3.4.6.5 — Related Action: Unlock User

If a locked user has submitted an unlock request, an additional related action will become available on the user's record, Unlock Account. This related action allows a User Manager to unlock a user directly from the user's profile. It is intended as a backup method of unlocking an account.

For more detailed step-by-step instructions on unlocking a user (including screenshots) refer to Section 7.3.7 Related Action: Unlock User within the FACES User Guide.



4—Recipient Organization Management

The Recipient Organization module is where you can search, view, update, and perform reviews on recipient organization details in TrAMS. User must be part of an organization or FTA in order to view or update information for a recipient organization. New organization may be created by the FTA regional Supervisors providing that they have an active record in the System for Award Management (SAM).

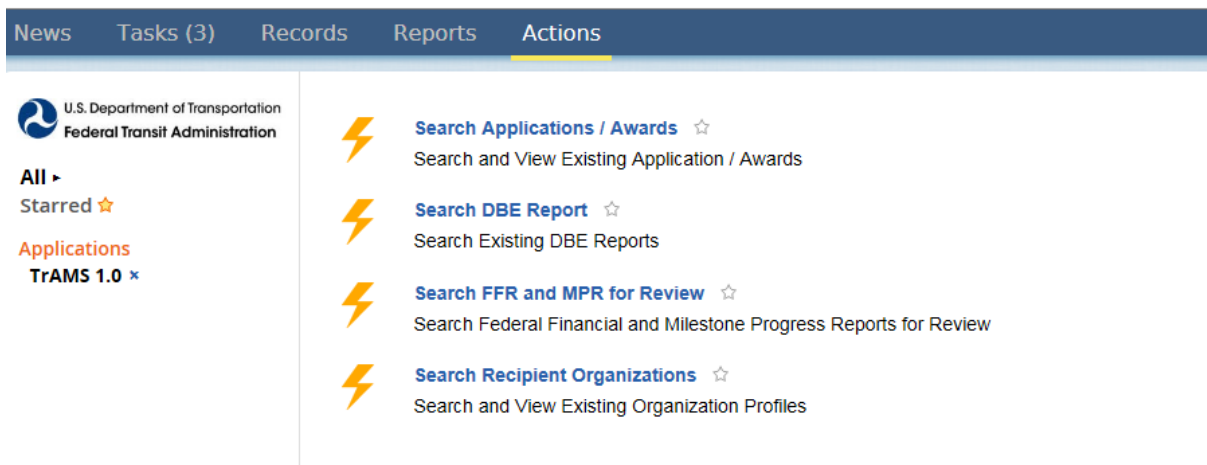
4.1—Search Recipient Organization Records

Users may find their recipient information by either searching from the 'Actions' tab 'Search Recipient Organization' or by selecting the 'Recipient Organization' record from the 'Records' tab. Additionally, you are able to navigate to your organization record from any application or awards 'Summary' page by simply clicking the Recipient ID hyperlink located on that page (Refer to 'Working with Applications').

4.1.1—Searching Recipient Organization from Records Tab

Users may search for recipient organizations using the 'Actions' tab.

- 1) Click 'Search Recipient Organizations'.



- 2) The 'View Recipient Profile | Search Recipients in TrAMS' form will be displayed with search criteria fields. Note that none of these fields are required. You will be able to complete the following:

- a) Enter the recipient organization's ID into the 'Recipient ID' field.

Note: Recipients that are associated with only one organization will not be able to choose a 'Recipient ID'; your recipient ID will be automatically selected for you.

- b) Enter the recipient organization's name in the 'Recipient Name' field.
- c) Select the recipient organization's status in TrAMS from the drop-down menu provided under the 'TrAMS Status' field.
- d) Select the recipient organization's status in SAM.gov from the drop-down menu provided under the 'SAM Status' field.



- e) Select a cost center from the drop-down menu provided under the 'Cost Center' field.
- 3) Once all desired search criteria have been entered, click the 'Continue' button.

View Recipient Profile | Search Recipients in TrAMS

Enter Search Criteria

Recipient ID
9900

Recipient Name

Input all or part of a recipient organization's name (e.g. "Washington")

TrAMS Status

SAM Status

Cost Center

Cancel

Continue

- 4) The 'View Recipient Profile | Search Results' form will be displayed. To access the recipient record, click on the 'Recipient ID' hyperlink of the desired recipient organization.

View Recipient Profile | Search Results

Select a recipient to navigate to its dashboard

Recipient ID	Recipient Name	Recipient Acronym	Recipient DUNS	Recipient Cost Center	SAM Status	TrAMS Status
9900	Trams Transit Organization	TRAMS	999999999	78400	ACTIVE	Active

Hyperlink

Revise Search

Close

4.1.2 Searching Recipient Organizations from Records Tab

Recipient organization profile(s) may be accessed from the 'Records' tab in TrAMS.

- 1) Click on the 'Recipient Organization' record type to view the record for your recipient organization.



- 2) The recipient organizations that you belong to will be displayed.
- 3) If multiple organizations are displayed you may filter using the search box and typing the organization name in full or in part, or simply scroll down the page to find the organization you want to view.
- 4) Click on your recipient organization's name, which is a hyperlink which will take you directly to your recipient organization record's 'Summary' page.

- 5) To view additional details for the recipient organization select an item from the left hand navigation or select the 'Related Actions' tab if you need to make modifications.
- 6) To return to the list of organization records click the 'Recipient Organization' hyperlink at the top of the 'Summary' page.



News Tasks (1) **Records** Reports Actions Submitter1 TRAMS

U.S. Department of Transportation
Federal Transit Administration

Summary ▾
News
Related Actions
Applications/Awards
TrAMS Users
Locations
Designated Recipient
Suballocations

Records / Recipient Organization **Trams Transit Organization | TRAMS** Follow

TrAMS Profile Information

Recipient ID 9900	TrAMS Status Active
Recipient Acronym TRAMS	Geographic Location Code
Recipient Alias TrAMS Transit	NTD Code
Recipient Cost Center 78400	Is MPO? No
Is State DOT? No	Recipient ID of MPO

4.2 Viewing Recipient Organization Records and Related Actions

4.2.1 Summary

The 'Summary' page is the landing page after selecting an organization to view. It contains high level information concerning the organization including:

- TrAMS Profile Information
- SAM Profile Information
- Payment Information
- Locations

The 'Summary' page is in read only format for all users. To make updates to the TrAMS profile, payment, or location information contact your FTA regional representative or grant manager.

SAM profile information changes must be made directly in SAM and is synced nightly with SAM.



Trams Transit Organization | TRAMS Follow

TrAMS Profile Information

Recipient ID 9900	TrAMS Status Active
Recipient Acronym TRAMS	Geographic Location Code
Recipient Alias TrAMS Transit	NTD Code
Recipient Cost Center 78400	Is MPO? No
Is State DOT? No	Recipient ID of MPO
Recipient OST Type Transit Authority	Is DBE? No
Is Designated Recipient? No	Assistance? No

SAM Profile Information

Legal Business Name Trams Transit Organization	SAM Status ACTIVE
DBA Name	SAM Expiration Date 12/01/2017
DUNS 999999999	SAM Website Click here to update the organization's Profile in SAM
Website No Website in profile	FY End Date 12/31

Payment Information

ECHO Number 123456789	WCF? No
REQU? No	TSC? No
OPAC? No	

Locations

Name	Address Line 1	Address Line 2	City	State	Zip
Headquarters	1234 Main St		Orlando	FL	12345
Physical Address	1234 Main St		Orlando	FL	12345
Mailing Address	1234 Main St		Orlando	FL	12345

4.2.2 News

This feature has not yet been implemented. In the future users will use this feature to see news feeds that are related to their organization.



4.2.3 Applications/Awards

You may see a list of all applications and awards that are associated with your organization both current and past. Along with the application / award FAIN it will display the name, last updated by, last update date, and the current status of the application /award. Each amendment associated with an award will be displayed separately. A FAIN will be displayed as a hyperlink to provide easy access to the details of each application / award.

To view the 'Applications/Awards' for a recipient organization:

- 1) Click on the 'Applications/Awards' from the left navigation menu.

The screenshot shows the TrAMS interface for a recipient organization. The top navigation bar includes 'News', 'Tasks (1)', 'Records', 'Reports', and 'Actions'. The user is logged in as 'Submitter1 TRAMS'. The left sidebar contains a navigation menu with 'Applications/Awards' highlighted in red. The main content area shows the organization's profile information, including Recipient ID (9900), Recipient Acronym (TRAMS), Recipient Alias (TrAMS Transit), Recipient Cost Center (78400), and Recipient Status (Active).

- 2) The applications/awards associated to the recipient are listed and displayed in grid format under the 'Applications' section. If there are no applications associated, the grid will be empty.

- 3) Click on the 'FAIN' of the desired application/award to move the application record.

The screenshot shows the 'Applications' section of the TrAMS interface. It displays a table with the following data:

FAIN	Application Name	Last Updated By	Last Updated Date	Status
FL-2016-001-00	Sample TrAMS Application for User Guide Purposes Only	jane.trams@yahoo.com	Mar 14, 2016	FAIN Assigned / Ready for Submission



4) When more than 25 application / awards exist, use the arrows on the bottom of the page to display the additional applications.

FL-85-X001-00	Key West	Sep 10, 2015	Active Award / Inactive Amendment
FL-16-X008-01	Elderly and Persons with Disabiliti	Sep 08, 2015	Active (Executed)

1-25 of 373

4.2.4 TrAMS Users

You may view all users that are associated with your organization from the 'TrAMS Users' page. For each user it will display their name, contact information (email and phone), and the user roles that have been assigned to them. Refer to User Manager Responsibilities section to add or modify users to your organization.

To view TrAMS users associated with the organization:

1) Click on 'TrAMS Users' from the left navigation menu.

The screenshot shows the TrAMS interface. At the top, there is a navigation bar with 'News', 'Tasks (1)', 'Records', 'Reports', and 'Actions'. A user profile 'Submitter1 TRAMS' is visible in the top right. The main content area is titled 'Trams Transit Organization | TRAMS' with a 'Follow' button. Below this is the 'TrAMS Profile Information' section, which is a table with the following data:

Recipient ID	9900	TrAMS Status	Active
Recipient Acronym	TRAMS	Geographic Location Code	
Recipient Alias	TrAMS Transit	NTD Code	
Recipient Cost Center	78400	Is MPO?	No
Is State DOT?	No	Recipient ID of MPO	

In the left navigation menu, 'TrAMS Users' is circled in red.

2) The TrAMS users associated to the recipient are listed and displayed in grid format under the 'Users' section. If there are no TrAMS users associated, the grid will be empty.



Records / Recipient Organization

Trams Transit Organization | TRAMS Follow

Recipient Information

Recipient ID 9900	Recipient Name Trams Transit Organization
Recipient DUNS 999999999	Acronym TRAMS

Users

First Name	Last Name	Email	Phone	User Roles
Jane	Trams	jane.trams@yahoo.com	202-555-1234	Submitter, 9900 Attorney, 9900 Official, 9900 MPR Reporter, 9900 FFR Reporter, 9900 Civil Rights, 9900 User Manager, 9900
John	Trams	dmi_mark2@yahoo.com	123456789	Developer, 9900
Roy	Trams	mark_schoi@yahoo.com	123456789	Developer, 9900 Civil Rights, 9900

4.2.5 Locations

Each organization may be associated with multiple urbanized areas and/or multiple congressional districts. The Locations page displays all the UZA's that the recipient is associated with and congressional district that they belong to. Congressional districts may be added from the 'Related Actions' menu associated UZA's are managed by FTA.

To view the 'Locations' for a recipient organization:

- 1) Click on the 'Location' from the left navigation menu.

News Tasks (1) **Records** Reports Actions Submitter1 TRAMS

U.S. Department of Transportation
Federal Transit Administration

Records / Recipient Organization

Trams Transit Organization | TRAMS Follow

TRAMS Profile Information

Recipient ID 9900	TrAMS Status Active
Recipient Acronym TRAMS	Geographic Location Code
Recipient Alias TrAMS Transit	NTD Code
Recipient Cost Center 78400	Is MPO? No
Is State DOT? No	Recipient ID of MPO

- Summary >
- News
- Related Actions
- Applications/Awards
- TrAMS Users
- Locations**
- Designated Recipient
- Suballocations



- 2) The ‘Urbanized Areas (UZA) and States’ section displays the UZAs and states associated with the recipient organization. If there are no UZAs and states associated, the grid will be empty.
- 3) The ‘Congressional Districts’ section displays all Congressional Districts associated with the recipient organization. If there are no Congressional Districts associated, the grid will be empty.

Records / Recipient Organization

Trams Transit Organization | TRAMS Follow

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
----------------------	--

Urbanized Areas (UZA) and States

States and UZAs

Area Name	UZA Code
Orlando, FL	120690
Florida	120000

Congressional Districts

Congressional District	State	Representative Name
5	Florida	Corrine Brown

4.2.6 Designated Recipient

The Designated Recipient has the principal authority and responsibility for administrating the funding apportioned to states and urbanized areas (UZAs) for specified formula funding programs. This includes allocating the state or UZA’s annual apportionment amounts among direct recipients within the area.

In TrAMS, recipient organizations can be tagged as designated recipients for the following formula funding programs:

- Section 5307 – Urbanized Area Formula Program (5307-2A)
- Section 5310 – Formula Grants for Enhanced Mobility of Seniors & Individuals with Disabilities (5310-1A)
- Section 5337 – State of Good Repair Formula Grants (5337)

If your organization is a designated recipient, you will see the funding programs and UZA’s that you are associated with on the ‘Designated Recipient’ page.

Designated recipient details information may be updated by the FTA after verifying that organizations have the appropriate documentation for the requested changes

All recipient users have view access only to the ‘Designated Recipient’ information. To view the Designated Recipient details for your recipient organization:

- 1) Click on the ‘Designated Recipient’ from the left navigation menu.



News Tasks (1) **Records** Reports Actions Submitter1 TRAMS

U.S. Department of Transportation
Federal Transit Administration

Records / Recipient Organization
Trams Transit Organization | TRAMS Follow

Summary >
 News
 Related Actions
 Applications/Awards
 TrAMS Users
 Locations
Designated Recipient
 Suballocations

TrAMS Profile Information

Recipient ID 9900	TrAMS Status Active
Recipient Acronym TRAMS	Geographic Location Code
Recipient Alias TrAMS Transit	NTD Code
Recipient Cost Center 78400	Is MPO? No
Is State DOT? No	Recipient ID of MPO

2) The formula programs associated with the Designated Recipient(s) are listed and displayed in grid format under the 'Designated Recipient Details' section. If your recipient organization is not a designated recipient, the grid will be empty.

Trams Transit Organization | TRAMS Follow

Recipient Information

Recipient ID 9900	Recipient Name Trams Transit Organization
Recipient DUNS 999999999	Acronym TRAMS

Designated Recipient Details

Funding Program	UZA Code	Area Name
5307-2A	120000	Florida
5310-1A	120000	Florida
5337	120690	Orlando, FL
5307-2A	120690	Orlando, FL

View Suballocations

Select Fiscal Year



- 3) Suballocations will be populated after the Submitter has finalized the apportionment allocations.
- 4) You may click on the 'Split Letter' Document hyperlink to view the split letter.

View Suballocations

Select Fiscal Year
2016

Suballocations for FY2016
Select a suballocation to see further details

<input type="checkbox"/>	UZA Code	Funding Program	Initial Allocation	Current Suballocation	Remaining Suballocation	Split Letter
<input type="checkbox"/>	120690	5307-2A	\$108,000,000	\$50,000,000	\$58,000,000	Split letter

4.2.7 Suballocations

If your organization is a 'Direct Recipient' organization you may view the amount of funds that has been suballocated to your organization by selecting 'Suballocations'. All recipient users have view access only to the 'Suballocations' information.

To view the 'Suballocations' for a recipient organization:

- 1) Click on the 'Suballocations' from the left navigation menu.

The screenshot shows the TrAMS interface. The top navigation bar includes 'News', 'Tasks (1)', 'Records', 'Reports', and 'Actions'. The user is logged in as 'Submitter1 TRAMS'. The left navigation menu is expanded, and 'Suballocations' is circled in red. The main content area displays the profile for 'Trams Transit Organization | TRAMS'. The profile information includes:

TrAMS Profile Information	
Recipient ID	9900
Recipient Acronym	TRAMS
Recipient Alias	TrAMS Transit
Recipient Cost Center	78400
Is State DOT?	No
TrAMS Status	Active
Geographic Location Code	
NTD Code	
Is MPO?	No
Recipient ID of MPO	

- 2) The suballocations amount associated with your recipient organization is listed and displayed in grid format under the 'Suballocations' section. If there are no suballocations associated, the grid will be empty. By default all fiscal year information is displayed, you may elect to use the Fiscal Year drop down box to select a single year to display.
- 3) You may click on the 'Split Letter Document' hyperlink to view the split letter

Records / Recipient Organization
Trams Transit Organization | TRAMS Follow



Fiscal Year

All

Suballocations

Fiscal Year	UZA Code	Funding Program	Suballocation Amount	Split Letter Document
2016	120690	5307-2A	\$50,000,000	Split letter

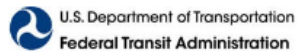
4.3 Managing Recipient Organization Details

4.3.1 Recipient Organization Record Related Actions

Updates to recipient organization information may be made from the 'Related Actions' available from the left hand navigation. The following actions are available to all recipient users.

- Recipient Documents
- Civil Rights Information
- Certifications & Assurances
- Fleet Status
- Congressional Districts
- Direct Recipients
- POC and Union Information
- Sync Recipient Organization With SAM
- Formula Suballocations

Note: All recipients may view and also may update recipient information, with the exception of 'Civil Rights Information' which must be submitted by users with the 'Civil Rights' user role, POC information is managed by users with the 'User Manager' role. 'Certifications & Assurances' must be pinned by user with the 'Official' and those with the 'Attorney' role.







- Summary
- News
- Related Actions ▶**
- Applications/Awards
- TrAMS Users
- Locations
- Designated Recipient
- Suballocations

Records / Recipient Organization

Trams Transit Organization | TRAMS

Follow

-  **Recipient Documents**
Manage Recipient Documents
-  **Civil Rights Information**
Manage Civil Rights Information
-  **Certifications & Assurances**
Manage Certifications and Assurances
-  **Fleet Status**
Manage Fleet Status Information
-  **Congressional Districts**
Manage Recipient's Congressional Districts
-  **Direct Recipients**
Manage Direct Recipients Associated with Designated Recipient
-  **POC and Union Information**
Manage Recipient's Points of Contact and Union Information
-  **Sync Recipient Organization With SAM**
Sync with SAM System to Update Organization's SAM Information
-  **Formula Suballocations**
Manage Formula Program Suballocations

4.3.2 Related Action: Recipient Documents

All recipient users have the ability to manage documentation for their organization. Users may add or view documentation from the 'Recipient Documents' related Action. Documents may not be deleted by recipients once saved.

- 1) Click 'Recipient Documents'.
- 2) The 'Recipient Organization | Document Summary' page will display.
- 3) Click 'Add Document' button to upload a document for your organization.



Recipient Organization | Document Summary

Recipient Profile Information

Recipient ID 9900 Recipient Name Trams Transit Organization

Existing Documents

<input type="checkbox"/>	Document Context	Document Type	Document File Name	Document Description	Date Uploaded	Uploaded By
<input type="checkbox"/>	Legal	Legal Authority Document	Hydrangeas	User Role Justification for Jane Trams	Mar 09, 2016	region4.lsm1
<input type="checkbox"/>	Legal	Legal Authority Document	Chrysanthemum	User Role Justification for Jane Trams	Mar 09, 2016	region4.lsm1
<input type="checkbox"/>	Legal	Legal Authority Document	Desert	User Role Justification for Jane Trams	Mar 09, 2016	region4.lsm1
<input type="checkbox"/>	General	General	sample word document.docx	demo purposes	Jun 07, 2016	jane.trams@yahoo.com

- 5) The 'Recipient Organization | Add Documents' page will display:
 - a) Select the 'Document Context' from the drop down list of values.
 - b) After selecting the 'Document Context' the 'Document Type' field will populate. Select a 'Document Type' value from the drop down.
 - c) Provide a description of the Document in the 'Document Description' field.
 - d) Use the 'Browse' button to select a document to upload from your local drive or server.
 - e) Use the 'Save' button to complete the document upload process.
- 6) To delete a document that you just uploaded prior to the save, click the 'Remove' link associated with the newly uploaded document or select the 'Cancel' button to return to the 'Recipient Organization | Document Summary' page.



Recipient Organization | Add Documents

Recipient Information

Recipient ID 9900

Recipient Name Trams Transit Organization

Upload New Document

* Document Context

* Document Type

* Document Description

* Select Document

7) To view a previous uploaded document on the 'Recipient Organization | Document Summary' page, click on the 'Document File Name' hyperlink.

Recipient Organization | Document Summary

Recipient Profile Information

Recipient ID 9900

Recipient Name Trams Transit Organization

Existing Documents

<input type="checkbox"/>	Document Context	Document Type	Document File Name	Document Description	Date Uploaded	Uploaded By
<input type="checkbox"/>	Legal	Legal Authority Document	Hydrangeas	User Role Justification for Jane Trams	Mar 09, 2016	region4.lsm1
<input type="checkbox"/>	Legal	Legal Authority Document	Chrysanthemum	User Role Justification for Jane Trams	Mar 09, 2016	region4.lsm1
<input type="checkbox"/>	Legal	Legal Authority Document	Desert	User Role Justification for Jane Trams	Mar 09, 2016	region4.lsm1
<input type="checkbox"/>	General	General	sample word document.docx	demo purposes	Jun 07, 2016	jane.trams@yahoo.com

4.3.3 Related Action: Civil Rights Information

To be eligible for FTA program funds all recipients agree to comply with all civil rights statutes and regulations. Compliance is subject to FTA review prior to awarding of awards.

All recipient users are able to view their civil rights program status information. Users with the 'Civil Rights' role assigned to them will be responsible for updating their civil rights compliance information.



Compliance information submitted to the FTA will undergo a review process prior to being accepted. Refer to the Civil Rights Management section for additional information.

To view civil rights compliance information for your recipient organization:

- 1) Click 'Civil Rights Information'.
- 2) The '[Recipient Name] | Civil Rights Compliance' form will be displayed for your recipient organization. The form will contain a grid that contains:
 - a) The 4 programs that recipients must adhere to
 - b) The current status each of the programs
 - c) Submission of compliance information for each of the programs
 - d) The date the FTA completed their review and concurred
 - e) Due date for the next compliance submission
 - f) Expiration date of the compliances.
- 3) To view additional information about each of the programs check the checkbox associated with the Program and the 'Continue' button.

Trams Transit Organization | Civil Rights Compliance

Recipient Details

Recipient ID
9900

Recipient Name
Trams Transit Organization

Status History

<input type="checkbox"/>	Program Name	Program Status	Submitted Date	Concur Date	Due Date	Expiration Date
<input type="checkbox"/>	EEO Program	In Review - Returned to Grantee	2/12/2016	2/1/2016	1/1/2017	12/31/2020
<input type="checkbox"/>	DBE Program	In Review - Returned to Grantee	2/1/2016	2/1/2016		
<input type="checkbox"/>	DBE Goal	In Review - Returned to Grantee	2/1/2016	2/1/2016	1/1/2017	12/31/2020
<input checked="" type="checkbox"/>	Title VI Program	In Review - Returned to Grantee	2/1/2016	2/1/2016	1/1/2017	12/31/2019

Cancel

Continue

- 4) The 'Civil Rights Compliance | [Program Name]' form will be displayed showing the
 - a) Current status of the Program
 - b) History of the program Status
 - c) Uploaded Documents
 - d) Comments provided for the program.



- 5) Document contents may be viewed by clicking the 'Document Name' hyperlink.
- 6) Click the 'Cancel' button to return to the '[Recipient Name] | Civil Rights Compliance' page and select another program to view.

Civil Rights Compliance | Title VI Program

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
----------------------	--

Program Status

Status Date Feb 1, 2016	Due Date Jan 1, 2017
Program Status In Review - Returned to Grantee	Expiration Date Dec 31, 2019

Status History

Status	Date	User
Concur	2/1/2016 6:13 PM GMT+00:00	region4.civilrights1
In Review - Submitted to FTA	2/1/2016 4:19 PM GMT+00:00	Jullie Anne Trams
Incomplete	2/1/2016 2:55 PM GMT+00:00	region5.supervisor1

Existing Document Details

Document Type	Document Name	Program Begin and End Dates	Date Uploaded	Uploaded By
Title VI	Sample document uploaded by FTA Regional Civil Rights officer for user guide purposes only		2/1/2016 6:04 PM GMT+00:00	region4.civilrights1
Title VI	Sample document for User Guide Purposes Only		2/12/2016 8:30 PM GMT+00:00	User Trams

Comments

Existing Comments

Remark by: Jullie Anne Trams at 2/1/2016 4:19 PM GMT+00:00
Recipient civil rights officer comments are optional

Please scroll within the box to see all existing comments.

- 7) When the 'DBE Goals' program is selected an additional section listing the DBE Goals will be shown for the 'Civil Rights Compliance | [Program Name]' page.



Program Status

Status Date Aug 1, 2015	Due Date Aug 1, 2015
Program Status In Review - Under FTA Review	Expiration Date Sep 30, 2015
	Cycle Group Group 1

DBE Goals

DBE Race Conscious Goal 0%	DBE Race Neutral Goal 0%
	Overall Goal 0%

Status History

4.3.4 Related Action: Certifications & Assurances

Recipients must annually certify that they are in compliance with Federal transit law as well as Federal cross-cutting requirements. When the annual Certification & Assurances are made available by FTA, the system will send an email to the recipient's Grants Point of Contact (POC), as well as users with the 'Official' and 'Attorney' roles in the organization. Recipients have 90 days to review and sign to approve the Certification & Assurances. Reminder emails will be sent at 30, 10, and 5 days prior to the Certification & Assurances due date.

To select and approve the set of Certifications & Assurances applicable to your recipient organization for the current fiscal year:

Note: Both the recipients official and the recipients attorney will need to perform this action in order for the Certifications & Assurances to be marked as approved. All other users may view.

- 1) Click 'Certifications & Assurances'.
- 2) The '[Recipient name] | Certifications and Assurances' form will be displayed for your recipient organization.
 - a) Use the provided drop-down menu under 'Select Fiscal Year' to select the current fiscal year to approve or if viewing to select the appropriate year to view.
 - b) Click the 'Continue' button.

Trams Transit Organization | Certifications and Assurances

Select Fiscal Year ▼



3) If the Certifications & Assurances have not yet been published for the year, you will receive a Warning message.

Certifications and Assurances | C&As Not Published

Warning



The Certifications and Assurances have not been published by FTA for FY 2016. Click the Close button to return to the previous screen and select another fiscal year.

Close

4) Otherwise you will be taken to the 'Certifications & Assurances | FY [current FY] C&A Affirmations' form for the selected fiscal year.

a) As the Official and the Attorney you will be able to select any check boxes for required certifications and assurances. To select all checkboxes at once check the checkbox next to 'Category'.

Certifications & Assurances | FY 2016 C&A Affirmations

Recipient Profile Information

Recipient ID 9900

Recipient Name Trams Transit Organization

Certification and Assurance Information

Fiscal Year 2016

Assigned Date Feb 25, 2016

Due Date May 25, 2016

Certified Date

Published Certifications and Assurances Document

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES
534.5 KB

Certifications and Assurances

<input type="checkbox"/>	Category	Title
<input type="checkbox"/>	01	REQUIRED FOR EACH APPLICANT
<input type="checkbox"/>	02	LOBBYING
<input type="checkbox"/>	03	PROCUREMENT AND PROCUREMENT SYSTEMS



b) As the Official you will be able to insert your 4 digit PIN number to approve the Certificates and Assurances.

Affirmation of Applicant

Affirmation of Applicant BY SIGNING BELOW, on behalf of the Applicant, I declare that it has duly authorized me to make these Certifications and Assurances and bind its compliance. Thus, it agrees to comply with all Federal statutes and regulations, and follow applicable Federal guidance, and comply with the Certifications and Assurances as indicated on the foregoing page applicable to each application its Authorized Representative makes to the Federal Transit Administration (FTA) in Federal Fiscal Year 2016, irrespective of whether the individual that acted on his or her Applicant's behalf continues to represent it.

FTA intends that the Certifications and Assurances the Applicant selects on the other side of this document should apply to each Project for which it seeks now, or may later seek FTA funding during Federal Fiscal Year 2016. The Applicant affirms the truthfulness and accuracy of the Certifications and Assurances it has selected in the statements submitted with this document and any other submission made to FTA, and acknowledges that the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. 3801 et seq., and implementing U.S. DOT regulations, "Program Fraud Civil Remedies," 49 CFR part 31, apply to any certification, assurance or submission made to FTA. The criminal provisions of 18 U.S.C. 1001 apply to any certification, assurance, or submission made in connection with a Federal public transportation program authorized by 49 U.S.C. chapter 53 or any other statute

In signing this document, I declare under penalties of perjury that the foregoing Certifications and Assurances, and any other statements made by me on behalf of the Applicant are true and accurate.

Official's Name
NOT SIGNED

Certification Date N/A

Certify Affirmation

Please note that this verification is being recorded under the name of:

Official's Name Jane Trams
Title None

Certification Date 6/7/2016

PIN

e) Click the 'Certify' button to officially sign the Certificates & Assurances.



Certify Affirmation

Please note that this verification is being recorded under the name of:

Official's Name	Jane Trams	Certification Date	6/7/2016
Title	None	PIN	<input type="text" value="1234"/>

Affirmation of Attorney

Affirmation of Applicant's Attorney As the undersigned Attorney for the above named Applicant, I hereby affirm to the Applicant that it has authority under State, local, or tribal government law, as applicable, to make and comply with the Certifications and Assurances as indicated on the foregoing pages. I further affirm that, in my opinion, the Certifications and Assurances have been legally made and constitute legal and binding obligations on it.

I further affirm that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these Certifications and Assurances, or of the performance of its FTA Project or Projects.

Each Applicant for FTA funding and each FTA Grantee with an active Capital or Formula Project must provide an Affirmation of Applicant's Attorney pertaining to the Applicant's legal capacity. The Applicant may enter its signature in lieu of the Attorney's signature, provided the Applicant has on file this Affirmation, signed by the attorney and dated this Federal fiscal year.

Attorney's Name
NOT SIGNED

Certification Date
N/A

Certify Affirmation

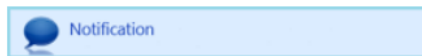
Please note that this verification is being recorded under the name of:

Attorney's Name	Jane Trams	Certification Date	6/7/2016
Title	None	PIN	<input type="text"/>

~~d) A confirmation message will display after the official has pinned the Certificates & Assurances indicating that the process is not complete until the Attorney has also logged his approval.~~

Certifications & Assurances | FY 2016 C&As Not Final

Confirmation Message



You have successfully logged the Affirmation of Applicant.

However, the Affirmation of Attorney has not been logged for FY 2016 C&As. The Attorney must also log approval for the C&As before they are final.

~~5) The attorney must repeat the above steps or if the attorney preceded the official then the official will need to repeat the above steps in order for the C&A's to be considered approved.~~



Affirmation of Attorney

Affirmation of Applicant's Attorney As the undersigned Attorney for the above named Applicant, I hereby affirm to the Applicant that it has authority under State, local, or tribal government law, as applicable, to make and comply with the Certifications and Assurances as indicated on the foregoing pages. I further affirm that, in my opinion, the Certifications and Assurances have been legally made and constitute legal and binding obligations on it.

I further affirm that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these Certifications and Assurances, or of the performance of its FTA Project or Projects.

Each Applicant for FTA funding and each FTA Grantee with an active Capital or Formula Project must provide an Affirmation of Applicant's Attorney pertaining to the Applicant's legal capacity. The Applicant may enter its signature in lieu of the Attorney's signature, provided the Applicant has on file this Affirmation, signed by the attorney and dated this Federal fiscal year.

Attorney's Name
NOT SIGNED

Certification Date N/A

Certify Affirmation

Please note that this verification is being recorded under the name of:

Attorney's Name Jane Trams
Title None

Certification Date 6/7/2016

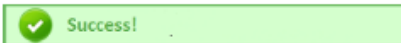
PIN

Certify

Cancel

6) A success Message will be received when both the Attorney and the Official have successfully pinned the Certifications and Assurances.

Recipient Organization | Certs & Assurances Executed



Certifications and Assurances for FY 2016 have been executed

Close

4.3.5 Related Action: Fleet Status

Fleet information associated with your organization may be managed from the 'Fleet Status' related action. Fleet Status may also be adjusted during the application transmission process when 'Rolling Stock' budget activity line items are included in the application. The fleet information associated with an organization at the time of award execution will be the fleet information associated with that award.

To view and manage fleet details applicable to your recipient organization:

- 1) Click 'Fleet Status'.
- 2) The 'Fleet Status | Recipient Fleet Summary' form will be displayed.
- 3) Click the 'Add' button if you wish to add a new 'Fleet Type'.



Fleet Status | Recipient Fleet Summary

Recipient Profile Information

Recipient ID 9900

Recipient Name Trams Transit Organization

Existing Fleet

	Fleet Type	Peak Requirement	Spares	Spare Ratio	Contingency	Pending Disposal	Total
<input type="checkbox"/>	Light Rail	5	2	40%	1	1	9
<input type="checkbox"/>	Heavy Rail	1	0	0%	0	0	1

Add

Update

Delete

Close

- 4) ~~The 'Fleet Status | Recipient Fleet Summary' form will be displayed. Complete the following under the 'Update Fleet Details' section:~~
 - a) ~~Use the drop-down menu provided under the 'Fleet Type' field to select the appropriate fleet type. You will not be able to save more than one fleet type.~~
 - b) ~~Enter a brief description of your fleet in the 'Fleet Details' field.~~
 - c) ~~Enter a positive number in the 'Peak Requirement' field.~~
 - d) ~~Enter a positive number in the 'Spares' field.~~
 - e) ~~Enter a positive number in the 'Contingency' field.~~
 - f) ~~Enter a positive number in the 'Pending Disposal' field.~~

- 5) ~~Once all data has been entered:~~
 - a) ~~Click the 'Save' button to return to the 'Recipient Fleet Summary' form. The new information should now display in the grid.~~
 - b) ~~Or click 'Cancel' to return to the summary form without saving~~



Fleet Status | Fleet Details

Recipient Profile Information

Recipient ID 9900 Recipient Name Trams Transit Organization

Update Fleet Details

Fleet Type
Select the Fleet Type to be added

Fleet Details
Please provide a brief description of your fleet

Active Fleet

Peak Requirement
Please enter the number of Peak Requirements

Spares
Please enter the number of Spares

Inactive Fleet

Contingency
Please enter the number of Inactive Contingency Fleet

Pending Disposal
Please enter Inactive Fleet that are pending disposal

6) To make updates to fleet information displayed, select the checkbox next to the 'Fleet Type' and click the 'Update' button.

Fleet Status | Recipient Fleet Summary

Recipient Profile Information

Recipient ID 9900 Recipient Name Trams Transit Organization

Existing Fleet

	Fleet Type	Peak Requirement	Spares	Spare Ratio	Contingency	Pending Disposal	Total
<input type="checkbox"/>	Light Rail	5	2	40%	1	1	9
<input type="checkbox"/>	Heavy Rail	1	0	0%	0	0	1
<input type="checkbox"/>	Waterbourne	60	6	10%	3	0	69



- 7) An editable 'Fleet Status | Fleet Details' form will be displayed. The form will display the existing fleet information for reference.
- a) Click the 'Save' button after you have finished making all changes or
 - b) Click 'Cancel' to return to the Fleet Summary screen without making any changes.

Fleet Status | Fleet Details

Recipient Profile Information

Recipient ID 9900

Recipient Name Trams Transit Organization

Existing Fleet Summary

I. Existing Active Fleet		II. Existing Inactive Fleet	
A. Peak Requirement	60	A. Contingency	3
B. Spares	6	B. Pending Disposal	0
C. Total Active Fleet (A + B)	66	C. Total Inactive Fleet (A + B)	3
D. Spare Ratio (B / A)	10%		
Total Fleet (I.C and II.C)	69		

Update Fleet Details

Fleet Type Waterbourne

Fleet Details

Please provide a brief description of your fleet

Active Fleet

Peak Requirement

Please enter the number of Peak Requirements

Spares

Please enter the number of Spares

Inactive Fleet

Contingency

Please enter the number of Inactive Contingency Fleet

Pending Disposal

Please enter Inactive Fleet that are pending disposal



- 8) If you wish to delete an existing fleet type, select the check box for the fleet type from the 'Existing Fleet' grid and then click the 'Delete' button.

Fleet Status | Recipient Fleet Summary

Recipient Profile Information

Recipient ID 9900

Recipient Name Trams Transit Organization

Existing Fleet

	Fleet Type	Peak Requirement	Spare	Spare Ratio	Contingency	Pending Disposal	Total
<input type="checkbox"/>	Light Rail	5	2	40%	1	1	9
<input checked="" type="checkbox"/>	Heavy Rail	1	0	0%	0	0	1
<input type="checkbox"/>	Waterbourne	60	6	10%	8	0	74

Add

Update

Delete

Close

- 9) Click on the 'Close' button to return to the 'Related Actions' menu.

4.3.6 Related Action: Congressional Districts

The Congressional District information associated with your recipient organization may be managed by users in the recipient organization. Users may add and delete congressional districts. To update existing district information, you will need to delete and add the district again.

To view and manage congressional districts applicable to your recipient organization:

- 1) Click 'Congressional Districts'.
- 2) The '[Recipient Name] | Manage Congressional Districts' form will be displayed. To add a new Congressional District:
 - a) Use the provided drop down menu under the 'Congressional District' field to select a congressional district number.
 - b) Use the provided drop down menu under the 'State' field to select the state that the congressional district is located in.
 - c) Enter the appropriate representative name for the new congressional district into the 'Representative Name' field.
 - d) Click the 'Add' button. The system will show the newly added district in the 'Current Congressional Districts' grid.



Note: You may click on the ‘U.S. House of Representatives Search’ link to find the appropriate representative for a given congressional district. The system will direct you to the search function on the U.S. House of Representatives website.

- 3) If you wish to delete a congressional district from the grid, select the check box for the congressional district you wish to remove and then click the ‘Delete’ button. The system will remove the congressional district from the grid.
- 4) Click on the ‘Close’ button to return to the ‘Related Actions’ menu.

Trams Transit Organization | Manage Congressional Districts

Current Congressional Districts

	Congressional District	State	Representative Name
<input type="checkbox"/>	1	Florida	Mark Kelly
<input type="checkbox"/>	2	Florida	Kevin Love III

Visit the following site to find Congressional Districts by zip code:



Add New Congressional District

* Congressional District

* State

* Representative Name

Fields marked with * are required.

4.3.7 Related Action: Direct Recipients

For organizations that are Designated Recipients, recipient users can view and manage the Direct Recipients that are associated to the organization and available for apportionment suballocations.

To view and manage direct recipients applicable to your recipient organization:

- 1) Click ‘Direct Recipients’.
- 2) You will be taken to the ‘[Recipient Name] | Manage Direct Recipients’ form for the selected recipient organization. The direct recipients associated with the recipient organization are listed and displayed in grid format.



Trams Transit Organization | Manage Direct Recipients

The following Direct Recipients are currently associated with this organization:

	Direct Recipient Name	DUNS
<input type="checkbox"/>	Trams Transit Organization	999999999
<input type="checkbox"/>	Transportation, Florida Department Of	809397102

3) If there are no direct recipients associated, the grid will be empty.

4) Click the 'Add' button if you wish to add a direct recipient.

Trams Transit Organization | Manage Direct Recipients

The following Direct Recipients are currently associated with this organization:

	Direct Recipient Name	DUNS
No items available		

5) The '[Recipient Name] | Search for Direct Recipients' form will be displayed.

a) Enter an organization's 4 digit recipient ID into the 'Recipient ID' field and then

b) Click the 'Search' button.

c) Clicking the 'Cancel' button on this form returns you to the '[Recipient Name] | Manage Direct Recipients' page.

Trams Transit Organization | Search for Direct Recipient

Enter a Recipient ID to search TrAMS for a direct recipient organization

Recipient ID

6) The '[Recipient Name] | Add New Direct Recipient' form will be displayed.

a) Click the 'Add' button to add the recipient as a direct recipient.



Trams Transit Organization | Add New Direct Recipient

Direct Recipient Organization Details

Name Trams Transit Organization
 Grantee ID 9900
 DUNS 999999999
 Organization Type Transit Authority

Direct Recipient Locations

Location Type	Address	Address Line 2	City	State	ZIP
Headquarters	1234 Main St		Orlando	FL	12345
Physical Address	1234 Main St		Orlando	FL	12345
Mailing Address	1234 Main St		Orlando	FL	12345

Add Cancel

- b) Click the 'Cancel' button to return to the '[Recipient Name] | Manage Direct Recipients' page.
- 7) After adding the system will return you to the '[Recipient Name] | Manage Direct Recipients' form. The newly added direct recipient will be added to the direct recipients grid.
- 8) To remove an existing direct recipient from the grid, select the check box for the direct recipient you want to remove and then click the 'Delete' button. The recipient will be removed from the grid.

Trams Transit Organization | Manage Direct Recipients

The following Direct Recipients are currently associated with this organization:

	Direct Recipient Name	DUNS
<input type="checkbox"/>	Trams Transit Organization	999999999

Add Delete Cancel

- 9) Click the 'Cancel' button to return to the 'Related Actions' menu.

4.3.8 Related Action: POC and Union Information

The Point of Contact and Union information for your organization will be used to populate award POC and Union information. The system also uses POC information to send email notifications about certain



system activities (e.g. email reminders for C&A's or notifications of award execution tasks). All users of a recipient organization will have access to view POC and Union information.

Adding, updating, and the deletion of POC and Union information are limited to recipient users that have the 'User Manager' role.

To view and manage POC and union information applicable to your recipient organization:

- 1) Click 'POC and Union Information'.
- 2) The '[Recipient Name] | Recipient Point of Contact/Union Information Search' form will be displayed for your recipient organization.
- 3) Click the 'Add' button to add a new point of contact.

Trams Transit Organization | Grantee Point of Contact/Union Information Search

Grantee Information

Grantee ID 9900

Grantee Name Trams Transit Organization

Search Criteria

To view POC/Union Information, select a Contact Type from the list below and click on the 'Search' button.

Contact Type

-- All --



Search

Add

Cancel

Note: You must be logged on as your recipient organization's User Manager to be able to add new POC as shown in the following steps. All other users will see a read-only view of the screens. If you are not a User Manager you will only see the 'Search' and 'Cancel' buttons.

- 4) The system will check to see if you are adding Union or POC not associated with a Union.
- 5) Select a radio button option:
 - a) Select 'Yes, I want to create a new Union Point of Contact' for Union Contacts
 - b) Select 'No, I want to create a new Point of Contact not associated with a Union', for all other type of Contacts.

Will the new Grantee Point of Contact be entered for a Union?

- Yes, I want to create a new Union Point of Contact
- No, I want to create a new Point of Contact not associated with a Union

Continue

Cancel



6) If 'Yes, I want to create a new Union Point of Contact' was selected the system will direct you to the 'Recipient Points of Contact | Add New Union Details' form. Complete all required fields as indicated by the * and then click the 'Add' button.

Grantee Points of Contact | Add New Union Details

Grantee Information

Grantee ID 9900

Grantee Name Trams Transit Organization

Point of Contact (POC) Information

Please Note: An asterisk (*) denotes a required field.

*Union Name	<input type="text"/>	*Phone	<input type="text"/>
*Title	<input type="text"/>	FAX Number	<input type="text"/>
*First Name	<input type="text"/>	*Email Address	<input type="text"/>
*Last Name	<input type="text"/>	Alternate Phone Number	<input type="text"/>
*Street Address	<input type="text"/>	Website Address	<input type="text"/>
	<input type="text"/>		
*City	<input type="text"/>		
*State	Select State ... <input type="button" value="v"/>		
*ZIP	<input type="text"/>		
ZIP (4-digit extension)	<input type="text"/>		

Statewide Application? Yes, Statewide Application
 No, not a Statewide Application

7) If 'No, I want to create a new Point of Contact not associated with a Union' is selected, the system will direct you to the 'Recipient Points of Contact | Add New Contact Details' form.

8) Complete all required fields as indicated by the asterisks (*). For non-union information select all 'Contact Types' that apply and then click the 'Add' button.



Grantee Points of Contact | Add New Contact Details

Grantee Information

Grantee ID 9900

Grantee Name Trams Transit Organization

Point of Contact (POC) Information

Please Note: An asterisk (*) denotes a required field.

*Title	<input type="text"/>	*Phone	<input type="text"/>
*First Name	<input type="text"/>	FAX Number	<input type="text"/>
*Last Name	<input type="text"/>	*Email Address	<input type="text"/>
*Street Address	<input type="text"/>	Alternate Phone Number	<input type="text"/>
	<input type="text"/>	Website Address	<input type="text"/>
*City	<input type="text"/>		
*State	Select State ... <input type="button" value="v"/>		
*ZIP	<input type="text"/>		
ZIP (4-digit extension)	<input type="text"/>		

Contact Type (Please select at least one applicable checkbox below):

- | | |
|---|---|
| <input type="checkbox"/> Chief Executive Officer (CEO) | <input type="checkbox"/> Section 504 |
| <input type="checkbox"/> Metropolitan Planning Organization (MPO) | <input type="checkbox"/> ECHO |
| <input type="checkbox"/> Equal Employment Opportunity (EEO) | <input type="checkbox"/> Grants |
| <input type="checkbox"/> Disadvantaged Business Enterprises (DBE) | <input type="checkbox"/> General FTA Issues |
| <input type="checkbox"/> Title VI | |

Add

Cancel

9) The system will save all details and return you to the 'Recipient Name | Recipient Point of Contact/Union Information Search' form.

10) To search for an existing recipient POC, select the appropriate contact type from the drop-down menu provided under the 'Contact Type' field and then click the 'Search' button.



Trams Transit Organization | Grantee Point of Contact/Union Information Search

Grantee Information

Grantee ID 9900

Grantee Name Trams Transit Organization

Search Criteria

To view POC/Union Information, select a Contact Type from the list below and click on the 'Search' button.

Contact Type

Search

Add

Cancel

11) Depending on the 'Contact Type' selected, either the 'Grantee Points of Contact | Recipient Contact information' or the 'Grantee Points of Contact | Grantee Union Information' form will be displayed.

12) Select the checkbox for a POC in the list and then click the 'Modify' button if you wish to modify its details. Users without the 'User Management' role will see a 'View' button instead.

Grantee Points of Contact | Grantee Contact Information

Grantee Information

Grantee ID 9900

Grantee Name Trams Transit Organization

Union Information

	Union Name	Title	Contact Name	Phone	Email
<input checked="" type="checkbox"/>	Florida Teamsters for FTA	Union Leader	Martin Kafka	888-888-9900	fake@fake.com

Modify

Cancel

13) The 'Grantee Points of Contact | Contact Details' or the 'Grantee Points of Contact | Union Details' form will be displayed. Modify all applicable fields as needed and then click the 'Save' button. Users without the 'User Manager' role will see a read only form and will need to click 'Cancel'.



Grantee Points of Contact | Contact Details

Grantee Information

Grantee ID 9900

Grantee Name Trans Transit Organization

Point of Contact (POC) Information

Please Note: An asterisk (*) denotes a required field.

*Title	DBE Ambassador	*Phone	888-800-9000
*First Name	Meg	FAX Number	
*Last Name	Ay	*Email Address	fake@fake.com
*Street Address	1500 Independence Avenue	Alternate Phone Number	
		Website Address	
*City	Orlando		
*State	Florida		
*ZIP	99999		
ZIP (4-digit extension)			

Contact Type (At least one checked Contact Type below is required):

- | | |
|--|---|
| <input type="checkbox"/> Chief Executive Officer (CEO) | <input type="checkbox"/> Section 504 |
| <input type="checkbox"/> Metropolitan Planning Organization (MPO) | <input type="checkbox"/> ECHO |
| <input type="checkbox"/> Equal Employment Opportunity (EEO) | <input type="checkbox"/> Grant |
| <input checked="" type="checkbox"/> Disadvantaged Business Enterprises (DBE) | <input type="checkbox"/> General FTA Issues |
| <input type="checkbox"/> Title VI | |

Save Cancel

14) The system will save the information entered and return you to '[Recipient Name] | Recipient Point of Contact/Union Information Search' form.

15) Click the 'Cancel' button to return to the 'Related Actions' menu.

4.3.9 Related Action: Sync Recipient Organization with SAM

Some recipient organization details, such as addresses and DUNS information, are brought into TrAMS via a nightly sync with SAM. If the recipient has updated organizational information in SAM, recipient users have the option to sync with SAM immediately or wait for the nightly sync to occur.

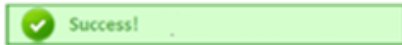
To sync your recipient organization's system record with information in the SAM.gov website immediately:

- 1) Click 'Sync Recipient Organization with SAM'.
- 2) The system will update its information from SAM and show you a success message.



3) Click on the 'Close' button to return to the 'Related Actions' menu.

SAM Sync | Trams Transit Organization



Your organization has successfully been synoed with SAM

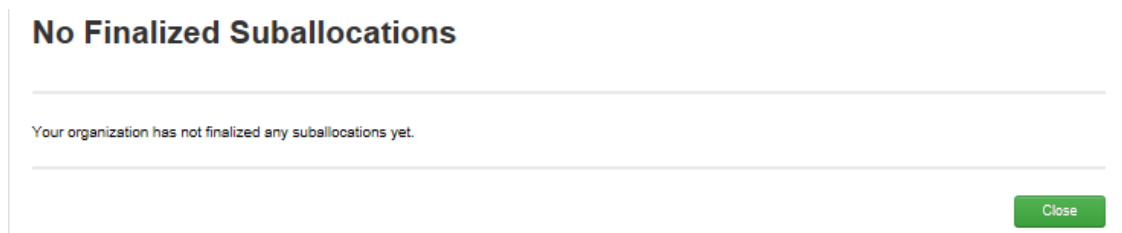
Close

4.3.10 Related Action: Formula Suballocations

Suballocations allow designated recipient organizations to distribute formula funds among their direct recipients. After the formula apportionments are published by the FTA, designated recipient organizations will need to allocate the funds for a given state or UZA to direct recipients. Users with the 'Submitter' role for the designated recipient organizations will receive a task to perform the initial suballocation. The 'Formula Suballocation' related action may be used to view and make changes after the initial suballocation has been finalized.

1) Click 'Formula Suballocations'.

a) If no finalized suballocations exist for your recipient organization, the 'No Finalized Suballocations' form will be displayed with the following message: 'Your organization has not finalized any suballocations yet.'



b) If the 'Submitter' has finalized the suballocations, the 'Modify Suballocated Funds' form will be displayed.



Suballocations | Modify Suballocated Funds

Suballocation Details

UZA Code	120690	Fiscal Year	2016
Area Name	Orlando, FL	Original Apportionment	\$31,192,399
Funding Program	5307-2A	Current Suballocation	\$20,000,000
Designated Recipient Name	Trams Transit Organization	Remaining Suballocation	\$11,192,399

Suballocate Funds to Direct Recipients

As the Designated Recipient, FTA requires you to indicate any subapportionments of UZA/State funds for this formula program that will be made to other organizations.

Note: These organizations will now become Direct Recipients for FTA funds and will be able to apply directly for these funds in TrAMS.

Direct Recipient Suballocations

<input type="checkbox"/>	Recipient ID	Recipient Name	Suballocation Amount
<input type="checkbox"/>	9900	Disneyland Transit Organization	\$20,000,000

Delete Selected

Add New Suballocation

Direct Recipient Name Suballocation Amount

Add Suballocation

Split Letter

Upload Split Letter (New Version) No file selected. Existing Split Letter [Split letter](#)

Document Name

Finalize Cancel

2) To add a new suballocation:

- Use the drop-down menu provided under the 'Direct Recipient Name' field to select the recipient of the new suballocation.
- Enter the suballocation amount into the 'Suballocation Amount' field.
- Click the 'Add Suballocation' button. The new suballocation will be added to the grid.



Add New Suballocation

Direct Recipient Name Transportation, Florida Department Of	Suballocation Amount \$43,000,000
<input type="button" value="Add Suballocation"/>	

Split Letter

Upload Split Letter (New Version) <input type="button" value="Browse..."/> No file selected.	Existing Split Letter Split letter
Document Name <input type="text"/>	

3) Click the 'Browse...' button under the 'Upload Split Letter' field to select a local file to upload as the split letter. The split letter uploaded will replace the previous uploaded split letter.

4) If you wish to delete an existing suballocation from the grid, select the check box for the suballocation to remove and then click the 'Delete Selected' button.

Suballocate Funds to Direct Recipients

As the Designated Recipient, FTA requires you to indicate any subapportionments of UZA/State funds for this formula program that will be made to other organizations.

Note: These organizations will now become Direct Recipients for FTA funds and will be able to apply directly for these funds in TrAMS.

Direct Recipient Suballocations

<input checked="" type="checkbox"/>	Recipient ID	Recipient Name	Suballocation Amount
<input checked="" type="checkbox"/>	9900	Trams Transit Organization	\$20,000,000

5) To modify the Suballocation amount for a direct recipient:

a) Select the 'Direct Recipient' in the grid

b) Delete the 'Direct Recipient' by clicking the 'Delete Selected' button.

c) The 'Direct Recipient' is removed from the grid and is now available from the 'Direct Recipient Name' drop-down box. Select the recipient

d) Allocate the new amount to the recipient and click 'Add Suballocation'.

6) Click on the 'Finalize' button to accept the changes.

7) A success message is shown click the 'Close' button to return to the related actions menu.



Recipient Organization | Suballocation re-Finalized



Suballocations re-finalized for 5307-2A for Alaska for 2016

Close

4.3.11 Task: Allocate Apportionments

If your organization is a Designated Recipient, after FTA has published the formula apportionments for the fiscal year, users with the role of 'Submitter' will receive a Task to 'Allocate Apportionment' for each funding program designated. This task will allow the designated recipient to finalize the initial suballocation for the given funding program.



TrAMS G9900 Submitter

Allocate Apportionment (UZA: 120690, Section: 90)

A moment ago ☆

To finalize a suballocation as a Submitter:

1) Accept the Task:

You must accept this task before completing it

Accept

Go Back

Suballocations | Suballocate Funds to Direct Recipients

Suballocation Details

UZA Code 120690
Area Name Orlando, FL

Fiscal Year 2016
Original Apportionment \$108,000,000

2) The 'Suballocate Funds to Direct Recipients' form will be displayed.



Suballocations | Suballocate Funds to Direct Recipients

Suballocation Details

UZA Code	120690	Fiscal Year	2016
Area Name	Orlando, FL	Original Apportionment	\$108,000,000
Funding Program	5307-2A	Current Suballocation	\$93,000,000
Designated Recipient Name	Trams Transit Organization	Remaining Suballocation	\$15,000,000

Suballocate Funds to Direct Recipients

As the Designated Recipient, FTA requires you to indicate any subapportionments of UZA/State funds for this formula program that will be made to other organizations.

Note: These organizations will now become Direct Recipients for FTA funds and will be able to apply directly for these funds in TrAMS.
Direct Recipient Suballocations

You may complete the 'Task' in part and return it to the 'Task' queue with your changes saved using the 'Save and Continue Later' button.

3) To add a new suballocation:

- Use the drop-down menu provided under the 'Direct Recipient Name' field to select the recipient of the new suballocation.
- Enter the suballocation amount into the 'Suballocation Amount' field.

Add New Suballocation

Direct Recipient Name	Suballocation Amount
<input type="text" value="Transportation, Florida Department Of"/>	<input type="text" value="\$43,000,000"/>
<input type="button" value="Add Suballocation"/>	

Split Letter

Upload Split Letter (New Version)	Existing Split Letter
<input type="button" value="Browse..."/> No file selected.	<input type="text" value="Split letter"/>
Document Name	
<input type="text"/>	

- Click the 'Add Suballocation' button. The new suballocation will be added to the grid.



Suballocations | Suballocate Funds to Direct Recipients

Suballocation Details

UZA Code	120690	Fiscal Year	2016
Area Name	Orlando, FL	Original Apportionment	\$108,000,000
Funding Program	5307-2A	Current Suballocation	\$93,000,000
Designated Recipient Name	Trams Transit Organization	Remaining Suballocation	\$15,000,000

Suballocate Funds to Direct Recipients

As the Designated Recipient, FTA requires you to indicate any subapportionments of UZA/State funds for this formula program that will be made to other organizations.

Note: These organizations will now become Direct Recipients for FTA funds and will be able to apply directly for these funds in TrAMS.

Direct Recipient Suballocations

<input type="checkbox"/>	Recipient ID	Recipient Name	Suballocation Amount
<input type="checkbox"/>	9900	Trams Transit Organization	\$50,000,000
<input type="checkbox"/>	1001	Transportation, Florida Department Of	\$43,000,000

Delete Selected

- 4) Click the 'Browse...' button under the 'Upload Split Letter' field to select a local file to upload as the split letter. The split letter uploaded will replace the previous uploaded split letter.
- 5) If you wish to delete an existing suballocation from the grid, select the check box for the suballocation to remove and then click the 'Delete Selected' button.

Suballocate Funds to Direct Recipients

As the Designated Recipient, FTA requires you to indicate any subapportionments of UZA/State funds for this formula program that will be made to other organizations.

Note: These organizations will now become Direct Recipients for FTA funds and will be able to apply directly for these funds in TrAMS.

Direct Recipient Suballocations

<input type="checkbox"/>	Recipient ID	Recipient Name	Suballocation Amount
<input type="checkbox"/>	9900	Trams Transit Organization	\$50,000,000
<input checked="" type="checkbox"/>	1001	Transportation, Florida Department Of	\$43,000,000

Delete Selected

- 6) To modify the Suballocation amount for a direct recipient:
 - a) Select the 'Direct Recipient' in the grid
 - b) Delete the 'Direct Recipient' by clicking the 'Delete Selected' button.
 - c) The 'Direct Recipient' is removed from the grid and is now available from the 'Direct Recipient Name' drop down box. Select the recipient



d) Allocate the new amount to the recipient and click 'Add Suballocation'.

7) Click on the 'Finalize' button to accept the changes and finish the suballocations task.

Split Letter


Upload Split Letter (New Version) Existing Split Letter

No file selected. Split letter

Document Name

8) A success message is shown. Click the 'Close' button to finish and return to the Tasks tab.

Recipient Organization | Suballocation Finalized

 Success!

Suballocations finalized for 5307-2A for Orlando, FL for 2016



5—Civil Rights Management

5.1—Civil Rights Compliance Requirements

A recipient must maintain its compliance with FTA civil rights programs in order to remain in a fundable status. FTA will be responsible for ensuring that the required documentation and submissions concerning Civil Rights are completed by the recipient. If the FTA Regional Civil Rights Officer identifies a civil rights compliance issue, the recipient will be in non-fundable status, in which case FTA will work toward fixing the non-compliance through coordination with internal parties. FTA's determination that a recipient is in non-fundable status on the basis of non-compliance with civil rights requirements, including non-compliance in addressing any outstanding compliance deficiency findings or resolving any outstanding concerns, is made at the recipient level, not on the basis of a particular application. There is the chance that a particular application could raise new compliance issues, in which case FTA will work with the recipient to resolve them.

5.2—Overview of Programs

To ensure continued fundable status of recipients, the FTA Regional Civil Rights Officer will be responsible for ensuring that the required documentation and submissions concerning the four main Civil Rights programs are completed by recipients and recorded in TrAMS:

- Equal Employment Opportunity (EEO)
- Disadvantaged Business Enterprise (DBE) Program
- DBE Goal
- Title VI of the Civil Rights Act of 1964

Civil Rights information is viewable and updatable from the Recipient Records.

To update or view your civil rights information

- 1) Find your recipients Record and then click the 'Related Actions'.
- 2) Click 'Civil Rights Information'.

A screenshot of the TrAMS user interface. At the top, it says 'Records / Recipient Organization'. Below that is a header for 'Trams Transit Organization | TRAMS' with a 'Follow' button. There are three menu items, each with a lightning bolt icon: 'Recipient Documents' (Manage Recipient Documents), 'Civil Rights Information' (Manage Civil Rights Information), and 'Certifications & Assurances' (Manage Certifications and Assurances).



- 3) The 'Civil Rights Compliance' form will be displayed. The four civil rights programs with their current statuses for the selected recipient are listed and displayed in grid format under the 'Status History' section.
- 4) To view additional details or make updates select the program to view and click 'Continue'
- 5) To leave the form and return to the 'Related Actions' menu, click the 'Cancel' button.

Trams Transit Organization | Civil Rights Compliance

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
----------------------	--

Status History

<input type="checkbox"/>	Program Name	Program Status	Submitted Date	Concur Date	Due Date	Expiration Date
<input type="checkbox"/>	EEO Program	In Review - Submitted to FTA	6/8/2016	6/8/2016	6/10/2016	7/1/2017
<input type="checkbox"/>	DBE Program	Concur		6/8/2016	6/3/2016	4/5/2017
<input type="checkbox"/>	DBE Goal	Concur		6/8/2016	6/15/2016	9/30/2016
<input type="checkbox"/>	Title VI Program	Concur		6/8/2016	6/30/2016	6/30/2018

Cancel
Continue

Note: Only recipient users with the Civil Rights role will be able to modify and submit civil rights information for their organization other user will have view only rights. Information may only be edited when the Program Statuses are 'In Review - Returned to Grantee', 'Expired' or 'Concur'.

5.2.1 EEO Program

The Equal Employment Opportunity (EEO) provides that "no person in the United States shall on the grounds of race, color, religion, national origin, sex, or age be excluded from the participation in, be denied the benefits of, or be subjected to discrimination under any project, program or activity funded in whole or in part through financial assistance under this Act." This applies to employment and business opportunities and is considered to be in addition to the provisions of Title VI of the Civil Rights Act of 1964.

Recipients must demonstrate that they have developed, implemented, and maintained an effective Equal Employment Opportunity Program to ensure that they do not discriminate against any employees or applicants for employment because of race, color, religion, sex, disability, age or national origin.



To update your organization's Equal Employment Opportunity (EEO) program information:

- 1) From the 'Civil Rights Compliance' page select the check box for 'EEO Program' and then click the 'Continue' button.
- 2) The 'Equal Employment Opportunity (EEO) Program' form will be displayed.
 - a) To discard any changes made,
 - b) Click the 'Cancel' button to return to the 'Civil Rights Compliance' form.
 - c) Click the 'Save Changes' button at any time to save all information entered on the form.

Civil Rights Compliance | Equal Employment Opportunity (EEO) Program

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
----------------------	--

Program Status

Status Date Jun 8, 2016	Due Date Jun 10, 2016
Program Status Concur	Expiration Date Jul 1, 2017

Status History

Status	Date	User
Concur	6/8/2016 9:14 AM EDT	region4.civilrights1
In Review - Submitted to FTA	6/8/2016 9:01 AM EDT	region4.civilrights1
Incomplete	3/9/2016 7:36 PM EST	region4.supervisor1

Existing Document Details

Document Type	Document Name	Program Begin and End Dates	Date Uploaded	Uploaded By
No items available				

[Manage Documents](#)



Comments

Civil Rights Program Comments

Existing Comments

Please scroll within the box to see all existing comments.

3) Click the 'Manage Documents' button to add or delete any related documents.

4) To delete any existing documents,

a) Select the check box for the document from the 'Existing Document Details' grid and then click the 'Delete' button

Manage Civil Rights Documents: EEO Program

Grantee Details

Grantee ID 9900	Grantee Name Trams Transit Organization
--------------------	--

Existing Document Details

<input type="checkbox"/>	Document Type	Document Name	Program Begin and End Dates	Date Uploaded	Uploaded By
<input type="checkbox"/>	EEO Program	EEO test document	June 2015 - June 2016	6/8/2016 9:23 AM EDT	Civil Rights1 TRAMS
<input checked="" type="checkbox"/>	EEO Program	EEO Document Delete		6/8/2016 9:24 AM EDT	Civil Rights1 TRAMS

5) To add a document,

a) Use the drop-down menu provided under the 'Document Type' field to select a document type.

b) Enter the name for the document into the 'Document Name' field.

c) Enter the date range for the program's duration into the 'Program Begin and End Dates' field.

d) Click the 'Browse...' button under the 'Civil Rights Document' field to select a local file to upload.

e) Click the 'Remove' link which appears after upload if you wish to remove your uploaded file.



6) Once finished, click the 'Save' button to add the document. The document will be added to the grid under 'Existing Document Details'.

7) Click the 'Close' button to return to the 'EEO Program' form.

Note: At a minimum, the 'Document Type' field must be completed and a document must be uploaded in order to save a new document to the program.

Manage Civil Rights Documents: EEO Program

Grantee Details

Grantee ID 9900	Grantee Name Trams Transit Organization
--------------------	--

Existing Document Details

<input type="checkbox"/>	Document Type	Document Name	Program Begin and End Dates	Date Uploaded	Uploaded By
<input type="checkbox"/>	EEO Program	EEO test document	June 2015 - June 2016	6/8/2016 9:23 AM EDT	Civil Rights1 TRAMS

Upload Document

Document Type

Document Name

Program Begin and End Dates

Civil Rights Document

8) Enter any comments into the optional 'Civil Rights Program Comments' field and then click the 'Submit' button to submit the program for FTA review.



Comments

Civil Rights Program Comments

Comments to FTA go here

Existing Comments

Placeholder for existing comments, showing a scrollable area with up and down arrows.

Please scroll within the box to see all existing comments.

Submit

Save Changes

Cancel

9) You will be returned to the 'Civil Rights Compliance' form and the submitted program will now show in the grid the updated status of 'In Review - Submitted to FTA' and an updated 'Submitted Date'.

5.2.2 DBE Program

Disadvantaged Business Enterprise (DBE) Program is an affirmative action program designed to combat discrimination and its continuing effects by providing contracting opportunities on Federally funded highway, transit, and airport projects for small businesses owned and controlled by socially and economically disadvantaged individuals. If required, the recipient must have an approved DBE program.

To update your organization's DBE Program information:

- 1) From the 'Civil Rights Compliance' page, select the check box for the 'DBE Program' and then click the 'Continue' button.
- 2) The 'Disadvantaged Business Enterprise (DBE) Program' form will be displayed.
 - a) To discard any changes made, click the 'Cancel' button and return to the 'Civil Rights Compliance' form.
 - b) Click the 'Save Changes' button at any time to save all information entered on the form.



Civil Rights Compliance | Disadvantaged Business Enterprise (DBE) Program

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
----------------------	--

Program Status

Status Date Jun 8, 2016	Due Date Jun 3, 2016
Program Status Concur	Expiration Date Apr 5, 2017

Status History

Status	Date	User
Concur	6/8/2016 9:13 AM EDT	region4.civilrights1
Incomplete	3/9/2016 7:36 PM EST	region4.supervisor1

Existing Document Details

Document Type	Document Name	Program Begin and End Dates	Date Uploaded	Uploaded By
DBE Program	Jellyfish		6/8/2016 9:12 AM EDT	region4.civilrights1

Manage Documents

Comments

Civil Rights Program Comments

Existing Comments

Please scroll within the box to see all existing comments.

Submit

Save Changes

Cancel

3) Click the 'Manage Documents' button to add or delete any related documents.



4) The 'Manage Civil Rights Documents: DBE Program' form will be displayed.

Manage Civil Rights Documents: DBE Program

Grantee Details

Grantee ID 9900	Grantee Name Trams Transit Organization
--------------------	--

Existing Document Details

<input type="checkbox"/>	Document Type	Document Name	Program Begin and End Dates	Date Uploaded	Uploaded By
<input type="checkbox"/>	DBE Program	Jellyfish		6/8/2016 9:12 AM EDT	region4.civilrights1

Upload Document

Document Type

Document Name

Program Begin and End Dates

Civil Rights Document

5) To delete any existing documents,

- a) Select the check box for the document from the 'Existing Document Details' grid and then click the 'Delete' button
- b) To discard any changes made, click the 'Close' button to return to the 'Disadvantaged Business Enterprise (DBE) Program' form.

Manage Civil Rights Documents: DBE Program

Grantee Details

Grantee ID 9900	Grantee Name Trams Transit Organization
--------------------	--

Existing Document Details

<input checked="" type="checkbox"/>	Document Type	Document Name	Program Begin and End Dates	Date Uploaded	Uploaded By
<input checked="" type="checkbox"/>	DBE Program	Jellyfish		6/8/2016 9:12 AM EDT	region4.civilrights1



6) To add a document,

- a) Use the drop-down menu provided under the 'Document Type' field to select a document type.
- b) Enter the name for the document into the 'Document Name' field.
- c) Enter the date range for the program's duration into the 'Program Begin and End Dates' field.
- d) Click the 'Browse...' button under 'Civil Rights Document' field to select a local file to upload.
- e) Click the 'Remove' link which appears after upload if you wish to remove your uploaded file.

7) Once finished, click the 'Save' button to add the document. The document will be added to the grid under 'Existing Document Details'.

8) Click the 'Close' button to return to the 'Disadvantaged Business Enterprise (DBE)' form.

Note: At a minimum, the 'Document Type' field must be completed and a document must be uploaded in order to save a new document to the program.

Upload Document

Document Type

DBE Program

Document Name

Sample Document for Guide purposes

Program Begin and End Dates

June 2016- June 2017

Civil Rights Document

Tulips.jpg (606.34 KB)

Save

Close

9) Enter any comments into the optional 'Civil Rights Program Comments' field and then click the 'Submit' button to submit the program for FTA review.



Comments

Civil Rights Program Comments

Add your comments here!

Existing Comments

Please scroll within the box to see all existing comments.

Submit

Save Changes

Cancel

10) You will be returned to the 'Civil Rights Compliance' form and the submitted program will now be seen in the grid with an updated status of 'In Review - Submitted to FTA' and an updated 'Submitted Date'.

5.2.3 DBE Goal

When recipients have a DBE program, recipients must set an overall goal for DBE participation in their DOT-assisted contracts. Goals must be based on demonstrable evidence of the availability of ready, willing and able DBEs relative to all businesses ready, willing and able to participate on the recipient's DOT-assisted contracts. The goal must reflect the recipient's determination of the level of DBE participation the organization would expect absent the effects of discrimination.

If your organization has a DBE program, you must ensure that annual goals have been submitted to FTA for review as required.

Note: If your DBE Goals are set to NA or blank the task to complete your semiannual DBE report will not be generated.

To update a recipient's DBE Goal information:

- 1) From the 'Civil Rights Compliance' page, select the check box for 'DBE Goal' and then click the 'Continue' button.
- 2) The 'Disadvantaged Business Enterprise (DBE) Goal' form will be displayed.
 - a) To discard any changes made, click the 'Cancel' button to return to the 'Civil Rights Compliance' form.
 - b) Click the 'Save Changes' button at any time to save all information entered on the form.
- 3) Enter a Percentage value for your DBE Goals
 - a) DBE Race Conscious Goal
 - b) DBE Race Neutral Goal



4) The Overall Goal will be automatically calculated by combining the DBE Race Conscious Goal and the Race Neutral Goals.

5) Click the 'Manage Documents' button to add or delete any related documents.

Civil Rights Compliance | Disadvantaged Business Enterprise (DBE) Goal

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
----------------------	--

Program Status

Status Date Jun 8, 2016	Due Date Jun 15, 2016
Program Status Concur	Expiration Date Sep 30, 2016
	Cycle Group Group A

DBE Goals

DBE Race Conscious Goal <input type="text" value="10%"/>	DBE Race Neutral Goal <input type="text" value="15%"/>
	Overall Goal <input type="text" value="25%"/>



Status History

Status	Date	User
Concur	8/8/2016 9:16 AM EDT	region4.civilrights1
Incomplete	3/9/2016 7:38 PM EST	region4.supervisor1

Existing Document Details

Document Type	Document Name	Program Begin and End Dates	Date Uploaded	Uploaded By
No items available				

Manage Documents

Comments

Civil Rights Program Comments

Existing Comments

Please scroll within the box to see all existing comments.

Submit Save Changes Cancel

6) The 'Manage Civil Rights Documents: DBE Goal' page will be displayed



Manage Civil Rights Documents: DBE Goal

Grantee Details

Grantee ID: 9900 Grantee Name: Trams Transit Organization

Existing Document Details

<input type="checkbox"/>	Document Type	Document Name	Program Begin and End Dates	Date Uploaded	Uploaded By
No items available					

Delete

Upload Document

Document Type

Document Name

Program Begin and End Dates

Civil Rights Document

- 7) ~~To delete any existing documents,~~
- a) ~~Select the check box for the document from the 'Existing Document Details' grid and then click the 'Delete' button~~
 - b) ~~To discard any changes made, click the 'Close' button to return to the 'Disadvantaged Business Enterprise (DBE) Program' form.~~

Manage Civil Rights Documents: DBE Goal

Grantee Details

Grantee ID: 9900 Grantee Name: Trams Transit Organization

Existing Document Details

<input type="checkbox"/>	Document Type	Document Name	Program Begin and End Dates	Date Uploaded	Uploaded By
<input type="checkbox"/>	DBE Goal	DBE sample document	Jan 2016 - Jan 2017	6/8/2016 10:13 AM EDT	Civil Rights1 TRAMS

Delete



8) To add a document,

a) Use the drop-down menu provided under the 'Document Type' field to select a document type.

b) Enter the name for the document into the 'Document Name' field.

c) Enter the date range for the program's duration into the 'Program Begin and End Dates' field.

d) Click the 'Browse...' button under 'Civil Rights Document' field to select a local file to upload.

e) Click the 'Remove' link which appears after upload if you wish to remove your uploaded file.

9) Once finished, click the 'Save' button to add the document. The document will be added to the grid under 'Existing Document Details'.

10) Click the 'Close' button to return to the 'Disadvantaged Business Enterprise (DBE) Goal' form.

Note: At a minimum, the 'Document Type' field must be completed and a document must be uploaded in order to save a new document to the program.

Upload Document

Document Type

DBE Goal

Document Name

DBE Sample Document 2016

Program Begin and End Dates

June 2016 - June 2018

Civil Rights Document

Desert.jpg (826.11 KB)

Save

Close

11) Enter any comments into the optional 'Civil Rights Program Comments' field and then click the 'Submit' button to submit the program for FTA review.



Comments

Civil Rights Program Comments

DBE Goals Comments go here

Existing Comments

Please scroll within the box to see all existing comments.

Submit

Save Changes

Cancel

12) You will be returned to the 'Civil Rights Compliance' form and the submitted program will now show in the grid with an updated status of 'In Review – Submitted to FTA' and an updated 'Submitted Date'.

5.2.4 Title VI

Title VI of the Civil Rights Act of 1964: Title VI is a Federal statute and provides that no person shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.

The recipient must maintain the status of its Title VI program.

To update your organization's Title VI program information:

- 1) From the 'Civil Rights Compliance' page, select the check box for 'Title VI Program' and then click the 'Continue' button.
- 2) The 'Title VI Program' form will be displayed.
 - a) To discard any changes made, click the 'Cancel' button to return to the 'Civil Rights Compliance' form.
 - b) Click the 'Save Changes' button at any time to save all information entered on the form.



Civil Rights Compliance | Title VI Program

Recipient Details

Recipient ID
9900

Recipient Name
Trams Transit Organization

Program Status

Status Date
Jun 8, 2016

Due Date
Jun 30, 2016

Program Status
Concur

Expiration Date
Jun 30, 2018

Status History

Status	Date	User
Concur	6/8/2016 9:16 AM EDT	region4.civilrights1
Incomplete	3/9/2016 7:36 PM EST	region4.supervisor1

Existing Document Details

Document Type	Document Name	Program Begin and End Dates	Date Uploaded	Uploaded By
Title VI	Document sample	June 2014 - June 2016	6/8/2016 10:23 AM EDT	Civil Rights1 TRAMS

Manage Documents

Comments

Civil Rights Program Comments

Existing Comments

Please scroll within the box to see all existing comments.

Submit

Save Changes

Cancel

3) Click the 'Manage Documents' button to add or delete any related documents.

4) The 'Manage Civil Rights Documents: Title VI Program' form will be displayed.



Manage Civil Rights Documents: Title VI Program

Grantee Details

Grantee ID
9900

Grantee Name
Trams Transit Organization

Existing Document Details

<input type="checkbox"/>	Document Type	Document Name	Program Begin and End Dates	Date Uploaded	Uploaded By
No items available					

Delete

Upload Document

Document Type

Please Select a Document Type

Document Name

Program Begin and End Dates

Civil Rights Document

Browse...

Save

Close

5) To delete any existing documents,

- a) Select the check box for the document from the 'Existing Document Details' grid and then click the 'Delete' button
- b) To discard any changes made, click the 'Close' button to return to the 'Disadvantaged Business Enterprise (DBE) Program' form.

Existing Document Details

<input checked="" type="checkbox"/>	Document Type	Document Name	Program Begin and End Dates	Date Uploaded	Uploaded By
<input checked="" type="checkbox"/>	Title VI	Document sample	June 2014 - June 2016	6/8/2016 10:23 AM EDT	Civil Rights1 TRAMS

Delete

6) To add a document,

- a) Use the drop-down menu provided under the 'Document Type' field to select a document type.
- b) Enter the name for the document into the 'Document Name' field.



- e) Enter the date range for the program's duration into the 'Program Begin and End Dates' field.
 - d) Click the 'Browse...' button under the 'Civil Rights Document' field to select a local file to upload.
 - e) Click the 'Remove' link which appears after upload if you wish to remove your uploaded file.
- 7) Once finished, click the 'Save' button to add the document. The document will be added to the grid under 'Existing Document Details'.
- 8) Click the 'Close' button to return to the 'Title VI Program' form.

Note: At a minimum, the 'Document Type' field must be completed and a document must be uploaded in order to save a new document to the program.

Upload Document

Document Type
Please Select a Document Type

Document Name

Program Begin and End Dates

Civil Rights Document
Browse...

Save Close

- 9) Enter any comments into the optional 'Civil Rights Program Comments' field and then click the 'Submit' button to submit the program for FTA review.

Comments

Civil Rights Program Comments
Title VI information here|

Existing Comments

Please scroll within the box to see all existing comments.

Submit Save Changes Cancel



10) You will be returned to the ‘Civil Rights Compliance’ form and the submitted program will now show in the grid with an updated status of ‘In Review – Submitted to FTA’ and an updated ‘Submitted Date’.

5.3 – Submitting to the FTA

Once you have submitted each of the programs to the FTA for review the submitted the Civil Rights program will have the status of ‘In Review – Submitted to FTA’ and may not be modified until the review is complete. The FTA Regional Civil Rights Officer will review and update civil rights information for recipient within their region. Each of the statuses that the Civil Rights Officer will be able to set for a recipient’s civil rights program represents a stage of the review process that the program is currently in.

5.3.1 – Overview of Statuses

5.3.1.1 – In Review – Under FTA Review

The FTA Regional Civil Rights Officer can set the ‘Program Status’ of a civil rights program to the ‘In Review – Under FTA Review’ status to indicate that the FTA Regional Civil Rights Officer is currently reviewing the recipient’s submission of a civil rights program. While the civil rights program is under this status, the recipient’s Civil Rights user will be unable to modify any details for the program.

5.3.1.2 – In Review – Returned to Grantee

The FTA Regional Civil Rights Officer can set the ‘Program Status’ of a civil rights program to the ‘In Review – Returned to Grantee’ status to return the civil rights program to the recipient for updates. During this status, the recipient Civil Rights user can make edits to the returned program.

5.3.1.3 – Concur

The FTA Regional Civil Rights Officer can set the ‘Program Status’ of a civil rights program to the ‘Concur’ status to signify that the program has been reviewed and approved by FTA.

When setting the ‘Concur’ status for the very first time for the DBE Goal, Title VI and EEO programs, FTA will enter a ‘New Due Date’ and ‘New Expiration Date’.

If the Due Date and Expiration Date had been set previously, the dates will automatically move forward three years from the previous dates that were saved to the civil rights program. The FTA Regional Civil Rights Officer can change the due date and expiration date if needed.

5.3.1.4 – Expired

The FTA Regional Civil Rights Officer can set the program status of a civil rights program to the ‘Expired’ status to signify that the validity of a civil rights program has expired and that the recipient’s Civil Rights user needs to resubmit the corresponding program to FTA.

5.3.1.5 – N/A

This status is a default status set for a new recipient. The FTA Regional Civil Rights Officer can set this status after review of any civil rights program if the program does not apply to a recipient organization.



6—Application / Award Overview and Application Development

Application detail records include both applications that are in progress and applications that have been awarded. Available application record details will be displayed in the left navigation menu after selecting an application record. The Related Actions menu will display actions relevant to the selected application. Actions displayed will vary based on the user’s role and the current status of the application.

Refer to the Working with Applications/Awards section for more detailed information on common application / award details and actions. Refer Application Development section for information on how to create applications and projects. Additional actions may be found in the Post award section (Refer to Managing Existing Awards—Post Award Management).

6.1—Application / Award Status

The status of an application / award reflects the different phases within the grant lifecycle. Recipients may view an application at any time however the actions that they may perform on an application will vary depending on the application award status. Both FTA and the recipient may view the application at any time but modification of the application will be restricted depending on the status. Only users with the Submitter or Developer role will be able to edit the application, other user roles will see a read-only version of the forms.

The following table lists available statuses of applications prior to award:

TrAMS Pre-Award Status	Description
In Progress	Application is in draft mode, either after the initial application was created by the recipient or after the application has been returned to recipient prior to FAIN assignment. Recipient Developers and Submitters, as well as FTA Pre-Award Managers, may edit.
In Progress / Returned to Grantee	Application has been returned to the recipient from FTA after FAIN assignment. Recipient Developers and Submitters, as well as FTA Pre-Award Managers, may edit. Funding sources for existing line items cannot be updated. New projects cannot be added.
Transmitted / Ready for FTA Review	Application has been transmitted to the FTA for review, but initial reviews have not yet begun. Only FTA may edit the application.
Initial Review / Concurrence	FTA initial review is in progress. Only FTA may edit the application. FTA inputs congressional release details for discretionary applications.
Review Complete / Ready for FAIN	FTA has completed their initial review of the application. Only FTA may edit the application.
FAIN Assigned / Ready for Submission	The FAIN number has been assigned and the application has been sent to the recipient for formal submission.



TrAMS Pre-Award Status	Description
	Submitter will have a Task to submit the application but will not be allowed to edit. The Submitter may return to FTA for editing.
Application Submitted	Application has been submitted to the FTA by the recipient. FTA may edit the application, except for ALL.
Final Concurrence / Reservation	Application is undergoing final concurrence reviews. FTA completes reservation and sends application to DOL if required for certification or review. FTA adds discretionary allocations as needed. FTA Pre-Award Managers may edit the application, except for ALL.
Ready for RA Concurrence / Award	Final concurrences are complete and application is awaiting concurrence by the RA and/or the obligation/award of the application. Discretionary applications are sent for Congressional Release. Editing is not available for any role groups.
Obligated / Ready for Execution	Application has been sent to the recipient for execution of the award agreement. Official will have a Task to execute the award. Editing is not available for any role groups.

The following statuses are applicable Post Award.

TrAMS Post-Award Status	Description
Active (Executed)	Active award or Active Amendment. Budget revisions, Amendments, and Closeouts may be initiated by Developers and Submitters. FTA may initiate Administrative Amendments or Closeouts.
Active / Budget Revision In Progress	A budget revision has been initiated, but it has not been sent to FTA for approval. Developers and Submitters, as well as FTA Post-Award Managers, may edit project narratives and line items. Overall funding amount, funding sources, and scopes on award cannot change.
Active / Budget Revision Under Review	Recipient has submitted budget revision for approval. FTA is reviewing the budget revision and updates funds (via FPC or scope transfer financial action) if necessary.
In Progress / Admin Amendment	FTA has initiated an amendment on this award.
Active Award / Inactive Amendment	The award is active; however there is a newer active amendment for this award. All information is read-only.



TrAMS Post Award Status	Description
Active Award / Ready for Closeout	Either Recipient or FTA Post Award Manager has created a Closeout amendment. Closeout is still in progress and has not been submitted to FTA for approval.
Active Award / Closeout Requested	Closeout request has been forwarded to FTA for approval.
Closed	Award is complete and has been closed. All information is read-only; no additional actions can be taken on the award.

6.2— Searching for Applications/Awards

Users may find specific applications or awards to either view or work with by either searching for them from the ‘Records’ Tab or by searching for them from application search action available from the ‘Actions’ Tab. Projects associated with applications, may be searched on independently from the application using the ‘Records’ tab.

6.2.1— Action: Search Application/Awards

You can navigate to an Application or an Award by using the search option that is available under the Actions tab.

- 1) Navigate to the ‘Actions’ tab and choose the ‘Search Applications / Awards’ option.

- 2) Click ‘Search Applications/Awards’.
- 3) The ‘Application | Search Applications’ form will be displayed with search criteria fields. You may choose to complete any combination of the following fields:
 - a) Select a fiscal year from the drop-down menu provided under the ‘Fiscal Year’ field.
 - b) Enter an application number into the ‘Federal Award ID Number (FAIN)’ field. Entering only the first part of an application number will yield search results for all applications that begin with the partial number that is entered.



- c) A two digit section code for the application funding source of the application / award.
- d) The name or partial name of the application.
- e) The state abbreviation for the application.
- f) Select an application status from the drop-down menu provided under the 'Application Status' field.
- 4) Once all search criteria have been entered, click the 'Search' button.

Application | Search Applications

Enter one or more of the following search criteria to find an existing application.

Application Search Criteria

<p>Fiscal Year <input type="text" value="Any"/> <input type="button" value="v"/> <small>Select obligation fiscal year for application</small></p> <p>Federal Award ID Number (FAIN) <input type="text"/> <small>Enter federal award ID number</small></p> <p>Section Code <input type="text"/> <small>Enter two-digit section code for application funding source</small></p>	<p>Application Name <input type="text"/> <small>Enter all or part of an application name</small></p> <p>Application State <input type="text"/> <small>Enter state abbreviation for application</small></p> <p>Application Status <input type="text" value="Any"/> <input type="button" value="v"/> <small>Select application status</small></p>
--	--

Note: None of the search criteria fields on this form are required. Search results will be limited to applications and awards for the recipient organization.

- 5) A grid containing the awards and applications that meet the selected search criteria will be provided in the 'Application Search Results' screen.
- 6) Click on the FAIN of the application, which is a hyperlink that will take you directly to the application record 'Summary', where you can then view or manage the record.

Trams Transit Organization | Application Search Results

Applications

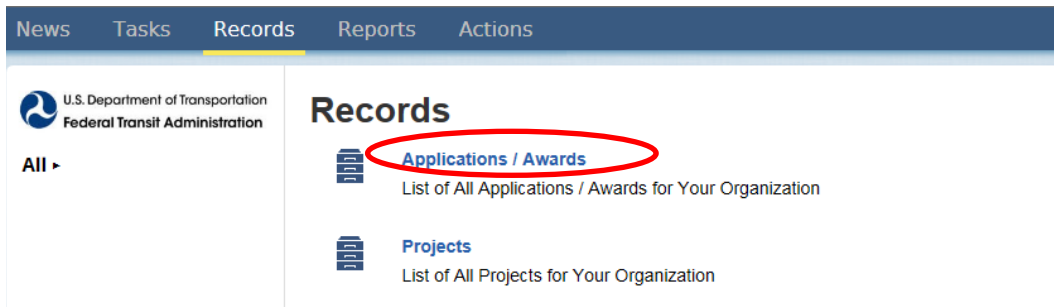
FAIN	Application Name	Last Updated By	Last Updated Date	Status
FL-2016-001-00	RC - Application for MPR and FFR for user guide purposes only	jane.trams@yahoo.com	6/7/2016 2:17 PM GMT+00:00	Active (Executed)
9900-2016-2	Demo Application	jane.trams@yahoo.com	2/5/2016 5:10 PM GMT+00:00	In-Progress
9900-2016-3	User Guide Application	jane.trams@yahoo.com	3/3/2016 8:26 PM GMT+00:00	In-Progress



6.2.2 Records: Searching for Applications/Awards

Application records are available from the 'Records' tab.

- 1) Click on the 'Applications/Awards' record type to view a listing of application records in the system. A list of applications and awards (including closed awards) that are associated with your organization will be displayed.



- 2) You may use either the search box or filters from the left navigation menu to narrow down the list and find the application you want to select.
 - a) Use the 'Left Hand Navigation' to narrow the presented list to a smaller subset. Application /Awards may be filtered by the application or awards status. By selecting one or more Pre-Award statuses or Post-Award statuses the list will be shortened to only include those awards or applications that currently have that status.
 - b) The search box on the left hand side may be used to directly type in an award or application number in order to find a specific award or application. Searches may be performed on partial award or application numbers including temporary application numbers and also by typing in the Application /Award name in whole or in part.
- 3) Click on the name of the application, which is a hyperlink that will take you directly to the application record's 'Summary' dashboard. The hyperlink includes either the temporary or final FAIN and application name.



News Tasks (1) **Records** Reports Actions

U.S. Department of Transportation
Federal Transit Administration

Search Applications / Award

All ▾

Pre-Award Status

- In-Progress
- In-Progress / Returned to Grantee
- Transmitted / Ready for FTA Review
- More...

Post-Award Status

- Active (Executed)
- Deobligation Required
- Active / Budget Revision In-Progress
- More...

Applications / Awards

- FL-2016-001-00 | RC - Application for MPR and FFR for user guide purposes only**
Grantee Name: Trams Transit Organization
Current Status: Active (Executed)
Last Updated Date: Jun 07, 2016
- 9900-2016-3 | User Guide Application** ← Hyperlink
Grantee Name: Trams Transit Organization
Current Status: In-Progress
Last Updated Date: Mar 03, 2016
- 9900-2016-2 | Demo Application**
Grantee Name: Trams Transit Organization
Current Status: In-Progress
Last Updated Date: Feb 05, 2016

4) The Applications/Awards Summary page will be displayed.

5) To navigate back to the previous application/award list click the 'Application /Award' hyperlink on the top of the page.

Records / Applications / Awards ← Hyperlink

FL-2016-001-00 | Sample Trams Application for User Guide Purposes Only

Follow

In-Progress Initial Review / Concurrence Final Concurrence Obligation Executed Active

Recipient Details

Recipient ID	9900	Recipient Name	Trams Transit Organization
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6.2.3 Records: Searching for Projects

You may find Project records directly from the 'Records' tab.



1) Click on the 'Projects' record type to view a listing of projects.



Records Reports Actions

ation
tion

Records

-  **Application Help Records**
View Help Material for Specific Applications
-  **Applications / Awards**
List of All Applications / Awards for Your Organization
-  **Projects**
List of All Projects for Your Organization

- 2) To filter the list of displayed projects, use the search box in the left hand navigation to type in either a project number or project name in full or in part.
- 3) Click on the name of the desired project record from the list to view the 'Summary' page for the project.

News Tasks (2) Records Reports Actions

U.S. Department of Transportation
Federal Transit Administration

Search Projects

All ▾

Projects

- 99** [9900-2016-2-P3 - Project name 3](#)
Grantee Name: Trams Transit Organization
Last Update Date: Feb 04, 2016
- 99** [9900-2016-2-P2 - project 2](#)
Grantee Name: Trams Transit Organization
Last Update Date: Feb 04, 2016
- 99** [9900-2016-2-P1 - Project one of Demo Application](#)
Grantee Name: Trams Transit Organization
Last Update Date: Feb 03, 2016
- FL** [FL-2016-001-01-00 - RC - Application for MPR and FFR for user guide purposes only](#)
Grantee Name: Trams Transit Organization
Last Update Date: Feb 01, 2016

← Hyperlink

- 6) To navigate back to the project list click the 'Projects' hyperlink on the top of the page.



Records / Projects **Hyperlink**
9900-2016-2-P3 - Project Name 3 [Follow](#)

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
----------------------	--

Application Details

FAIN 9900-2016-2	Application Name Demo Application
---------------------	--------------------------------------

6.3 Working with Applications/Awards

Once an Application/Award has been selected from one of the search options, the 'Summary' page will be displayed. The left hand navigation will contain more options to select and view additional application/award information. Available options will vary depending on your user role and the current status of the application/award.

The following left hand navigation options are available to all user roles and for all application/award statuses:

- Summary
- News
- Related Actions
- Points of Contact
- Application Control Totals
- Application Projects

6.3.1 Summary

The Summary page of an application will be the landing page after selecting a specific application/award to view or work with. It contains high level information about the application/award including:

- A timeline showing the current status of the application/award;
- Basic recipient information with a hyperlink to the recipient record;
- Application/Award status information;
- The executive summary of the application/award;
- Application details.

The 'Summary' page is in read-only format for all users at all times, the 'Executive Summary' and the 'Application Details' information can be edited from the 'Related Action' Application Details.

- 1) Click the 'Recipient ID' hyperlink to move to the recipient record to see or edit detailed recipient information.



2) Click on the 'Application/Award' hyperlink to navigate back to list of application records.

Records / Applications / Awards

FL-2016-001-00 | Sample TrAMS Application for User Guide Purposes Only Follow

In-Progress Initial Review / Concurrence Final Concurrence Obligation Executed Active Closed

Recipient Details

Recipient ID 9900	Hyperlink	Recipient Name Trams Transit Organization
----------------------	------------------	--

Application Status Information

Application Number
FL-2016-001-00

Application Name
Sample TrAMS Application for User Guide Purposes Only

6.3.2 News

This feature has not yet been implemented. In the future users will use this feature to see news feeds that are related to the Application.

6.3.3 Related Actions

By selecting the 'Related Actions' from Application records the user will be shown a menu of options that are associated to the Application record. These will include additional details about the application/award that may be viewed and actions that may be taken on the record. The menu items shown depend on the logged in users' role and the status of the application/award.

The following detailed 'Related Actions' are visible regardless of the user role or status of the application.


- Application Documents
- Application Details
- View Print Application
- View Print Budget Change History
- Application Review Comments

These actions are described in the following sections. Additional related actions that are available are described under Application Development and the Post Award Management section.



Records / Applications / Awards

FL-2016-002-00 | Sample TrAMS Application for User Guide Purposes Only Follow

-  **Application Documents**
Manage Application Document
-  **Application Details**
Manage Application Details
-  **View-Print Application**
Generate View/Print for Application
-  **View-Print Budget Change History**
Generate View-Print for Budget Revision
-  **Application Review Comments**
View and Manage Application / Award Review Comments

6.3.3.1 — Related Action: Application Documents

The 'Application Documents' action allows users to add, delete, or view documents associated with the application.

To add, delete, or view documents for an application record:

- 1) Click 'Application Documents'. You will be taken to the "[Application ID] | Manage Application Documents" form for the selected application. You will be able to upload a new document or delete existing ones displayed in the grid.
- 2) Click the 'Add Document' button to upload a new document for the application.



FL-2016-001-00 | Manage Application Documents

Recipient Details

Recipient ID 9900

Recipient Name Trams Transit Organization

Application Details

Application ID FL-2016-001-00

Application Name Sample TrAMS Application for User Guide Purposes Only

Existing Documents

<input type="checkbox"/>	Document Context	Document Type	Document File Name	Document Description	Date Uploaded	Uploaded By
<input type="checkbox"/>	Application Information	General	View-print application 03012016.docx	View/print of application 3/1	Mar 14, 2016	jane.trams@yahoo.com
<input type="checkbox"/>	Proposal Documents	FTA Comments	test document.docx	Demo documents	Mar 14, 2016	jane.trams@yahoo.com
<input type="checkbox"/>	Comments	General Comments	Chrysanthemum.jpg		Mar 14, 2016	jane.trams@yahoo.com
<input type="checkbox"/>	Environmental Findings	Class II(c) Categorical Exclusions (C_List)	Chrysanthemum.jpg		Mar 14, 2016	jane.trams@yahoo.com
<input type="checkbox"/>	Project Budget	In-Kind Funding	Chrysanthemum.jpg		Mar 14, 2016	jane.trams@yahoo.com
<input type="checkbox"/>	Project Budget	Activity Line Item Details	Chrysanthemum.jpg		Mar 14, 2016	jane.trams@yahoo.com

1-6 of 6

Add Document

Remove Document

Close

3) You will be taken to the "Application | Add New Documents" form.

a) Use the provided drop-down menu under the 'Document Context' field to select from one of the predefined context types.

b) Use the provided drop-down menu under the 'Document Type' field to select from one of the predefined document types.

c) Enter a description for the document into the 'Document Description' field.

d) Click the 'Browse...' button under the "Select File" field to select a local file for upload. You may upload only one file at a time. Click the 'Remove' link which appears after upload if you wish to remove your uploaded file.

e) Click the 'Save' button once all details are complete and a file has been uploaded.



Application | Add New Documents

Recipient Profile Information

Recipient ID 9900

Recipient Name Trams Transit Organization

Application Details

Application Number Sample TrAMS Application for User Guide Purposes Only

Application Name Sample TrAMS Application for User Guide Purposes Only

Upload New Document

* Document Context

* Document Type

* Document Description

* Select Document

4) To delete any existing documents, select the check box for one or more documents in the grid and then click the 'Remove Document' button.

FL-2016-001-00 | Manage Application Documents

Recipient Details

Recipient ID 9900

Recipient Name Trams Transit Organization

Application Details

Application ID FL-2016-001-00

Application Name Sample TrAMS Application for User Guide Purposes Only

Existing Documents

<input type="checkbox"/>	Document Context	Document Type	Document File Name	Document Description	Date Uploaded	Uploaded By
<input type="checkbox"/>	Application Information	General	View-print application 03012016.docx	View/print of application 3/1	Mar 14, 2016	jane.trams@yahoo.com
<input type="checkbox"/>	Proposal Documents	FTA Comments	test document.docx	Demo documents	Mar 14, 2016	jane.trams@yahoo.com
<input type="checkbox"/>	Comments	General Comments	Chrysanthemum.jpg		Mar 14, 2016	jane.trams@yahoo.com
<input type="checkbox"/>	Environmental Findings	Class II(c) Categorical Exclusions (C_List)	Chrysanthemum.jpg		Mar 14, 2016	jane.trams@yahoo.com
<input type="checkbox"/>	Project Budget	In-Kind Funding	Chrysanthemum.jpg		Mar 14, 2016	jane.trams@yahoo.com
<input checked="" type="checkbox"/>	Project Budget	Activity Line Item Details	Chrysanthemum.jpg		Mar 14, 2016	jane.trams@yahoo.com

1-6 of 6



5) To view documents simply click on the 'Document File Name' for the document that you want to view.

<input type="checkbox"/>	Comments	General Comments	Chrysanthemum.jpg		Mar 14, 2016	jane.trams@yahoo.com
<input type="checkbox"/>	Environmental Findings	Class II(c) Categorical Exclusions (C_List)	Chrysanthemum.jpg		Mar 14, 2016	jane.trams@yahoo.com
<input type="checkbox"/>	Project Budget	In-Kind Funding	Chrysanthemum.jpg		Mar 14, 2016	jane.trams@yahoo.com

6.3.3.2 — Related Action: Application Details

The 'Application Details' will allow users with the roles of 'Submitters' or 'Developers' to edit high level application information during initial application creation and during post-award modifications. This information will be read-only for all other recipient users and during other phases of a grants life cycle.

To edit an application record:

1) Click 'Application Details'.

2) You will be taken to the 'Application Name | Application Details' form for the selected application. You will be able to edit text saved in fields and change the values saved for drop-down menus. Refer to Action: Create Application for information that will be expected for each field.

3) Once you have completed your changes, click the 'Save' button. All changes will be saved and you will be returned to the 'Related Actions' menu. You can verify changes by clicking the 'Summary' dashboard to view application details.



Trams Transit Organization | Application Details

Recipient Information

Recipient ID 9900

Recipient Name Trams Transit Organization

Application Details

Application Name	<input type="text" value="Sample Application"/>
	<small>Create a name for this application. Note that this name will be used to reference the grant elsewhere, so create a name as you would like this grant to be seen by the public. For example, New York Wilson Bridge Construction Grant.</small>
Application Type	<input type="text" value="Grant"/>
	<small>Select the type of FTA financial assistance you are applying for</small>
Application Executive Summary	<div style="border: 1px solid #ccc; padding: 5px; min-height: 40px;"><small>Summary information for the application is placed here</small></div>
	<small>Describe the general purpose of the award.</small>
Application Point of Contact	<input type="text" value="Jane Trams"/>
	<small>Select your organization's primary contact for this application</small>

Application Executive Order (E.O.) Information

Click link for details about E.O. 12372 review: http://www.whitehouse.gov/omb/grants_spoc
http://www.whitehouse.gov/omb/grants_spoc

Does your State require E.O. 12372 review?
 Yes, our state requires E.O. 12372 review
 No, our state does not require E.O. 12372 review

If Yes, Provide State Application ID

If Yes, Provide Date Submitted for State Review

Application Financial Information

Does your organization have delinquent Federal debt?
 Yes, my organization has delinquent Federal debt
 No, my organization does not have delinquent Federal debt

If yes, provide details

Is your application using pre-award authority?
 Yes, my application is using pre-award authority
 No, my application is not using pre-award authority



6.3.3.3 — Related Action: View-Print Application

To view or print the application as a document use the ‘View-Print Application’ related action. This will generate a document in html format that may be viewed, saved, and/or printed.

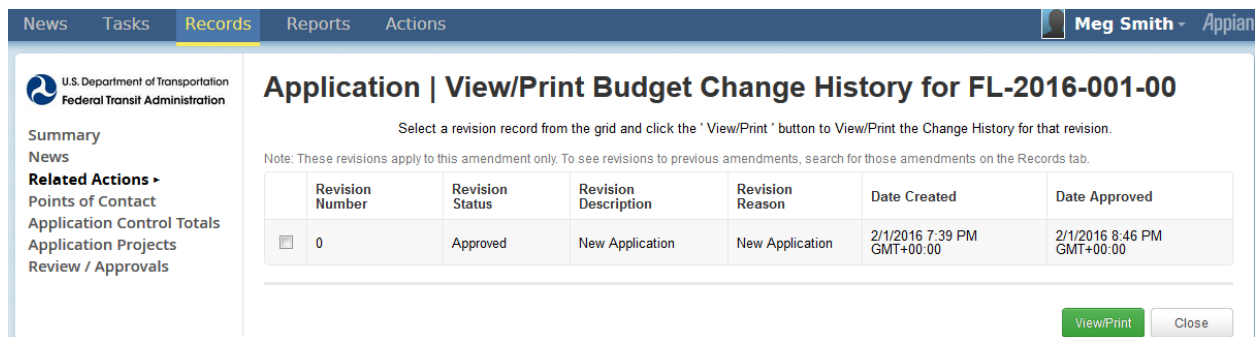
- 1) Click ‘View-Print’ Application.
- 2) The ‘View/Print Application’ form will be displayed. Click on the provided ‘View-Print Application [Application number]’ link to open or save application details in html format.
- 3) Click the ‘Close’ button to return to the ‘Related Actions’ menu.



6.3.3.4 — Related Action: View-Print Budget Change History

To view or print budget changes that were made to the application during a budget revision select the ‘View-Print Budget Change History’ related action. This will generate a document in RTF format that shows changes for a selected revision number that may be viewed, saved, and/or printed.

- 1) Click ‘View-Print Budget Change History’.
- 2) The ‘View/Print Budget Change History for [FAIN #]’ form will be displayed.
- 3) Select the checkbox for a revision to view, and then click the ‘View/Print’ button.



Note: Revision number 0 indicates that it is the budget for the initial award for this amendment and revision numbers are incremented by 1 for each subsequent budget revision in the amendment.

- 4) The ‘View/Print Budget Revision’ form will be displayed. Click on the provided link to view or save budget revision details.



View/Print Budget Revision

Printable Budget Revision  **View Print Budget Revision - AK-95-X007-02**
12.4 KB

Close

- 5) Click the 'Close' button to return to the 'View/Print Budget Change History for [FAIN #]' form.
- 6) Click the 'Close' button on the form to return to the 'Related Actions' menu.

6.3.3.5 — Related Action: Application Review Comments

The 'Application Review Comments' related action allows recipients to view FTA comments logged for the application and to add comments to the application as it is going through development and reviews. FTA personnel will be able to add review comments to an application or any of its post-award modifications as they progress toward execution, while recipient users may only add review comments when the application has statuses of 'In Progress', 'In Progress / Returned to Grantee' or 'FAIN Assigned / Ready for Submission'. All recipient roles may view the comments added. The roles of 'Submitter' and 'Developer' have the option to add comments.

To add or view a history of all review comments made on an application:

- 1) Click 'Application Review Comments'.
- 2) The "[Application ID] | [Application Name]" form will be displayed. Comments logged are shown in a grid format and include
 - Comment Type
 - The name of the user who made the comment
 - The date the comment was made



FL-2016-002-00 | Sample TrAMS Application for User Guide Purposes Only

Recipient Details

Recipient ID 7312	Recipient Name Trams Transit Organization
-----------------------------	---

Application Details

Application Number FL-2016-002-00	Application Name Sample TrAMS Application for User Guide Purposes Only
Temp App Number 7312-2016-2	

Application Review Comments

Select a comment to view the details

Comment Type	Comment By	Comment Date
Application Details	region4.preawardmanager1	Jan 25, 2016
Environmental Findings	region4.environmentalreviewer1	Jan 25, 2016

Cancel



3) Click on the 'Comment Type' link of a comment to expand and see the details.

Review Comments Details

Comment Type
Application Details

Comment By
region4.preawardmanager1

Comment Text
This application related comment is Optional and is entered here for User guide Purposes only.

4) Click the 'Cancel' button to return to the 'Related Action' menu.

If you are a 'Submitter' and/or 'Developer', you have the option to add comments for FTA to review during the application development and post-award modification phases. The 'Add New Comment' button will appear above the 'Application Review Comments' grid.

5) Click 'Add New Comment' button and a new section of the form will be displayed to allow comments.

7312-2016-4 | Sample TrAMS Application for User Guide Purposes only

Recipient Details

Recipient ID 7312	Recipient Name Disneyland Transit Organization
----------------------	---

Application Details

Application Number 7312-2016-4	Application Name Sample TrAMS Application for User Guide Purposes only
Temp App Number 7312-2016-4	

Application Review Comments

[Add New Comment](#)

Select a comment to view the details

Comment Type	↑ Comment By	Comment Date
No items available		

[Cancel](#)

6) Select a 'Comment Type' from the drop-down menu and provide your comments in the 'Comment Text' box.



- 7) Click the 'Save' button to save your comments or the 'Cancel' button next to the 'Save' button to close the comment box without saving.
- 8) Use the 'Cancel' button on the bottom of the form to return to the 'Related Actions' menu without saving.

Add New Review Comment

Comment Type
Please select one

Comment Text

Comment By
Tomm Disney

Comment Date
Jan 26, 2016

6.3.4 Points of Contact

The 'Points of Contact' dashboard displays FTA points of contacts and the recipient point of contact for the application/award. The recipient point of contact is set during the initial application development and may be updated on the 'Application Details' screen. The FTA points of contacts will be established after initial transmission of an application (Transmitted / Ready for FTA Review phase). The point of contacts name, user role, email, and phone number will be displayed. Updates to names, roles, and phone numbers must be done from the users profile page.

To view the "Points of Contact" for an application/award:

- 1) Click on the 'Points of Contact' from the left side navigation to view points of contact associated with the application/award. The points of contact associated to the application are listed and displayed in grid format. If there are no points of contact associated, the grid will be empty.



2) To move away from the 'Points of Contact' dashboard simply click on another item from the left hand navigation or select a new tab.

Records / Applications / Awards

FL-2016-001-00 | RC - Application for MPR and FFR for user guide purposes only Follow

Recipient Details

Recipient ID
9900

Recipient Name
Trams Transit Organization

Award Status Information

FAIN
FL-2016-001-00

Award Name
RC - Application for MPR and FFR for user guide purposes only

Temp App Number
9900-2016-1

Award Status
Active (Executed)

Point Of Contacts

Name	Role	Email	Phone
Jane Trams	Grantee	faoes.ftafakeaccount1234@gmail.com	202-555-1234
region4.postawardmanager1	Post-Award Manager		
region4.preawardmanager1	Pre-Award Manager		

6.3.5 Application Control Totals

The 'Application Control Totals' displays the total funding associated with an application/award.

To view the 'Application Control Totals' for an application/award:

- 1) Click on the 'Application Control Totals' from the left side navigation to view the dollar totals associated with the application/award. The application/award totals are listed in a grid and itemized by their funding source name. If no funding sources have been defined for an application the grid will not be displayed.
- 2) To move away from the 'Application Control Totals' simply click on another item from the left hand navigation or select a new tab.



[Records](#) / [Applications](#) / [Awards](#)

FL-2016-001-00 | RC - Application for MPR and FFR for user guide purposes only Follow

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
-----------------------------	---

Award Status Information

FAIN FL-2016-001-00	Award Name RC - Application for MPR and FFR for user guide purposes only
Temp App Number 9900-2016-1	Award Status Active (Executed)

Application Control Totals Summary

Funding Source Name	Amount
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	\$210,679
Local	\$25,000
Local/In-Kind	\$0
State	\$14,321
State/In-Kind	\$0
Other Federal	\$0
Transportation Development Credit	\$0
Adjustment Amount	\$0
Total Eligible Amount	\$250,000

⏪ ⏩ 1-9 of 9 ⏪ ⏩

*Local Amount may include State, Local + Other Federal Funds

6.3.6 Application Projects

The 'Application Projects' dashboard displays the list of projects associated with an application/award. Projects contain the details associated with each grant including

- Scope of work to be performed
- Location where work will be performed
- Funding sources and associated amounts
- Project milestones
- Environmental findings



- Specific project documentation

Projects allow the overall application/award to be divided into multiple pieces. Each application/award must have at least one project associated with it. Awards migrated from TEAM will only have one.

To view Projects associated with an application/award:

- 1) Click on select 'Application Projects' from the left hand navigation. The list of projects associated with the application/award will be displayed in a grid.
- 2) Click on the 'Project Number' for the project information that you want to view.

Records / Applications / Awards

FL-2016-002-00 | Sample TrAMS Application for User Guide Purposes Only Follow

Recipient Details

Recipient ID 7312	Recipient Name Trams Transit Organization
----------------------	--

Application Status Information

FAIN FL-2016-002-00	Application Name Sample TrAMS Application for User Guide Purposes Only
Temp App Number 7312-2016-2	Application Status Application Submitted

Projects for Application

Project Number	Project Name	Project Budget	Last Updated Date	Last Updated By
FL-2016-002-01-00	Sample TrAMS Project - For User Guide Purposes Only	\$182,500	Jan 21, 2016	Tomm Disney

3) The project 'Summary' page will be displayed.

4) To return to the application 'Summary' page click on the application 'FAIN' hyperlink.



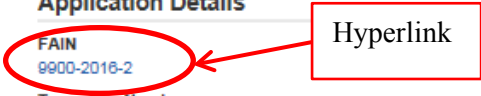
9900-2016-2-P1 - project 2 of sample Follow

Recipient Details

Recipient ID: 9900
Recipient Name: Trams Transit Organization

Application Details

FAIN: [9900-2016-2](#)
Temp App Number: 9900-2016-2
Application Name: Sample Application
Application Status: In-Progress



Project Information

Project Number: 9900-2016-2-P1
Project Title: project 2 of sample
Project Created Date: Jun 13, 2016
Last Modified Date: Jun 13, 2016
Last Modified By: trams.submitter1

Project Tasks for Completion	Status
Narratives	COMPLETED
Line Items/Milestones	COMPLETED
Environmental Findings	COMPLETED

Project Details/Narrative

Project Start Date: Jun 02, 2016
Project End Date: Jun 29, 2016
Project Description: Project description
Project Benefits: Benefits of the Project
Project Locations: Happyland

Will 1% or more of the 5307 funds in this application be expended for security purposes?
Yes -- our organization will expend at least 1% of the 5307 funds in this application for security-related projects
Select one or more of the following reasons and provide a detailed explanation below:
N/A
Explanation: N/A
Additional Information



5) Select the 'Project Control Totals' to displays the total funding associated with the project.

6) To see additional information about the project select the 'Related Actions' from the left hand navigation.

Records / Projects

FL-2016-002-01-00 - Sample TrAMS Project - For User Guide Purposes Only Follow

- Project Details and Narratives**
Manage Project Details and Narrative
- Project Location**
Manage Project Place of Performance Information (Congressional District and UZA Codes)
- Project Plan Information**
Manage Project's Program Plan Information (STIP/UPWP/LRP)
- Budget Activity Line Items and Milestone**
Manage Budget Activity Line Item and Milestone
- Environmental Determinations**
Manage Project Environmental Determinations
- View-Print Application**
Generate View/Print for Application
- Project Documents**
Manage Project Documents

For additional information on 'Related Actions' for projects, refer to the Projects section under 'Application Development'.

6.4 Application Development

The processes outlined under this section include the steps leading up to the submission of a formal application and the subsequent awarding of an award. These processes are designed to ensure that once an application is transmitted it can be processed by the FTA Regional Office in a timely manner for a formal submission by the recipient.

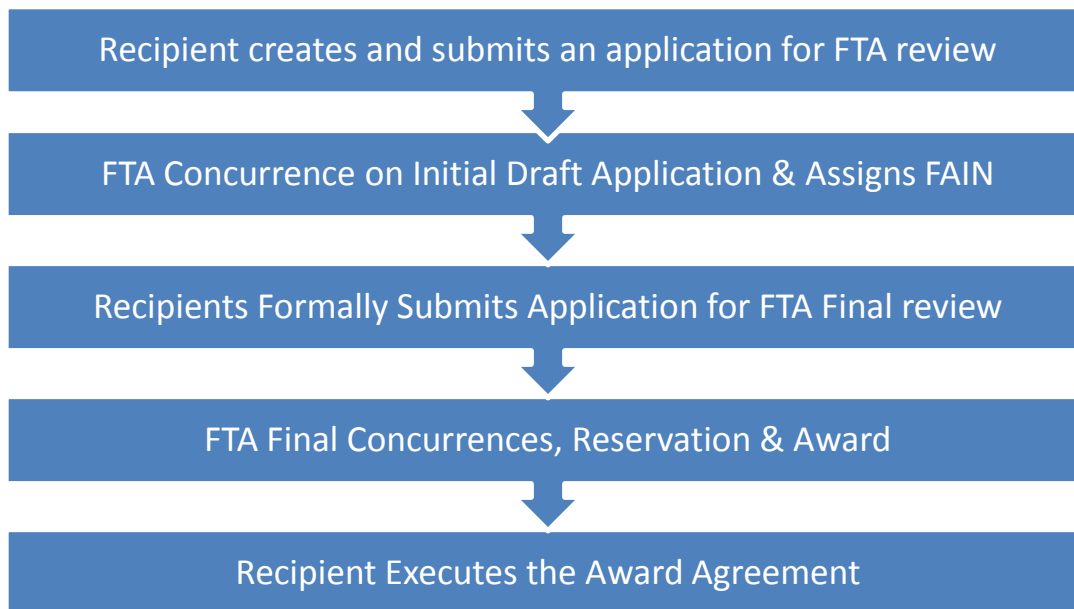
To Create an Application a user within the Submitter or Developer group will need to:

- Complete Application Details
- Add one or more projects to the application by:
 - Adding project details and narratives, project locations, and project plan information.
 - Adding budget line items and milestones
 - Adding environmental findings
 - Validating the project



- **Validate and Transmit Application**

Once the application has been transmitted to the FTA, the FTA's Pre-award Manager will review the application for completeness. The Pre-award Manager will have the option to return the application to the recipient or assign reviewers (civil rights, environmental, and technical reviewers). If no changes are necessary the Pre-Award manager will assign the FAIN and the application will be returned to the recipient for formal submission of the application. After submission the FTA will conduct any additional reviews and then reserve and award the grant. The Recipient will receive a Task and will have 90 days to execute the award.



This section will cover the additional 'Related Actions' available during the application development phases in order to complete the above steps.

The following related actions will only be seen by users with the Developer or Submitter roles.

- **Add Project to Application**
- **Validate and Transmit Application**
- **Delete Project**

Additionally this section includes the project records and related actions associated with projects.

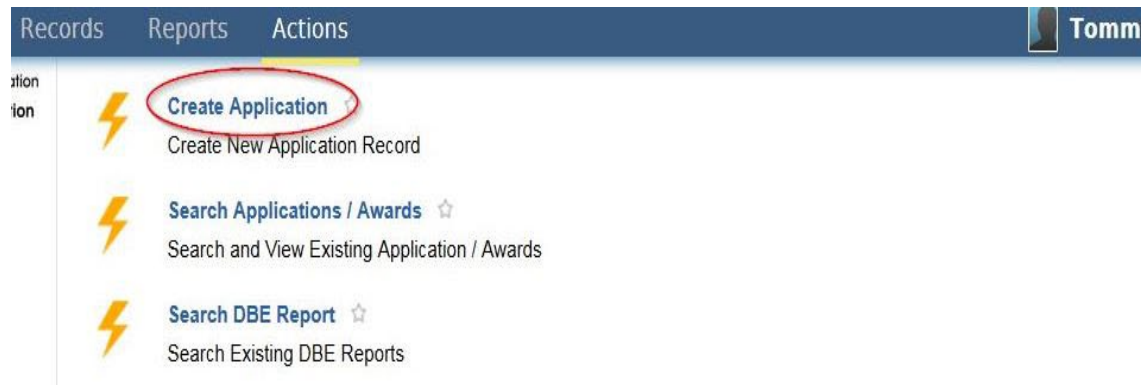
6.5 Action: Create Application

Note: Only your recipient organization's Submitter or Developer roles will be able to access this action.



To create a new application:

- 1) Click the 'Actions' tab to display a list of available user actions.
- 2) Click 'Create Application'



- 3) Once selected, an editable 'Application Details' form will be displayed. You will need to complete the following fields under the 'Application Details' section:
 - a) Enter a name to associate with the application and future award in the 'Application Name' field.
 - b) Select the type for the new application from the drop-down menu provided under the 'Application Type' field. Choose one of the following:
 - i) Grant
 - ii) Cooperative Agreement
 - c) Enter an executive summary for the new application in the 'Application Executive Summary' field.
 - d) Select a point of contact from the drop-down menu provided under the 'Application Point of Contact' field.



Trams Transit Organization | Application Details

Recipient Information

Recipient ID 9900

Recipient Name Trams Transit Organization

Application Details

Application Name

Create a name for this application. Note that this name will be used to reference the grant elsewhere, so create a name as you would like this grant to be seen by the public. For example, New York Wilson Bridge Construction Grant.

Application Type

Select the type of FTA financial assistance you are applying for

Application Executive Summary

Describe the general purpose of the award.

Application Point of Contact

Select your organization's primary contact for this application

4) You will need to complete the following fields under the 'Application Executive Order (E.O.) Information' section:

a) Select either the Yes or No option as applicable to respond to the question, 'Does your State require E.O.12372 review?' If you select the Yes option, complete the next two fields:

b) Enter the state application ID in the 'If Yes, Provide State Application ID' field, when the answer was 'Yes' to 'Does your State require E.O.12372 review?'

c) Click on the 'If Yes, Provide Date Submitted for State Review' field to either type in a date or to select a date from the calendar presented.

Application Executive Order (E.O.) Information

Click link for details about E.O. 12372 review:



http://www.whitehouse.gov/omb/grants_spoc
http://www.whitehouse.gov/omb/grants_spoc

Does your State require E.O. 12372 review?

- Yes, our state requires E.O. 12372 review
 No, our state does not require E.O. 12372 review

If Yes, Provide State Application ID

If Yes, Provide Date Submitted for State Review

5) You will need to complete the following fields under the 'Application Financial Information' section:

a) Select either the Yes or No option to respond to the question, 'Does your organization have delinquent Federal debt?' If you selected the 'Yes' option, provide an explanation into the 'If yes, provide details' field.



b) Select either the Yes or No option to respond to the question, 'Is your application subject to pre-award authority?' Selecting 'Yes, my application is subject to pre-award authority' will generate a task for an initial Federal Financial Report (FFR) to be completed by your recipient organization's FFR Reporter.

Application Financial Information

Does your organization have delinquent Federal debt?
 Yes, my organization has delinquent Federal debt
 No, my organization does not have delinquent Federal debt

If yes, provide details

Is your application using pre-award authority?
 Yes, my application is using pre-award authority
 No, my application is not using pre-award authority

6) Once all details have been entered for the new application, click the 'Save' button. Click the 'Cancel' button to discard the application.

7) The new application record will be created and saved. A confirmation message will be displayed: 'Click on the link below to view the new Application record.' You may either click on this hyperlink to automatically be redirected to the new application record and continue developing your application, or

8) Return to the record later by clicking the 'Close' button to return to the 'Actions' tab.

Application | Created

✔ Success!

Application Number 9900-2016-3
Click the link below to open the new Application record.
[9900-2016-3 | Sample Application for User Guide Demo](#)



6.6 Related Action – Add Project to Application

Each application/award will be comprised of one or more projects (all previous TEAM applications are limited to one project). The related action ‘Add Project to Application’ will be visible to developers and submitters when an application or award is in the status of ‘In Progress’.

Once the initial application has been created using the action ‘Create Application’ the user will need to add projects to provide the details.

To add a new project to an application, find the application and select the ‘Related Actions’.

1) Click ‘Add Project to Application’.

Records / Applications / Awards
7312-2016-2 | Sample TrAMS Application for User Guide Purposes Only Follow

- Application Documents**
Manage Application Document
- Add Project to Application**
Create Project and Associate to Application
- Application Details**
Manage Application Details
- View-Print Application**
Generate View/Print for Application
- Validate and Transmit Application**
Validate and Transmit Application to FTA for Review
- View-Print Budget Change History**
Generate View-Print for Budget Revision
- Application Review Comments**
View and Manage Application / Award Review Comments
- Delete Project**
Delete Associated Application Project

The system will direct you to an editable form titled ‘Create Project | Step 1 of 3 – Add Project Details and Narratives.’

- This is the first step of a three-step wizard and will prompt you for the project’s description, benefits, any additional notes, and capital investment project details.
- Step 2 will prompt for project location (State/USA and Congressional Districts).
- Step 3 will allow you to upload any project documents (STIP/TIP, UPWP, and Long Range Plan). For initial transmission of the project’s application, you will be required to complete fields in the wizard’s Steps 1 and 2.

2) For Step 1 you will need to complete the following fields under the ‘Project Overview’ section:

- a) Enter the project’s name into the ‘Project Name’ field. This is a required field.



- b) Enter a project description into the 'Project Description' field. This is a required field.
- c) Enter the project's benefits into the 'Project Benefits' field. This is a required field.
- d) Enter any additional notes into the 'Additional Information' field (optional).

Create Project | Step 1 of 3 - Add Project Details and Narratives

Project Overview

*Project Name

Please create a project name. For example, Wilson Bridge Construction. You may rename this project at a later time.

*Project Description

Please describe the scope of this project and how it impacts the associated grant application.

*Project Benefits

Please describe the expected results of your project. Include who will benefit from this project and how they will benefit (i.e. what is expected to be achieved as a result of this project)

Additional Information

If needed, please provide additional information about this project that hasn't been included above.

- 3) You will need to complete the following fields under the 'Capital Investment Project Details' section:
- a) Select either the Yes or No option to respond to the question, 'Is this a New Starts, Small Starts, or Core Capacity project funded through the FTA Capital Investment Grant Program?' The 'No, this is not a Capital Investment Grant project' response will be initially selected by default.
 - b) If you select the Yes option, select an option to identify the program and respond to the question, 'If yes, what type of major capital investment project?' Your options will consist of the following:
 - New Starts
 - Small Starts
 - Core Capacity



Capital Investment Project Details

Is this a New Starts, Small Starts or Core Capacity project funded through the FTA Capital Investment Grant Program?

The Capital Investment Grant Program funds locally planned, implemented and operated transit capital projects, including rapid rail, light rail, streetcars, bus rapid transit, commuter rail, and ferries. Please see the following link for more information about this program:

[Capital Investment Program](#)

<http://www.fta.dot.gov/12304.html>

Yes, this is a Capital Investment Grant project. If you select "yes", the project(s) included in your application will use the 13 or 14 scope series and cost categories of FTA's Activity Line Item tree.

No, this is not a Capital investment Grant project.

If yes, what type of major capital investment project?

New Starts

Small Starts

Core Capacity

Next Step

Cancel

4) Once all required project details have been entered, click the 'Next Step' button.

5) The system will take you to an editable form titled 'Create Project | Step 2 of 3 - Add Project Location'.

a) Select one or more checkboxes from the grid for the Area Name.

b) Select one or more Congressional District that will be applicable to the project.

Note: Although the selection of Area Name and a Congressional District are not enforced on this form, they are required for the project to be successfully validated.

c) Enter a location narrative into the 'Location Narrative' field, which is a required field, and then click the 'Next Step' button.



Create Project | Step 2 of 3 - Add Project Location

Place of Performance

UZAs, States and Territories associated with your organization's grantee profile:

<input type="checkbox"/> Area Name	↑ UZA Code
<input type="checkbox"/> Florida	120000
<input type="checkbox"/> Orlando, FL	120690

Select the Congressional District(s) from your organization's grantee profile that apply to this project:
If the desired congressional district is not listed, go to your organization's grantee profile to modify the list of congressional districts for your organization.

<input type="checkbox"/> Congressional District	State	↑ Representative Name
<input type="checkbox"/> 1	Florida	Ted Brown

Visit the following site to View Congressional Districts by ZIP code:
[House.gov Search](http://www.house.gov/search)
<http://www.house.gov/representatives/find/>

Narrative

* Location Narrative

Note: Clicking the 'Back' button returns you to the previous steps of the project wizard. Any input entered or selections made by the user before clicking this button will be saved to the form.

6) The system will take you to an editable form titled 'Create Project | Step 3 of 3' to complete related program plan information. Depending on the funding program(s) associated with the project, you can include your approved STIP/TIP, Unified Work Program, or Long Range Plan information.

In each section, you may perform the following:

a) You may add documents by clicking on the 'Browse' button under the respective program and then selecting a document to upload from your computer. Once you have selected a document, click the 'Open' button to upload.

b) Click on the date field to either type in a date or display a date picker to select dates from.

7) Once all details have been entered for the new project, click the 'Finish' button.

a) Enter a description into the document description field.



Create Project | Step 3 of 3

STIP/TIP Documents

Upload STIP/TIP Document

STIP/TIP Date

STIP/TIP Document Description

Provide details about the uploaded STIP document (e.g., page number where the project is mentioned in the document)

UPWP Documents

Upload UPWP Document

UPWP Date

UPWP Document Description

Provide details about the uploaded UPWP document (e.g., page number where the project is mentioned in the document)

Long-Range Plan Documents

Upload Long-Range Plan Document

Long-Range Plan Date

Long-Range Plan Document Description

Provide details about the uploaded Long-Range Plan document (e.g., page number where the project is mentioned in the document)

8) The new project record will be created and saved. A confirmation message will be displayed, with a hyperlink to the project record. You may either click on this hyperlink to automatically be redirected to the new project record and continue developing your project, or

9) Return to the record later by clicking the 'Close' button to return to the 'Related Actions' tab.



Project | Created



Project Number 9900-2016-3-P1 has been created.
Click the link below to view the new Project record.
[9900-2016-3-P1 | Project 1 for Sample application](#)

Close

6.7 Projects

The following related actions are available from the project dashboard related actions and editable for Developers and Submitters while an application has a status of 'In Progress'. With the exception of 'Validate Project' these actions are also available to other user roles in a read-only format and available during other project statuses in the read-only format.

- Project Details and Narratives
- Project Location
- Project Plan Information
- Budget Activity Line Items and Milestone
- Environmental Determinations
- Validate Project
- View Print Application
- Project Documents



Records / Projects

7312-2016-2-P1 - Sample TrAMS Project - For User Guide Purposes Only

[Follow](#)



Project Details and Narratives

Manage Project Details and Narrative



Project Location

Manage Project Place of Performance Information (Congressional District and UZA Codes)



Project Plan Information

Manage Project's Program Plan Information (STIP/UPWP/LRP)



Budget Activity Line Items and Milestone

Manage Budget Activity Line Item and Milestone



Environmental Determinations

Manage Project Environmental Determinations



Validate Project

Validate and Mark Project Complete



View-Print Application

Generate View/Print for Application



Project Documents

Manage Project Documents

6.7.1 Project Details and Narratives

The 'Project Details and Narratives' form allows the user to update the information initially entered during step 1 of the 'Add Project to Application' wizard.



Trams Transit Organization | Project Details and Narratives

Project Details

Project Number	Project Title	Date Created
9900-2016-3-P1	Project 1 for Sample application	6/9/2016 9:24 AM EDT

Project Overview

* **Project Name**
Please create a project name. For example, Wilson Bridge Construction. You may rename this project at a later time.

* **Project Description**
Please describe the scope of this project and how it impacts the associated grant application.

* **Project Benefits**
Please describe the expected results of your project. Include who will benefit from this project and how they will benefit (i.e. what is expected to be achieved as a result of this project)

Additional Information
If needed, please provide additional information about this project that hasn't been included above.

Capital Investment Project Details

Is this a New Starts, Small Starts or Core Capacity project funded through the FTA Capital Investment Grant Program?

The Capital Investment Grant Program funds locally planned, implemented and operated transit capital projects, including rapid rail, light rail, streetcars, bus rapid transit, commuter rail, and ferries. Please see the following link for more information about this program:

[Capital Investment Program](http://www.fta.dot.gov/12304.html)
<http://www.fta.dot.gov/12304.html>

Yes, this is a Capital Investment Grant project. If you select "yes", the project(s) included in your application will use the 13 or 14 scope series and cost categories of FTA's Activity Line Item tree.

No, this is not a Capital investment Grant project.

If yes, what type of major capital investment project?

New Starts

Small Starts

Core Capacity

6.7.2 Project Location

The 'Project Location' form allows the user to update the information initially entered during step 2 of the 'Add Project to Application' wizard.



Trams Transit Organization | Project Location

Project Details

Project Number	Project Title	Date Created
9900-2016-3-P1	Project 1 for Sample application	6/9/2016 9:24 AM EDT

Place of Performance

UZAs, States and Territories associated with your organization's grantee profile:

<input checked="" type="checkbox"/>	Area Name	↑ UZA Code
<input checked="" type="checkbox"/>	Florida	120000
<input checked="" type="checkbox"/>	Orlando, FL	120690

Select the Congressional District(s) from your organization's grantee profile that apply to this project:

If the desired congressional district is not listed, go to your organization's grantee profile to modify the list of congressional districts for your organization.

<input checked="" type="checkbox"/>	Congressional District	State	↑ Representative Name
<input checked="" type="checkbox"/>	5	Florida	Corrine Brown

Visit the following site to View Congressional Districts by ZIP code:

House.gov Search

<http://www.house.gov/representatives/find/>

Narrative

* Location
Narrative

This is a mandatory field

Save Cancel

6.7.3 Project Plan Information

The 'Program Plan Information' form allows the user to update the STIP/TIP, UPWP or Long Range Plan information initially entered during step 3 of the 'Add Project to Application' wizard.



Trams Transit Organization | Program Plan Information

Project Details

Project Number	Project Title	Date Created
9900-2016-3-P1	Project 1 for Sample application	6/9/2016 9:24 AM EDT

STIP/TIP Documents

Upload STIP/TIP Document Tulips.jpg (606 KB) ×

STIP/TIP Date

STIP/TIP Document Description

Provide details about the uploaded STIP document (e.g., page number where the project is mentioned in the document)

UPWP Documents

Upload UPWP Document

UPWP Date

UPWP Document Description

Provide details about the uploaded UPWP document (e.g., page number where the project is mentioned in the document)

Long-Range Plan Documents

Upload Long-Range Plan Document

Long-Range Plan Date

Long-Range Plan Document Description

Provide details about the uploaded Long-Range Plan document (e.g., page number where the project is mentioned in the document)

6.7.4 Budget Activity Line Items and Milestones

The Application and Project Budgets are formulated by adding scopes and budget activity line items (ALIs) to a project. To create and update ALIs for a project, complete the following:



- 1) Click on the link 'Budget Activity Line Items and Milestone' related action to update the budget activity line items.
- 2) The ALIs associated to the project are listed and displayed in grid format under the 'Existing Line Item' section. If there are no ALIs associated, the grid will be empty.
- 3) Click on the 'Add Line Items' button to add the ALIs to this project. Click on 'Close' to leave the form without saving any changes. If you selected this, you are navigated back to the related actions dashboard.

Project | Manage Budget Activity Line Items and Milestones

Recipient Details

Recipient ID
9900

Recipient Name
Trams Transit Organization

Project Details

Project Number
9900-2016-3-P1

Project Name
Project 1 for Sample application

Application Details

FAIN
9900-2016-3

Application Name
Sample Application for User Guide Demo

Temp App Number
9900-2016-3

Application Status
In-Progress

Existing Line Items

No Activity Line Items have been added to this project.

Add Line Item

Close

- 4) Click on 'Add Item' for each ALI that will be required for the Project.

Project | Add New Budget Activity Line Items (ALIs)

You can add up to ten (10) line items at a time. Click "Save" to save your current line items and add more. Click "Finish" to save your current line items and return to previous form.

New Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name
No items available			

+Add Item

Save

Finish

Cancel



- 5) After choosing ‘Add Item’, select values from the drop-down menu provided for each line item in the grid. For each ALI select:
- a) Scope Code/Scope Name
 - b) Activity Type
 - c) Line Item Number / Line Item Name

Project | Add New Budget Activity Line Items (ALIs)

You can add up to ten (10) line items at a time. Click "Save" to save your current line items and add more. Click "Finish" to save your current line items and return to previous form.

New Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name	
!	Select Scope	--	--	DEL

You must select a scope, activity type and item name for each line item in the grid before saving

+Add Item

Save
Finish
Cancel

Note: The ‘Activity Type’ drop-down list will be populated based on the ‘Scope Code/Scope Name’ selected. The ‘Line Item Number / Line Item Name’ will be populated based on the ‘Activity Type’ selected.

The scopes/ALIs available to the user depend on whether the project is a Major Capital Investment project. This is selected at the project level on the “Project Details and Narratives” form:

Capital Investment Project Details

Is this a New Starts, Small Starts or Core Capacity project funded through the FTA Capital Investment Grant Program?

The Capital Investment Grant Program funds locally planned, implemented and operated transit capital projects, including rapid rail, light rail, streetcars, bus rapid transit, commuter rail, and ferries. Please see the following link for more information about this program:

Capital Investment Program
<http://www.fta.dot.gov/12304.html>

Yes, this is a Capital Investment Grant project. If you select "yes", the project(s) included in your application will use the 13 or 14 scope series and cost categories of FTA's Activity Line Item tree.

No, this is not a Capital investment Grant project.

If the user selected ‘Yes, this is a Capital Investment Grant project’ on the Project Details form, the user will see the 13/14 series scopes and ALIs from the FTA Scope/ALI tree when adding new line items.



New Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name	
	Select Scope	--	--	DEL

You must select an item in the grid before saving

+Add Item

- Select Scope
- 131-00 NEW START - ROLLING STOCK
- 132-00 TRANSITWAY LINES - NEW START
- 133-00 STATION/TERMINALS - NEW START
- 134-00 SUPPORT EQUIP/FACIL - NEW START
- 135-00 ELECTRIFICATION/POWER DISTRIB - NEW START
- 136-00 SIGNALS/COMMUNICATION - NEW START
- 137-00 OTHER CAPITAL ITEMS - NEW START
- 139-00 New Start Associated Transit Improvements
- 140-00 NEW START
- 140-10 GUIDEWAY & TRACK ELEMENTS
- 140-20 STATIONS, STOPS, TERMINALS, INTERMODAL
- 140-30 SUPPORT FACILITIES

Save Finish Cancel

If the user selected 'No, this is not a Capital Investment Grant project' on the Project Details form, the user will see the standard capital, planning, operating, etc. scopes and ALIs from the FTA Scope/ALI tree when adding new line items.

New Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name	
	Select Scope	--	--	DEL

You must select an item in the grid before saving

+Add Item

- Select Scope
- 111-00 BUS - ROLLING STOCK
- 112-00 BUS TRANSITWAYS/LINES
- 113-00 BUS - STATION/STOPS/TERMINALS
- 114-00 BUS: SUPPORT EQUIP AND FACILITIES
- 115-00 ELECTRIFICATION/POWER DIST (BUS)
- 116-00 SIGNAL & COMM EQUIPMENT (BUS)
- 117-00 OTHER CAPITAL ITEMS (BUS)
- 119-00 Bus Associated Transit Improvements
- 121-00 RAIL - ROLLING STOCK
- 122-00 RAIL TRANSITWAY LINES
- 123-00 RAIL - STATION/STOPS/TERMINALS
- 124-00 SUPPORT EQUIP/FACILITIES (RAIL)
- 125-00 ELECTRIFICATION/POWER DISTRIB (RAIL)
- 126-00 SIGNAL/COMMUNICATION (RAIL)
- 127-00 OTHER CAPITAL ITEMS (RAIL)
- 129-00 Fixed Guideway Associated Transit Improvements
- 300-00 OPERATING ASSISTANCE
- 435-00 RURAL TRANSIT ASST PROGRAM
- 441-00 STATE PLANNING & RESEARCH

Save Finish Cancel

6) Click 'Save' to add the desired ALI or 'Cancel' to exit without saving the changes and return to ALI summary page.

7) After saving, you may continue to add additional ALI's. Select 'Add Item' to add additional items.



- 8) Click 'Finish' to exit once all ALIs have been entered and return to the ALI summary page.
- 9) To add detail information for each line item, select the check box against the desired ALI from the grid. The form will expand below the existing line items grid to include the following sections for the selected line item:
 - Line Item Scope,
 - Line Item Details,
 - FTA Funding Information,
 - Non FTA Funding Information,
 - Rolling Stock Information, when the scope code of 'Rolling Stock' has been selected,
 - Milestone Information.

Project | Manage Budget Activity Line Items and Milestones

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
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Project Details

Project Number 9900-2016-2-P1	Project Name project 2 of sample
----------------------------------	-------------------------------------

Application Details

FAIN 9900-2016-2	Application Name Sample Application
Temp App Number 9900-2016-2	Application Status In-Progress

Existing Line Items

To edit a line item, click on the checkbox for the line item and a new section will appear below with line item and milestone details

<input type="checkbox"/>	Status	Scope Name / Code	Line Item Number / Name ↑	Activity Type	Quantity	FTA Amount	Total Eligible Cost
<input type="checkbox"/>	In-Progress	111-00 BUS - ROLLING STOCK	11.12.02 BUY REPLACEMENT 35-FT BUS	Buy Replacements - Capitol Bus	0	\$0	\$0
<input type="checkbox"/>	In-Progress	111-00 BUS - ROLLING STOCK	11.17.00 VEH OVERHAUL (UP TO 20% VEH MAINT)	Bus - Rolling Stock	0	\$0	\$0

Note: For a line item to have a status of 'Complete', it must have: a quantity, an extended budget description, an FTA funding source selected, at least 2 milestones, and all milestone estimated completion dates filled out.



10) You may provide a customized name for the line item in the 'Line Item Scope' details section by updating the 'Custom Line Items Name' field or you may choose to keep the standard line item name.

11) Update the line item details section

- a) Provide the Quantity of items that this ALI will cover in the 'Quantity' field. This field must be a whole number.
- b) Provide description of the ALI in the 'Extended Budget Description'.
- c) Update the 3rd-party contractor question with the correct answer. Click on the radio button next to the 'Yes, 3rd Party Contractors will be used for this line item.' or 'No, 3rd Party Contractors will not be used for this line item'.

Line Item Scope: 111-00 BUS - ROLLING STOCK

Line Item #

11.12.02

Standard Line Item Name

Buy Replacement 35-ft Bus

Custom Line Item Name

Buy Replacement 35-ft Bus

Activity Type

Buy Replacements - Capitol Bus

Line Item Details

Quantity

0

Extended Budget Description

Will 3rd Party contractors be used to fulfill this activity line item?

- Yes, 3rd Party Contractors will be used for this line item.
- No, 3rd Party Contractors will not be used for this line item.



12) Update the FTA Funding Information Section with the requested amount to be provided by the grant or cooperative agreement.

- a) Select a value from the drop-down menu provided under the 'FTA Funding Source' field.
- b) Update the FTA Funding Amount field with the desired amount of funding.

FTA Funding Information

FTA Funding Source

FTA Funding Amount

13) Non-FTA Funding Information Section— provide information concerning additional local, state or other federal funding that will be provided for this ALI.

- a) If applicable update the 'Local Share Amount' field with the amount the local government will be contributing.
- b) If applicable update the 'Local/In-Kind Share Amount' with the value of any local in-kind funding.
- c) If applicable update the 'State Share Amount' field with the amount the state will be contributing.
- d) If applicable update the 'State/In-Kind Share Amount' field with the desired amount.
- e) If applicable update the 'Other Federal Share Amount' field with the desired amount.
- f) If applicable update the 'Adjustment Amount field' with the desired amount.
- g) If applicable update the 'Transportation Development Credit' field with the desired amount.

Note: All amount fields reflect whole dollars and must be entered as a whole number.

The 'Total Eligible Cost' will be updated after saving.



Non-FTA Funding Information

Local Share Amount

\$0

Local/In-Kind Share Amount

\$0

State Share Amount

\$0

State/In-Kind Share Amount

\$0

Other Federal Share Amount

\$0

Adjustment Amount

\$0

Transportation Development Credit

\$0

Total Eligible Cost

\$0

Note: Transportation Development Credit and Adjustment Amount will not be additive in the Total Eligible Cost

14) When the scope code 'Rolling Stock' is selected the Rolling Stock Information Section will display:

- a) Select a value from the drop down 'Vehicle Condition' field indicating whether the vehicles are new or used.
- b) Select a value from the drop down 'Fuel' field indicating the type of fuel that the vehicles will use.
- c) Provide a short text describing of the size of the vehicle in the 'Vehicle Size' field.

Rolling Stock Information

Vehicle Condition

N/A

Fuel

N/A

Vehicle Size



15) Update the Milestone section – A grid will be displayed with all applicable milestones.

Note: A ‘Rolling Stock’ line item will have five standard milestones as shown below.

<input type="checkbox"/>	Name	Estimated Completion Date	Description
<input checked="" type="checkbox"/>	RFP/IFB Issue Date		
<input type="checkbox"/>	Contract Award Date		
<input type="checkbox"/>	Initial Delivery Date		
<input type="checkbox"/>	Final Delivery Date		
<input type="checkbox"/>	Contract Completion Date		

Milestone Details

Milestone Name

RFP/IFB Issue Date

Save Milestone

Delete Milestone

Cancel

Estimated Completion Date

M/d/yyyy

Milestone Description

Note: A non ‘Rolling Stock’ line item will have two standard milestones as shown below.



Milestones

Add New Milestone

<input type="checkbox"/>	Name	Estimated Completion Date	↑	Description
<input type="checkbox"/>	Start Date			
<input type="checkbox"/>	End Date			

Save Line Item Delete Line Item Cancel

Add Line Item Close

16) You may select to update the listed milestones, delete selected milestones, or add additional milestones. Details for each milestone in the grid must be provided for the ALI to be marked as complete. Milestones that are not applicable should be deleted.

Note: A minimum of 2 milestones are required for an ALI to be complete.

17) To add new milestones click the 'Add New Milestone' button and the form will expand to allow for the input of the milestone details.

Milestone Details

Milestone Name Save Milestone Cancel

Estimated Completion Date

Milestone Description

18) To update or delete a milestone from the grid, select the checkbox associated with the desired milestone and the form will expand to display the milestone details.

19) Once the form has expanded you can choose to update the details associated with the milestone selected or choose to delete the milestone.

a) Update the 'Milestone Name' with a name of your own choosing or keep the provided name.



- b) Click on the 'Estimated Completion Date' field to either type in a date or select a date from the date picker.
- e) Optionally, provide additional information concerning the milestone in the 'Milestone Description' field.
- d) Click on 'Save Milestone' to save all information for the selected milestone and collapse the form to hide the selected milestone details.
- e) Click on the 'Delete Milestone' button to delete the milestone, and collapse the form, or
- f) Click on 'Cancel' to exit out of the milestone details form without saving any changes made to the milestone and collapse the form to hide the milestone details.

Milestone Details

Milestone Name Save Milestone Delete Milestone Cancel

Estimated Completion Date

Milestone Description

- 20) Once all the sections related to the selected line item have been completed, you have the following option. The buttons can be found below the 'Existing Line Items' grid.
 - a) Click on 'Save Line Item' to save all information for the selected line item and collapse the form to hide the line item sections, or
 - b) Click on 'Delete Line Item' button to delete the selected line item from the project, or
 - e) Click on 'Cancel' to exit out of the form without saving changes for the selected line item and collapse the form to exclude the line item sections.



Milestones

Add New Milestone

<input type="checkbox"/>	Name	Estimated Completion Date ↑	Description
<input type="checkbox"/>	RFP/IFB Issue Date	3/31/2016	The detailed information about the sample milestone should be documented here
<input type="checkbox"/>	Contract Award Date	4/30/2016	The detailed information about the sample milestone should be documented here
<input type="checkbox"/>	Initial Delivery Date	5/31/2016	The detailed information about the sample milestone should be documented here
<input type="checkbox"/>	Final Delivery Date	6/30/2016	The detailed information about the sample milestone should be documented here
<input type="checkbox"/>	Contract Completion Date	7/31/2016	The detailed information about the sample milestone should be documented here

Save Line Item

Delete Line Item

Cancel

21) Click on the 'Save Line Item' button to save changes to your ALI and milestones. The 'Click Close Button to Save' form will appear.

Line Item | Click Close Button to Save

✓ Success!

Click Close button to save line item and return to budget activity line items form.

Close

Note: You must select the 'Close' button on this screen. Saving of line items is not complete unless the 'Close' button has been selected. Failing to select the 'Close' button will result in incorrect Control Totals.

22) Click the 'Close' button to finish saving the line item and return to the 'Budget Activity Line Items' form

23) The status for each line item will change from 'In progress' to 'Complete' after all sections have been filled out and saved.



Note: All line items must have a ‘Complete’ status in order to successfully validate the project.

Existing Line Items

To edit a line item, click on the checkbox for the line item and a new section will appear below with line item and milestone details

<input type="checkbox"/>	Status	Scope Name / Code	Line Item Number / Name ↑	Activity Type	Quantity	FTA Amount	Total Eligible Cost
<input type="checkbox"/>	Completed	111-00 BUS - ROLLING STOCK	11.12.03 BUY REPLACEMENT 30-FT BUS	Buy Replacements - Capitol Bus	1	\$100,000	\$135,000
<input type="checkbox"/>	In-Progress	111-00 BUS - ROLLING STOCK	11.17.00 VEH OVERHAUL (UP TO 20% VEH MAINT)	Bus - Rolling Stock	0	\$0	\$0

Note: For a line item to have a status of ‘Complete’, it must have: a quantity, an extended budget description, an FTA funding source selected, at least 2 milestones, and all milestone estimated completion dates filled out.

24) To delete a line item, select checkbox of the line item to be deleted and click on the ‘Delete Line Item’ button.

Existing Line Items

To edit a line item, click on the checkbox for the line item and a new section will appear below with line item and milestone details

<input type="checkbox"/>	Status	Scope Name / Code	Line Item Number / Name ↑	Activity Type	Quantity	FTA Amount	Total Eligible Cost
<input type="checkbox"/>	Completed	111-00 BUS - ROLLING STOCK	11.12.03 BUY REPLACEMENT 30-FT BUS	Buy Replacements - Capitol Bus	1	\$100,000	\$135,000
<input checked="" type="checkbox"/>	In-Progress	111-00 BUS - ROLLING STOCK	11.17.00 VEH OVERHAUL (UP TO 20% VEH MAINT)	Bus - Rolling Stock	0	\$0	\$0

Save Line Item **Delete Line Item** Cancel

25) The system will display a message asking to confirm the deletion of the line item.

Are you sure you want to delete the ALI?

26) Once a line item is deleted the existing line items grid will no longer display the deleted line item.



Existing Line Items

To edit a line item, click on the checkbox for the line item and a new section will appear below with line item and milestone details

<input type="checkbox"/>	Status	Scope Name / Code	Line Item Number / Name ↑	Activity Type	Quantity	FTA Amount	Total Eligible Cost
<input type="checkbox"/>	Completed	111-00 BUS - ROLLING STOCK	11.12.03 BUY REPLACEMENT 30-FT BUS	Buy Replacements - Capitol Bus	1	\$100,000	\$135,000

27) Click the 'Close' button to return to the Projects Related Actions menu.

6.7.5—Environmental Determination








Environmental findings must be provided for each Project. You may select to have one environmental finding apply to the project as a whole (and all ALIs within the project) or select individual environmental findings for each ALI.

To update Environmental Determination, complete the following:

1) Click on the link 'Environmental Determination' related action to update environmental findings details.

Records / Projects

FL-2016-002-01-00 - Sample TrAMS Project - For User Guide Purposes Only Follow

-  **Project Details and Narratives**
Manage Project Details and Narrative
-  **Project Location**
Manage Project Place of Performance Information (Congressional District and UZA Codes)
-  **Project Plan Information**
Manage Project's Program Plan Information (STIP/UPWP/LRP)
-  **Budget Activity Line Items and Milestone**
Manage Budget Activity Line Item and Milestone
-  **Environmental Determinations**
Manage Project Environmental Determinations
-  **View-Print Application**
Generate View/Print for Application
-  **Project Documents**
Manage Project Documents

2) Update 'Step 1' the project 'Independent Utility and Permissible Segmentation' section by identifying if your project involves one or more environmental findings by either selecting:

a) 'This Project and each ALI activity have a separate, individual NEPA finding'—select this option when each ALI within the project has its own environmental finding, or



- b) 'This Project and ALL ALIs activities are covered by one NEPA finding' select this option when one environmental finding applies to the project as a whole.
- 3) Click on the 'Next' button to move the next form or 'Close' to close the form without saving changes and return to the project 'Related Actions' menu.

9900-2016-3-P1 | Project Environmental Review Summary

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
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Application Details

FAIN 9900-2016-3	Application Name Sample Application for User Guide Demo
Temp App Number 9900-2016-3	Application Status In-Progress

Project Details

Project Number 9900-2016-3-P1	Project Name Project 1 for Sample application
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FTA Environmental Review

Prior to approving a grant in TrAMS, FTA must find that the action complies with the National Environmental Policy Act (NEPA) and any other applicable environmental laws and regulations including, but not limited to, Section 106 of the National Historic Preservation Act, Section 4(f), and the Endangered Species Act. TrAMS requires you to enter NEPA classes of action (whether the project qualifies as a c-list or d-list categorical exclusion (CE), environmental assessment (EA), or environmental impact statement (EIS)) and identify a NEPA finding (CE, FONSI, or ROD) at either the Project Level or the Activity Line Item (ALI) level, depending on how the application is structured.

You are requested to upload documents related to environmental reviews, as appropriate, and identify NEPA finding dates (past and upcoming) to help FTA manage or conduct an environmental review. If you need assistance on how to enter environmental information into TrAMS, please contact your regional office.

Useful resources to identify the appropriate NEPA class of action and other environmental review requirements include: your FTA regional office, FHWA/FTA environmental regulations at 23 CFR 771, and FTA's Categorical Exclusion Guidance. Links to these documents and other relevant materials are on FTA's public website.

<http://www.fta.dot.gov/>

Step 1: Independent Utility and Permissible Segmentation

For most entries in TrAMS, there will be one NEPA finding per "Project" in TrAMS. In some cases, it may be desirable for one "Project" to have multiple NEPA findings, and in that instance, there would be one NEPA finding identified per ALI (e.g., large formula grants covering multiple independent activities or Projects that involve permissible early acquisition of real property where the early property acquisition has not been set up as its own "Project") rather than at the Project level. Whether a NEPA finding is made at the Project level or for individual ALIs, every NEPA finding must comply with the scope requirements of 23 CFR 771.111(f) (independent utility, logical termini for linear projects, not restrict consideration of alternatives for reasonably foreseeable transportation projects).

If your Project includes permissible early acquisition of real property, that early acquisition of property must have its own NEPA finding if Federal funds may be used for the acquisition. That can be done through either including a separate "Project" for early property acquisition or by entering NEPA findings at the ALI level.

Identify if your Project involves one or more than one environmental finding (e.g., multiple NEPA findings at the ALI level).*

- This Project and each ALI activity have a separate, individual NEPA finding.
- This Project and ALL ALIs activities are covered by one NEPA finding.

Next Close



4) Next, the 'Step 2: Project Environmental Finding Summary' section will appear.

- a) If the value 'This Project and ALL ALLs activities are covered by one NEPA finding' was selected, the grid will display one row for the whole project and only one 'NEPA Class of Action' and its corresponding 'Category Exclusion' may be selected.

9900-2016-3-P1 | Project Environmental Review Summary

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
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Application Details

FAIN 9900-2016-3	Application Name Sample Application for User Guide Demo
Temp App Number 9900-2016-3	Application Status In-Progress

Project Details

Project Number 9900-2016-3-P1	Project Name Project 1 for Sample application
----------------------------------	--

Step 2: Project Environmental Finding Summary

Select NEPA Class of Action

Project Name	NEPA Class of Action	Category Exclusion	
Project 1 for Sample application	Class 1 - Environmental Impact Statement (E)	NA	

Back

Next

Close



- b) If 'This Project and each ALI activity have a separate, individual NEPA finding,' was selected, the grid will display with each ALI associated to the project. A 'NEPA Class of Action' and its corresponding 'Category Exclusion' will need to be chosen for each ALI.

9900-2016-3-P1 | Project Environmental Review Summary

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
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Application Details

FAIN 9900-2016-3	Application Name Sample Application for User Guide Demo
Temp App Number 9900-2016-3	Application Status In-Progress

Project Details

Project Number 9900-2016-3-P1	Project Name Project 1 for Sample application
----------------------------------	--

Step 2: Project Environmental Finding Summary

Select NEPA Class of Action

Scope Code	Scope Name	Line Item Number	NEPA Class of Action	Category Exclusion
111-00	BUS - ROLLING STOCK	11.17.00	Select One <input type="button" value="v"/>	NA
111-00	BUS - ROLLING STOCK	11.12.03	Select One <input type="button" value="v"/>	NA

- 5) Select a value from the drop-down menu provided under the 'NEPA Class of Action' field.
 - a) Once you select a class of action from the drop-down, the 'Category Exclusion' field will populate with appropriate values.
 - i) If 'Class II(c) - Categorical Exclusion (C-List)' or 'Class II(d) - Categorical Exclusion (D-List)' is selected, a new dropdown menu will appear displaying the possible categorical exclusion values.
 - ii) Otherwise, the value of 'NA' will populate.
- 6) To modify the answer selected in Step 1 for the number of environmental findings associated with the project, click on the 'Back' button. When changing the selection of the question in Step 1, the following validation message will be displayed to confirm that you want to change the environmental finding associations:



Previously saved data will be deleted, are you sure you want to continue?

- 7) Repeat steps 2 through 4.
- 8) Click on the 'Next' button to move to the next page to provide detail information on the Environmental Findings.
- 9) Click on 'Close' to close the form without saving and return to the project 'Related Actions' menu.
- 10) Next, the 'Step 3: Budget Activity Line Items Associated to NEPA Class of Action' section will display:

9900-2016-3-P1 | Project Environmental Review Summary

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
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Application Details

FAIN 9900-2016-3	Application Name Sample Application for User Guide Demo
Temp App Number 9900-2016-3	Application Status In-Progress

Project Details

Project Number 9900-2016-3-P1	Project Name Project 1 for Sample application
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Step 3: Budget Activity Line Items Associated to NEPA Class of Action

	Scope Code	Scope Name	Line Item Number	Line Item Name	FTA Amount	Total Eligible Cost	NEPA Class	Categorical Exclusion
<input checked="" type="checkbox"/>	111-00	BUS - ROLLING STOCK	11.17.00	VEH OVERHAUL (UP TO 20% VEH MAINT)	\$250,000.00	\$275,000.00	Class II(c) - Categorical Exclusions (C-List)	Type 08: Maintenance, Rehab, Reconstruction of Facilities
<input type="checkbox"/>	111-00	BUS - ROLLING STOCK	11.12.03	BUY REPLACEMENT 30-FT BUS	\$100,000.00	\$155,000.00	Class III - Environmental Assessment (EA)	NA

Class II(c) - Categorical Exclusions (C-List) - Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

- 11) When multiple findings have been selected you will be presented with a grid to select the ALI to be updated. Select an ALI by checking the box associated with the ALI and the form will expand to allow the entry of the details. If only one finding was selected for the project, selecting an ALI will not be required and the expanded form will automatically be displayed.



12) For each NEPA Class of Action selected the user will be able to:

- a) Provide a description of the findings in the 'Description Details' field.
- b) Click on each finding date type 'Date' field to either type in a date or select a date from the date picker. Date fields listed will depend on the NEPA Class of Action selected.
- c) Click on the 'Browse' button to upload a document that is associated with the NEPA Class of Action.

Description Details

The detailed information about the associated NEPA class of action is optional and can be entered here

Environmental Finding Dates

Finding Date Type	Date
Class IIc CE Approved	1/21/2016

Documentation for NEPA Class of Action

Document Name	Date Uploaded	Uploaded By
No items available		

Chrysanthemum.jpg (858.78 KB) X

13) Click on 'Save' to save all information for the selected NEPA Class of Action and collapse the form. Click on 'Back' to return to the previous form and modify the NEPA Class of Action or Categorical Exclusions selected. Click on 'Close' to close the form and return to the project 'Related Actions' menu without saving.

6.7.6 Related Action: Validate Project

All projects must be validated before transmitting an application to FTA for review. To validate a project, complete the following:

- 1) Click on the 'Validate Project' related action to verify that the project is complete.



2) The system will run validation on your project to ensure all required elements for the project are present and will provide a summary of critical issues and warnings. If the validation results in critical issues, you will need to address them individually before the project will pass validation.

Project | Project Validation Results

Project Number	Project Name	Date Created
7312-2016-2-P1	Sample TrAMS Project - For User Guide Purposes Only	1/21/2016 3:43 PM GMT+00:00

Critical Issues



This project cannot pass Project Validation until these errors are corrected:

- The following line items in the project have a status of 'In-Progress'. Please ensure each line item has a status of 'Completed' before continuing (Budget Activity Line Items Related Action):

Scope Number	Scope Name	Line Item Number	Line Item Name	FTA Funding Amount	Line Item Status
111-00	BUS - ROLLING STOCK	11.17.00	VEH OVERHAUL (UP TO 20% VEH MAINT)	\$0	In-Progress

Close

Note: For a line item to have a status of 'Complete', it must have: a quantity, an extended budget description, an FTA funding source selected, at least 2 milestones, and all milestone estimated completion dates filled out.

3) Click on 'Close' button to return back to the available related actions for the project and update the project as necessary.

If the project passes initial validation, you will be taken through a series of questions/forms based on the ALLs in your project:

- Section 5307 Funding Security Question
- Transportation Development Credit or In-Kind Funding Document Upload
- Fleet Status Update for Rolling Stock ALLs

The forms will only appear if the criteria apply to your project.



- 4) Update the Section 5307 Funding Security Question. This question will only appear if you have selected 5307 funding for this application
 - a) Click on the desired radio button next to the 'Yes' and 'No' choices.
 - b) If you selected 'Yes, our organization will expend at least 1% of the 5307 funds in this application for security related projects,' then you be confirmation screen after you complete the step d below.
 - c) If you selected 'No, our organization will NOT expend at least 1% of the 5307 funds in this application for security related projects,' then you will need to explain why on the next form.
 - d) Click on 'Continue' to save the information.

Project | Section 5307 Funding Security Question

Project Details

Project Number 9900-2018-3-P1

Project Name Project 1 for Sample application

This project includes Section 5307 funds. Answer the following question:

Will 1% or more of the 5307 funds in this application be expended for security purposes?

- Yes -- our organization will expend at least 1% of the 5307 funds in this application for security-related projects
- No -- our organization will NOT expend at least 1% of the 5307 funds in this application for security-related projects

Continue

- 5) Update Security Question Details. This will only appear if you have selected 'No' to the 5307 funding security question in step 3.a.
 - a) Click on desired reason. This is a multi select choices
 - b) Update the 'Explanation' field with the desired text. This is a long paragraph text field.
 - c) Click on 'Continue' to save the information.



Project | Security Question Details

Project Details

Project Number 9900-2016-3-P1

Project Name Project 1 for Sample application

Your organization will not expend at least 1% of the Section 5307 funds for security purposes

Select one or more of the following reasons and provide a detailed explanation below:

- We have conducted a threat and vulnerability assessment and found that there are no deficiencies that require additional investment in security projects at this time.
- All FTA/TSA Security Action Items* have been met. We can answer each action item affirmatively, and no additional investment in security projects is required at this time.
- Other. Detailed explanation is provided in comments below.

Explanation

Comments are required to explain your selection.

TSA/FTA Security and Emergency Management Action Items for Transit Agencies

Guidance Link



<http://transit-safety.volpe.dot.gov/Security/SecurityInitiatives/ActionItems/actionlist.asp>
<http://transit-safety.volpe.dot.gov/Security/SecurityInitiatives/ActionItems/actionlist.asp>

Continue

Back

6) When one or more of the ALI has an In-Kind funding source, documentation will be required. The 'Upload In-Kind Funding Document' page will be displayed when a budget ALI contains In-Kind funding.

a) Click on the 'Browse' button and select a document to upload.

b) Click on the 'Save' button to continue.

Project Validation | Upload In-Kind Funding Document

Project Details

Project Number	Project Title	Date Created	Status
9900-2016-3-P1	Project 1 for Sample application	6/9/2016 9:24 AM EDT	In-Progress

Document Details

This project includes a budget activity line item that uses an In-Kind Funding source. Upload documentation for this additional funding.

Upload Document

 Browse...

Upload documentation.

Save



e) If In-Kind documentation has already been uploaded during previous validations of a project, you can skip uploading a document by clicking on the 'Skip' button.

Project Validation | Upload In-Kind Funding Document

Project Details

Project Number	Project Title	Date Created	Status
7312-2016-2-P1	Sample TrAMS Project - For User Guide Purposes Only	1/21/2016 3:43 PM GMT+00:00	Ready for FTA Review

Document Details

This project includes a budget activity line item that uses an In-Kind Funding source. Upload documentation for this additional funding.

Upload Document No file selected.

Upload documentation.

Current In-Kind Funding Document  **Chrysanthemum**
858.8 KB

7) When one or more of the ALI's contain Transportation Development Credits as a Non-FTA funding source, you will be required to upload documentation. The 'Upload Transportation Credit Document' page will be displayed.

a) Click on the 'Browse' button and select a document to upload.

b) Click on the 'Save' button to continue.

Project Validation | Upload Transportation Credit Document

Project Details

Project Number	Project Title	Date Created	Status
9900-2016-3-P1	Project 1 for Sample application	6/9/2016 8:24 AM EDT	In-Progress

Document Details

This project includes a budget activity line item that uses an Transportation Credit source. Upload documentation for this additional funding.

Upload Document

Upload documentation.

e) If Transportation Credit Documentation has already been uploaded during previous validations of a project, you may skip uploading a document by clicking on the 'Skip' button.



Project Validation | Upload Transportation Credit Document

Project Details

Project Number	Project Title	Date Created	Status
7312-2016-2-P1	Sample TrAMS Project - For USer Guide Purposes Only	1/21/2016 3:43 PM GMT+00:00	Ready for FTA Review

Document Details

This project includes a budget activity line item that uses an Transportation Credit source. Upload documentation for this additional funding.

Upload Document No file selected.
Upload documentation.

Current Transportation Credit Document **Chrysanthemum** 858.8 KB

8) When one or more of the ALP's contain rolling stock, the current fleet status associated to the recipient organization is shown. You may add new fleet types, update the current fleet items, delete fleet items, or bypass making any changes to the rolling stock by clicking the 'Close' button.

Refer to Related Action: Fleet Status for additional information regarding updates to fleet status.

Project Validation | Update Grantee Fleet Status

Recipient Information

Notification

Project Number 9900-2016-3-P1 includes a Rolling Stock line item. Please update your organization's fleet status before continuing with the project validation.

Recipient Profile Information

Recipient ID 9900 Recipient Name Trams Transit Organization

Note: The fleet status associated with the application will be the fleet status in effect for the recipient organization at the time of application award.

9) Once all validations have passed, the confirmation screen 'Project Validated' will be displayed with the following message: 'Project Number [project #] has been successfully validated'

a) Click the Close button to return to the 'Related Actions' menu.



Project | Validated



Project Number 9900-2016-3-P1 has been successfully validated.

Close

6.7.7 View-Print Application

Users may access the View-Print Application functionality from either the application record or the project record. Refer to View-Print Application for information regarding this action.

6.7.8 Project Documents

To add, view, or delete documents associated with the project:

- 1) Click on the 'Project Documents' from the project related actions to display the 'Manage Documents' page.

Records / Projects

9900-2016-3-P1 - Project 1 for Sample application Follow

- Project Details and Narratives**
Manage Project Details and Narrative
- Project Location**
Manage Project Place of Performance Information (Congressional District and UZA Codes)
- Project Plan Information**
Manage Project's Program Plan Information (STIP/UPWP/LRP)
- Budget Activity Line Items and Milestone**
Manage Budget Activity Line Item and Milestone
- Environmental Determinations**
Manage Project Environmental Determinations
- Validate Project**
Validate and Mark Project Complete
- View-Print Application**
Generate View/Print for Application
- Project Documents**
Manage Project Documents



2) Documents previously uploaded and associated with the project will be displayed in a grid. To view a document click on the hyperlink in the 'Document File Name' field.

Trams Transit Organization | Manage Documents

Project Details

Project Number 9900-2016-3-P1	Project Name Project 1 for Sample application
----------------------------------	--

Current Documents

<input type="checkbox"/>	Document Context	Document Type	Document File Name	Document Description	Date Uploaded	Uploaded By
<input type="checkbox"/>	Program Plan	STIP/TIP	9900-2016-3-P1 - Program Plan - STIP_TIP		Jun 08, 2016	trams.submitter1
<input type="checkbox"/>	Project Budget	In-Kind Funding	Chrysanthemum		Jun 10, 2016	
<input type="checkbox"/>	Project Budget	Activity Line Item Details	Penguins		Jun 10, 2016	

3) Select the 'Add Document' button to upload additional documentation for the project. The 'Add New Document' form will display. To add a new document you will need to:

- Select the 'Document Context' from the drop-down list.
- After the document context has been selected the 'Document Type' will be available and will be populated based on the document context selected.
- Provide a description of the document that will be uploaded in the 'Document Description' field.
- Click the 'Browse' button to select a document to be uploaded.
- Click the 'Save' button to complete the process.
- To discard the uploaded document, click the 'Cancel' button.



Trams Transit Organization | Add New Document

Project Details

Project Number: 9900-2016-3-P1 Project Name: Project 1 for Sample application

Upload New Document

* Document Context:

* Document Type:

* Document Description:

* Select Document:

2) To remove a document, click on the checkbox associated with the document on the 'Manage Documents' page. Click on the 'Remove Document' button.

Trams Transit Organization | Manage Documents

Project Details

Project Number: 9900-2016-3-P1 Project Name: Project 1 for Sample application

Current Documents

<input type="checkbox"/>	Document Context	Document Type	Document File Name	Document Description	Date Uploaded	Uploaded By
<input type="checkbox"/>	Program Plan	STIP/TIP	9900-2016-3-P1 - Program Plan - STIP_TIP		Jun 08, 2016	trams.submitter1
<input type="checkbox"/>	Project Budget	In-Kind Funding	Chrysanthemum		Jun 10, 2016	
<input checked="" type="checkbox"/>	Project Budget	Activity Line Item Details	Penguins		Jun 10, 2016	

6.7.9 Project Control Totals

To view the total funding associated with the Project select the 'Project Control Totals' from the left hand navigation.



Summary
News
Related Actions
Project Control Totals

Records / Projects

9900-2016-3-P1 - Project 1 for Sample application Follow

Project Information

Project Number 9900-2016-3-P1	Project Tasks for Completion	Status
Project Title Project 1 for Sample application	Narratives	COMPLETED
Project Created Date Jun 09, 2016	Line Items/Milestones	COMPLETED
Last Modified Date Jun 09, 2016	Environmental Findings	COMPLETED
Last Modified By trams.submitter1		

Project Control Totals Summary

Funding Source Name	Amount
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	\$360,000
Local	\$75,000
Local/In-Kind	\$5,000
State	\$0
State/In-Kind	\$0
Other Federal	\$0
Transportation Development Credit	\$1,000
Adjustment Amount	\$0
Total Eligible Amount	\$430,000

1-9 of 9

*Local Amount may include State, Local + Other Federal Funds

6.8 Related Action: Validate and Transmit Application

Note: Only users with the 'Submitter' role will be able to 'Transmit' the application to FTA. Developers can only 'Validate' the application.









Once all projects for an application have been completed and have been successfully validated you will be ready to send the application to the FTA for their initial review to take place. You will need to return to the 'Related Actions' associated with the application.

- 1) If on the Project select the 'Summary' from the left hand navigation and click on the FAIN hyperlink to return to the Application 'Summary'.
- 2) Click 'Related Actions' on the left hand side of the screen.
- 3) Click 'Validate and Transmit Application to FTA'. The system directs you to the 'Application Validation Results' screen.



Records / Applications / Awards

7312-2016-2 | Sample TrAMS Application for User Guide Purposes Only Follow

-  **Application Documents**
Manage Application Document
-  **Add Project to Application**
Create Project and Associate to Application
-  **Application Details**
Manage Application Details
-  **View-Print Application**
Generate View/Print for Application
-  **Validate and Transmit Application**
Validate and Transmit Application to FTA for Review
-  **View-Print Budget Change History**
Generate View-Print for Budget Revision
-  **Application Review Comments**
View and Manage Application / Award Review Comments
-  **Delete Project**
Delete Associated Application Project


4) The system will display a form with project validation results. You must resolve any critical issues that are displayed before attempting to proceed.

Application | Application Validation Results

Application Details

Application Number 9900-2016-2	Application Name Sample Application
Temporary Application Number 9900-2016-2	

Critical Issues

 **Validation Error**

This application cannot pass Application Validation and be transmitted to FTA for review until these errors are corrected:

- The following projects in this application have not passed Project Validation:

9900-2016-2-P1

Please validate all projects in the application before continuing (Project Record, Validate Project Related Action).



5) If the system displays a warning for the application, you may disregard the message and continue validating the application by clicking the 'Continue with Warnings' button.

Application | Application Validation Results

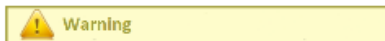
Application Details

Application Number 9900-2016-3

Application Name Sample Application for User Guide Demo

Temporary Application Number 9900-2016-3

Warning Issues



This application can pass Application Validation with the following issues, but they must be corrected prior to Award:

- Your organization has expired or incomplete civil rights program compliances. Please update your civil rights programs (Recipient Organization Record, Civil Rights Information Related Action)
- Your organization has not approved C&A's for Fiscal Year 2016 (Recipient Organization Record, Certifications & Assurances Related Action).

Continue with Warnings

Close

6) The 'Application | Validated' screen will be displayed.

7) If you are logged in as a user with the 'Submitter' role, the 'Continue with Transmission' button will be displayed. Users with the 'Developer' role will not see this button at all.

a) If you are not ready to transmit to FTA for initial review, click the 'Close' button to return to the 'Related Actions' menu without transmitting.

8) Click on the 'Continue with Transmission' button.

Application | Validated



Application Number 9900-2016-3 has been successfully validated.

Continue with Transmission

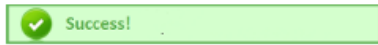
Close

Note: Only users with the 'Submitter' role will be able to 'Transmit' the application to FTA. Developers can only 'Validate' the application.



9) The 'Application | Transmitted' success screen will be displayed. Click the 'Close' button to return to the 'Related Actions' menu.

Application | Transmitted



Application Number 9900-2016-3 transmission completed.



10) Click 'Summary' on the left hand side of the screen if you wish to confirm that the application's status has been updated. The application's status will have changed from 'In Progress' to 'Transmitted/Ready for FTA Review'.

Records / Applications / Awards

9900-2016-3 | Sample Application for User Guide Demo Follow

In-Progress Initial Review / Concurrence Final Concurrence Obligation Executed Active Closed

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
----------------------	--

Application Status Information

Application Number
9900-2016-3

Application Name
Sample Application for User Guide Demo

Status
Transmitted / Ready for FTA Review

Last Modified On
Jun 10, 2016

Last Modified By
Submitter1 TRAMS

6.8.1 Validate and Retransmit Application to FTA

If deficiencies are identified in the application through any of the reviews conducted during initial review, initial concurrence, or final concurrence, an email will be sent to users in the recipients indicating that the application has been returned. The status of the application will return to the 'In Progress' status or to 'In Progress / Returned to the Grantee' when returned following FAIN assignment.

When the application has been returned to the recipient, you may:



- View FTA comments from the Application Related Actions menu ‘Application Review Comments’. Refer to Related Action: Application Review Comments.
- Respond to FTA comments.
- Submitters and Developers will be able to edit the application. All actions available and editable during drafting of the application will be available. Scope changes to existing ALI will not be permitted once the FAIN has been generated (In Progress/Returned to Grantee).
- Changes made to projects will require project validation prior to resubmission.
- After correcting the application deficiencies you will need to retransmit the application using the ‘Validate and Transmit Application to FTA’ related action.

6.8.2 Related Action: Delete Project

To delete one or more projects from an application, select the ‘Delete Project’ from the applications ‘Related Action’ menu:

- 1) Click ‘Delete Project’.
- 2) The ‘Application | Select Project to Delete’ form will be displayed. Select the check box for a project you wish to delete from the application and then click the ‘Delete’ button which appears.

Application | Select Project to Delete

Recipient Details

Recipient ID
9900

Recipient Name
Trams Transit Organization

Application Details

FAIN
9900-2016-2

Application Name
Sample Application

Temp App Number
9900-2016-2

Application Status
In-Progress

Projects for Application

<input type="checkbox"/>	Project Number	Project Name	Project Budget	Last Updated Date	Last Updated By
<input checked="" type="checkbox"/>	9900-2016-2-P2	project to delete	\$0	Jun 13, 2016	Submitter1 TRAMS
<input type="checkbox"/>	9900-2016-2-P1	project 2 of sample	\$0	Jun 13, 2016	Submitter1 TRAMS

Cancel

Delete

Note: You may only select one project at a time.



- 3) The '[Application ID] | Confirm Delete Project' form will be displayed. Click the 'Delete' button to confirm project deletion or click 'Cancel' to return to the application 'Related Actions' menu without deleting the project.

9900-2016-2-P2 | Confirm Delete Project

Project Details

Project Number	Project Title	Date Created
9900-2016-2-P2	project to delete	6/13/2016 9:30 AM EDT

Cancel

Delete

- 4) Click the 'Yes' button on the additional prompt message, 'Are you sure you want to delete this project?' to confirm the deletion.

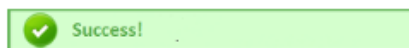
Are you sure you want to delete this project?

No Yes

Note: Deleted projects are not recoverable.

- 5) The 'Project | Deleted' success message will be displayed with the following confirmation message: '[Project ID] has been successfully deleted'. Click the 'Close' button to return to the 'Related Actions' menu.

Project | Deleted



Project 9900-2016-2-P2 has been successfully deleted

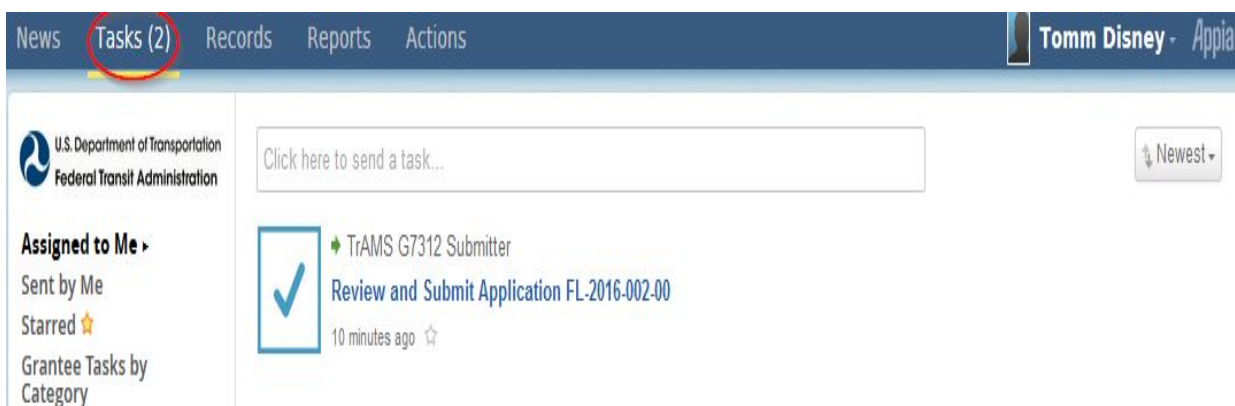
Close



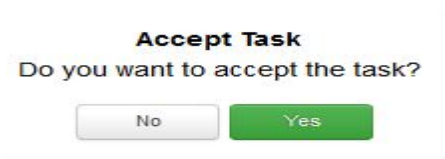
6.8.3 ~~Submit Application to FTA~~

After the FTA has completed their initial review of the application and they have assigned a FAIN number, FTA will return the application for formal submission. A 'Task' will be assigned to users with the Submitter role. The Submitter will receive an email notice indicating that a task has been assigned.

- 1) ~~Click the 'Tasks' tab to view a list of tasks for the logged on user.~~
- 2) ~~Select the 'Review and Submit Application' task. The system provides you with an 'Accept Task' window that inquires 'Do you want to accept the task?'~~



- 3) ~~Click the 'Yes' button. The system directs you to the 'Application | Submit Application to FTA' screen. Clicking the 'No' button will return the task to the group.~~



- 4) ~~After accepting the submission task you will be able to:~~
 - ~~Submit the application to FTA~~
 - ~~Review the application prior to submission~~
 - ~~Provide comments to FTA without submitting the task~~
 - ~~Return the task for later action.~~

Note: Only the Submitter role is able to submit applications to FTA



5) To review the Application prior to submission, click the 'View/Print' button. The 'View/Print' Application form will be displayed. Click on the 'View Print Application - [Application Number]' to view the application.

Application | Submit Application to FTA

Recipient Profile Information

Recipient ID 9900
<https://facestest1.fta.dot.gov/suite/tempo/records/ty...> Recipient Name Trams Transit Organization

Application Details

Application Number (FAIN) FL-2016-002-00
<https://facestest1.fta.dot.gov/suite/tempo/records/ty...> Application Name Sample Application for User Guide Demo
Application Status FAIN Assigned / Ready for Submission
Temp Application Number 9900-2016-3 Last Modified Date 6/13/2016 12:27 PM EDT
Last Modified By region4.preawardmanager1

6) The application will be displayed when the 'View Print Application' link is clicked.

View/Print Application

Printable Application
[View Print Application - FL-2016-002-00](#)

Note: If you View Print the application during the submission process, be sure to click the 'Close' button on the View-Print form to be returned to the Submit task. Otherwise, you will have to wait 1 hour for the View-Print form to time-out and for the Submit task to be returned to you.



7) To provide the FTA with a comment click 'Return with Comment to FTA'.

Application | Submit Application to FTA

Recipient Profile Information

Recipient ID 9900
<https://facestest1.fta.dot.gov/suite/tempo/records/ty...> Recipient Name Trams Transit Organization

Application Details

Application Number (FAIN) FL-2016-002-00
<https://facestest1.fta.dot.gov/suite/tempo/records/ty...> Application Name Sample Application for User Guide Demo
Application Status FAIN Assigned / Ready for Submission
Temp Application Number 9900-2016-3 Last Modified Date 6/13/2016 12:27 PM EDT
Last Modified By region4.preawardmanager1

[Submit to FTA](#) [View/Print](#) [Return with Comment to FTA](#) [Cancel](#)

a) The 'Return Application to FTA Pre-Award Manager | Recipient Comment Entry' form will be displayed. Enter your comment in the 'Comment' field and click 'Return to FTA for Comment Review'.

Return Application to FTA Pre-Award Manager | Recipient Comment Entry

Recipient Profile Information

Recipient ID 9900
<https://facestest1.fta.dot.gov/suite/tempo/records/ty...> Recipient Name Trams Transit Organization

Application Details

Application Number (FAIN) FL-2016-002-00
<https://facestest1.fta.dot.gov/suite/tempo/records/ty...> Application Name Sample Application for User Guide Demo
Application Status FAIN Assigned / Ready for Submission
Temp Application Number 9900-2016-3 Last Modified Date 6/13/2016 12:27 PM EDT
Last Modified By region4.preawardmanager1

Comment

Please enter your comments for review by the FTA Pre-Award Manager.

[Return to FTA for Comment Review](#) [Cancel](#)



- 8) Click the 'Close' button to return to the application to the 'Tasks' tab, and submit the application at a later time. The system will display a confirmation message that the task has been returned. If the task does not immediately reappear in the 'Task' tab, click the tab again to refresh the list.

Application | Cancel Submission of Application FL-2016-002-00

Confirmation

The submission of Application FL-2016-002-00 to the FTA Pre-Award Manager has been cancelled.

Return to the Task list to submit Application FL-2016-002-00 to the FTA Pre-Award Manager at a later time.

- 9) To submit the application, click the 'Submit to FTA' button. The 'Application Validation | Submit Application for FTA Review' Screen will be shown.
- a) You will need to provide your 4 digit User PIN number in the 'User PIN' field. Refer to Related Actions: TrAMS Manage User PIN, if you do not know your PIN number.
- b) Click 'Confirm' to continue to submit, or 'Cancel' to return the submission task to the 'Task' tab.

Application Validation | Submit Application for FTA Review

Please review the content below to confirm submission

To the best of my knowledge and belief, all data entered are true and correct. Submission of this application is duly authorized by the appropriate governing officials of the applicant and the applicant will comply with the certifications and assurances if the federal assistance is awarded.

If you agree, click on the Confirm button to complete submission of this application to the Federal Transit Administration (FTA).

Enter User PIN

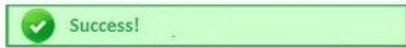
Please note that this verification is being recorded under the name of:

Name	Submitter1 TRAMS	Date	Jun 13, 2016
Title	Submitter	User PIN	<input type="text"/>

- 10) Click the 'Confirm' button. The following message will be displayed: 'Federal Award Number has been successfully submitted to the FTA for Final Concurrence review'.



Application Review | Submitted



Federal Award ID Number FL-2016-002-00 has been successfully submitted to FTA for Final Concurrence review.

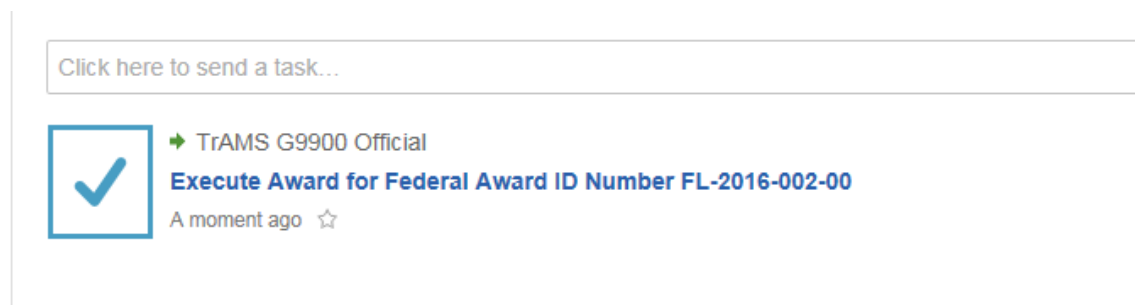
Close

11) Click the 'Close' button. The system returns you to the 'Tasks' tab and the review link will now be removed from the task list.

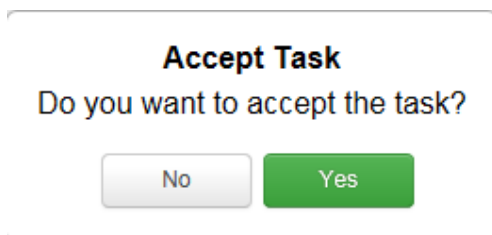
6.8.4 Execute the Award

After the FTA has completed their reviews of the application and obligated the funds for the award, a 'Task' will be assigned to users with the Official role to execute the award. The Official will receive an email notice indicating that a task has been assigned.

- 1) Click the 'Tasks' tab to view a list of tasks for the logged on user.
- 2) Select the 'Execute Award for Federal ID Number [FAIN]' task. The system provides you with an 'Accept Task' window that inquires 'Do you want to accept the task?'



3) Click 'Yes' to accept the task; click 'No' to return the task to the queue.



4) After accepting the 'Execute Award' task you will be able to:



- View the Award Letter prior to executing
- Execute the Award
- Close the task to return it to the queue

Application | Award Execution Summary

Recipient Information

Recipient ID 9900 <https://facestest1.fta.dot.gov/suite/tempo/records/ty...> Recipient Name Trams Transit Organization

Application Details

Application Number FL-2016-002-00 <https://facestest1.fta.dot.gov/suite/tempo/records/ty...> Application Name Sample Application for User Guide Demo
Application Type Grant
Obligation Fiscal Year 2016

Preaward Authority Information

Was Preaward Authority Utilized? Yes, Preaward Authority utilized
 No, Preaward Authority not utilized

Reporting Information

Federal Financial Report (FFR) Reporting Frequency Quarterly Milestone Progress Report (MPR) Reporting Frequency Quarterly

Contract Award and Execution Details

Contract Award Status Obligated / Ready for Execution Award Execution Status Not Executed
Contract Awarded By Administrator1 Region 4
User Name
Administrator
User Title
Contract Awarded Date Jun 13, 2016
Date and Time

[Continue to Execution](#) [View Award Agreement](#) [Close Task](#)

5) To View the Award Agreement select the 'View Award Agreement' button. The 'Award | View Award Letter for [FAIN]' screen will display. Click on the 'Award_Letter_FAIN' hyperlink to open and view the award information.



Award | View Award Letter for FL-2016-002-00

Award Letter [AwardLetter_FL-2016-002-00](#)
10.1 KB

Close

Note: If you View-Print the award agreement during the execution process, be sure to click the 'Close' button on the View-Print form to be returned to the Execute Award task. Otherwise, you will have to wait 1 hour for the View-Print form to time-out and for the Execute Award task to be returned to you.

6) Click the 'Continue to Execute' button to execute the award. The 'Application | Execute Contract Award' screen will be displayed. Provide your PIN number in the 'User PIN' field and click the 'Execute Award' button to complete the award execution. The award status will change to 'Active (Executed)' once this step has been successfully completed.

Application | Execute Contract Award

Recipient Details

Recipient ID
9900

Recipient Name
Trans Transit Organization

Application Details

Application Number
[FL-2016-002-00](#)

Application Name
Sample Application for User Guide Demo

Obligation Fiscal Year
2016

Application Status
Obligated / Ready for Execution

Award Execution Details

This contract award execution sign off is being recorded under the name of:

Executed By Official1 TRAMS

Executed Date Jun 13, 2016

Enter User PIN

Please note that this verification is being recorded under the name of:

Name Official1 TRAMS

Date Jun 13, 2016

Title Official

User PIN

Execute Award

Cancel

7) Click the 'Close Task' button on the 'Application | Award Execution Summary' screen or the 'Cancel' button from the 'Application | Execute Contract Award' page to return the task and execute at a later date.





7 – Managing Existing Awards – Post-Award Management

7.1 – Existing Awards

Once an award is executed recipient users will have access to additional ‘Related Actions’ available from the awards record, this includes:

- 1) Viewing execution details and the Award Letter
- 2) Viewing of Funds status on both the award level and the on a project level
- 3) Viewing of Fleet status of the award

Additionally, recipients with the appropriate user roles may initiate ‘Budget Revisions’, ‘Amendments’ and ‘Closeouts’ from the ‘Related Actions’ menu.

Records / Applications / Awards

FL-2016-002-00 | Sample Application for User Guide Demo

Follow



Application Documents

Manage Application Documents



Application Details

Manage Application Details



View-Print Application

Generate View/Print for Application



Execution & Award Summary

View Execution Summary and Award Agreement



Create Budget Revision

Initiate Budget Revision for Award



View-Print Budget Change History

Generate View-Print for Budget Revision



Create Amendment

Initiate Amendment for Active Executed Award



Create Closeout Amendment

Initiate Close for Active Executed Award



Application Review Comments

View and Manage Application / Award Review Comments



Award Funds Status

View Award Funds Status - Obligations, Deobligations, Refunds and Disbursements



Application Fleet Status

View Application Fleet Status



7.1.1 Related Action: Execution & Award Summary

Recipient Users may view Execution Details and the Award Summary Letter by selecting the 'Related Action' 'Execution & Award Summary'. This information is only available after an application has been awarded.

- 1) From the awards Record, select the 'Related Action' menu.
- 2) Select 'Execution & Award Summary'
- 3) The 'Award | View Execution Summary' page will be displayed with
 - a) Recipient section
 - b) Award Details section
 - c) Pre Award Funding Information section
 - d) Reporting Information section
 - e) Contract Award and Execution Details
- 4) Click the 'Close' button at any time to return to the 'Related Actions' menu.



Award | View Execution Summary

Recipient Information

Recipient ID 9900

Recipient Name Trams Transit Organization

Award Details

Federal Award ID FL-2016-002-00
Number (FAIN)

Application Name Sample Application for User Guide Demo

Preaward Funding Information

Was Preaward Authority Utilized? Yes, Preaward Authority utilized
 No, Preaward Authority not utilized

Reporting Information

Federal Financial Report (FFR) Reporting Frequency Quarterly

Milestone Progress Report (MPR) Reporting Frequency Quarterly

Contract Award and Execution Details

Contract Award Status Awarded
Contract Awarded by region4.administrator1
User Name
Administrator
User Title
Contract Awarded Date 6/13/2016
Date

Award Execution Status Executed
Award Execution By trams.official1
User Name
Official
User Title
Award Execution Date 6/13/2016
Date

[View Award Agreement](#)


[Close](#)

5) Click the 'View Award Agreement' button

6) The 'Award | View Award Letter for [FAIN]' page will be displayed.

7) Click on the 'AwardLetter_[FAIN]' hyperlink to view the Award Letter.

Award | View Award Letter for FL-2016-002-00

Award Letter  AwardLetter_FL-2016-002-00
10.2 KB

[Close](#)

7.1.2 Related Action: Award Fund Status

The Related Action 'Award Fund Status' allows recipients to view the status of their awards including Obligations, Deobligations, Refunds, and Disbursements that have been made. All recipients' user roles



may view this action and during all post award statuses. The 'Award Fund Status' page is read-only for all users.

To view 'Award Fund Status' information:

- 1) Click the 'Award Funds Status' from the 'Related Actions' menu.
- 2) The '[FAIN] | [Application Name]' page will display and includes
 - a) Recipient Details information
 - b) Award Details information
 - c) Award Funding Summary grid
 - d) Award Funding - Account Class Code grid
 - e) Application Transaction History grid

Note: Each grid will only display a small number of rows at a time, use the arrow keys on the bottom of the grid to view additional records. Arrows only appear when there are additional rows.

FL-2016-001-00 | RC - Application for MPR and FFR for user guide purposes only

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
----------------------	--

Award Details

FAIN FL-2016-001-00	Award Name RC - Application for MPR and FFR for user guide purposes only
Temp App Number 9900-2016-1	Award Status Active (Executed)

Award Funding Summary

PO Number	Funding Source	Obligation	Deobligation	Disbursements	Refund	Unliquidated Balance
FL-90-0077	49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	\$210,679.00	\$0.00	\$0.00	\$0.00	\$210,679.00



Award Funding - Financial Purpose Code (FPC)

PO Number	FPC	FPC Description	Obligation	Deobligation	Disbursement	Refund	Unliquidated Balance
FL-90-0077	00	CAPITAL	\$210,679.00	\$0.00	\$0.00	\$0.00	\$210,679.00

Award Funding - Account Class Code

PO Number	Project Number ↑	Cost Center	Scope Code / Name / Suffix	Account Class Code	FPC	Obligation	Deobligation	Disbursement	F
FL-90-0077	FL-2016-001-01	65000	115-00 ELECTRIFICATION/POWER DIST (BUS) A2	2014.25.90.91.2	00	\$85,679.00	\$0.00	\$0.00	
FL-90-0077	FL-2016-001-01	65000	111-00 BUS - ROLLING STOCK A1	2015.25.90.91.2	00	\$125,000.00	\$0.00	\$0.00	

3) Application Transaction History may be filtered, to filter select one of the four Filters

- a) FPC
- b) Project
- c) Scope
- d) Transaction Type

4) Start typing in the appropriate value and select from the list of values that becomes available.

Application Transaction History

Filter by:

Choose Filter Data

Award Funding - Transaction View

PO Number	Project Number	Scope Number / Name / Suffix	UZA Code	Cost Center	Account Classification Code	FPC	Transaction Date ↑	Transaction Type	Amount
FL-90-0077	FL-2016-001-01-00	111-00 BUS - ROLLING STOCK	120000	65000	2015.25.90.91.2	00	2/1/2016	Obligation	\$125,000.0
FL-90-0077	FL-2016-001-01-00	115-00 ELECTRIFICATION/POWER DIST (BUS)	120000	65000	2014.25.90.91.2	00	2/1/2016	Obligation	\$85,679.00
FL-90-0077	FL-2016-001-01-00	111-00 BUS - ROLLING STOCK	120000	65000	2015.25.90.91.2	00	2/1/2016	Authorized Disbursement	\$125,000.0
FL-90-0077	FL-2016-001-01-00	115-00 ELECTRIFICATION/POWER DIST (BUS)	120000	65000	2014.25.90.91.2	00	2/1/2016	Authorized Disbursement	\$85,679.00



7.1.2.1 Project Related Action: Project Funds Status

Recipients may view their funds status on a Project level. To view fund status on a project level you will need to navigate to the project record. Users may go directly to a project record by searching for the project under the 'Record' tab or select a project from their awards record.

- 1) Select 'Application Projects' from the left hand navigation
- 2) Click on the 'Project Number' Hyperlink

Records / Applications / Awards
FL-2016-002-00 | Sample Application for User Guide Demo Follow

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
----------------------	--




Award Status Information

FAIN FL-2016-002-00	Award Name Sample Application for User Guide Demo
Temp App Number 9900-2016-3	Award Status Active (Executed)

Projects for Application

Project Number	Project Name	Project Budget	Last Updated Date	Last Updated By
FL-2016-002-01-00	Project 1 for Sample application	\$430,000	Jun 09, 2016	Submitter1 TRAMS

- 3) Select the 'Related Actions' for the Project
- 4) Select 'Project Funds Status'

-  **View-Print Application**
Generate View/Print for Application
-  **Project Documents**
Manage Project Documents
-  **Project Funds Status**
View Project Funds Status

- 5) The Project Funds page contains
 - a) Recipient Details section
 - b) Award Details section
 - c) Project Funding Summary



d) ~~Project Funding Account Class Code grid~~

e) ~~Financial Purpose Code information~~

f) ~~Transaction History grid~~

FL-2016-001-01-00 - RC - Application for MPR and FFR for user guide purposes only

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
----------------------	--

Award Details

FAIN FL-2016-001-00	Award Name RC - Application for MPR and FFR for user guide purposes only
Temp App Number 9900-2016-1	Award Status Active (Executed)

Project Details

Project Number FL-2016-001-01-00	Project Name RC - Application for MPR and FFR for user guide purposes only
-------------------------------------	---

Project Funding Summary

PO Number	Funding Source	Obligation	Deobligation	Disbursements	Refund	Unliquidated Balance
FL-90-0077	49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	\$210,679.00	\$0.00	\$0.00	\$0.00	\$210,679.00



Project Funding - Account Class Code

PO Number	Cost Center	Scope Code / Name / Suffix	Account Class Code	FPC	Obligation	Deobligation	Disbursement	Refund	Unliquidated Balance
FL-90-0077	66000	115-00 ELECTRIFICATION/POWER DIST (BUS) A2	2014.25.90.91.2	00	\$85,679.00	\$0.00	\$0.00	\$0.00	\$0.00
FL-90-0077	66000	111-00 BUS - ROLLING STOCK A1	2015.25.90.91.2	00	\$125,000.00	\$0.00	\$0.00	\$0.00	\$0.00

Project Funding - Financial Purpose Code (FPC)

FPC	FPC Description	Obligation	Deobligation	Disbursements	Refund	Unliquidated Balance
00	CAPITAL	\$210,679.00	\$0.00	\$0.00	\$0.00	\$210,679.00

Project Funding - Transaction History

Filter by:

Account Class Code

Choose Filter Data

Project Funding - Transaction View

PO Number	Cost Center	Scope Number / Name / Suffix	UZA Code	Account Classification Code	FPC	Transaction Date ↑	Transaction Type	Amount
FL-90-0077	66000	111-00 BUS - ROLLING STOCK	120000	2015.25.90.91.2	00	2/1/2016	Obligation	\$125,000.00
FL-90-0077	66000	115-00 ELECTRIFICATION/POWER DIST (BUS)	120000	2014.25.90.91.2	00	2/1/2016	Obligation	\$85,679.00
FL-90-0077	66000	111-00 BUS - ROLLING STOCK	120000	2015.25.90.91.2	00	2/1/2016	Authorized Disbursement	\$125,000.00
FL-90-0077	66000	115-00 ELECTRIFICATION/POWER DIST (BUS)	120000	2014.25.90.91.2	00	2/1/2016	Authorized Disbursement	\$85,679.00



7.1.3 ~~Related Action: Application Fleet Status~~

~~When Rolling Stock is selected the Fleet Status that is associated with the award is determined by the fleet status at the time of award execution. All recipient users may view the Fleet status associated with the award. This form is read-only for all users.~~

- ~~1) Recipients may view the status of fleet by choosing the 'Related Action' 'Application Fleet Status'.~~
- ~~2) Select the 'Close' button to return to the 'Related Actions' menu.~~

Application Fleet Status

View the application's fleet status at the point of award execution.

Fleets

Fleet Type	Peak Requirement	Spares	Spare Ratio	Active Total	Contingency	Pending Disposal	Inactive Total	Overall Total
Light Rail	5	2	40%	7	1	1	2	9
Heavy Rail	1	0	0%	1	0	0	0	1

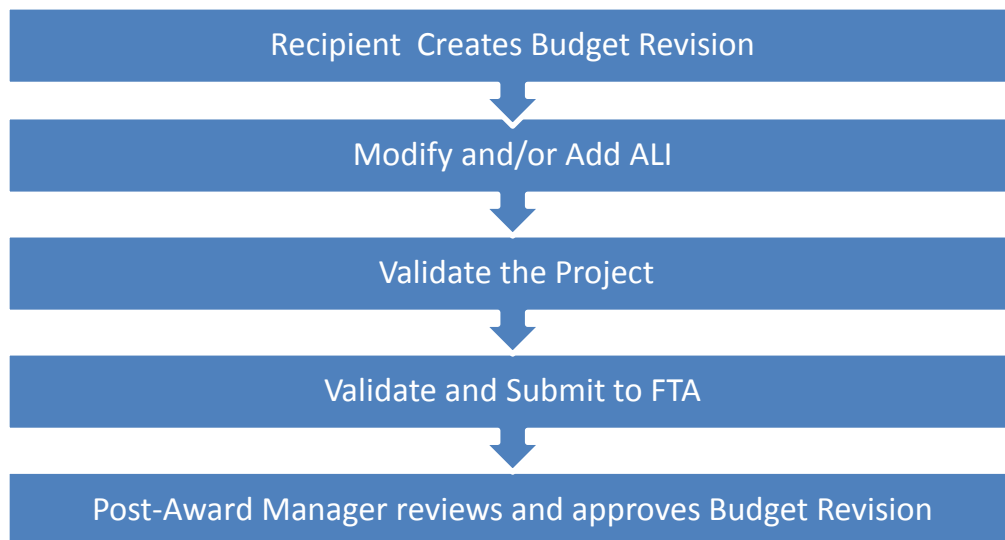
Close



7.2 Budget Revisions

A budget revision is any change to an award that has an impact on the budget allocation of the original award. A budget revision may be a transfer of funds within or across existing project scopes and budget activity line items (ALIs) within an approved award. It could also include the addition or deletion of an ALI within an existing project scope.

Budget revisions are initiated by the recipients. Once they have initiated a budget revision, the award is moved to the 'Active / Budget Revision In-Progress' status. No other types of revisions (Amendments or Closeout Amendments) may be initiated until the award is back in an 'Active (Executed)' status. Recipients may either adjust their ALI's or add additional ones. Projects that have had their ALI's adjusted must be validated. Following project validation, the recipient validates and submits the award for FTA review and approval. Budget revisions are reviewed and approved by Regional Post Award Managers. Post Award managers may reject the budget revision and return it to the recipient for additional modifications. Following approval of the budget revision, FTA staff may need to take additional financial actions to align obligated funds to the new budget. Upon completion of the FTA financial actions, the award will return to the 'Active (Executed)' status.



7.2.1 Related Action: Create Budget Revision

To create a 'Budget Revision' you must be logged on with the 'Submitter' or 'Developer' user roles and the award must be in the 'Active (Executed)' status.

- 1) Locate your award and select the associated 'Related Actions' menu
- 2) Click 'Create Budget Revision'
- 3) Select one or more of the following budget revisions reasons (required).
 - a) Modify FTA Funding Across Existing Scopes
 - b) Modify FTA Funding Within Existing Scopes



e) ~~Modify Non-FTA Funding for Existing Scopes~~

d) ~~Modify Quantities for Existing ALI~~

Award | Create Budget Revision

Grantee Summary

Grantee ID 9900

Grantee Name Trams Transit Organization

Award Summary

Award Number FL-2016-002-00

Award Name Sample Application for User Guide Demo

Budget Revision Guidance

What is a Budget Revision?

Per FTA Circular 5010.1D: A budget revision is any change within the scope that has impact on budget allocation of the original grant. A budget revision may be a transfer of funds within a project scope or between existing activity line items (ALIs) within an approved grant. It could also include the addition or deletion of an ALI.

Additional guidance can be found at the following webpages:

FTA Circular
5010.1D



http://www.fta.dot.gov/legislation_law/12349_8640.html
http://www.fta.dot.gov/legislation_law/12349_8640.html

Budget Revision
Examples



http://www.fta.dot.gov/documents/Budget_Revision_Examples.docx
http://www.fta.dot.gov/documents/Budget_Revision_Examples.docx

Budget Revision Information

- Budget Revision Reasons
- Modify FTA Funding Across Existing Scopes
 - Modify FTA Funding Within Existing Scope
 - Modify Non-FTA Funding for Existing Scopes
 - Modify Quantities for Existing ALI

Select one or more

Revision
Description

The budget revision will be recorded under the name of:

Revised By Submitter1 TRAMS

Revised Date 6/13/2016 10:36 PM EDT

Create Budget Revision

Cancel

4) ~~Provide an explanation for the change.~~

5) ~~Click 'Create Budget Revision' to initiate the Budget Revision Process~~

6) ~~Click 'Cancel' to return to the 'Related Actions' page without creating a Budget Revision.~~

7) ~~A success message will be displayed following the successful initiation of a Budget Revision.~~



Budget Revision | Created



Federal Award ID Number FL-2016-002-00 budget revision has been created.

Close

7.2.2 Related Action: Budget Revision Activity Line Items

When modifying Budget Activity Line Items (ALIs) in TrAMS, the display fields and options available vary slightly for applications that were originally created in TEAM or TrAMS. Since each application type has different business rules that apply, they will each have a separate section in this User Guide.

7.2.3 Budget Revision Activity Line Items (TrAMS Application)

To modify the budget ALIs for an application:

- 1) Click 'Budget Revision Activity Line Items'.



Application | Manage Budget Revision Activity Line Items and Milestones

Recipient Details

Recipient ID 7312	Recipient Name Trams Transit Organization
----------------------	--

Award Summary

Recipient Name Trams Transit Organization	Award Name 1064 Capital and Planning
Recipient ID 7312	Federal Award ID Number (FAIN) FL-2016-001-00

Funding Summary

Funding Source	FTA Amount - Award	Difference	FTA Amount - Budget Revision
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	\$50,000.00	\$0.00	\$50,000.00

Existing Line Items

To edit a line item, click on the checkbox for the line item and a new section will appear below with line item and milestone details

<input type="checkbox"/>	Project Number	Scope Name / Code	Line Item Number / Name	Activity Type	Award FTA Amount	Revised FTA Amount	Award Total Eligible Cost	Revised Total Eligible Cost
<input checked="" type="checkbox"/>	FL-2016-001-01-00	112-00 BUS TRANSITWAYS/LINES	11.22.02 ACQUIRE - TRANSIT MALL		\$50,000	\$50,000	\$65,000	\$65,000

[Add Line Item](#) [Close](#)

- 2) The 'Manage Budget Revision Activity Line Items and Milestones' form will be displayed. The ALIs associated to the application are listed and displayed in grid format under the 'Existing Line Items' section.
- 3) At any point, you may click the 'Close' button to return to the 'Related Actions' menu without saving any changes.
- 4) Click on the 'Add Line Item' button to add ALIs to this project.



FL-2016-001-00 | Project Selection for Add Line Item

To Which Project Should the Line Item Be Added?

[Continue](#)

- 5) The 'Project Selection for Add Line Item' form will be displayed. Use the provided drop-down menu to select a project to add ALIs to and then click the 'Continue' button.
- 6) The 'Add New Budget Activity Line Items (ALIs)' form will be displayed.
- 7) Click the '+Add Item' link to begin adding a new line item.

Note: The available selection of scopes and funding sources for any new ALIs added to the project(s) during the budget revision will be limited to selections made in the latest approved award.

Project | Add New Budget Activity Line Items (ALIs)

You can add up to ten (10) line items at a time. Click "Save" to save your current line items and add more. Click "Finish" to save your current line items and return to previous form.

Existing Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name
Completed	112-00 BUS TRANSITWAYS/LINES	ACQUIRE BUS TRANSITWAYS/LINES	11.22.02 ACQUIRE - TRANSIT MALL

New Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name
No items available			

[+Add Item](#)

[Save](#) [Finish](#) [Cancel](#)

- 8) Clicking the 'Cancel' button here will return you to the 'Manage Budget Revision Activity Line Items and Milestones' form without saving any changes. The system will provide a warning prompt for confirmation before doing so.



9) The 'New Budget Activity Line Items (ALIs)' section will create a new grid row and display selectable fields each time the '+Add Item' link is clicked. Click the 'DEL' link if you wish to delete an ALI that you added to the grid.

Project | Add New Budget Activity Line Items (ALIs)

You can add up to ten (10) line items at a time. Click "Save" to save your current line items and add more. Click "Finish" to save your current line items and return to previous form.

Existing Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name
Completed	112-00 BUS TRANSITWAYS/LINES	ACQUIRE BUS TRANSITWAYS/LINES	11.22.02 ACQUIRE - TRANSIT MALL

New Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name	
	Select Scope	--	--	DEL

You must select a scope, activity type and item name for each line item in the grid before saving

[+Add Item](#)

Save Finish Cancel

10) Select a scope from the drop-down menu provided under the 'Scope Code / Name' field.

- Select an activity type for the selected scope from the drop-down menu provided under the 'Activity Type' field.
- Select a line item name or number from the drop-down menu provided under the 'Line Item Number/Line Item Name' field
- Click the 'Finish' button to add all new ALIs that have been completed to the project and return to the 'Manage Budget Revision Activity Line Items and Milestones' form.

To update new or existing budget ALIs for a project:

11) To update new or existing budget ALIs for a project: Select the check box for an ALI you wish to modify from the grid under 'Existing Line Items'. The form will expand below the grid to include the following sections for the selected line item:

- a) 'Line Item Scope'
- b) 'Line Item Details'
- e) 'Funding Information'
- d) 'Rolling Stock Information'
- e) 'Milestones'
- f) Update the line items as needed



Note: The 'Zero Out Line Item' button can be used at any time to wipe out all previously entered ALI values and milestones information from the selected ALI. This button replaces the 'Delete Line Item' button when an original ALI on the award has been selected.

12) Update the 'Line Item Scope' section as needed:

- a) Update the line item's name in the 'Custom Line Item Name' field with the desired text.

Line Item Scope: 112-00 BUS TRANSITWAYS/LINES

Line Item #

11.22.02

Standard Line Item Name

Acquire - Transit Mall

Custom Line Item Name

Acquire - Transit Mall

Activity Type

Acquire Bus Transitways/lines

13) Update the 'Line Item Details' section as needed:

- a) Update the quantity in the 'Revised Quantity' field with the desired number. This is an integer field and must be a whole number.
- b) Update the original extended budget description in the 'Updated Extended Budget Description' field with the desired text.
- c) Select either the Yes or No option to respond to the question, "Will 3rd Party contractors be used to fulfill this activity line item?"

Line Item Details

Original Quantity

12

Revised Quantity

12

Original Extended Budget Description

50 buses

Updated Extended Budget Description

50 buses

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

No, 3rd Party Contractors will not be used for this line item.



14) Update the 'FTA Funding Information' section as needed:

- a) Update the original FTA funding amount in the 'Revised FTA Funding Amount' field with the revised amount. This is an integer field and must be a whole number. The 'Revised Total Eligible Cost' field will update accordingly.

FTA Funding Information

FTA Funding Source 49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	FTA Funding Source 49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)
Award FTA Funding Amount \$50,000	Revised FTA Funding Amount \$50,000

15) Update the 'Non-FTA Funding Information' section as needed:

- a) Update any existing values in fields with the desired amounts. These are all integer fields and must be whole numbers. The 'Revised Total Eligible Cost' field will update accordingly.

Non-FTA Funding Information

Award Local Share Amount \$0	Revised Local Share Amount \$0
Award Local/In-Kind Share Amount \$0	Revised Local/In-Kind Share Amount \$0
Award State Share Amount \$0	Revised State Share Amount \$0
Award State/In-Kind Share Amount \$15,000	Revised State/In-Kind Share Amount \$15,000
Award Other Federal Share Amount \$0	Revised Other Federal Share Amount \$0
Award Adjustment Amount \$0	Revised Adjustment Amount \$0
Award Transportation Development Credit \$0	Revised Transportation Development Credit \$0
Award Total Eligible Cost \$65,000	Revised Total Eligible Cost \$65,000



Note: The 'Rolling Stock Information' section is only shown when a rolling stock line item exists in the project and has been selected.

16) Update the 'Rolling Stock Information' section as needed:

- a) Select a vehicle condition from the drop-down menu provided for 'Vehicle Condition'.
- b) Enter a vehicle size into the 'Vehicle Size' field.
- c) Select the vehicle's fuel type from the drop-down menu provided under the 'Fuel' field.

A screenshot of a web form titled "Rolling Stock Information". It contains three input fields: "Vehicle Condition" with a dropdown menu showing "N/A", "Fuel" with a dropdown menu showing "N/A", and "Vehicle Size" with a text input field.

17) The 'Milestones' section will be read only if an existing line item is selected. Update the 'Milestones' section if you have selected a new ALI that was added to the budget revision. Refer to [Related Action: Budget Activity Line Items and Milestones](#) if further instructions on milestones are needed.

18) Once you have completed all the sections related to the selected ALI from the grid, you will have the following options:

- a) Click on the 'Save Line Item' button to save all information for the selected ALI and return to the 'Manage Budget Revision Activity Line Items and Milestones' form, or
- b) Click on the 'Delete Line Item' button to delete the selected ALI from the project. This button is replaced by the 'Zero Out Line Item' button if the selected ALI is from the original award.
- c) Click on the 'Cancel' button to exit out of the form without saving changes for the selected ALI. You will be returned to the 'Related Actions' menu.

19) Repeat previous steps to update the remaining ALIs in the grid as needed. The status for each ALI will change from 'In Progress' to 'Complete.' The status of 'Complete' is required for all ALIs on the project in order to validate and mark the project as ready for FTA review.



7.2.4 Budget Revision Activity Line Items (TEAM Application)

To modify the budget ALIs for an application:

- 1) Click 'Budget Revision Activity Line Items'.

Application | Manage Budget Revision Activity Line Items and Milestones

Recipient Details

Recipient ID 7312	Recipient Name Trams Transit Organization
----------------------	--

Award Summary

Recipient Name Trams Transit Organization	Award Name 1064 Capital and Planning
Recipient ID 7312	Federal Award ID Number (FAIN) FL-2016-001-00

Funding Summary

Funding Source	FTA Amount - Award	Difference	FTA Amount - Budget Revision
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	\$50,000.00	\$0.00	\$50,000.00

Existing Line Items

To edit a line item, click on the checkbox for the line item and a new section will appear below with line item and milestone details

<input type="checkbox"/>	Project Number	Scope Name / Code	Line Item Number / Name	Activity Type	Award FTA Amount	Revised FTA Amount	Award Total Eligible Cost	Revised Total Eligible Cost
<input checked="" type="checkbox"/>	FL-2016-001-01-00	112-00 BUS TRANSITWAYS/LINES	11.22.02 ACQUIRE - TRANSIT MALL		\$50,000	\$50,000	\$65,000	\$65,000

[Add Line Item](#) [Close](#)

- 2) The 'Manage Budget Revision Activity Line Items and Milestones' form will be displayed. The ALIs associated to the project are listed and displayed in grid format under the 'Existing Line Items' section.

Note: At any point, you may click the 'Close' button to return to the 'Related Actions' menu without saving any changes.

- 3) Click on the 'Add Line Item' button to add ALIs to this project.



FL-2016-001-00 | Project Selection for Add Line Item

To Which Project Should the Line Item Be Added?

[Continue](#)

- 4) The 'Project Selection for Add Line Item' form will be displayed. Use the provided drop-down menu to select a project to add ALIs to and then click the 'Continue' button.
- 5) The 'Add New Budget Activity Line Items (ALIs)' form will be displayed. Click the '+Add Item' link to begin adding a new line item. Note that your selection of scopes and funding sources for any new ALIs added to the budget revision's project will be limited to selections made in the original award.

Project | Add New Budget Activity Line Items (ALIs)

You can add up to ten (10) line items at a time. Click "Save" to save your current line items and add more. Click "Finish" to save your current line items and return to previous form.

Existing Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name
Completed	112-00 BUS TRANSITWAYS/LINES	ACQUIRE BUS TRANSITWAYS/LINES	11.22.02 ACQUIRE - TRANSIT MALL

New Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name
No items available			

[+Add Item](#)

[Save](#) [Finish](#) [Cancel](#)

- 6) Clicking the 'Cancel' button here will return you to the 'Manage Budget Revision Activity Line Items and Milestones' form without saving any changes. The system will provide a warning prompt for confirmation before doing so.



7) The 'New Budget Activity Line Items (ALIs)' section will create a new grid row and display selectable fields each time the '+Add Item' link is clicked. Click the 'DEL' link if you wish to delete an ALI that you added to the grid.

Project | Add New Budget Activity Line Items (ALIs)

You can add up to ten (10) line items at a time. Click "Save" to save your current line items and add more. Click "Finish" to save your current line items and return to previous form.

Existing Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name
Completed	112-00 BUS TRANSITWAYS/LINES	ACQUIRE BUS TRANSITWAYS/LINES	11.22.02 ACQUIRE - TRANSIT MALL

New Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name	
	Select Scope	--	--	DEL

You must select a scope, activity type and item name for each line item in the grid before saving

[+Add Item](#)

- 8) Select a scope from the drop-down menu provided under the 'Scope Code / Name' field.
- 9) Select an activity type for the selected scope from the drop-down menu provided under the 'Activity Type' field.
- 10) Select a line item name or number from the drop-down menu provided under the 'Line Item Number/Line Item Name' field
- 11) Click the 'Finish' button to add all new ALIs that have been completed to the project and return to the 'Manage Budget Revision Activity Line Items and Milestones' form.

To update new or existing budget ALIs for a project:

- 12) Select the check box for an ALI you wish to modify from the grid under 'Existing Line Items'. The form will expand below the grid to include the following sections for the selected line item:
 - a) 'Line Item Scope'
 - b) 'Line Item Details'
 - c) 'Funding Information'
 - d) 'Rolling Stock Information'
 - e) 'Milestones'

Note: The 'Zero Out Line Item' button can be used at any time to wipe out all previously entered ALI values and milestones information from the selected ALI. This button replaces the 'Delete Line Item' button when an original ALI on the award has been selected.



13) Update the 'Line Item Scope' section as needed:

- a) Update the line item's name in the 'Custom Line Item Name' field with the desired text.

Line Item Scope: 112-00 BUS TRANSITWAYS/LINES

Line Item #

11.22.02

Standard Line Item Name

Acquire - Transit Mall

Custom Line Item Name

Acquire - Transit Mall

Activity Type

Acquire Bus Transitways/lines

14) Update the 'Line Item Details' section as needed:

- a) Update the quantity in the 'Revised Quantity' field with the desired number. This is an integer field and must be a whole number.
- b) Update the original extended budget description in the 'Updated Extended Budget Description' field with the desired text.
- c) Select either the Yes or No option to respond to the question, "Will 3rd Party contractors be used to fulfill this activity line item?"

Line Item Details

Original Quantity

12

Revised Quantity

12

Original Extended Budget Description

50 buses

Updated Extended Budget Description

50 buses

Will 3rd Party contractors be used to fulfill this activity line item?

- Yes, 3rd Party Contractors will be used for this line item.
- No, 3rd Party Contractors will not be used for this line item.



15) Update the 'Funding Information' section as needed:

Note: TEAM created applications will only display fields for FTA Funding Amount, Non FTA Amount, and Total Eligible Cost. The FTA and Total Eligible Cost fields are editable.

- a) Update the original FTA funding amount in the 'Revised FTA Funding Amount' field with the revised amount. This is an integer field and must be a whole number. The 'Revised Non FTA Amount' field will update accordingly.
- b) Update the original award's total eligible cost in the 'Revised Total Eligible Cost' field with the revised amount. This is an integer field and must be a whole number. The 'Revised Non FTA Amount' field will update accordingly.

Funding Information

<p>FTA Funding Source 49 USC 5312 - Research, Development, Demonstration, Deployment</p> <p>Award FTA Funding Amount \$6,611,111.00</p> <p>Award Non-FTA Amount \$1,166,667.00</p> <p>Award Total Eligible Cost \$7,777,778.00</p>	<p>FTA Funding Source 49 USC 5312 - Research, Development, Demonstration, Deployment</p> <p>Revised FTA Funding Amount \$6,751,111.00</p> <p>Revised Non-FTA Amount \$1,166,667.00</p> <p>Revised Total Eligible Cost \$7,917,778.00</p>
--	--

16) Update the 'Rolling Stock Information' section as needed:

- a) Select a vehicle condition from the drop-down menu provided under the 'Vehicle Condition' field.
- b) Enter a vehicle size into the 'Vehicle Size' field.
- c) Select the vehicle's fuel type from the drop-down menu provided under the 'Fuel' field.

Rolling Stock Information

<p>Vehicle Condition N/A</p>	<p>Fuel N/A</p>
<p>Vehicle Size <input type="text"/></p>	

Note: The 'Rolling Stock Information' section is only shown when a rolling stock line item exists in the project and has been selected.

17) The 'Milestones' section will be read only if an existing line item is selected. Update the 'Milestones' section if you have selected a new ALI that was added to the budget revision.

18) Once you have completed all the sections related to the selected ALI from the grid, you will have the following options:



- a) Click on the 'Save Line Item' button to save all information for the selected ALI and return to the 'Manage Budget Revision Activity Line Items and Milestones' form, or
 - b) Click on the 'Delete Line Item' button to delete the selected ALI from the project. This button is replaced by the 'Zero Out Line Item' button if the selected ALI is from the original award.
 - c) Click on the 'Cancel' button to exit out of the form without saving changes for the selected ALI. You will be returned to the 'Related Actions' menu.
- 19) Repeat previous steps to update the remaining ALIs in the grid as needed. The status for each ALI will change from 'In Progress' to 'Complete.' The status of 'Complete' is required for all ALIs on the project in order to validate and mark the project as ready for FTA review.

7.2.5 Related Action: Current Budget Change Log

To view the most current Budget Change Log for a budget revision:

- 1) Click 'Current Budget Change Log'.
- 2) The 'Budget Revision | Budget Change Log' form will be displayed. The change logs for the selected budget revision's individual ALIs as well as the cumulative amounts associated to its projects are listed and displayed in grid format.
- 3) Click the 'Close' button to return to the 'Related Actions' menu.

Budget Revision | Budget Change Log

Award Summary

Recipient ID	7312	Recipient Name	Trams Transit Organization
Award Number	FL-2016-001-00	Award Name	1064 Capital and Planning

Budget Revision - Award Funding Summary

Funding Source	FTA Amount - Award	Difference	FTA Amount - Current Revision
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	\$50,000.00	\$0.00	\$50,000.00

Budget Revision - Application Scope Funding Cumulative Change Log

Scope Code	Scope Name	Funding Source	FTA Amount - Award	Difference	FTA Amount - Current Revision	Cumulative Percent Change
112-00	BUS TRANSITWAYS/LINES	49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	\$50,000.00	\$0.00	\$50,000.00	0%

Budget Revision - Line Item Change Log

Project Number	Scope	Line Item	Previous Quantity	Difference	Current Revision Quantity	Previous FTA Amount	Difference	Current Revision FTA Amount
FL-2016-001-01-00	112-00 BUS TRANSITWAYS/LINES	11.22.02 ACQUIRE - TRANSIT MALL	12	0	12	\$50,000	(\$15,000)	\$35,000
FL-2016-001-01-00	112-00 BUS TRANSITWAYS/LINES	11.21.03 ENG/DESIGN - LINE EQUIP/STRUCTURES	0	12	12	\$0	\$15,000	\$15,000



7.2.6 ~~Related Action: View-Print Budget Change History~~

Recipients may review past Budget Revisions by selecting the ‘View-Print Budget Change History’

- ~~1) Select the Related Action ‘View-Print Budget Change History’.~~
- ~~2) Select the checkbox for the budget revision to review and select the ‘View-Print’ button.~~

Application | View/Print Budget Change History for FL-2016-002-01

Select a revision record from the grid and click the 'View/Print' button to View/Print the Change History for that revision.


Note: These revisions apply to this amendment only. To see revisions to previous amendments, search for those amendments on the Records tab.

	Revision Number	Revision Status	Revision Description	Revision Reason	Date Created	Date Approved
<input type="checkbox"/>	0	Approved		Modify Quantities for Existing ALI	6/16/2016 3:17 PM EDT	6/16/2016 2:30 PM EDT

[View/Print](#) [Close](#)

- ~~3) Click on the ‘View-Print Budget Revision – [FAIN]’ hyperlink to see the changes made during the budget revision.~~

View/Print Budget Revision

Printable Budget Revision  [View Print Budget Revision - FL-2016-002-01](#)
12.9 KB

[Close](#)

7.2.7 ~~Related Action: Modify Budget Revision Details~~

At any point after having initiated a Budget Revision and while the Award status is ‘Active/Budget Revision In Progress’ you may modify the initially entered Budget Revision Details information.

To modify an existing budget revision’s details:

- ~~1) Click ‘Modify Budget Revision Details’.~~
- ~~2) The ‘Modify Budget Revision’ form will be displayed.~~



Award | Modify Budget Revision

Recipient Summary

Recipient ID 7312

Recipient Name Trams Transit Organization

Award Summary

Award Number FL-2016-001-00

Award Name 1064 Capital and Planning

Budget Revision Guidance

What is a Budget Revision?

Per FTA Circular 5010.1D: A budget revision is any change within the scope that has impact on budget allocation of the original grant. A budget revision may be a transfer of funds within a project scope or between existing activity line items (ALIs) within an approved grant. It could also include the addition or deletion of an ALI.

Additional guidance can be found at the following webpages:

FTA Circular 5010.1D http://www.fta.dot.gov/legislation_law/12349_8640.html
http://www.fta.dot.gov/legislation_law/12349_8640.html

Budget Revision Examples http://www.fta.dot.gov/documents/Budget_Revision_Examples.docx
http://www.fta.dot.gov/documents/Budget_Revision_Examples.docx

Budget Revision Information

Budget Revision Reasons Modify FTA Funding Across Existing Scopes
 Modify FTA Funding Within Existing Scope
 Modify Non-FTA Funding for Existing Scopes
 Modify Quantities for Existing ALI

Select one or more

Revision Description
Revision One

The budget revision will be recorded under the name of:

Revised By Lisa Smith

Revised Date 1/26/2016 8:17 PM GMT+00:00

Review History

Comments [No comments available]

Continue

Cancel

3) ~~Edit any previously entered details for the budget revision.~~

4) ~~Click the 'Continue' button to save changes. You will be returned to the 'Related Actions' menu after doing so.~~

5) ~~At any point, you may click the 'Cancel' button to return to the 'Related Actions' menu without saving any changes.~~

7.2.8 ~~Related Action: Validate and Submit Budget Revision~~

~~After all ALI's have been updated and the associated projects have been successful validated the recipient with the 'Submitter' role may submit the budget revision to FTA for review and approval.~~

1) ~~From the 'Related Actions' menu click 'Validate and Submit Budget Revision'~~



2) The 'Budget Revision | Update Project Info? (Step 1 of 3)' form will be displayed given the user a chance to return to the projects to make any additional updates.

3) Click 'Continue with Submission' to continue with the submission to FTA.

Budget Revision | Update Project Info? (Step 1 of 3)

If you would like to update project-specific information before proceeding such as Narratives, Environmental Findings, or Documents, click on the project name below to be re-directed to that project's Summary page.

Otherwise, click the 'Continue with Submission' button to move forward.

Projects for Application

Project Number	Project Name	Project Budget	Last Updated Date	Last Updated By
FL-2016-002-01-00	Project 1 for Sample application	\$430,000	Jun 09, 2016	Submitter1 TRAMS

Continue with Submission

Cancel

4) On the 'Budget Revision | Change Size or Physical Characteristics? (Step 2 of 3)' page, you will need to answer the Yes/No question 'Will this budget revision change the size or physical characteristics of the items in the activity line items?'

Budget Revision | Change Size or Physical Characteristics? (Step 2 of 3)

Will this budget revision change the size or physical characteristics of the items in the activity line items?

Yes, this budget revision will change the size or physical characteristics of the activity line items
 No, this budget revision will not change the size or characteristics of the activity line items

Continue with Submission Back Cancel

5) After selecting 'Continue with Submission' the 'Budget Revision | Submit Budget Revision (Step 3 of 3)' form will be displayed with a summary of the changes.

6) You may add 'Submission Remarks' for FTA review and choose one of the following buttons:

a) Click 'Continue' to submit the revision to FTA.

b) Click 'Back' to return to the previous screen.

c) Click 'Cancel' to return to the 'Related Actions' menu without submitting to the FTA.



Budget Revision | Submit Budget Revision (Step 3 of 3)

Award Summary

Recipient Name Trams Transit Organization Award Name Sample Application for User Guide Demo
 Recipient ID 9900 Award Number FL-2016-002-00

Budget Revision Summary

Revision Status Pending Created Date 6/13/2016 10:37 PM EDT
 Revision Number 1 Created By trams.submitter1

- Revision Reasons
- Modify FTA Funding Across Existing Scopes
 - Modify FTA Funding Within Existing Scope
 - Modify Non-FTA Funding for Existing Scopes
 - Modify Quantities for Existing ALI

Revision Description

Budget Revision Funding Summary

Funding Source	FTA Amount - Original Award	Difference	FTA Amount - Budget Revision
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	\$350,000.00	\$0.00	\$350,000.00

Recipient Remarks

When this report is submitted to FTA, the submitter and the individuals providing the information to FTA, if any, certify that it is true, complete, and accurate to the best of their knowledge. They are aware that any false, fictitious, or fraudulent information may subject them to criminal, civil, or administrative penalties. (US Code, Title 18, Section 1001).

Submission Remarks

Submitted By Submitter1 TRAMS
 Submitted Date 6/16/2016 2:14 PM EDT

Review History

Comments [No comments available]

Continue
Back
Cancel



7) A success screen indicating that the budget revision has been successfully submitted to FTA will be displayed after choosing 'Continue'.



7.2.9 FTA Review of Submitted Budget Revisions

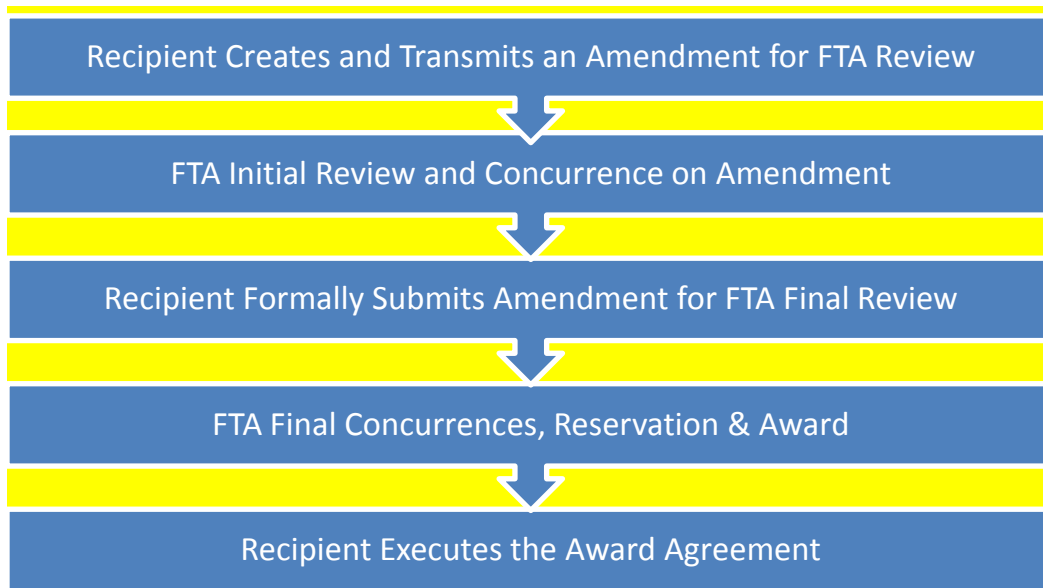
The FTA Regional Office staff responsible for post-award monitoring will review budget revisions and either approves or disapproves them. Budget revision requests must include a reason for the revision and the source of the funding. Each ALI being adjusted, either by quantity or dollar amount, must include a brief explanation. Incomplete revisions are returned to the recipient for additional information or corrections. These revisions will revert to 'Active / Budget Revision In Progress' status in the system. The Regional Office staff will complete a scope or FPC transfer for the budget revision, if appropriate. Once the FTA office has approved the Budget Revision the award will revert back to the 'Active (Executed)' status.



7.3 Amendments

An amendment is the modification of an award that includes a change in scope and/or change in Federal funds. An amendment may be initiated in TrAMS on an award application with 'Active (Executed)' status by the recipient organization's Submitter or Developer.

Once created, the amendment must go through the same FTA review cycle as a base TrAMS application in its draft phase in order to reach award status.



Refer to 'Application Overview and Development' for further details on application development.

Only recipients may initiate amendments, FTA staff however may initiate Administrative Amendments. Refer to 'Administrative Amendments' for additional information.



7.3.1 Related Action: Create Amendment

Amendment | Create Amendment

Recipient Information

Recipient ID 9900

Recipient Name Trams Transit Organization

Award Summary

Federal Award ID FL-2016-002-00
Number (FAIN)

Award Name Sample Application for User Guide Demo

Amendment Guidance

What is an Amendment?

Per FTA Circular 5010.1D: An Amendment is the modification of an award that includes a change in scope and/or change in Federal funds.

Additional guidance can be found at the following webpage:

http://www.fta.dot.gov/legislation_law/12349_8640.html
http://www.fta.dot.gov/legislation_law/12349_8640.html

Amendment Information

- Amendment Reason
- Change Award Scope
 - Increase Award Funding
 - Decrease Award Funding
 - Change Performance Period
 - Other

Amendment Details

Provide detailed explanation for this amendment.

This amendment will be recorded under the name of:

Amended By trams.submitter1

Amended Date Jun 16, 2016

Create Amendment

Cancel

7.3.2 Projects

The following project related actions are available and editable for FTA users with 'Pre Award Manager' or 'Post Award Manager' roles while an amendment has a status of 'In Progress'.

- Project Details and Narratives
- Project Location
- Project Plan Information
- Amendment Budget Activity Line Items and Milestone



- Environmental Determinations
- Validate Project
- View Print Application
- Project Documents

With the exception of 'Validate Project' these actions are also available to other user roles in a read-only format and available during other project statuses in the read-only format.

Refer to the *Application Development* section for further details on how to edit a project.

7.3.3 Related Action: Amendment Budget Activity Line Items and Milestones

The Application and Project Budgets are formulated by adding scopes and budget activity line items (ALIs) to a project. In an amendment, recipients are able to add new scopes and ALIs that were not previously part of the award.

To add or update the budget ALIs for a project on an amendment:

- 1) From the project level 'Related Actions' menu, click 'Amendment Budget Activity Line Items and Milestones'.
- 2) The 'Manage Budget Activity Line Items and Milestones' form will be displayed. The ALIs associated to the project are listed and displayed in grid format under the 'Existing Line Items' section.
- 3) At any point, you may click the 'Close' button to return to the 'Related Actions' menu without saving any changes.



Project | Manage Budget Activity Line Items and Milestones

Recipient Information

Recipient ID 9900	Recipient Name Trams Transit Organization
----------------------	--

Project Details

Project Number -2016-001-01-01	Project Name Project 1
-----------------------------------	---------------------------

Application Details

FAIN -2016-001-01	Application Name Test Application 2016 R1
Temp App Number 5271-2016-1	Application Status In-Progress

Existing Line Items

To edit a line item, click on the checkbox for the line item and a new section will appear below with line item and milestone details

<input type="checkbox"/>	Status	Scope Name / Code	Line Item Number / Name	Activity Type	Quantity	FTA Amount	Total Eligible Cost
<input type="checkbox"/>	Completed	132-00 TRANSITWAY LINES - NEW START	13 22.01 PURCHASE - BUSWAY	Acquisition	1	\$100,000	\$100,000

[Add Line Item](#) [Close](#)

To add a new ALI to the project:

- 1) Click on the 'Add Line Item' button to add ALIs to this project. Note that your selection of scopes and funding sources for any new ALIs added to the amendment's project will be limited to selections made in the original award.
- 2) The 'Add New Budget Activity Line Items (ALIs)' form will be displayed. Click the '+Add Item' link to begin adding a new line item.
- 3) Clicking the 'Cancel' button here will return you to the 'Manage Budget Revision Activity Line Items and Milestones' form without saving any changes. The system will provide a warning prompt for confirmation before doing so.



Project | Add New Budget Activity Line Items (ALIs)

You can add up to ten (10) line items at a time. Click "Save" to save your current line items and add more. Click "Finish" to save your current line items and return to previous form.

Existing Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name
Completed	132-00 TRANSITWAY LINES - NEW START	ACQUISITION	13.22.01 PURCHASE - BUSWAY

New Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name
No items available			

[+Add Item](#)

[Save](#) [Finish](#) [Cancel](#)

- 4) The 'New Budget Activity Line Items (ALIs)' section will create a new grid row and display selectable fields. Click the 'DEL' link if you wish to delete an ALI that you added to the grid.

New Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name	
	Select Scope	--	--	DEL

You must select a scope, activity type and item name for each line item in the grid before saving

[+Add Item](#)

[Save](#) [Finish](#) [Cancel](#)

- 5) Select a scope from the drop-down menu provided under the 'Scope Code / Name' field.
- 6) Select an activity type for the selected scope from the drop-down menu provided under the 'Activity Type' field.
- 7) Select a line item name or number from the drop-down menu provided under the 'Line Item Number/Line Item Name' field.
- 8) Click the 'Finish' button to add all new ALIs that have been completed to the project and return to the 'Manage Budget Activity Line Items and Milestones' form.

To edit the ALI details and milestones for a project under the amendment:

- 1) Select the check box for an ALI you wish to modify from the grid under 'Existing Items'. The form will expand below the grid to include the following sections for the selected line item:



- a) 'Line Item Scope'
- b) 'Line Item Details'
- e) 'Funding Information'
- d) 'Rolling Stock Information'
- e) 'Milestones'

Note: The 'Zero Out Line Item' button can be used at any time to wipe out all previously entered ALI values and milestones information from the selected ALI. This button replaces the 'Delete Line Item' button when an original ALI on the award has been selected. If any obligation amounts exist on the line item being zeroed out, they will also be wiped out and flagged by the system as deobligation amounts.

2) Update the 'Line Item Scope' section as needed:

- a) Update the line item's name in the 'Custom Line Item Name' field with the desired text.

Line Item Scope: 132-00 TRANSITWAY LINES - NEW START

Line Item #
13.22.01

Standard Line Item Name
Purchase - Busway

Custom Line Item Name

Purchase - Busway

Activity Type
Acquisition

3) Update the 'Line Item Details' section as needed:

- a) Update the quantity in the 'Revised Quantity' field with the desired number. This is an integer field and must be a whole number.
- b) Update the original extended budget description in the 'Updated Extended Budget Description' field with the desired text. This is a long paragraph field.
- e) Select either the Yes or No option to respond to the question, 'Will 3rd Party contractors be used to fulfill this activity line item?'



Line Item Details

Original Quantity
1

Revised Quantity
1

Original Extended Budget Description
test

Updated Extended Budget Description
test

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

No, 3rd Party Contractors will not be used for this line item.

4) If this amendment is for a TEAM application, update the 'Funding Information' section as needed:

- a) Update the original FTA funding amount in the 'Revised FTA Funding Amount' field with the revised amount. This is an integer field and must be a whole number. The 'Revised Non FTA Amount' field will update accordingly.
- b) Update the original award's total eligible cost in the 'Revised Total Eligible Cost' field with the revised amount. This is an integer field and must be a whole number. The 'Revised Non FTA Amount' field will update accordingly.

Funding Information

FTA Funding Source 49 USC 5312 - Research, Development, Demonstration, Deployment	FTA Funding Source 49 USC 5312 - Research, Development, Demonstration, Deployment
Award FTA Funding Amount \$6,611,111.00	Revised FTA Funding Amount \$6,751,111.00
Award Non-FTA Amount \$1,166,667.00	Revised Non-FTA Amount \$1,166,667.00
Award Total Eligible Cost \$7,777,778.00	Revised Total Eligible Cost \$7,917,778.00

5) For TrAMS applications update the 'FTA Funding Information' section as needed:

- a) Update the original FTA funding amount in the 'Revised FTA Funding Amount' field with the revised amount. This is an integer field and must be a whole number. The 'Revised Total Eligible Cost' field will update accordingly.



FTA Funding Information

FTA Funding Source 49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	FTA Funding Source 49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)
Award FTA Funding Amount \$100,000	Revised FTA Funding Amount \$50,000

Note: If the Revised FTA Funding Amount is less than the Award FTA Funding Amount then this will result in a Deobligation.

- 6) For TrAMS applications update the 'Non-FTA Funding Information' section if necessary:
 - a) Update any existing values in fields with the desired amounts. These are all integer fields and must be whole numbers. The 'Revised Total Eligible Cost' field will update accordingly.

Non-FTA Funding Information	
Award Local Share Amount \$0	Revised Local Share Amount \$0
Award Local/In-Kind Share Amount \$0	Revised Local/In-Kind Share Amount \$0
Award State Share Amount \$0	Revised State Share Amount \$0
Award State/In-Kind Share Amount \$0	Revised State/In-Kind Share Amount \$0
Award Other Federal Share Amount \$0	Revised Other Federal Share Amount \$0
Award Adjustment Amount \$0	Revised Adjustment Amount \$0
Award Transportation Development Credit \$0	Revised Transportation Development Credit \$0
Award Total Eligible Cost \$100,000	Revised Total Eligible Cost \$50,000

- 7) Update the 'Rolling Stock Information' section as needed:
 - a) Select a vehicle condition from the drop-down menu provided under the 'Vehicle Condition' field.
 - b) Enter a vehicle size into the 'Vehicle Size' field.
 - c) Select the vehicle's fuel type from the drop-down menu provided under the 'Fuel' field.



Rolling Stock Information

Vehicle Condition	Fuel
<input type="text" value="N/A"/>	<input type="text" value="N/A"/>
Vehicle Size	
<input type="text"/>	

Note: The 'Rolling Stock Information' section is only shown when a rolling stock line item in the project has been selected.

- 8) The 'Milestones' section will be read only if an existing line item is selected. Update the 'Milestones' section if you have selected a new ALI that was added to the budget revision.
- 9) Once you have completed all the sections related to the selected ALI from the grid, you will have the following options:
 - a) Click on the 'Save Line Item' button to save all information for the selected ALI and return to the 'Project | Manage Budget Activity Line Items and Milestones' form, or
 - b) Click on the 'Delete Line Item' button to delete the selected ALI from the project. This button is replaced by the 'Zero Out Line Item' button if the selected ALI is from the original award. Or,
 - c) Click on the 'Cancel' button to exit out of the form without saving changes for the selected ALI. You will be returned to the 'Related Actions' menu.
- 10) Repeat previous steps to update the remaining ALIs in the grid. The status for each ALI will change from 'In Progress' to 'Complete.' The status of 'Complete' is required for all ALIs on the project in order to validate and mark the project as ready for FTA review.

7.3.4 Transmit and Submit Amendment

The amendment transmission and submission processes for an amendment are the same as for an original award. Refer to [Application Overview and Development](#) section for instructions on how to transmit an amendment to FTA for initial review and how to accept the submission task to formally submit an amendment for final review.

7.3.5 Amendment Execution

Once FTA has completed all reviews and awarded the amendment, the recipient's Official user will have to execute the award agreement for the amendment. Refer to the task [Execute Award](#) section for details on this process.



7.4 Administrative Amendments

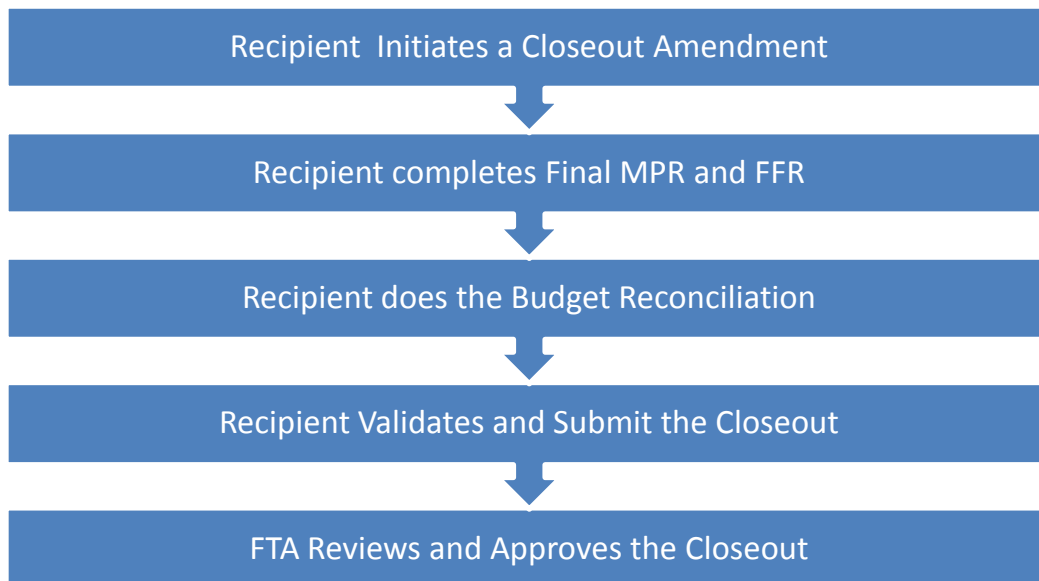
While FTA staff cannot create an amendment, the FTA Regional Post-Award Manager may however initiate an 'Administrative amendments' on awards. When an administrative Amendment has been initiated by FTA, recipients will receive a task when it is time to execute the amendment. Refer to the task [Execute Award](#) section for details on this process.



7.5 Closeout Amendment

Award closeout is the term used to signify the process which completes an award after all activities for an Award have been completed or all federal funds necessary to complete the project have been expended. Either the recipient or FTA may initiate closeout of an award. All closeout documentation must be submitted within 90 days of the completion of all activities in the award.

A closeout can be performed on an award that is in the 'Active (Executed)' state. Both the recipient and FTA may initiate a closeout.





7.5.1 ~~Related Action: Create Closeout Amendment~~

~~**Note:** Only the Recipient Submitter or Developer role will be able to access this related action. Ensure that the record has an application status of 'Active (Executed)'.~~

~~1) Click 'Create Closeout Amendment'.~~

Records / Applications / Awards

FL-2016-004-00 | Sample TrAMS Application - Closeout for User Guide Purposes Only Follow

- Application Details**
Manage Application Details
- View-Print Application**
Generate View/Print for Application
- Execution & Award Summary**
View Execution Summary and Award Agreement
- Obligation Details**
View Obligation Summary and Award Agreement
- View-Print Budget Change History**
Generate View-Print for Budget Revision
- Create Closeout Amendment**
Initiate Close for Active Executed Award
- DOL Certification Details**
View Details for DOL Certification
- Application Documents**
Manage Application Document



- 2) The 'Unliquidated Balance Warning' form will be displayed if there are any unliquidated balances on the application's existing account class codes (ACCs).

Closeout Amendment | Unliquidated Balance Warning

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
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Award Information

Award Number FL-2016-002-00	Award Name Sample Application for User Guide Demo
Award Status Active (Executed)	Executed Date Jun 13, 2016

Award Funds Status

Unliquidated Balance
This Application/Award has unliquidated funds of \$350,000. This amount does not include pending disbursements requested within the past two business days. Unliquidated funds shall be deobligated in the full amount specified above.

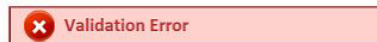
PO Number	Project Number	Scope Code / Suffix	Account Class Code / FPC	Obligation	Deobligation	Disbursement	Refund	Unliquidated Balance
FL-90-X893	FL-2016-002-01-00	111-00 / A1	2016.25.90.91.2 / 00	\$350,000	\$0	\$0	\$0	\$350,000

Continue With Closeout Request

Cancel Closeout Request

- 3) You may click the 'Continue with Closeout Request' button to proceed with the closeout and the deobligation of the unliquidated balances on the award or the 'Cancel Closeout Request' button to return to the 'Related Actions' menu without closing the award.
- 4) The 'Closeout Amendment' form will be displayed. Complete the following under the 'Closeout Amendment Information' section:
- Select the appropriate check box(es) under the 'Closeout Reasons' section. At least one closeout reason must be provided.
 - An error message will be presented if no 'Closeout Reasons' are selected

Award | Close Out Amendment



Please select one or more reasons.

- Enter a comment into the 'Closeout Remarks' field.



d) Click the 'Create Closeout Amendment' button.

Award | Close Out Amendment

Recipient Summary

Recipient ID	9900	Recipient Name	Trams Transit Organization
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Award Summary

Award Number	FL-2016-002-00	Award Name	Sample Application for User Guide Demo
Award Status	Active (Executed)		

Close Out Guidance

What is a Close Out Amendment?

Per FTA Circular 5010.1D: 5. GRANT CLOSE-OUT.

Grant close-out is the term used to signify the process by which FTA determines that all activities in a grant are complete and Federal funds have been expended. Grant closeout does not preclude FTA's ability to seek repayment or other remedies for a grantee's breach of grant terms and conditions.

Grantee's Role and Responsibilities. The grantee must initiate close-out of a grant when all approved activities are completed and/or applicable Federal funds expended. All close-out documentation must be submitted within 90 days of the completion of all activities in the grant. This requires notifying FTA by letter or e-mail that the grant is ready for close-out.

The grantee should electronically submit the following in TEAM as part of the grant close-out process:

- (1) a final budget reflecting actual project costs by scope and activity;
- (2) a final FSR;
- (3) a final narrative MPR indicating the actual completion date of each ALI; a discussion of each ALI contained in the final budget and list of project property purchased under the grant;
- (4) a request to deobligate any unexpended balance of Federal funds; and
- (5) any other reports required as part of the terms and conditions of the grant.

Additional guidance can be found at the following webpages:

FTA Circular 5010.1D http://www.fta.dot.gov/legislation_law/12349_8640.html
http://www.fta.dot.gov/legislation_law/12349_8640.html

Close Out Amendment Information

Close Out Reasons All approved activities are completed and/or applicable Federal funds expended
 All applicable Federal funds expended
 Funds are no longer needed to accomplish the grant purpose
 Determined that the project has been essentially completed and/or approved funds have been substantially drawn down
Select One or More Options

Close Out Remarks

Provide detailed explanation for this close-out

This Grant Close out will be recorded under the name of:

Close Out By Submitter1 TRAMS

Close Out Date Jun 16, 2016



The 'Closeout Created' form will be displayed with the following confirmation message: "A new closeout amendment for Federal Award ID Number [FAIN #] has been created." It will provide an active new amendment record link to the closeout amendment.

Amendment | Closeout Created



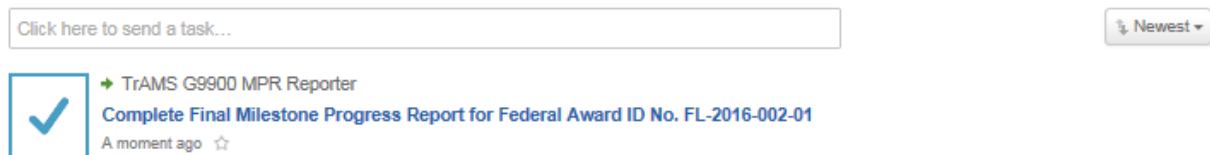
A new closeout amendment for Federal Award ID Number FL-2016-002-01 has been created.
Click the link below to view the new closeout amendment.
FL-2016-002-01 | [Sample Application for User Guide Demo](#)

Close

7.5.2 Complete and Submit Final MPR

Before submitting a Closeout Amendment for approval, you must submit a Final Milestone Progress Report (MPR) to FTA. The Final MPR is assigned as a task to users with the 'MPR Reporter' role as soon as the closeout amendment is created.

- 1) After the 'MPR Reporter' successfully logs on, the number of pending tasks will be indicated next to the 'Tasks' tab.
- 2) Select the 'Complete Final Milestone Progress Report' task for the application.



- 3) Click the 'Accept' button to accept the task and the editable 'Milestone Progress Report (MPR) Summary' form will be displayed. Click the 'Go Back' button to return the task.



Milestone Progress Report (MPR) | Summary

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
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Award Summary

Federal Award ID Number (FAIN) FL-2016-002-01	Award Name Sample Application for User Guide Demo
MPR Reporting Frequency Required Quarterly	Award Status Active Award / Ready for Closeout
Preaward Authority Utilized? <input type="radio"/> Yes, Preaward Authority utilized <input checked="" type="radio"/> No, Preaward Authority not utilized	Obligation Date 6/13/2016

Milestone Progress Report Summary

Report Type Quarterly	MPR Report Status Work in Progress
Report Period Quarter 3 (Apr - Jun), FY 2016	Report Due Date 6/16/2016
Report Period Begin Date 4/1/2016	Last Update By N/A
Report Period End Date 6/16/2016	Last Update Date
Final Report? <input checked="" type="radio"/> Yes, Final Report <input type="radio"/> No, Not Final Report	

Award Overview

MPR Overview Remarks

Provide details about overall progress of this award including all projects within the award

List of Line Items

<input type="checkbox"/>	Project Number	Scope Number	Scope Name	Line Item Number	Line Item Name	Number of Milestones	Number of Milestones Pending
<input type="checkbox"/>	FL-2016-002-01-01	111-00	BUS - ROLLING STOCK	11.17.00	VEH OVERHAUL (UP TO 20% VEH MAINT)	4	0
<input type="checkbox"/>	FL-2016-002-01-01	111-00	BUS - ROLLING STOCK	11.12.03	BUY REPLACEMENT 30-FT BUS	5	1

4) At any point, you may click the 'Close Task' button to return to the 'Tasks' tab without saving any changes and retain the task.



5) Enter comments into the 'MPR Overview Remarks' field to provide details about overall progress of the award to include all projects. Note that this is a required field.

Award Overview

MPR Overview Remarks

The MPR Overview Remarks are mandatory and must be entered here

Provide details about overall progress of this award including all projects within the award

6) Verify all line items displayed under the 'List of Line Items' grid. Any value greater than zero under the 'Number of Milestones Pending' column indicates pending milestone(s) that must be provided with an appropriate 'Actual Completion Date' or 'Revised Estimated Completion Date'.

Note: A milestone is considered 'Pending' if one of the following is true:

- The 'Original Estimated Completion Date' expired on or before the 'Report Period End Date'
- There is no 'Actual Completion Date' indicating the milestone was successfully achieved on or before the 'Report Period End Date'
- The 'Revised Estimated Completion Date' expired on or before the 'Report Period End Date'

List of Line Items

<input type="checkbox"/>	Project Number	Scope Number	Scope Name	Line Item Number	Line Item Name	Number of Milestones	Number of Milestones Pending
<input type="checkbox"/>	FL-2016-002-01-01	111-00	BUS - ROLLING STOCK	11.17.00	VEH OVERHAUL (UP TO 20% VEH MAINT)	4	0
<input type="checkbox"/>	FL-2016-002-01-01	111-00	BUS - ROLLING STOCK	11.12.03	BUY REPLACEMENT 30-FT BUS	5	1

7) Select the check box for a line item with a pending milestone. The form will expand to display the 'Line Item Details,' 'FTA Funding Information,' and 'Milestones' sections.



Line Item Details

Line Item Number 11.51.03	Line Item Name ENG/DESIGN - POWER DISTRIB SUBSTATION
Allocation Type	Activity Type ENGINEERING & DESIGN
Quantity 1	

FTA Funding Information

FTA Funding Source
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)

FTA Funding Amount
\$20,000

Milestones

<input type="checkbox"/>	Milestone Name	Original Estimated Completion Date	Revised Estimated Completion Date	Actual Completion Date	Description
<input type="checkbox"/>	Start Date	1/26/2016			
<input type="checkbox"/>	End Date	3/31/2016			

- 8) If you wish to add a new milestone to the project, click the 'Add New Milestone' button. The form will expand further to display the 'Add New Milestone' section:
- a) Enter a name for the milestone into the 'Name' field.
 - b) Click in either the 'Revised Estimated Completion Date' or 'Actual Completion Date' field to enter a date or display the date picker to select a date from. You may select only one type of date.
 - e) Enter a comment about the milestone into the 'Milestone Progress Comments' field. This is a required field.
 - d) Click the 'Save All Changes' button after you have completed entering information in all fields. You will be returned to the 'Milestones' grid.



If you enter invalid values for Revised Estimated Completion Date (i.e. a date in the past) or for Actual Completion Date (i.e. a date in the future), the system will display an error message indicating the issue with the entered date.

Milestone Progress Information

Revised Estimated Completion Date

1/1/2016

Revision #

1

You may only save revised or actual completion date. Please remove either before saving.

The revised date is before the end of the report period (3/31/2016) and will result in a pending milestone. Please modify to a date after the period.

Actual Completion Date

4/4/2016

The actual completion date is after the report period (3/31/2016). Please enter a date within the report period before saving.

Each milestone can only have one date — either a new Revised Estimated Completion Date or an Actual Completion Date.

- b) Enter comments into the ‘Milestone Progress Comments’ field to provide details about milestone progress. This is a required field
- e) Click the ‘Save All Changes’ button after you have completed entering all dates. The form will collapse the ‘Milestone Details’ section and the value under the ‘Number of Milestones Pending’ column will update accordingly.



Original Milestone Details

Milestone Name
Start Date

Original Estimated Completion Date
1/26/2016

Milestone Detailed Description

Milestone Progress Information

Revised Estimated Completion Date
M/d/yyyy

Actual Completion Date
M/d/yyyy

Revision #
1

Milestone Progress Comments

Enter details about milestone progress (e.g. date changes, etc.)

Existing Comments
No Comment for this Milestone

2) If you wish to remove a milestone for a given ALI so that it no longer counts against the pending milestones on the award, there is an option called 'Zero Out'. This does not delete the milestone – instead, it deletes all details previously entered for the milestone, but keeps the milestone name listed in read only form for audit purposes.

To zero out a milestone, select the check box for the milestone and then click the 'Zero Out' button.

Milestones

<input type="checkbox"/>	Milestone Name	Original Estimated Completion Date	Revised Estimated Completion Date	Actual Completion Date	Description
<input type="checkbox"/>	Start Date	1/26/2016		1/26/2016	
<input type="checkbox"/>	End Date	3/31/2016			
<input checked="" type="checkbox"/>	New Milestone for User guide Purposes Only	2/29/2016	2/29/2016		New Milestone



10) The system will prompt you with the following message: “Are you sure that you want to zero out this milestone?”

Are you sure that you want to zero out this milestone?

11) Click the ‘Yes’ button if you wish to proceed with zeroing out all dates and information for the selected milestone. A new message will be displayed under the milestone’s ‘Description’ column: “Milestone Zeroed out on [date zero out performed].”

Milestones

<input type="checkbox"/>	Milestone Name	Original Estimated Completion Date	Revised Estimated Completion Date	Actual Completion Date	Description
<input type="checkbox"/>	Start Date	1/26/2016		1/26/2016	
<input type="checkbox"/>	End Date	3/31/2016			
<input type="checkbox"/>	New Milestone for User guide Purposes Only				Milestone Zeroed out on 1/27/2016.

12) Repeat the previous step until all line items display a ‘0’ under the ‘Number of Milestones Pending’ column. The system will not allow you to proceed to MPR submission should any pending milestones remain.

13) Once you have finished entering dates for pending milestones, click the ‘Finalize for Closeout’ button.

14) The ‘Submit MPR’ form will be displayed.

- a) Use the ‘Back’ button if you wish to return to the previous form.
- b) The ‘Save’ button can be used to save the comments entered into the ‘Submission Remarks’ field.
- c) Enter any comments for FTA into the ‘Submission Remarks’ field and then click the ‘Submit to FTA’ button to proceed.



Milestone Progress Report (MPR) | Submit MPR

Recipient Summary

Recipient ID 9900
<https://facestest1.fta.dot.gov/suite/tempo/records/ty...> Recipient DUNS 999999999
Recipient Name Trams Transit Organization EIN Number 999999999

Award Summary

Federal Award ID Number (FAIN) FL-2016-002-01
<https://facestest1.fta.dot.gov/suite/tempo/records/ty...> Award Name Sample Application for User Guide Demo
MPR Reporting Frequency Quarterly Award Status Active Award / Ready for Closeout

Milestone Progress Report Summary

Report Type Quarterly MPR Status Work in Progress
Report Period Quarter 3 (Apr - Jun), FY 2016 Last Updated By MPR Reporter1 TRAMS
Report Due Date 6/16/2016 Last Update Date 6/16/2016

Recipient Submission Remarks

Submission Remarks

Provide any additional comments about the report that would be useful for FTA during the review.

When this report is submitted to the FTA, the submitter and the individuals providing the information submitted to the FTA, if any, certify that it is true, complete, and accurate to the best of their knowledge. They are aware that any false, fictitious, or fraudulent information may subject them to criminal, civil, or administrative penalties (U.S. Code, Title 18, Section 1001).

[Submit to FTA](#) [Back](#) [Save](#)

15) The 'Submitted' form will be displayed with the following confirmation message: "Federal Award ID Number [FAIN #] MPR for [FY] [Report Frequency] has been submitted to FTA for review."

16) Click the 'Close' button. The system returns you to the 'Tasks' tab and the task link will now be removed from the task list.

Milestone Progress Report | Submitted

Success!

Federal Award ID Number FL-2016-002-01 MPR for 2016 Quarter 3 has been submitted to FTA for review.

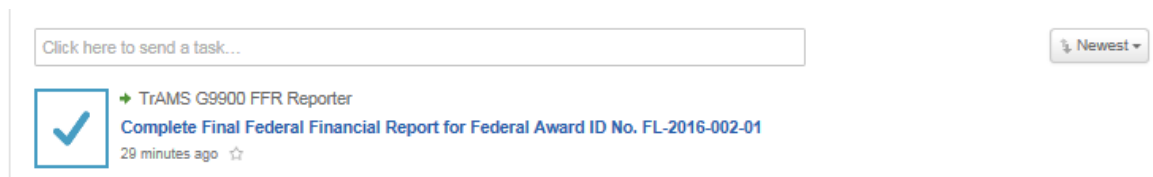
[Close](#)



7.5.3 Complete and Submit Final FFR

Before submitting a Closeout Amendment for approval, you must submit a Final Federal Financial Report (FFR) to FTA. The Final FFR is assigned as a task to users with the 'FFR Reporter' role as soon as the closeout amendment is created.

- 1) After the 'FFR Reporter' successfully logs on, the number of pending tasks will be indicated next to the 'Tasks' tab.
- 2) Select the 'Complete Final Federal Financial Report for Federal Award ID No. [FAIN]' task for the application.



- 3) Click the 'Accept' button to accept the task and the editable 'Federal Financial Report (FFR) | Input FFR Values' form will be displayed. Click the 'Go Back' button to return the task.
- 4) At any point, you may click the 'Close Task' button on this form to return to the 'Tasks' tab without saving any changes and retain the task.

Federal Financial Report (FFR) | Input FFR Values

Recipient Summary

Recipient ID	9900	Recipient DUNS	999999999
Recipient Name	Trams Transit Organization	EIN Number	999999999

Award Summary

Federal Award ID Number (FAIN)	FL-2016-002-01	Award Name	Sample Application for User Guide Demo
FFR Reporting Frequency Required	Quarterly	Award Status	Active Award / Ready for Closeout
Obligated Date	6/13/2016		
Preaward Authority	<input type="radio"/> Yes, Preaward Authority utilized <input checked="" type="radio"/> No, Preaward Authority not utilized		

Federal Financial Report Summary

Report Type	Quarterly	Report Period Begin Date	6/16/2016
Report Period	Quarter 3 (Apr - Jun), FY 2016	Report Period End Date	6/16/2016
Report Due Date	6/16/2016		
Final Report?	<input checked="" type="radio"/> Yes, Final Report <input type="radio"/> No, Not Final Report		

Status Log

Status	Updated Date	Updated By
Work in Progress	6/16/2016	applan.administrator



- 5) Complete any fields as needed under the 'Indirect Expense' section:
 - a) Select an indirect expense type using the drop-down menu provided under the 'Type' field.
 - b) Enter a rate into the 'Rate' field. Note that this is a percent value.
 - c) Enter a dollar value into the 'Base' field.
 - d) Click in the 'Period From' and 'Period To' fields to enter dates or display the date picker to select dates from.
 - e) Enter a dollar value into the 'Amount Charged' field.
 - f) Enter a dollar value into the 'Federal Share' field.

Indirect Expense	
Type	<input type="text" value="N/A"/>
Rate	<input type="text" value="0%"/>
Base	<input type="text" value="\$0"/>
Period From	<input type="text" value="M/d/yyyy"/>
Period To	<input type="text" value="M/d/yyyy"/>
Amount Charged	<input type="text" value="\$0"/>
Federal Share	<input type="text" value="\$0"/>

- 6) You will be able to enter dollar values into the 'This Period' fields for the following sections on the form:
 - a) 'A. Federal Cash on hand at Beginning of Period'
 - b) 'B. Federal Cash Receipts'
 - c) 'C. Federal Cash Disbursements'
 - d) 'F. Federal Share of Expenditures'
 - e) 'G. Recipient Share of Expenditures'



A. Federal Cash on Hand at Beginning of Period	
Previous \$0	Cumulative \$0
This Period <input type="text" value="\$0"/>	Calculated by System
B. Federal Cash Receipts	
Previous \$0	Cumulative \$0
This Period <input type="text" value="\$0"/>	Calculated by System
C. Federal Cash Disbursements	
Previous \$0	Cumulative \$0
This Period <input type="text" value="\$0"/>	Calculated by System
D. Federal Cash on Hand at End of Period (A + B - C)	
Previous \$0	Cumulative \$0
Calculated by System	Calculated by System
This Period \$0	
Calculated by System	
E. Total Federal Funds Authorized	
	Cumulative \$57,500
F. Federal Share of Expenditures	
Previous \$0	Cumulative \$0
This Period <input type="text" value="\$0"/>	Calculated by System
G. Recipient Share of Expenditures	
Previous \$0	Cumulative \$0
This Period <input type="text" value="\$0"/>	Calculated by System

7) You will also be able to enter dollar values into the 'Amount' fields for the following sections on the form:

- a) 'I. Federal Share of Unliquidated Obligations'
- b) 'J. Recipient Share of Unliquidated Obligations'

I. Federal Share of Unliquidated Obligations	
Amount	<input type="text" value="\$0"/>
J. Recipient Share of Unliquidated Obligations	
Amount	<input type="text" value="\$0"/>

- c) 'P. Federal Program Income on Hand at Beginning of Period'
- d) 'Q. Total Federal Program Income Earned'



- e) 'R. Federal Program income expended in accordance with the deduction alternative'
- f) 'T. Federal Program income expended on allowable transit Capital and Operating expense'

P. Federal Program Income on Hand at Beginning of Period

Amount \$0

Q. Total Federal Program Income Earned

Amount \$0

R. Federal Program Income Expended in accordance with the deduction alternative

Amount \$0

S. Federal Program Income Expended in accordance with the addition alternative

Amount \$0

T. Federal Program Income Expended on allowable Transit Capital and Operating expense

Amount \$0

U. Federal Unexpended Program Income [(P + Q - R) or (P + Q - S) or (P + Q - T)]

Amount \$0
Calculated by System

Calculate Totals Close Task

8) All other remaining fields will be calculated automatically by the system.

Note: To avoid system validation errors while entering dollar values into the 'This Period' and 'Amount' fields, you must keep the following rules in mind for the FFR:

- The sum of the value displayed under the 'Cumulative' field in 'G. Recipient Share of Expenditures' and the value provided for 'J. Recipient Share of Unliquidated Obligations' must be less than or equal to the value displayed for 'N. Total Recipient Share Required.'
- You cannot input values in both 'R. Federal Program income expended in accordance with the deduction alternative' and 'T. Federal Program income expended on allowable transit Capital and Operating expense.' You will be allowed to enter a value for only one of these fields.
- Each of the separate values entered for 'A. Federal Cash on hand at Beginning of Period,' 'B. Federal Cash Receipts,' 'C. Federal Cash Disbursements,' 'F. Federal Share of Expenditures,' and 'I. Federal Share of Unliquidated Obligations' cannot be greater than 'E. Total Federal Funds Authorized.'
- The calculated value of 'D. Federal Cash on hand at End of Period (A + B - C)' cannot be negative.
- The calculated value of 'O. Remaining Recipient Share to be provided [N - (G + J)]' cannot be negative.



9) Once you have completed entering all details, click the 'Calculate Totals' button.

10) The system will display the 'Confirm FFR Data' form. If you wish to return to the previous 'Input FFR Values' form to make changes, click on the 'Modify FFR Values' button.

Federal Financial Report (FFR) | Confirm FFR Data

Recipient Summary

Recipient ID	<input type="text" value="9900"/> <small>https://facestest1.fta.dot.gov/suite/tempo/records/ty...</small>	Recipient DUNS	999999999
Recipient Name	Trams Transit Organization	EIN Number	999999999

Award Summary

Federal Award ID Number (FAIN)	<input type="text" value="FL-2016-002-01"/> <small>https://facestest1.fta.dot.gov/suite/tempo/records/ty...</small>	Award Name	Sample Application for User Guide Demo
FFR Reporting Frequency	Quarterly	Award Status	Active Award / Ready for Closeout
Preaward Authority	<input type="radio"/> Yes, Preaward Authority utilized <input checked="" type="radio"/> No, Preaward Authority not utilized	Obligation Date	6/13/2016

Federal Financial Report Summary

Report Type	Quarterly	Report Period Begin Date	6/16/2016
Report Period	Quarter 3 (Apr - Jun), FY 2016	Report Period End Date	6/16/2016
Report Due Date	6/16/2016	Final Report?	<input checked="" type="radio"/> Yes, Final Report <input type="radio"/> No, Not Final Report

Status Log

Status	Updated Date	Updated By
Work in Progress	6/16/2016	appian.administrator

Indirect Expense

Type	<input type="text" value="N/A"/>	Period From	
Rate	0%	Period To	
Base	\$0	Amount Charged	\$0
		Federal Share	\$0



11) Sections 'A' to 'U' will also be displayed with the data entered in the previous step.

Financial Status

Transactions	Previous	Current	Cumulative
A. Federal Cash on Hand at Beginning of Period	\$0	\$0	\$0
B. Federal Cash Receipts	\$0	\$0	\$0
C. Federal Cash Disbursements	\$0	\$0	\$0
D. Federal Cash on Hand at End of Period (A + B - C)	\$0	\$0	\$0
E. Total Federal Funds Authorized			\$350,000
F. Federal Share of Expenditures	\$0	\$0	\$0
G. Recipient Share of Expenditures	\$0	\$0	\$0
H. Total Expenditures (F + G)	\$0	\$0	\$0
I. Federal Share of Unliquidated Obligations			\$0
J. Recipient Share of Unliquidated Obligations			\$0
K. Total Unliquidated Obligations (I + J)			\$0
L. Total Federal Share (F + I)			\$0
M. Unobligated Balance of Federal Funds (E - L)			\$350,000
N. Total Recipient Share Required			\$80,000
O. Remaining Recipient Share to be Provided [N - (G + J)]			\$80,000
P. Federal Program Income on Hand at Beginning of Period			\$0
Q. Total Federal Program Income Earned			\$0
R. Federal Program Income Expended in accordance with the deduction alternative			\$0
S. Federal Program Income Expended in accordance with the addition alternative			\$0
T. Federal Program Income Expended on allowable Transit Capital and Operating expenses			\$0
U. Federal Unexpended Program Income [(P + Q - R) or (P + Q - S) or (P + Q - T)]			\$0

1-21 of 21

Finalize for Closeout Modify FFR Values Close Task

12) Once you have finished entering information for the FFR, click the 'Finalize for Closeout' button.

13) The 'Submit FFR' form will be displayed.

a) Use the 'Back' button if you wish to return to the previous form.



- b) The 'Save' button can be used to save the comments entered into the 'Submission Remarks' field. This is a required field.
- e) Enter any comments for FTA into the 'Submission Remarks' field and then click the 'Submit to FTA' button to proceed. These are required.



Federal Financial Report (FFR) | Submit FFR

Recipient Summary

Recipient ID 9900 Recipient DUNS 999999999
Recipient Name Trams Transit Organization EIN Number 999999999

Award Summary

Federal Award ID Number (FAIN) FL-2016-002-01 Award Name Sample Application for User Guide Demo
Award Status Active Award / Ready for Closeout
FFR Reporting Frequency Required Quarterly Obligated Date 6/13/2016
Preaward Authority Yes, Preaward Authority utilized
 No, Preaward Authority not utilized

Federal Financial Report Summary

Report Type Quarterly Report Period Begin Date 6/16/2016
Report Period Quarter 3 (Apr - Jun), FY 2016 Report Period End Date 6/16/2016
Report Due Date 6/16/2016
Final Report? Yes, Final Report
 No, Not Final Report

Status Log

Status	Updated Date	Updated By
Work in Progress	6/16/2016	appian.administrator

Recipient Remarks

Submission Remarks

When this report is submitted to FTA, the submitter and the individuals providing the information submitted to FTA, if any, certify that it is true, complete, and accurate to the best of their knowledge. They are aware that any false, fictitious, or fraudulent information may subject them to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001).

Submitted By FFR Reporter1 TRAMS
Submitted Date 6/16/2016

Submit to FTA Back Save Close Task

14) The 'Submitted' form will be displayed with the following confirmation message: "Federal Award ID Number [FAIN #] FFR for [FY] [Report Frequency] has been submitted to FTA."

15) Click the 'Close' button. The system returns you to the 'Tasks' tab and the task link will now be removed from the task list.



Federal Financial Report | Submitted



Federal Award ID Number FL-2016-002-01FFR for 2016 Quarter 3 has been submitted to FTA.

Close

7.5.4 Related Action: Closeout Details

To view and edit closeout details for a closeout amendment:

1) Click 'Closeout Details':

-  **Validate and Submit Closeout**
Validate and Submit Closeout for FTA Review
-  **Closeout Details**
Manage Details for Closeout Amendment
-  **Closeout Budget Reconciliation**
Manage Budget Reconciliation for Closeout Amendment

2) The 'Summary' form will be displayed. Complete the following under the 'Closeout Details' section:

a) Select the appropriate check box(es) under the 'Closeout Reasons' section.

b) Enter a comment into the 'Closeout Remarks' field.

3) At any point, you may click the 'Cancel' button to return to the 'Related Actions' menu without saving any changes.

4) Click the 'Save and Close' button to save all changes and return to the 'Related Actions' menu.



Close Out Amendment | Summary

Grantee Information

Recipient ID	9900	Recipient Name	Trams Transit Organization
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Award Status Information

Award Number	FL-2016-002-01	Award Date	Jun 13, 2016
Award Name	Sample Application for User Guide Demo	Executed Date	Jun 13, 2016
Award Status	Active Award / Ready for Closeout	Last Disbursement Date	N/A

Close Out Details

Close Out Created Date	Jun 16, 2016
Close Out Created By	Submitter1 TRAMS
Last Updated Date	Jun 16, 2016
Last Updated By	Submitter1 TRAMS
Close Out Reason	<input type="checkbox"/> All approved activities are completed and/or applicable Federal funds expended <input type="checkbox"/> All applicable Federal funds expended <input checked="" type="checkbox"/> Funds are no longer needed to accomplish the grant purpose <input type="checkbox"/> Determined that the project has been essentially completed and/or approved funds have been substantially drawn down
Close Out Remarks	<input type="text" value="this comment is for User Guide Purposes Only"/>

7.5.5 Related Action: Closeout Budget Reconciliation

Before submitting a closeout amendment for approval, the recipient should reconcile the final award budget and modify budget activity line item (ALI) amounts accordingly. This is done via the 'Closeout Budget Reconciliation' Related Action on the Application Record.

1) Click 'Closeout Budget Reconciliation'.



-  **Validate and Submit Closeout**
Validate and Submit Closeout for FTA Review
-  **Closeout Details**
Manage Details for Closeout Amendment
-  **Closeout Budget Reconciliation**
Manage Budget Reconciliation for Closeout Amendment

- 2) The 'Closeout Budget Reconciliation' form will be displayed. The ALIs associated to the project are listed and displayed in grid format under the 'Existing Line Items' section.
- 3) At any point, you may click the 'Close' button to return to the 'Related Actions' menu without saving any changes.



Application | Step 1 of 3 - Closeout Budget Reconciliation

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
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Award Summary

Recipient Name Trams Transit Organization	Award Name Sample Application for User Guide Demo
Recipient ID 9900	Federal Award ID Number (FAIN) FL-2016-002-01

Funding Summary

Funding Source	Original Amount	Difference	Final Amount
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	\$350,000.00	\$0.00	\$350,000.00

Existing Line Items

To edit a line item, click on the checkbox for the line item and a new section will appear below with line item and milestone details

<input type="checkbox"/>	Project Number	Scope Name / Code	Line Item Number / Name	Activity Type	Award FTA Amount	Revised FTA Amount	Award Total Eligible Cost	Revised Total Eligible Cost
<input type="checkbox"/>	FL-2016-002-01-01	111-00 BUS - ROLLING STOCK	11.12.03 BUY REPLACEMENT 30-FT BUS		\$100,000	\$100,000	\$155,000	\$155,000
<input type="checkbox"/>	FL-2016-002-01-01	111-00 BUS - ROLLING STOCK	11.17.00 VEH OVERHAUL (UP TO 20% VEH MAINT)		\$250,000	\$250,000	\$275,000	\$275,000

Close

4) Select the check box for the ALI you wish to modify from the grid. The form will expand below the existing line items grid to include the following sections for that selected line item:

a) 'Line Item Scope'

b) 'Line Item Details'

c) 'Funding Information'

d) 'Rolling Stock Information'

e) 'Milestones'

5) Clicking the 'Cancel' button will return you to the 'Closeout Budget Reconciliation' form without saving any changes. The system will provide a warning prompt for confirmation before doing so.

6) Update the 'Line Item Scope' section as needed.



a) Update the line item's name in the 'Custom Line Item Name' field with the desired text.

Line Item Scope: 115-00 ELECTRIFICATION/POWER DIST (BUS)

Line Item #
11.51.03

Standard Line Item Name
Eng/design - Power Distrib Substation

Custom Line Item Name

Eng/design - Power Distrib Substation

Activity Type

Engineering & Design

b) Update the 'Line Item Details' section as needed:

c) Update the quantity in the 'Quantity' field with the desired number. This is an integer field and must be a whole number.

Line Item Details

Original Quantity
1

Quantity

1

d) Update the original extended budget description in the 'Updated Extended Budget Description' field with the desired text.

e) Select either the Yes or No option to respond to the question, 'Will 3rd Party contractors be used to fulfill this activity line item?'.

Original Extended Budget Description
Engineering and Design

Updated Extended Budget Description

Engineering and Design

Will 3rd Party contractors be used to fulfill this activity line item?

- Yes, 3rd Party Contractors will be used for this line item.
- No, 3rd Party Contractors will not be used for this line item.

7) If this closeout is for a TEAM award, update the 'Funding Information' section as needed:

a) Update the original FTA funding amount in the 'Revised FTA Funding Amount' field with the revised amount. This is an integer field and must be a whole number. The 'Revised Non FTA Amount' field will update accordingly.



- b) Update the original award's total eligible cost in the 'Revised Total Eligible Cost' field with the revised amount. This is an integer field and must be a whole number. The 'Revised Non-FTA Amount' field will update accordingly.

Funding Information	
FTA Funding Source 49 USC 5312 - Research, Development, Demonstration, Deployment	FTA Funding Source 49 USC 5312 - Research, Development, Demonstration, Deployment
Award FTA Funding Amount \$6,611,111.00	Revised FTA Funding Amount \$6,751,111.00
Award Non-FTA Amount \$1,166,667.00	Revised Non-FTA Amount \$1,166,667.00
Award Total Eligible Cost \$7,777,778.00	Revised Total Eligible Cost \$7,917,778.00

- 8) If this closeout is for a TrAMS award, update the 'FTA Funding Information' section as needed:

- a) Update the original FTA funding amount in the 'Revised FTA Funding Amount' field with the revised amount. This is an integer field and must be a whole number.
- b) The 'Revised Total Eligible Cost' field will update accordingly.

FTA Funding Information	
FTA Funding Source 49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	FTA Funding Source 49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)
Award FTA Funding Amount \$20,000	Revised FTA Funding Amount \$19,000

- 9) For a TrAMS closeout amendment, update the 'Non-FTA Funding Information' section as needed:

- a) Update any existing values in fields with the desired amounts. These are all integer fields and must be whole numbers.
- b) The 'Revised Total Eligible Cost' field will update accordingly.



Non-FTA Funding Information	
Award Local Share Amount \$5,000	Revised Local Share Amount \$5,000
Award Local/In-Kind Share Amount \$0	Revised Local/In-Kind Share Amount \$0
Award State Share Amount \$0	Revised State Share Amount \$0
Award State/In-Kind Share Amount \$0	Revised State/In-Kind Share Amount \$0
Award Other Federal Share Amount \$0	Revised Other Federal Share Amount \$0
Award Adjustment Amount \$0	Revised Adjustment Amount \$0
Award Transportation Development Credit \$0	Revised Transportation Development Credit \$0
Award Total Eligible Cost \$25,000	Revised Total Eligible Cost \$24,000

10) Update the 'Rolling Stock Information' section as needed:

- a) Select a vehicle condition from the drop-down menu provided under the 'Vehicle Condition' field.
- b) Enter a vehicle size into the 'Vehicle Size' field.
- c) Select the vehicle's fuel type from the drop-down menu provided under the 'Fuel' field.

Rolling Stock Information

Vehicle Condition New	Fuel Hybrid Electric
Vehicle Size 30 FEET	

Note: The 'Rolling Stock Information' section is only shown when a rolling stock line item in the project has been selected.

11) Once you have completed all the sections related to the selected ALI from the grid, you will have the following options:

- a) Click on the 'Save Line Item' button to save all information for the selected ALI and return to the 'Closeout Budget Reconciliation' form, or
- b) Click on the 'Cancel' button to exit out grid without saving changes for the selected ALI. The form will collapse.

12) Repeat previous steps to update the remaining ALIs in the grid as needed. Click the 'Close' button to return to the 'Related Actions' menu.



7.5.6 **Related Action: Validate and Submit Closeout**

Once you have completed the Final FFR, Final MPR and Budget Reconciliation steps, the Closeout Amendment is ready for submission.

To validate and submit a completed closeout request:

- 1) Click 'Validate and Submit Closeout'.



- 2) The 'Final Budget' form will be displayed. Select either the Yes or No option to respond to the question, "Is this budget final?" and then click the 'Continue' button to proceed.

Application | Final Budget for Closeout

Award Information

Award Number FL-2016-002-01

Award Name Sample Application for User Guide Demo

Is this budget final? Yes, this budget is final
 No, this budget is not final

Continue

Close

- 3) If you selected 'No, this budget is not final', then the 'Award Closeout Reviewer/Validations' form will be displayed with the following validation message: "Budget for this Award has not been finalized."
- 4) Finalize your budget before submitting the closeout request to FTA." You will need to review the budget and then select the 'Yes, this budget is final' response in order to clear this message and proceed with the closeout.



Recipient Details

Recipient ID 9900

Recipient Name Trams Transit Organization

Award Summary

Award Number FL-2016-002-01

Award Name Sample Application for User Guide Demo

Award Status Active Award / Ready for Closeout

Critical Issues

These items have not passed Award Closeout validations

- FTA Budget for this Award has not been finalized.
Finalize your budget before submitting the Closeout request to FTA.

Close

5) If you selected 'Yes, this budget is final', you may continue to the next step. However, if the final MPR and/or final FFR have not been completed at this point, then the 'Award Closeout Reviewer/Validations' form will be displayed with the following validation message(s):

- "Final Federal Financial Report (FFR) for this Award has not been submitted. Complete a Final FFR before submitting the closeout request to FTA."
- "Final Milestone Progress Report (MPR) for this Award has not been submitted. Complete a Final MPR before submitting the closeout request to FTA."

Recipient Details

Recipient ID 9900

Recipient Name Trams Transit Organization

Award Summary

Award Number FL-2016-002-01

Award Name Sample Application for User Guide Demo

Award Status Active Award / Ready for Closeout

Critical Issues

These items have not passed Award Closeout validations

- Final Federal Financial Report (FFR) for this Award has not been submitted.
Complete a Final FFR before submitting the Closeout request to FTA.
- Final Milestone Progress Report (MPR) for this Award has not been submitted.
Complete a Final MPR before submitting the Closeout request to FTA.

Close

Refer to 'Task: Complete and Submit MPR' and 'Task: Complete and Submit FFR' for the appropriate instructions on completing these reports.

6) The 'Submit Closeout Request' form will be displayed. This form will display any unliquidated funds that remain on the award so that the Post Award Manager can confirm that these funds will be deobligated once the Closeout is approved.



- a) Click on the 'Cancel' button on this form to return to the 'Related Actions' menu.
- b) Click the 'Submit to FTA' button to proceed.

Closeout Amendment | Submit Closeout Request

Recipient Details

Recipient ID 9900

Recipient Name Trams Transit Organization

Award Summary

Award Number FL-2016-002-01

Award Name Sample Application for User Guide Demo

Award Status Active Award / Ready for Closeout

Closeout Details

Created Date 6/16/2016 3:17 PM EDT

Created By trams.submitter1

- Closeout Reason
- All approved activities are completed and/or applicable Federal funds expended
 - All applicable Federal funds expended
 - Funds are no longer needed to accomplish the grant purpose
 - Determined that the project has been essentially completed and/or approved funds have been substantially drawn down

Closeout Remarks this comment is for User Guide Purposes Only

Award Funds Status

Unliquidated Balance This Application/Award has unliquidated funds of \$350,000 This amount does not include pending disbursements requested within the past two business days. The funds shall be deobligated in the full amounts specified in the 'Unliquidated Balance' column below.

PO Number	Project Number	Cost Center	Scope Code / Suffix	Account Class Code	FPC	Obligation	Deobligation	Disbursement	Refund	Unliqu B
FL-90-X893	FL-2016-002-01-00	65000	111-00 / A1	2016.25.90.91.2 / 00	00	\$350,000	\$0	\$0	\$0	\$3

Submission Information

To the best of my knowledge and belief, all data entered are true and correct. Submission of this application is duly authorized by the appropriate governing officials of the applicant and the applicant will comply with the certifications and assurances if the federal assistance is awarded. If you agree, click on the Submit to FTA button to complete submission of this application to the Federal Transit Administration (FTA).

Submitted By Submitter1 TRAMS

Submission Date 6/16/2016

Cancel

Submit to FTA

7) The 'Confirmation' form will be displayed with the following confirmation message: "The closeout amendment for Award Number [FAIN #] has been submitted to FTA for review."

8) Click the 'Close' button to return to the 'Related Actions' menu.



Close Out Amendment | Confirmation!

Confirmation

The close out amendment for Award Number FL-2016-002-01 has been submitted to FTA for review.

Close

7.5.7 — Review Submitted Closeout Request

FTA Regional Post-Award Managers will review the closeout amendment request to determine if the award is ready to be closed.

Each closeout request will be reviewed by FTA for the following:

- A final budget reflecting actual project costs by scope and activity
- A final FFR
- A final narrative MPR indicating the actual completion date of each ALI, a discussion of each ALI contained in the final budget, and list of project property purchased under the grant
- A request to deobligate any unexpended balance of federal funds
- Any other reports required as part of the terms and conditions of the grant

Once FTA completes their review, FTA staff will either return the amendment to the recipient for additional modifications or approve the closeout request. Once FTA approves the closeout, the award will be closed and all amendments on the award will have a status of 'Closed'.



8—Post-Award Reporting

Recipients of FTA funding must submit Milestone Progress Reports (MPR) and Federal Financial Reports (FFR) on a periodic basis to show the status and progress of activities and funding expenditures on their awards. The MPRs and FFRs must be completed and submitted by the recipient within 30 days of the end of the reporting period. The reporting period for MPRs and FFRs can be Annual, Quarterly, or Monthly, depending on the requirements for the award and/or funding program.

Submission of both MPRs and FFRs in TrAMS is task based, which means that recipient users in the 'MPR Reporter' and 'FFR Reporter' role groups will receive a task to complete and submit their MPR or FFR 30 days before a report is due. Once recipients submit their reports for FTA review, FTA Regional Office staff with the 'Post-Award Manager' role will need to complete their reviews of the reports before the next reporting period is over. Once a new reporting period has finished, FTA staff will no longer be able to review reports from prior periods—those reports will now be read only.

In addition to submitting MPRs and FFRs for their awards, recipients are also required to submit semiannual reports to show their compliance with DOT's Disadvantaged Business Enterprise (DBE) program. The DBE Program is an affirmative action program designed to combat discrimination and its continuing effects by providing contracting opportunities on Federally-funded highway, transit, and airport projects for small businesses owned and controlled by socially and economically disadvantaged individuals. DBE Reports must be completed and submitted by the recipient twice a year: June 1 and December 1.

Submission of DBE Reports in TrAMS is also task based, so recipients will receive a task to complete and submit their DBE report 60 days before each report's due date. Once recipients have submitted their reports for review, the FTA Office of Civil Rights is responsible for monitoring FTA recipients' DBE programs and ensuring their compliance with DOT's DBE regulations. Regional FTA staff with the 'Civil Rights Officer' role in the regions will conduct the first review of DBE reports, then submit the reports to Headquarters staff, where users with the 'DBE Approver' role in the 'Office of Civil Rights' will conduct the final review and approve the reports.

8.1—Search for MPR or FFR Reports

MPR and FFR reports can be located from the Actions tab.

To view read-only versions of FFRs and MPRs:

- 1) Click 'Search FFR and MPR for Review'.
- 2) The 'MPR and FFR Review | Search Criteria' form will be displayed with search criteria fields. You will be able to complete the following fields to locate your reports:
 - a) Select a fiscal year from the drop-down menu provided under the 'Application/Award Fiscal Year' field.
 - b) Select an application status from the drop-down menu provided under the 'Application/Award Status' field.
 - c) Select an award type from the drop-down menu provided under the 'Active / Closed Award(s)' field.
 - d) Enter an application number into the 'Application Number' field.



- e) Select a report type from the drop-down menu provided under the 'Report Type' field. This is a required field.
- f) Select a report status from the drop-down menu provided under the 'Report Status' field.
- g) Select one or more check boxes for the 'Report Period Type' field.
- h) The corresponding list of report period selections on the right side of the screen will become active as the check boxes are selected. You will be required to select one or more report periods from the 'Report Period Annual,' 'Report Period Quarterly,' and/or 'Report Period Monthly' fields. Hold down the 'CTRL' key if you wish to select multiple report periods. All activated report period fields will be require a selection.

Note: Selecting 'Initial' does not activate any Report Period lists

- i) Select a radio button option for the 'Report Final' field.
- j) Click in the 'Period From' field to enter in a date or display a calendar picker to select a date from for the first date of a range.
- k) Click in the 'Period To' field to enter or display a calendar picker to select a date from for the last date of a range.

Note: The 'Clear Filter' button on this form can be used at any time to wipe out all entered search criteria.

- 3) Once all search criteria have been entered, click the 'Search' button.



MPR and FFR Review | Search Criteria

Enter one or more of the following search criteria

Search Criteria for Award(s)

Application/Award Fiscal Year	<input type="text" value="Please Select a Year"/>	Report Period Annual	<input type="text" value="Any"/> <input type="text" value="2016"/> <input type="text" value="2015"/> <input type="text" value="2014"/> <input type="text" value="2013"/>
Application/Award Status	<input type="text" value="Please Select a Status"/>	Report Period Quarterly	<input type="text" value="Any"/> <input type="text" value="2016 Quarter 1"/> <input type="text" value="2016 Quarter 2"/> <input type="text" value="2016 Quarter 3"/> <input type="text" value="2016 Quarter 4"/>
Active / Closed Award(s)	<input type="text" value="Please Select a Value"/>	Report Period Monthly	<input type="text" value="Any"/> <input type="text" value="2016 January"/> <input type="text" value="2016 February"/> <input type="text" value="2016 March"/> <input type="text" value="2016 April"/>
Application/Award Number	<input type="text"/>		
*Report Type	<input type="text" value="Please Select a Report Type"/>		
Report Status	<input type="text" value="Please Select a Status"/>		
*Report Period Type	<input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Annual <input type="checkbox"/> Initial		
Report Final	<input type="radio"/> Yes, Final Report <input type="radio"/> No, Not Final Report		
Period From	<input type="text" value="M/d/yyyy"/>		
Period To	<input type="text" value="M/d/yyyy"/>		

4) The 'MPR and FFR Review | Search Results' form will be displayed with results in grid format.



MPR and FFR Review | Search Results

Search Criteria Selected

Criteria selected for Award(s)

Award Fiscal Year: 2016
Active/Closed: N/A
Region: 78400 FTA Regional Office 4
Recipient Name: N/A
Recipient ID: '9900'
Award Number: N/A
Award Status: N/A

Criteria selected for FFR

Report Type: FFR
Reporting Period: Any; ;
Report Status:
Report Period From: To:

Criteria selected for MPR

Report Type: MPR
Reporting Period: Any; ;
Report Status:
Report Period From: To:

Search Results Based on the Selected Criteria

Only the first 2 search results returned. Please narrow your search criteria if the desired result does not appear.

<input type="checkbox"/>	Recipient Name	Award Number	Report Period Type	Report Type	Report Period	Report Status	Report Last Updated By	Report Last Updated Date
<input type="checkbox"/>	Trams Transit Organization	FL-2016-001-00	Quarterly	FFR	2016 Quarter 2	Work in Progress		3/30/2016 8:21 PM GMT+00:00
<input type="checkbox"/>	Trams Transit Organization	FL-2016-001-00	Quarterly	MPR	2016 Quarter 2	Work in Progress		

[View Selected Report](#) [Back to Search](#) [Cancel](#)

- 5) Select the check box for a report from the list and then click the 'View Selected Report' button to access a read-only version of the report.
 - a) Click the 'Back to Search' button if you wish to return to the previous search criteria form.
 - b) Clicking the 'Cancel' button returns you to the 'Actions' tab.
- 6) Depending on the type of report selected, the 'MPR and FFR Review | Federal Financial Report (FFR)' or 'MPR and FFR Review | Milestone Progress Report (MPR)' form will be displayed.
- 7) Click on the link displayed with the report name to generate an html view/print document that you can save or open. Clicking on the 'Close' button on this form returns you to the previous search results



View/Print Reports

Current FFR: **FY 2016 Q3 Federal Financial Report for Application FL-2016-001-00**

Recipient Remarks

Recipient Comments

Remark by: Jullie Anne Disney at 2/3/2016
Submit

[View MPR](#) [Close](#)

8) Click the 'View FFR' or 'View MPR' button to view the related report. If a matching report does not exist, you will be presented with the 'There is no matching report' message.

MPR and FFR Review

There is no matching MPR Report

[Back](#)

8.2 Milestone Progress Report (MPR)

The completion of the Milestone Progress report is assigned as a task to the users with the 'MPR Reporter' user role. Tasks are will be assigned 30 days prior to their due date. Users will receive an email notification that they have a task.

8.2.1 Task: Complete and Submit MPR

The number of Tasks assigned to a user will be visible next to the 'Task' tab.

3) After the MPR Reporter successfully logs on, click the 'Task' tab.

News **Tasks (12)** **Records** **Reports** **Actions**

U.S. Department of Transportation
Federal Transit Administration

[Click here to post...](#)



4) Select the 'Complete [FY] [Report Frequency] Milestone Progress Report' task for the application and then accept the task.

Click here to send a task... Newest ▾

TrAMS G9900 MPR Reporter
Complete 2016 Quarter 2 Milestone Progress Report for Federal Award ID No. FL-2016-001-00
 Mar 30, 2016 ☆

5) The 'Milestone Progress Report (MPR) | Summary' form will be displayed.

6) At any point, you may click the 'Close Task' button to return to the 'Tasks' tab without saving any changes and retain the task.

Milestone Progress Report (MPR) | Summary

Recipient Details

Recipient ID 9900	Recipient Name Trams Transit Organization
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Award Summary

Federal Award ID Number (FAIN) FL-2016-001-00	Award Name RC - Application for MPR and FFR for user guide purposes only
MPR Reporting Frequency Required Quarterly	Award Status Active (Executed)
Preaward Authority Utilized? <input type="radio"/> Yes, Preaward Authority utilized <input checked="" type="radio"/> No, Preaward Authority not utilized	Obligation Date 2/1/2016

Milestone Progress Report Summary

Report Type Quarterly	MPR Report Status Work in Progress
Report Period Quarter 2 (Jan - Mar), FY 2016	Report Due Date 4/30/2016
Report Period Begin Date 1/1/2016	Last Update By N/A
Report Period End Date 3/31/2016	Last Update Date
Final Report? <input type="radio"/> Yes, Final Report <input checked="" type="radio"/> No, Not Final Report	



Award Overview

MPR Overview Remarks

Provide details about overall progress of this award including all projects within the award

Prior Remarks

Quarter 2 (Jan - Mar), FY 2016
jullienedis@yahoo.com on 2/2/2016:
MPR Overview Remarks are Mandatory

List of Line Items

<input type="checkbox"/>	Project Number	Scope Number	Scope Name	Line Item Number	Line Item Name	Number of Milestones	Number of Milestones Pending
<input type="checkbox"/>	FL-2016-001-01-00	111-00	BUS - ROLLING STOCK	11.15.20	LIGHT RAIL CARS	6	2
<input type="checkbox"/>	FL-2016-001-01-00	115-00	ELECTRIFICATION/POWER DIST (BUS)	11.54.01	REHAB/RENOV TRACTION POWER EQUIP	2	0

7) Enter comments into the ‘MPR Overview Remarks’ field to provide details about overall progress of the award to include all projects. This is a required field.

Award Overview

MPR Overview Remarks

The MPR Overview Remarks are mandatory and must be entered here

Provide details about overall progress of this award including all projects within the award

8) Verify all line items displayed under the ‘List of Line Items’ grid. Any value greater than zero under the ‘Number of Milestones Pending’ column indicates pending milestone(s) that must be provided with an appropriate ‘Actual Completion Date’ or ‘Revised Estimated Completion Date’.

Note: A milestone is considered ‘Pending’ if one of the following is true:

- The ‘Original Estimated Completion Date’ expired on or before the ‘Report Period End Date’
- There is no ‘Actual Completion Date’ indicating the milestone was successfully achieved on or before the ‘Report Period End Date’



- The 'Revised Estimated Completion Date' expired on or before the 'Report Period End Date'

9) Select the check box for a line item with a pending milestone.

List of Line Items

<input type="checkbox"/>	Project Number ↓	Scope Number	Scope Name	Line Item Number	Line Item Name	Number of Milestones	Number of Milestones Pending
<input type="checkbox"/>	FL-2016-001-01-00	111-00	BUS - ROLLING STOCK	11.15.20	LIGHT RAIL CARS	6	2
<input type="checkbox"/>	FL-2016-001-01-00	115-00	ELECTRIFICATION/POWER DIST (BUS)	11.54.01	REHAB/RENOV TRACTION POWER EQUIP	2	0

10) The form will expand to display the 'Line Item Details,' 'FTA Funding Information,' and 'Milestones' sections.

Line Item Details

Line Item Number 11.15.20	Line Item Name LIGHT RAIL CARS
Allocation Type	Activity Type MID LIFE REBUILD (RAIL)
Quantity 1	

FTA Funding Information

FTA Funding Source
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)

FTA Funding Amount
\$125,000

Milestones

Add New Milestone Zero Out

<input type="checkbox"/>	Milestone Name	Original Estimated Completion Date	Revised Estimated Completion Date	Actual Completion Date	Description
<input type="checkbox"/>	RFP/IFB Issue Date	2/1/2016			
<input type="checkbox"/>	Contract Award Date	2/29/2016			
<input type="checkbox"/>	Initial Delivery Date	5/30/2016			
<input type="checkbox"/>	Final Delivery Date	10/31/2016			
<input type="checkbox"/>	Contract Completion Date	11/15/2016			
<input type="checkbox"/>	Sample Milestone - User guide purposes only	4/15/2016			Milestone description is Mandatory
<input type="checkbox"/>	New Milestone for User Guide				Milestone Zeroed out on 2/17/2016.

1-7 of 7



11) Update Pending Milestones by selecting the checkbox associated with milestones whose estimated dates are in the past in relation to the 'Report Period End Date' (ex. if the report period end date is 3/31/2016 all milestones with estimated dates that are earlier than 3/31/2016 will be considered pending and will need to be updated).

a) Enter either a new estimated date or an actual completion date.

b) Enter Milestone Progress Comments

c) Select 'Save All Changes' button

<input checked="" type="checkbox"/>	Contract Award Date	2/29/2016			
<input type="checkbox"/>	Initial Delivery Date	5/30/2016			
<input type="checkbox"/>	Final Delivery Date	10/31/2016			
<input type="checkbox"/>	Contract Completion Date	11/15/2016			
<input type="checkbox"/>	Sample Milestone - User guide purposes only	4/15/2016			Milestone description is Mandatory
<input type="checkbox"/>	New Milestone for User Guide				Milestone Zeroed out on 2/17/2016.

1-7 of 7

Cancel

Original Milestone Details

Milestone Name
Contract Award Date

Original Estimated Completion Date
2/29/2016

Milestone Detailed Description

Milestone Progress Information

Revised Estimated Completion Date
M/d/yyyy

Actual Completion Date
M/d/yyyy

Revision #
1

Milestone Progress Comments

Enter details about milestone progress (e.g. date changes, etc.)

Existing Comments
No Comment for this Milestone

Save All Changes Continue to Submission Close Task

Note: Revised Estimated Completion Date must be a date in the future of the 'Report Period End Date'; Actual Completion Date must be on or before the 'Report Period End Date'.



If you enter invalid values for Revised Estimated Completion Date (i.e. a date in the past) or for Actual Completion Date (i.e. a date in the future), the system will display an error message indicating the issue with the entered date.

Milestone Progress Information

Revised Estimated Completion Date

1/1/2016

Revision #

1

You may only save revised or actual completion date. Please remove either before saving.

The revised date is before the end of the report period (3/31/2016) and will result in a pending milestone. Please modify to a date after the period.

Actual Completion Date

4/4/2016

The actual completion date is after the report period (3/31/2016). Please enter a date within the report period before saving.

In addition, each milestone can only have one date—either a new Revised Estimated Completion Date or an Actual Completion Date.

12) If you wish to add a new milestone to the project, click the 'Add New Milestone' button. The form will expand further to display the 'Add New Milestone' section:

- a) Enter a name for the milestone into the 'Name' field.
- b) Click in either the 'Estimated Completion Date' or 'Actual Completion Date' field to enter a date or display the date picker to select a date from. You may select only one type of date.
- c) Enter a comment about the milestone into the 'Detailed Description' field. This is a required field.
- d) Once you have completed entering information in all fields, click the 'Save All Changes' button and you will be returned to the 'Milestones' grid.



Add New Milestone

Name

Estimated Completion Date

Actual Completion Date

Detailed Description

13) If you wish to remove a milestone for a given ALI so that it no longer counts against the pending milestones on the award, there is an option called 'Zero Out'. This does not delete the milestone— instead, it deletes all details previously entered for the milestone, but keeps the milestone name listed in read only form for audit purposes.

To zero out a milestone, select the check box for the milestone and then click the 'Zero Out' button.

Milestones

<input type="checkbox"/>	Milestone Name	Original Estimated Completion Date	Revised Estimated Completion Date	Actual Completion Date	Description
<input type="checkbox"/>	RFP/IFB Issue Date	2/1/2016			
<input type="checkbox"/>	Contract Award Date	2/29/2016			
<input type="checkbox"/>	Initial Delivery Date	5/30/2016			
<input type="checkbox"/>	Final Delivery Date	10/31/2016			
<input type="checkbox"/>	Contract Completion Date	11/15/2016			
<input type="checkbox"/>	Sample Milestone - User guide purposes only	4/15/2016	4/15/2016		Milestone description is Mandatory
<input checked="" type="checkbox"/>	New Milestone for User Guide	5/27/2016	5/27/2016		New Milestone

1-7 of 7



14) The system will prompt you with the following message: ‘Are you sure that you want to zero out this milestone?’

Are you sure that you want to zero out this milestone?

15) Click the ‘Yes’ button if you wish to proceed with zeroing out all dates and information for the selected milestone. A new message will be displayed under the milestone’s ‘Description’ column: ‘Milestone Zeroed out on [date zero out performed].’

Milestones

<input type="checkbox"/>	Milestone Name	Original Estimated Completion Date	Revised Estimated Completion Date	Actual Completion Date	Description
<input type="checkbox"/>	RFP/IFB Issue Date	2/1/2016			
<input type="checkbox"/>	Contract Award Date	2/29/2016			
<input type="checkbox"/>	Initial Delivery Date	5/30/2016			
<input type="checkbox"/>	Final Delivery Date	10/31/2016			
<input type="checkbox"/>	Contract Completion Date	11/15/2016			
<input type="checkbox"/>	Sample Milestone - User guide purposes only	4/15/2016	4/15/2016		Milestone description is Mandatory
<input type="checkbox"/>	New Milestone for User Guide				Milestone Zeroed out on 2/17/2016.

16) Repeat previous steps until all line items display a ‘0’ under the ‘Number of Milestones Pending’ column. The system will not allow you to proceed to MPR submission should any pending milestones remain.

17) Once you have finished entering dates for all pending milestones, click the ‘Continue to Submission’ button.

18) The ‘Submit MPR’ form will be displayed. Use the ‘Back’ button if you wish to return to the previous form. The ‘Save’ button can be used to save the comments entered into the ‘Submission Remarks’ field. Enter any comments for FTA into the optional ‘Submission Remarks’ field and then click the ‘Submit to FTA’ button to proceed.



Milestone Progress Report (MPR) | Submit MPR

Recipient Summary

Recipient ID	9900 https://facestraining.fta.dot.gov/suite/tempo/records...	Recipient DUNS	999999999
Recipient Name	Trams Transit Organization	EIN Number	999999999

Award Summary

Federal Award ID Number (FAIN)	FL-2016-001-00 https://facestraining.fta.dot.gov/suite/tempo/records...	Award Name	RC - Application for MPR and FFR for user guide purposes only
MPR Reporting Frequency	Quarterly	Award Status	Active (Executed)

Milestone Progress Report Summary

Report Type	Quarterly	MPR Status	Work in Progress
Report Period	Quarter 2 (Jan - Mar), FY 2016	Last Updated By	MPR Reporter1 TRAMS
Report Due Date	4/30/2016	Last Update Date	6/17/2016

Recipient Submission Remarks

Submission Remarks

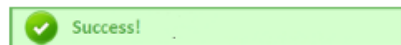
Provide any additional comments about the report that would be useful for FTA during the review.

When this report is submitted to the FTA, the submitter and the individuals providing the information submitted to the FTA, if any, certify that it is true, complete, and accurate to the best of their knowledge. They are aware that any false, fictitious, or fraudulent information may subject them to criminal, civil, or administrative penalties (U.S. Code, Title 18, Section 1001).

[Submit to FTA](#) [Back](#) [Save](#)

19) The 'Submitted' form will be displayed with the following confirmation message: 'Federal Award ID Number [FAIN #] MPR for [FY] [Report Frequency] has been submitted to FTA for review.'

Milestone Progress Report | Submitted



Federal Award ID Number FL-2016-001-00 MPR for 2016 Quarter 2 has been submitted to FTA for review.

[Close](#)

20) Click the 'Close' button. The system returns you to the 'Tasks' tab and the task link will now be removed from the task list.



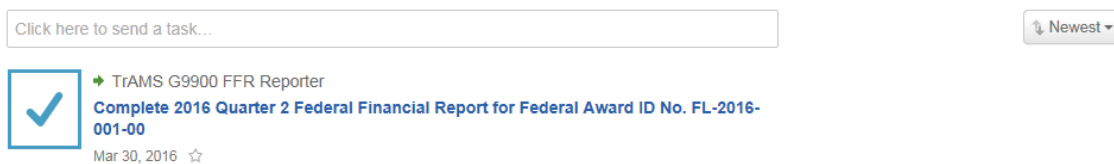
8.3 Federal Financial Report (FFR)

The completion of the Federal Financial Report is assigned as a task to the users with the 'FFR Reporter' user role. Tasks are will be assigned 30 days prior to their due date. Users will receive an email notification that they have a task.

8.3.1 Task: Complete and Submit FFR

The number of Tasks assigned to a user will be visible next to the 'Task' tab.

- 1) After the FFR Reporter successfully logs on, click the 'Task' tab.
- 2) Select the 'Complete [FY] [Report Frequency] Federal Financial Report' task for the application and then accept the task.



- 3) The 'Input FFR Values' form will be displayed.
- 4) At any point, you may click the 'Close Task' button on this form to return to the 'Tasks' tab without saving any changes and retain the task.



Federal Financial Report (FFR) | Input FFR Values

Recipient Summary

Recipient ID **9900** Recipient DUNS **999999999**
 Recipient Name **Trams Transit Organization** EIN Number **999999999**

Award Summary

Federal Award ID Number (FAIN) **FL-2016-001-00** Award Name **RC - Application for MPR and FFR for user guide purposes only**
 FFR Reporting Frequency Required **Quarterly** Award Status **Active (Executed)**
 Obligated Date **2/1/2016**
 Preaward Authority Yes, Preaward Authority utilized
 No, Preaward Authority not utilized

Federal Financial Report Summary

Report Type **Quarterly** Report Period Begin Date **1/1/2016**
 Report Period **Quarter 2 (Jan - Mar), FY 2016** Report Period End Date **3/31/2016**
 Report Due Date **4/30/2016**
 Final Report? Yes, Final Report
 No, Not Final Report

Status Log

Status	Updated Date	Updated By
Work in Progress	3/30/2016	appian administrator

- 5) Complete any fields as needed under the 'Indirect Expense' section.
 - a) Select an indirect expense type using the drop-down menu provided under the 'Type' field.
 - b) Enter a rate into the 'Rate' field. Note that this is a percent value.
 - c) Enter a dollar value into the 'Base' field.
 - d) Click in the 'Period From' and 'Period To' fields to enter dates or display the date picker to select dates from.
 - e) Enter a dollar value into the 'Amount Charged' field.
 - f) Enter a dollar value into the 'Federal Share' field.

Indirect Expense

Type	<input type="text" value="N/A"/>	Period From	<input type="text" value="M/d/yyyy"/>
Rate	<input type="text" value="0%"/>	Period To	<input type="text" value="M/d/yyyy"/>
Base	<input type="text" value="\$0"/>	Amount Charged	<input type="text" value="\$0"/>
		Federal Share	<input type="text" value="\$0"/>

- 6) You will be able to enter dollar values into the 'This Period' fields for the following sections on the form:



- a) 'A. Federal Cash on hand at Beginning of Period'
- b) 'B. Federal Cash Receipts'
- c) 'C. Federal Cash Disbursements'
- d) 'F. Federal Share of Expenditures'
- e) 'G. Recipient Share of Expenditures'

A. Federal Cash on Hand at Beginning of Period			
Previous	\$0	Cumulative	\$0
This Period	<input type="text" value="\$0"/>		Calculated by System
B. Federal Cash Receipts			
Previous	\$0	Cumulative	\$0
This Period	<input type="text" value="\$0"/>		Calculated by System
C. Federal Cash Disbursements			
Previous	\$0	Cumulative	\$0
This Period	<input type="text" value="\$0"/>		Calculated by System
D. Federal Cash on Hand at End of Period (A + B - C)			
Previous	\$0	Cumulative	\$0
	Calculated by System		Calculated by System
This Period	\$0		
	Calculated by System		
E. Total Federal Funds Authorized			
		Cumulative	\$57,500
F. Federal Share of Expenditures			
Previous	\$0	Cumulative	\$0
This Period	<input type="text" value="\$0"/>		Calculated by System
G. Recipient Share of Expenditures			
Previous	\$0	Cumulative	\$0
This Period	<input type="text" value="\$0"/>		Calculated by System

Note: You are allowed to type in negative numbers into any of the editable fields on the FFR. However, calculated fields CANNOT be negative.

7) You will also be able to enter dollar values into the 'Amount' fields for the following sections on the form:

- a) 'I. Federal Share of Unliquidated Obligations'
- b) 'J. Recipient Share of Unliquidated Obligations'



I. Federal Share of Unliquidated Obligations	
Amount	\$0
J. Recipient Share of Unliquidated Obligations	
Amount	\$0
P. Federal Program Income on Hand at Beginning of Period	
Amount	\$0
Q. Total Federal Program Income Earned	
Amount	\$0
R. Federal Program Income Expended in accordance with the deduction alternative	
Amount	\$0
S. Federal Program Income Expended in accordance with the addition alternative	
Amount	\$0
T. Federal Program Income Expended on allowable Transit Capital and Operating expense	
Amount	\$0
U. Federal Unexpended Program Income $[(P + Q - R) \text{ or } (P + Q - S) \text{ or } (P + Q - T)]$	
Amount	\$0
	Calculated by System

8) All other remaining fields will be calculated automatically by the system.

9) Once you have completed entering all details, click the 'Calculate Totals' button.

Note: To avoid system validation errors while entering dollar values into the 'This Period' and 'Amount' fields, you must keep the following rules in mind for the FFR:

- The sum of the value displayed under the 'Cumulative' field in 'G. Recipient Share of Expenditures' and the value provided for 'J. Recipient Share of Unliquidated Obligations' must be less than or equal to the value displayed for 'N. Total Recipient Share Required.'



- You cannot input values in both 'R. Federal Program income expended in accordance with the deduction alternative' and 'T. Federal Program income expended on allowable transit Capital and Operating expense.' You will be allowed to enter a value for only one of these fields.
- Each of the separate values entered for 'A. Federal Cash on hand at Beginning of Period,' 'B. Federal Cash Receipts,' 'C. Federal Cash Disbursements,' 'F. Federal Share of Expenditures,' and 'I. Federal Share of Unliquidated Obligations' cannot be greater than 'E. Total Federal Funds Authorized.'
- The calculated value of 'D. Federal Cash on hand at End of Period (A + B - C)' cannot be negative.
- The calculated value of 'O. Remaining Recipient Share to be provided [N - (G + J)]' cannot be negative.

10) The system will display the 'Confirm FFR Data' form. If you wish to return to the previous 'Input FFR Values' form to make changes, click on the 'Modify FFR Values' button.



Federal Financial Report (FFR) | Confirm FFR Data

Recipient Summary

Recipient ID **9900** <https://facestraining.fta.dot.gov/suite/tempo/records...>
Recipient DUNS 000000000
EIN Number 000000000
Recipient Name Disneyland Transit Organization

Award Summary

Federal Award ID Number (FAIN) **FL-2016-001-00** <https://facestraining.fta.dot.gov/suite/tempo/records...>
Award Name RC - Application for MPR and FFR for user guide purposes only
FFR Reporting Frequency Quarterly
 Award Status Active (Executed)
Obligation Date 2/1/2016
Preaward Authority Yes, Preaward Authority utilized
 No, Preaward Authority not utilized

Federal Financial Report Summary

Report Type Quarterly
 Report Period Begin Date 1/1/2016
Report Period Quarter 2 (Jan - Mar), FY 2016
 Report Period End Date 3/31/2016
Report Due Date 4/30/2016
Final Report? Yes, Final Report
 No, Not Final Report

Status Log

Status	Updated Date	Updated By
Work in Progress	2/17/2016	appian.administrator

Indirect Expense

Type
Period From
Rate 0% **Period To**
Base \$0 **Amount Charged** \$0
Federal Share \$0



11) Sections 'A' to 'U' will also be displayed with the data entered in the previous step.

12) Once you have finished entering information for the FFR, click the 'Continue to Submission' button.

Financial Status

Transactions	Previous	Current	Cumulative
A. Federal Cash on Hand at Beginning of Period	\$0	\$10,000	\$10,000
B. Federal Cash Receipts	\$0	\$10,000	\$10,000
C. Federal Cash Disbursements	\$0	\$10,000	\$10,000
D. Federal Cash on Hand at End of Period (A + B - C)	\$0	\$10,000	\$10,000
E. Total Federal Funds Authorized			\$210,679
F. Federal Share of Expenditures	\$0	\$5,000	\$5,000
G. Recipient Share of Expenditures	\$0	\$5,000	\$5,000
H. Total Expenditures (F + G)	\$0	\$10,000	\$10,000
I. Federal Share of Unliquidated Obligations			\$0
J. Recipient Share of Unliquidated Obligations			\$0
K. Total Unliquidated Obligations (I + J)			\$0
L. Total Federal Share (F + I)			\$5,000
M. Unobligated Balance of Federal Funds (E - L)			\$205,679
N. Total Recipient Share Required			\$39,321
O. Remaining Recipient Share to be Provided [N - (G + J)]			\$34,321
P. Federal Program Income on Hand at Beginning of Period			\$0
Q. Total Federal Program Income Earned			\$0
R. Federal Program Income Expended in accordance with the deduction alternative			\$0
S. Federal Program Income Expended in accordance with the addition alternative			\$0
T. Federal Program Income Expended on allowable Transit Capital and Operating expenses			\$0
U. Federal Unexpended Program Income [(P + Q - R) or (P + Q - S) or (P + Q - T)]			\$0

1-21 of 21

Continue to Submission

Modify FFR Values

Close Task



13) The 'Submit FFR' form will be displayed. Use the 'Back' button if you wish to return to the previous form. The 'Save' button can be used to save the comments entered into the 'Submission Remarks' field. This is a required field. Enter any comments for FTA into the 'Submission Remarks' field and then click the 'Submit to FTA' button to proceed.

Federal Financial Report (FFR) | Submit FFR

Recipient Summary

Recipient ID	9900	Recipient DUNS	999999999
Recipient Name	Trams Transit Organization	EIN Number	999999999

Award Summary

Federal Award ID Number (FAIN)	FL-2016-001-00	Award Name	RC - Application for MPR and FFR for user guide purposes only
FFR Reporting Frequency Required	Quarterly	Award Status	Active (Executed)
Preaward Authority	<input type="radio"/> Yes, Preaward Authority utilized <input checked="" type="radio"/> No, Preaward Authority not utilized	Obligated Date	2/1/2016

Federal Financial Report Summary

Report Type	Quarterly	Report Period Begin Date	1/1/2016
Report Period	Quarter 2 (Jan - Mar), FY 2016	Report Period End Date	3/31/2016
Report Due Date	4/30/2016		
Final Report?	<input type="radio"/> Yes, Final Report <input checked="" type="radio"/> No, Not Final Report		

Status Log

Status	Updated Date	Updated By
Work in Progress	3/30/2016	appian.administrator

Recipient Remarks

Submission Remarks

When this report is submitted to FTA, the submitter and the individuals providing the information submitted to FTA, if any, certify that it is true, complete, and accurate to the best of their knowledge. They are aware that any false, fictitious, or fraudulent information may subject them to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001).

Submitted By FFR Reporter1 TRAMS

Submitted Date 6/17/2016

Submit to FTA
Back
Save
Close Task



- 14) The 'Submitted' form will be displayed with the following confirmation message: 'Federal Award ID Number [FAIN #] FFR for [FY] [Report Frequency] has been submitted to FTA.'
- 15) Click the 'Close' button. The system returns you to the 'Tasks' tab and the task link will now be removed from the task list.

Federal Financial Report | Submitted



Federal Award ID Number FL-2016-001-00FFR for 2016 Quarter 2 has been submitted to FTA.

Close

8.4 Disadvantaged Business Enterprise (DBE) Report

A Semiannual DBE report must be completed and submitted by recipient organizations with DBE. Recipients with the user role of Civil Rights will receive a task to submit the reports 60 days prior to their due dates. Submission due dates are June 1 and December 1 of each fiscal year. FTA regional and HQ staff will review the reports and provide approval.

8.4.1 Search for DBE Reports

You may view previously submitted DBE Reports for your recipient organization from the Actions Tab.

- 1) Click 'Search DBE Report'.
- 2) The 'Disadvantaged Business Enterprise (DBE) Uniform Report | Search Criteria' form will be displayed with search criteria fields. You will be able to complete the following fields:
- 3) Select a DBE report fiscal year from the drop-down menu provided under the 'Fiscal Year' field.
 - a) Select a DBE report status from the drop-down menu provided under the 'Status' field.
 - b) Select a DBE reporting period from the drop-down menu provided under the 'Reporting Period' field.
 - c) Click in the 'Last Updated Date From' field to enter in a date or display a calendar picker to select a date from for the first date of a range.
 - d) Click in the 'Last Updated Date To' field to enter or display a calendar picker to select a date from for the last date of a range.
- 4) Once all search criteria have been entered, click the 'Search' button.



Disadvantage Business Enterprise (DBE) Uniform Report | Search Criteria

Search Criteria for DBE Uniform Report

Fiscal Year

Status

Reporting Period

Last Updated Date From

Last Updated Date To

- 5) The 'Disadvantaged Business Enterprise (DBE) Uniform Report | Search Results' form will be displayed with results in grid format.
- 6) Select the check box for a report from the list and then click the 'View Selected Report' button to access a read-only version of the report.
- 7) Click the 'Back to Search' button if you wish to return to the previous search criteria form.
- 8) Clicking the 'Cancel' button returns you to the 'Actions' tab.

Disadvantage Business Enterprise (DBE) Uniform Report | Search Results

	Recipient ID	Recipient Name	Fiscal Year	Type	Quarter	Status	Last Updated By
<input type="checkbox"/>	9900	Trams Transit Organization	2016	Semiannual		Ready for Regional Review	Civil Rights1 TRAMS

8.4.2 Task: Submit Disadvantaged Business (DBE) Report

The number of Tasks assigned to a user will be visible next to the 'Task' tab.

- 1) After the Civil Rights Officer successfully logs on, click the 'Task' tab.
- 2) Select the 'Complete FY [Fiscal Year] Semiannual DBE Report for [Recipient Name]' task and then accept the task.



Click here to send a task...

↑ Newest ▾



TrAMS G9900 Civil Rights
Complete FY 2016 Semiannual DBE Report for TRAMS
Mar 30, 2016 ☆

- 3) The 'Complete [FY] Semiannual DBE Report for [Recipient Acronym]' form will be displayed.
 - a) The form will include a list of currently active awards
 - b) Click on the FAIN # link of an application record from the grid if you wish to view an application listed for your recipient organization.

Complete 2016 Semiannual DBE Report for TRAMS

Grantee Summary

Grantee ID 9900

Grantee Name Trams Transit Organization

Current Active Awards

Application Number	Award Name	Fiscal Year
FL-2016-001-00	RC - Application for MPR and FFR for user guide purposes only	2016

DBE Uniform Report Summary

Report Fiscal Year 2016

Report Status New/Draft

Report Period Semiannual: Report Due June 1

Last Updated By

Report Due Date June 1

Last Updated Date

Triennial DBE Goals

Triennial DBE	Goal (%)
Race Conscious	8.91 %
Race Neutral	15.09 %
Overall Goal (%)	24 %

- 4) You will need to report on:
 - a) Prime contracts and subcontracts awarded
 - b) DBE Awards/ Commitments for this period broken down by ethnicity and gender
 - c) Payments made on ongoing contracts
 - d) Payments on Contracts completed this period.
- 5) Column totals and percentages will be performed automatically by the system
- 6) Validation errors will be displayed for any amounts that have been incorrectly entered for the report. All validation errors must be corrected before you will be able to proceed with report submission.



7) Click the 'Save' button at any time to save any changes entered on the form.

Prime Contracts and Subcontracts Awarded

Awards/Commitments Made During This Reporting Period:

	A. Total Dollars (All Types)	B. Total Number (All Types)	C. Total to DBEs (\$)	D. Total to DBEs (#)	E. Total Dollars to DBEs/Race Conscious	F. Total Number to DBEs/Race Conscious	G. Total Dollars to DBEs/Race Neutral	H. Total Number to DBEs/ Race Neutral	I. % of Total to DBEs
8. Prime Contracts Awarded / Committed This Period	\$200,000.00	0	\$0	0			\$0.00	0	0.0 %
9. Sub Contracts Awarded / Committed This Period	\$0.00	0	\$0	0	\$0.00	0	\$0.00	0	0.0 %
10. Total			\$0	0	\$0	0	\$0	0	0.0 %

DBE Awards/Commitments This Period - Breakdown by Ethnicity & Gender

Awards/Commitments Breakdown By Ethnicity & Gender:

	A. Total to DBE (dollar) - Women	B. Total to DBE (dollar) - Men	C. Total to DBE (dollar)	D. Total to DBE (number) - Women	E. Total to DBE (number) - Men	F. Total to DBE (number)
11. Black American	\$0.00	\$0.00	\$0	0	0	0
12. Hispanic American	\$0.00	\$0.00	\$0	0	0	0
13. Native American	\$0.00	\$0.00	\$0	0	0	0
14. Asian-Pacific American	\$0.00	\$0.00	\$0	0	0	0
15. Subcontinent Asian American	\$0.00	\$0.00	\$0	0	0	0
16. Non-Minority	\$0.00	\$0.00	\$0	0	0	0
17. TOTAL	\$0	\$0	\$0	0	0	0

Payments on Ongoing Contracts (Report Activity of Ongoing Contracts)

	A. Total Number of Contracts	B. Total Dollars	C. Total Number of Contracts with DBEs	D. Total Payments to DBE Firms	E. Total Number of DBE Firms Paid	F. Percent to DBEs
18. Prime and sub contracts currently in progress	0	\$0.00	0	\$0.00	0	0.0%

Actual Payments on Contracts Completed This Period

	A. Number of Prime Contracts Completed	B. Total Dollar Value of Completed Prime Contracts	C. DBE Participation Dollars Needed to Complete Goal	D. Total DBE Participation Dollars	E. Percentage of Total DBE Participation Dollars
19. Race Conscious	0	\$0.00	\$0.00	\$0.00	0.0 %
20. Race Neutral	0	\$0.00		\$0.00	0.0 %
21. Total	0	\$0		\$0	0.0 %



- 9) Once you have finished entering all dollar figures as needed, enter remarks into the 'Grantee Remarks' field. This is a required field.
- 10) Click the 'Browse...' button under the 'Upload Supporting Document' field if you wish to select a local file to upload as a supporting document. Click the 'Remove' link which appears after upload if you wish to remove your uploaded file.
- 11) Click the 'View/Print' button if you wish to generate a view/print document of the DBE report. The 'View/Print DBE Report' form will be displayed, which provides a link to open or save a local copy of the DBE report. Click the 'Close' button to return to the previous form.
- 12) Once finished, click on the 'Submit to FTA Region' button to proceed and submit the DBE report to FTA Regional Office staff for their review.

Status Log

Previous Status	New Status	Change Date	Changed By
No items available			

DBE Report Remarks

Grantee Remarks *

When this report is submitted to FTA, the submitter and the individuals providing the information submitted to FTA, if any, certify that it is true, complete, and accurate to the best of their knowledge. They are aware that any false, fictitious, or fraudulent information may subject them to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

Upload Document(s)

Upload Supporting Document

- 13) The 'Confirmation' form will be displayed with the following confirmation message: 'The [FY] Semiannual DBE Report for [Recipient Name] is submitted to regional FTA. Please click the Close button to complete your action.'



DBE Report| Confirmation

Confirmation

The 2016 Semiannual DBE Report for Trams Transit Organization is Submitted to regional FTA

Please click the Close button to complete your action.

Close

14) Click the 'Close' button. You will be returned to the 'Tasks' tab and the task link will now be removed from the task list.



9 Reports

9.1 Report Tab

The 'Reports' tab is currently not in use for TrAMS.

- Previously generated reports (Static Reports) are accessible from the Records tab.
- Ad hoc reports are generated from the Actions tab
- Previously created MPR and FFR reports may be searched for and viewed from the Actions tab.

9.2 Excel Reports

Users may choose from a variety of Excel reports that are available from the 'Actions' tab. To filter the list of available 'Actions' to a list of the available Excel Reports, users may use the left hand navigation or they may simply scroll down the page until they find the report they need.

To filter and only see the available Excel reports.

- 1) From the Actions tab, click on 'Application TrAMS Excel Reports' in the left hand navigation.

The screenshot shows the TrAMS interface with the 'Actions' tab selected. The left-hand navigation pane displays the U.S. Department of Transportation Federal Transit Administration logo, 'All >', 'Starred ☆', and 'Applications' section with 'TrAMS 1.0 (5)' and 'TrAMS Excel Reports (11)'. The main content area lists several actions, each with a lightning bolt icon and a star icon:

- Application Budget by ALI Report** ☆
Generate Application Budget by ALI Report
- Application Budget Report** ☆
Generate Application Budget Report
- Application by Status Report** ☆
Generate Application by Status Report
- Create Application** ☆
Create New Application Record
- Deobligation by Funding Source Report** ☆
Generate Deobligation by Funding Source Report



2) Available Excel reports will be displayed

The screenshot shows the TrAMS interface with the 'Reports' tab selected. The left sidebar contains the 'U.S. Department of Transportation Federal Transit Administration' logo and navigation options: 'All', 'Starred', 'Applications', and 'TrAMS Excel Reports'. The main content area displays a list of reports, each with a lightning bolt icon, a title, and a description. The reports are:

- Application Budget by ALI Report** ☆
Generate Application Budget by ALI Report
- Application Budget Report** ☆
Generate Application Budget Report
- Application by Status Report** ☆
Generate Application by Status Report
- Deobligation by Funding Source Report** ☆
Generate Deobligation by Funding Source Report
- Discretionary Allocation Detail Report** ☆
Generate Discretionary Allocation Detail Report
- FFR Detail Report** ☆
Generate FFR Detail Report
- General Discretionary and Earmark Allocation Report** ☆
General Discretionary and Earmark Allocation Report
- MPR Detail Report** ☆
Generate MPR Detail Report
- Project Budget Report** ☆
Generate Project Budget Report
- Project Scope Budget Report** ☆
Generate Project Scope Budget Report
- Recipient POC Detail Report** ☆
Generate Recipient POC and Union Detail Report

All users within recipient organizations will be able to view the following reports:

- Application Budget By ALI Report
- Application Budget Reports
- Application by Status Report
- Deobligation by Funding Source Report
- Discretionary Allocation Detail Report
- FFR Detail Report
- General Discretionary and Earmark Allocation Report



- MPR Detail Report
- Project Budget Report
- Project Scope Budget Report
- Recipient POC Detail Report

Excel reports on the Actions tab are created on an ad hoc basis. Recipient users will only see data for recipient organizations that they belong to. After selecting a report type they may filter the data to a subset. Once a report has been generated a link is displayed for the report. The user may then choose to open the report or save the report to their local environment.

Report generation time will vary depending on the report type and the amount of underlying data. While the system is creating the report the user will see the message 'Working' in the top navigation bar. Once the report has been generated the system will no longer display the 'Working' message and a link to the report will become available.



Note: The link for a report is not refreshed until the 'Working' message disappears. When generating successive reports, be sure the 'Working' message has disappeared prior to clicking the link, otherwise you will be accessing the previous report.

9.2.1 Application Budget by ALI Report

The 'Application Budget by ALI Report' displays budget activity line item (ALI) level status data for original awards and amendments. The report allows grantee users to view and track ALI level budget details and key milestone dates for an original award and an amendment. Users will be able to drill-down on this data based on awards, projects, section codes, scope codes; award fiscal years, award types, award status, and FTA grant manager details.

Note: Pre and post award information is not available for applications initiated in TEAM

To create and 'Application Budget by ALI Report'

- 1) Click 'Application Budget by ALI Report'.
- 2) The editable 'Reports | Application by Activity Line items (ALIs) Report (Original and Amended)' form will be displayed.
- 3) Select the 'Application/Award Fiscal Year' and any additional applicable search criteria.
- 4) Click the 'Generate Report' button.



Reports | Application By Activity Line Items (ALIs) Report (Original and Amended)

Report Search Criteria

Federal Award ID	<input type="text"/>	*Application/Award Fiscal Year	Please Select a Year <input type="button" value="v"/>
Project Number	<input type="text"/>	Application/Award Type	Please Select a Type <input type="button" value="v"/>
Section Code	<input type="text"/>	Application/Award Status	In-Progress In-Progress / Returned to Grantee Transmitted / Ready for FTA Review Initial Review / Concurrence Review Complete / Ready for FAIN <small>Hold ctrl to select multiple.</small>
Scope Code/Name	<input type="text"/>	FTA Pre-Award Manager	<input type="text"/>
Activity Line Item (ALI) Name/Code	<input type="text"/>	FTA Post-Award Manager	<input type="text"/>

- 5) Click on the report link to view the report that was generated for the entered search criteria.

Reports | Application By Activity Line Items (ALIs) Report (Original and Amended)

Generated Excel Report

[ALI Budget Report for Jullie Anne Dis Feb 09, 2016 01:52 PM](#)

Report Search Criteria

9.2.2 Application Budget Report

The 'Application Budget Report' allows grantee users to view and track application-level budget details, disbursement amounts, and key application milestone dates for original awards and amendments at an award level. Recipient may filter their information by application/award, section codes, application/award fiscal year, award type, application/award status, and/or pre and post award managers.

Note: Pre and post award information is not available for applications initiated in TEAM

To create an 'Application Budget Report'

- 1) Click 'Application Budget Report'.
- 2) The editable 'Reports | Application by Application Level Budget Report' form will be displayed.



- 3) Enter the applicable search criteria
- 4) Click the 'Generate Report' button.

Reports | Application By Application-Level Budget Report

Report Search Criteria

Federal Award ID

Section Code

Application/Award Fiscal Year

Application/Award Type

Application/Award Status

FTA Pre-Award Manager

FTA Post-Award Manager

Clear Filter

- 1) Click on the report link to view the report that was generated for the entered search criteria.

Reports | Application By Application-Level Budget Report

Generated Excel Report

[Application By Original Award Report for Jullie Anne Dis Feb 09, 2016 01:54 PM](#)

Report Search Criteria

Federal Award ID

Section Code

Application/Award Fiscal Year

Application/Award Type

9.2.3 Application by Status Report

The 'Application by Status Report' lists the status of grant and cooperative agreements for applications and awards. This report can be used to determine reviews that have taken place and reviews still required.



Users may filter their reports application/award, fiscal year, award type, award status, and/or pre and post award managers.

Note: Pre and post award information is not available for applications initiated in TEAM

To create and 'Application by Status Report'

- 1) Click 'Application by Status Report'
- 2) The editable 'Reports | Application by Status Report' form will be displayed.
- 3) Select your search criteria.
- 4) Click the 'Generate Report' button.

Reports | Application By Status Report

Report Search Criteria

Federal Award ID

Application/Award Fiscal Year

Application/Award Type

Application/Award Status

FTA Pre-Award Manager

FTA Post-Award Manager

- 5) Click on the report link to view the report that was generated for the entered search criteria.

Reports | Application By Status Report

Generated Excel Report

[Application By Status Report for Jullie Anne Dis Feb 09, 2016 01:55 PM](#)

Report Search Criteria

Federal Award ID

Application/Awar Fiscal Yea



9.2.4 Deobligation by Funding Source Report

The ‘Deobligation by Funding Source Report’ lists FTA funds by the Deobligation fiscal year selected that have been deobligated from grants and cooperative agreements along with their accounting information. For grants and cooperative agreements created in TrAMS, this report also tracks partial deobligations on awards to the project and budget scope level. The report may be filtered by award Id, project, scope codes, cost centers, UZA’s, application fiscal year, award type, award status, appropriation code, section code, limitation code, and/or Authorization Type.

To create a ‘Deobligation By Funding Source Report’

- 1) Click ‘Deobligation by Funding Source Report’
- 2) The editable ‘Reports | Deobligation by Funding Excel Report’ form will be displayed.
- 3) Enter the applicable search criteria. The Deobligation Fiscal Year is a required field.
- 4) Click the ‘Generate Report’ button.

Reports | Deobligation By Funding Excel Report

Report Search Criteria

Federal Award ID	<input type="text"/>	*Deobligation Fiscal Year	Please Select a Year <input type="button" value="v"/>
Project Number	<input type="text"/>	Application/Award Fiscal Year	Please Select a Year <input type="button" value="v"/>
Scope Code/Name	<input type="text"/>	Application/Award Type	Please Select a Type <input type="button" value="v"/>
Funding Cost Center	61000 - Office of Administrator 62000 - Office of Administration 63000 - Office of the Chief Counsel 64000 - Office of Communication and Congressional 65000 - Office of Program Management <input type="button" value="v"/>	Application/Award Status	In-Progress In-Progress / Returned to Grantee Transmitted / Ready for FTA Review Initial Review / Concurrence Review Complete / Ready for FAIN <input type="button" value="v"/>
Funding UZA Code	<input type="text"/>	ACC Appropriation Code	<input type="text"/>
		ACC Section Code	<input type="text"/>
		ACC Limitation Code	<input type="text"/>
		ACC Type Authority	Please Select an Authorization Type <input type="button" value="v"/>

Hold ctrl to select multiple.

Hold ctrl to select multiple.

- 5) Click on the report link to view the report that was generated for the entered search criteria.



Reports | Deobligation By Funding Excel Report

Generated Excel Report

Deobligation By Funding Source Report for Jullie Anne Dis Feb 09, 2016 01:57 PM

Report Search Criteria

Federal Award ID	<input type="text"/>	* Deobligation Fiscal Year	<input type="text" value="2016"/>
Project Number	<input type="text"/>	Application/Award Fiscal Year	<input type="text" value="Please Select a Year"/>
Scope Code/Name	<input type="text"/>		

9.2.5 Discretionary Allocation Detail Report

The 'Discretionary Allocation Detail Report' provides application/award information for grants and cooperative agreements that contain discretionary and/or earmark allocations, including status and if the funds have been awarded. Reports may be filtered by application/awards, project, discretionary ID, fiscal year, application/award type, status, and pre and post award managers.

Note: Pre and post award information is not available for applications initiated in TEAM

To create a 'Discretionary Allocation Detail' report,

- 1) Click 'Discretionary Allocation Detail Report'.
- 2) The editable 'Reports | Application Discretionary Allocation Details' form will be displayed.
- 3) Select an Application/Award Fiscal Year and any additional search criteria.
- 4) Click the 'Generate Report' button.



Reports | Application Discretionary Allocation Details

Report Search Criteria

Federal Award ID	<input type="text"/>	*Application/Award Fiscal Year	Please Select a Year <input type="button" value="v"/>
Project Number	<input type="text"/>	Application/Award Type	Please Select a Type <input type="button" value="v"/>
Discretionary ID	<input type="text"/>	Application/Award Status	<input type="button" value="v"/> <ul style="list-style-type: none"> In-Progress In-Progress / Returned to Grantee Transmitted / Ready for FTA Review Initial Review / Concurrence Review Complete / Ready for FAIN <input type="button" value="v"/>
			Hold ctrl to select multiple.
	FTA Pre-Award Manager	<input type="text"/>	
	FTA Post-Award Manager	<input type="text"/>	

5) Click on the report link to view the report that was generated for the entered search criteria.

Reports | Application Discretionary Allocation Details

Generated Excel Report

[Discretionary Allocation Detail Report for Jullie Anne Dis Feb 09, 2016 02:00 PM](#)

Report Search Criteria

Federal Award ID	<input type="text"/>	*Application/Award Fiscal Year	2016
Project Number	<input type="text"/>	Application/Award	Please Select a Type

9.2.6 FFR Detail Report

The 'FFR Detail Report' lists current FFRs according to the report period type and report period date ranges defined by the user. Users may determine whether a report has been submitted and whether FTA has reviewed them. The FFRs are displayed with their latest status, saved comments, recipient information, and award details relevant to the entered search criteria. Users must select a report period type and report period date ranges. Additional filter criteria include award number, award fiscal year, report status, final reports and initial reports, award types, and award status.

- 1) Click FFR Detail Report
- 2) The editable 'Reports | Federal Financial Report Details (FFR)' form will be displayed



- 3) Select a 'Report Period Type' and an associated report period and any additional desired search criteria.
- 4) Click 'Generate Report' button.

Note: Depending on the Report Period Type selected either the 'Report Period Annual', the 'Report Period Quarterly', or the 'Report Period Monthly' field will become available. The applicable corresponding field is required for report generation.

Reports | Federal Financial Report Details (FFR)

Enter one or more of the following search criteria

Report Search Criteria

Application/Award Number	<input type="text"/>	Application/Award Type	Please Select a Type <input type="button" value="v"/>
Application/Award Fiscal Year	Please Select a Year <input type="button" value="v"/>	Application/Award Status	Please Select a Status <input type="button" value="v"/>
Report Status	Please Select a Status <input type="button" value="v"/>	Report Period Annual	Any 2016 2015 2014 2013 <input type="button" value="v"/>
*Report Period Type	<input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Annual <input type="checkbox"/> Initial		Hold ctrl to select multiple.
Report Final	<input type="radio"/> Yes, Final Report <input type="radio"/> No, Not Final Report	Report Period Quarterly	Any 2016 Quarter 1 2016 Quarter 2 2016 Quarter 3 2016 Quarter 4 <input type="button" value="v"/>
Period From	M/d/yyyy <input type="text"/>		Hold ctrl to select multiple.
Period To	M/d/yyyy <input type="text"/>	Report Period Monthly	Any 2016 January 2016 February 2016 March 2016 April <input type="button" value="v"/>
			Hold ctrl to select multiple.

- 5) Click on the report link to view the report that was generated for the entered search criteria.



Reports | Federal Financial Report Details (FFR)

Enter one or more of the following search criteria

Generate Excel Report

[FFR Details Report for Jullie Anne Dis Feb 09, 2016 02:15 PM](#)

Report Search Criteria

Application/Award Number	<input type="text"/>	Application/Award Type	Please Select a
Application/Award	Please Select a Year	Application/Award	Please Select a

9.2.7 General Discretionary and Earmark Allocation Report

The 'General Discretionary and Earmark Allocation Report' displays the status of discretionary program allocations and earmarks included in Congressional appropriations. Recipients may use this report to view allocations that have been reserved and awarded along with unobligated allocations. The report may be filtered by discretionary ID, allocation fiscal year (required), program name, state, and/or allocation status.

- 1) Click General Discretionary and Earmark Allocation Report'
- 2) The editable 'Reports | Discretionary and Earmark Allocations' form will be displayed.
- 3) Select the Allocation Fiscal Year and any other desired search criteria.
- 4) Click 'Generate Report' button.

Reports | Discretionary and Earmark Allocations

Report Search Criteria

Discretionary ID	<input type="text"/>	State	Please Select a State
*Allocation Fiscal Year	Please Select a Year	Status	Extended New Recipient Identified No Balance Remaining Active
Program Name	Please Select a Program		Hold ctrl to select multiple.

Clear Filter Generate Report Close

- 5) Click on the report link to view the report that was generated for the entered search criteria.



Reports | Discretionary and Earmark Allocations

Generate Excel Report

Discretionary and Earmark Allocation Report for Julie Anne Dis Feb 09, 2016 02:16 PM

Report Search Criteria

Discretionary ID	<input type="text"/>	State	Please Select
* Allocation Fiscal	2016 <input type="checkbox"/>	Status	Extended

9.2.8 MPR Detail Report

Recipients may use this report to determine which MPR reports have been submitted and whether the FTA has reviewed the report. The MPR Detail Report will list the submission dates and review status information for Milestone Progress Reports along with basic grant and recipient information. Users must select a report period type and report period date ranges. Additional filter criteria include award number, award fiscal year, report status, final reports and initial reports, award types, and award status.

- 1) Click 'MPR Detail Report'
- 2) The editable 'Reports | Milestone Progress Report Details (MPR)' form will be displayed.
- 3) Select a Report Period and corresponding time period and any other desired search criteria.
- 4) Click 'Generate Report' button.

Note: Depending on the Report Period Type selected either the 'Report Period Annual', the 'Report Period Quarterly', or the 'Report Period Monthly' field will become available. The applicable corresponding field is required for report generation.



Reports | Milestone Progress Report Details (MPR)

Enter one or more of the following search criteria

Report Search Criteria

Application/Award Number	<input type="text"/>	Application/Award Type	Please Select a Type
Application/Award Fiscal Year	Please Select a Year	Application/Award Status	Please Select a Status
Report Status	Please Select a Status	Report Period Annual	Any 2016 2015 2014 2013 Hold ctrl to select multiple.
*Report Period Type	<input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/> Annual <input type="checkbox"/> Initial	Report Period Quarterly	Any 2016 Quarter 1 2016 Quarter 2 2016 Quarter 3 2016 Quarter 4 Hold ctrl to select multiple.
Report Final	<input type="radio"/> Yes, Final Report <input type="radio"/> No, Not Final Report	Report Period Monthly	Any 2016 January 2016 February 2016 March 2016 April Hold ctrl to select multiple.
Period From	<input type="text" value="M/d/yyyy"/>		
Period To	<input type="text" value="M/d/yyyy"/>		

5) Click on the report link to view the report that was generated for the entered search criteria.

Reports | Milestone Progress Report Details (MPR)

Enter one or more of the following search criteria

Generate Excel Report

[MPR Details Report for Julie Anne Dis Feb 09, 2016 02:39 PM](#)

Report Search Criteria

Application/Award Number	<input type="text"/>	Application/Award Type	Please Select a Type
--------------------------	----------------------	------------------------	----------------------

9-2.9 Project Budget Report

The 'Project Budget Report' allows recipient users to view and track budget details for applications, original awards, and amendments on a project level. Users will be able to filter on their data by awards, projects, section codes, award fiscal years, award types, award status, and FTA grant manager details.

1) Click 'Project Budget Report'



- 2) The editable 'Reports | Application By Project-Level Budget Report' form will be displayed.
- 3) Select the 'Application/Award Fiscal Year' and any additional search criteria.
- 4) Click 'Generate Report' button.

Reports | Application By Project-Level Budget Report

Report Search Criteria

Federal Award ID	<input type="text"/>	*Application/Award Fiscal Year	Please Select a Year <input type="button" value="v"/>
Project Number	<input type="text"/>	Application/Award Type	Please Select a Type <input type="button" value="v"/>
Section Code	<input type="text"/>	Application/Award Status	In-Progress In-Progress / Returned to Grantee Transmitted / Ready for FTA Review Initial Review / Concurrence Review Complete / Ready for FAIN <small>Hold ctrl to select multiple.</small>
		FTA Pre-Award Manager	<input type="text"/>
		FTA Post-Award Manager	<input type="text"/>

- 5) Click on the report link to view the report that was generated for the entered search criteria.

Reports | Application By Project-Level Budget Report

Generated Excel Report

[Project Budget Report for Julie Anne Dis: Feb 09, 2016 09:52 AM](#)

Report Search Criteria

Federal Award ID	<input type="text"/>	*Application/Award Fiscal Year	2016
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9.2.10 Project Scope Budget Report

The 'Project Scope Budget Report' allows recipient users to view and track budget details for applications, original awards, and amendments on a scope level. Users will be able to filter on their data by awards, projects, section codes, scope codes, award fiscal years, award types, award status, and FTA grant manager details.

Note: This report only shows applications that originated in TrAMS.

- 1) Click 'Project Scope Budget Report'



- 2) The editable 'Reports | TrAMS Generated Applications By Project-Scope-Level Budget' form will be displayed.
- 3) Enter the applicable search criteria.
- 4) Click 'Generate Report' button.

Reports | TrAMS Generated Applications By Project-Scope-Level Budget

Note this report is only available for TrAMS generated applications.

Report Search Criteria

Federal Award ID <input type="text"/>	Application/Award Fiscal Year <input type="text" value="Please Select a Year"/>
Project Number <input type="text"/>	Application/Award Type <input type="text" value="Please Select a Type"/>
Section Code <input type="text"/>	Application/Award Status <input type="text" value="In-Progress / Returned to Grantee / Transmitted / Ready for FTA Review / Initial Review / Concurrence / Review Complete / Ready for FAIN"/>
Scope Code/Name <input type="text"/>	<small>Hold ctrl to select multiple.</small>
	FTA Pre-Award Manager <input type="text"/>
	FTA Post-Award Manager <input type="text"/>

- 5) Click on the report link to view the report that was generated for the entered search criteria.

Reports | TrAMS Generated Applications By Project-Scope-Level Budget

Note this report is only available for TrAMS generated applications.

Generated Excel Report

[Project Scope Budget Report for Jullie Anne Dis Feb 09, 2016 02:53 PM](#)

Report Search Criteria

Federal Award ID <input type="text"/>	Application/Award Fiscal Year <input type="text" value="Please Select a Year"/>
Project Number <input type="text"/>	Application/Award Type <input type="text" value="Please Select a Type"/>
Section Code <input type="text"/>	



9.2.14 Recipient POC Detail Report

The 'Recipient POC Details Report' lists individuals who are listed as a recipient organization's Points of Contacts (POC). The list may be filtered by 'Recipient POC Contact Types' and/or 'Recipient POC SAM Contact Types'. Details for each POC such as their title, address, contact information, and contact type are shown.

- 1) Click 'Recipient POC Detail Report'
- 2) The editable 'Reports | Generate Recipient POC Detail Report' form will be displayed.
- 3) Enter the applicable search criteria.
- 4) Click 'Generate Report' button

Generate Recipient POC Detail Report
Please fill at least one of the fields marked with an asterisk.

Recipient Report Criteria

Recipient POC Contact Type
1 - Union
2 - Chief Executive Officer (CEO)
3 - Metropolitan Planning Organization (MPO)
4 - Equal Employment Opportunity (EEO)
5 - Disadvantaged Business Enterprises (DBE)
Hold ctrl to select multiple.

Recipient POC SAM Contact Type
1 - Accounts Payable POC
2 - Accounts Receivable POC
3 - EDI POC
4 - Electronic Business POC
5 - Electronic Business Alternate POC
Hold ctrl to select multiple.

Clear Filter Generate Report Close

- 5) Click on the report link to view the report that was generated for the entered search criteria.

Generate Recipient POC Detail Report
Please fill at least one of the fields marked with an asterisk.

Generate Excel Report

[Recipient POC Detail Report for Julie Anne Dis Feb 09, 2016 02:55 PM](#)

Recipient Report Criteria

Recipient POC Contact Ty



9.3 Static Reports

Static reports are daily copies of the reports that are available from the Actions tab. Static reports allow the user to select a specific snap shot in time. These reports are accessed from the ‘Records’ tab and categorized by fiscal year. The Static Reports have no filter criteria. Reports are generated on a nightly basis and are cumulative starting with the 1st day of the fiscal year. Reports are stored by fiscal year, report type, month, and day.

To access a static report:

- 1) From the ‘Records’ tab, click on ‘Static Reports’.
- 2) Select the fiscal year for the static report you wish to view.
- 3) All static report types will be displayed.
- 4) Click on a report type to show a list of months for the fiscal year.
- 5) Click on a month to find the daily generated reports.
- 6) Click on the link of a report to open or save a copy of it in Excel format.

Records / Static Reports

FY 2015 Follow

Application Budget by ALI Report	>	01. October	>	6/26/2015 ALI Budget Report.xlsx
Application Budget Report	>	02. November	>	6/26/2015 ALI Budget Report.xlsx
Application by Status Report	>	03. December	>	6/27/2015 ALI Budget Report.xlsx
Deobligation by Funding Report	>	04. January	>	6/28/2015 ALI Budget Report.xlsx
Discretionary Allocation Detail Re...	>	05. February	>	6/29/2015 ALI Budget Report.xlsx
Discretionary and Earmark Alloca...	>	06. March	>	6/30/2015 ALI Budget Report.xlsx
FFR Detail Report	>	07. April	>	
FYFAP Report	>	08. May	>	
FYOBL2 Report	>	09. June	>	
MPR Detail Report	>	10. July	>	
OPERBUD Report	>	11. August	>	
Project Budget Report	>	12. September	>	
Project Scope Budget Report	>			
Recipient Detail Report	>			
Recipient Funding Summary Report	>			
Recipient POC Detail Report	>			
TrAMS User Detail Report	>			



Refer to previous sections in 'Excel Reports' for more details on each report and the types of information generated for them.



Appendix A – Abbreviations, Acronyms, and Terms

Acronym	Definition
ACC	Account Classification Code
ALI	Activity Line Item
ARRA	American Recovery & Reinvestment Act
C&As	Certifications & Assurances
CE	Categorical Exclusion
DBE	Disadvantaged Business Enterprise
DOL	Department of Labor
DOT	Department of Transportation
EA	Environmental Assessment
EEO	Equal Employment Opportunity
EIS	Environmental Impact Statement
E.O.	Executive Order
FAIN	Federal Award Identification Number
FFR	Federal Financial Report
FONSI	Finding of No Significant Impact
FTA	Federal Transit Administration
LSM	Local Security Manager
MPR	Milestone Progress Report
NEPA	National Environmental Policy Act
RA	Regional Administrator
ROD	Record of Decision
SAM	System for Award Management
STIP	Statewide Transportation Improvement Program
TIP	Transportation Improvement Plan
TrAMS	Transit Award Management System
UPWP	Unified Planning Work Program
UZA	Urbanized Area



Appendix B - TEAM vs. TrAMS

User Management:

The Transit Electronic Award and Management (TEAM) system is the legacy system that the FTA has been using to award and manage Federal financial assistance for public transportation. TrAMS will be deployed as the successor to TEAM, bringing the latest IT capabilities to FTA’s grant-making process.

The main differences between the two systems are highlighted in the tables below, concentrated in the systems’ user management and application modules.

Table 1 below compares TEAM user management functions to TrAMS:

TEAM User Management	TrAMS User Management
FTA local security manager (LSM) manages users	The recipient identifies a User Manager(s) who manages all its users
Paper form and signatures required	Electronic management/requests for review
FTA LSM enters in the information and updates as needed	The recipient’s User Manager completes data entry and maintains accounts
FTA LSMs review all requests	FTA LSM(s) review and provide concurrence only for some user roles
Some users must provide supporting documentation (e.g., Official)	Documentation still required for certain roles that will have PIN access (Official, Attorney, Submitter)
FTA LSM manages coordination with recipients on annual reauthorization	The recipient’s User Manager will be responsible for annual reauthorization
The recipient coordinates with the FTA LSM to modify or deactivate accounts	The recipient’s User Manager may modify user access and deactivate users

Table 1: TEAM vs. TrAMS User Management

Roles:

Table 2 maps the responsible TrAMS user groups to their recipient functions as seen on the TEAM request form:

TEAM Recipient “Functions” Seen on TEAM Request Form	Equivalent TrAMS Recipient User Group/Role
N/A	User Manager
N/A	Developer
Submit	Submitter
Civil Rights/DBE Reporting	Civil Rights
Execute	Official
Certify as Official	Official



TEAM Recipient “Functions” Seen on TEAM Request Form	Equivalent TrAMS Recipient User Group/Role
Certify as Lawyer	Attorney
Certify as Both	Assign Both Attorney and Official
N/A	FFR Reporter
N/A	MPR Reporter
Supplemental Agreement	Not Applicable

Table 2: TEAM Recipient Functions Mapped to TrAMS User Roles

Application Statuses:

TEAM Pre-Award Status	TrAMS Pre-Award Status
Application in Development	In-Progress
Returned to Recipient	In-Progress / Returned to Grantee
Project Number Requested	Transmitted / Ready for FTA Review
N/A (No match in TEAM)	Initial Review / Concurrence
N/A (No match in TEAM)	Review Complete / Ready for FAIN
Project Number Assigned	FAIN Assigned / Ready for Submission
Ready for FTA Review	Application Submitted
Fund Reservation Required	Final Concurrence / Reservation
Ready for Award	Ready for RA Concurrence / Award
<ul style="list-style-type: none"> • Suppl Agmt Execution Required • Execution Required 	Obligated / Ready for Execution

TEAM Post-Award Status	TrAMS Post-Award Status
<ul style="list-style-type: none"> • Active (Executed) • Funding Adjustment Requested 	Active (Executed)
Deobligation Required	Deobligation Required
Budget Revision Pending	Active / Budget Revision In-Progress
N/A (No match in TEAM)	Active / Budget Revision Under Review
N/A (No match in TEAM)	In-Progress / Admin Amendment
Inactive Amendment	Active Award / Inactive Amendment
Ready for Close-Out	Active Award / Ready for Closeout
Close-Out Requested	Active Award / Closeout Requested
Closed	Closed



Archived	Archived
----------	----------

Note: Applications in TrAMS with the ‘Archived’ status do not appear on the front end.

Application Development:

Table 3 below presents high-level differences between TEAM and TrAMS in how they handle their application development process:

TEAM Applications	TrAMS Applications
Application is assigned a Project Number	Application is assigned a Federal Award Identification Number (FAIN)
Application is referred to as a single project and contains one or more scopes and activities	Application contains a single project or a group of projects
FTA may provide a Temporary Project Number that is expected to be the final Project Number at time of formal assignment	System automatically assigns the Temporary Identification Number during application development and then the final FAIN once an application is ready for submission to FTA
Scopes and activities lack relationship to a project; it is difficult to identify projects within an application that includes many different projects and activities	Scopes and activities are grouped for more direct relationship to a project—this can be a single project that is specific or a generic project that includes related activities not necessarily related to a specific project
Obligation of funds happens at the application level	Obligation of funds happens at the project scope level (direct relation to the project)
Obligate one funding source	Obligate one or <u>more</u> funding sources ("Super Grants")
NEPA has been inconsistent, in some cases creating duplicate entries	NEPA can be handled at either the project or ALI level to provide direct relation to project(s) and reduces duplication

Table 3: TEAM vs. TrAMS Applications



Appendix C – TrAMS Validation Messages

The following tables provide the system validation messages shown by TrAMS while validating applications, amendments, and projects.

Application/Amendment Validation	
Message Text	Recommended Fix
Critical Issues - This application cannot pass Application Validation and be transmitted to FTA for review until these errors are corrected.	
“This application has no associated projects. Please add at least one project before continuing (Add Project to Application Related Action).”	There are no projects associated to application/amendment.
“The following projects in this application have not passed Project Validation: <list of incomplete Project Numbers> Please validate all projects in the application before continuing (Project Record, Validate Project Related Action).”	The projects associated to the application/amendment have not been validated.
“This application is missing application details (Name, Executive Summary, or Type). Please fill out all application details before continuing (Application Details Related Action).”	The application/amendment is missing an Application Name, Executive Summary, or Application Type.
Warning Issues - This application can pass Application Validation with the following issues, but they must be corrected prior to Award.	
“Your organization's SAM registration status is Expired (Expiration Date: <SAM expiration date>). Please visit the SAM website to update your organization's registration status.”	Your recipient organization’s SAM status has expired. Update your organization’s details in the SAM website.
“Your organization has expired or incomplete civil rights program compliances. Please update your civil rights programs (Recipient Organization Record, Civil Rights Information Related Action).”	Your recipient organization has at least one Civil Rights program whose status is not equal to “Concur” or “N/A.”
“Your organization has not approved C&A's for Fiscal Year <current Fiscal Year> (Recipient Organization Record, Certifications & Assurances Related Action).”	Your recipient organization does not have approved C&A’s for the current fiscal year Assurances.”
“Submitting this application will result in a deobligation of <deobligation amount for amendment>.”	This message will be displayed for an amendment that will result in a deobligation amount.





Project Validation	
Message Text	Recommended Fix
Critical Issues - This project cannot pass Project Validation until these errors are corrected.	
<p>“The following line items in the project have a status of 'In-Progress'. Please ensure each line item has a status of 'Completed' before continuing (Budget Activity Line Items Related Action):</p> <p><grid of In-Progress line items>”</p>	<p>One or more line items within a project are not in the required “Completed” status (only applies for TrAMS applications).</p>
<p>The following project details are incomplete. “Please fill out the following details before continuing:</p> <ul style="list-style-type: none"> • Project Title/Name (Project Details and Narratives Related Action)” 	<p>The project is missing the required project title.</p>
<p>“The following project details are incomplete. Please fill out the following details before continuing:</p> <ul style="list-style-type: none"> • Location Narrative (Project Location Related Action)” 	<p>The project is missing the required location narrative.</p>
<p>“The following project details are incomplete. Please fill out the following details before continuing:</p> <ul style="list-style-type: none"> • Project Description (Project Details and Narratives Related Action)” 	<p>The project is missing the required project description.</p>
<p>“The following project details are incomplete. Please fill out the following details before continuing:</p> <ul style="list-style-type: none"> • Project Benefits (Project Details and Narratives Related Action)” 	<p>The project is missing required project benefits.</p>
<p>“The project must have at least one line item. Please add line items to the project before continuing (Budget Activity Line Items Related Action).”</p>	<p>The project is missing line items.</p>
<p>“The project must have at least one congressional district selected (Project Location Related Action).”</p>	<p>The project does not have at least one congressional district associated to it.</p>
<p>“The project must have at least one UZA code / state selected (Project Location Related Action).”</p>	<p>The project does not have at least one state/UZA associated to it.</p>
<p>“Each line item must have a NEPA Class of Action/Environmental Determination selected (Environmental Determinations Related Action).”</p>	<p>The project has been set up for one environmental finding per ALI and at least one ALI is missing an environmental finding association.</p>



<u>Project Validation</u>	
Message Text	Recommended Fix
“The project must have a NEPA Class of Action/Environmental Determination selected (Environmental Determinations Related Action).”	The project has been set up for all activities to be covered under one environmental finding, but no environmental finding has been selected

<u>Budget Revision Validation</u>	
Message Text	Recommended Fix
<u>Critical Issues</u> - The budget revision cannot be submitted to FTA for review until these errors are corrected.	
“The budget revision does not have a difference amount of \$0 for the following FTA funding sources. The FTA funding amounts included in the award must remain the same in a budget revision. <grid of FTA funding sources on application>”	The FTA funding source amounts for the budget revision do not equal the approved award amounts (difference is not equal to \$0).
“The following projects in this application have not passed Project Validation: <list of incomplete Project Numbers> Please validate all projects in the application before continuing (Project Record, Validate Project Related Action).”	The projects associated to the budget revision application have not been validated.

<u>Closeout Amendment Validation</u>	
Message Text	Recommended Fix
<u>Critical Issues</u>	
“FTA Budget for this Award has not been finalized. Finalize your budget before submitting the Closeout request to FTA.”	The user has not indicated that the budget is final for the closeout amendment.
“FTA Budget is larger than the approved budget for one or more funding sources. Decrease your budget before submitting the Closeout request to FTA.”	The FTA funding source amounts for the closeout amendment are greater than the approved award amounts.
“Final Federal Financial Report (FFR) for this Award has not been submitted.	The Final FFR has not been completed and submitted to FTA for review



Complete a Final FFR before submitting the Closeout request to FTA.”	
“Final Milestone Progress Report (MPR) for this Award has not been submitted. Complete a Final MPR before submitting the Closeout request to FTA.”	The Final MPR has not been completed and submitted to FTA for review.