

Emergency Relief Funds for

Transit Systems Affected by Major

Declared Disasters in CY 2018



December 2019

Agenda

Emergency Relief Program Overview

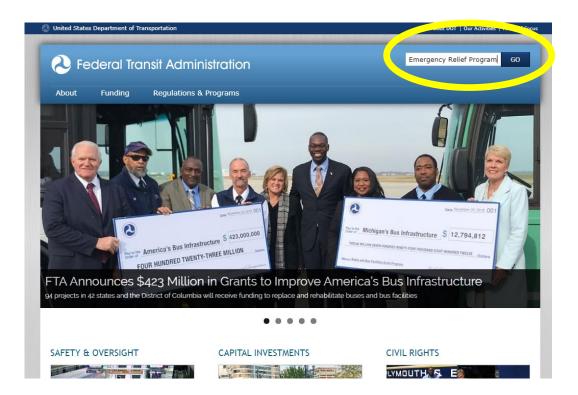
Calendar Year 2018 Funding Availability

Applying Through Grants.gov

Questions?



transit.dot.gov



Federal Transit Administration

Everything Videos FTA - Recent Updates News Releases

Emergency Relief Program

Emergency Relief Program | Federal Transit Administration

www.transit.dot.gov/funding/grant-programs/emergency-relief-program The **program** can also fund the operating costs of evacuation, rescue operations, temporary public transportation service, or reestablishing, expanding ...

Emergency Relief Manual: A Reference Manual for ... - FTA

www.transit.dot.gov/funding/grant-programs/emergency-relief-program/emergency-relief-manual-refe... Emergency Relief Manual: A Reference Manual for States & Transit Agencies on Response and Recovery from Declared Disasters and FTA's Emergency Relief ...

Emergency Relief Program Overview | Federal Transit ...

www.transit.dot.gov/funding/grant-programs/emergency-relief-program/emergency-relief-program-ov... Emergency Relief Program Overview emergency-relief-program-overview.pdf DOT is committed to ensuring that information is available in appropriate ...

Emergency Relief Program Regulations & Guidance | Federal ...

www.transit.dot.gov/funding/grant-programs/emergency-relief-program/emergency-relief-program-re... Visit the Federal Register Notice: **Emergency Relief Program**: Proposed Guidance. This manual provides guidance on FTA's **Emergency Relief** (ER) **Program** ...

Emergency Relief Docket | Federal Transit Administration

www.transit.dot.gov/funding/grant-programs/emergency-relief-program/emergency-relief-docket The **Emergency Relief** Docket process allows grantees to petition FTA for **relief** from legislative or administrative requirements in response to ...

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Hurricane Sandy flooding, New York, NY - 2013

Provides grants for:

- <u>Capital projects</u> to protect, repair, reconstruct, or replace equipment and facilities
- <u>Emergency operating costs</u> of public transportation equipment and facilities

Authorized in 2012

• No permanent or annual appropriation to date

Three supplemental appropriations:

- Hurricane Sandy in 2013 (\$10.9 billion)
- Hurricanes Harvey, Irma, and Maria in 2018 (\$330 million)
- Major declared disasters in **calendar year 2018** (\$10.5 million)



Hurricane Harvey preparations, Houston, TX-2017

Eligible Recipients

- States
- Territories
- Tribal governments
- FTA Direct Recipients

Eligible Expenses

- <u>Disaster Response</u>: Emergency or temporary operations
- **Disaster Recovery and Rebuilding**: Projects to temporarily or permanently repair or replace transit assets
- **Disaster Resilience**: Projects that address a vulnerability

Disaster Response

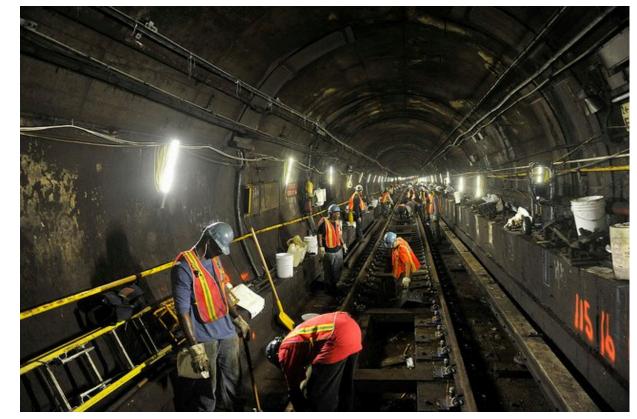
- Evacuations and temporary service to/from shelters
- Emergency responder or utility worker transport
- Temporary bus service to replace inoperable rail service
- Rerouted or temporary service to avoid impassable roads
- Emergency protective measures to prevent damage or protect health and safety such as sandbagging or debris removal
- Temporary repairs to facilities to hasten their return to service until permanent repairs can be made.



Evacuations in Oroville, CA - 2017

Disaster Recovery and Rebuilding

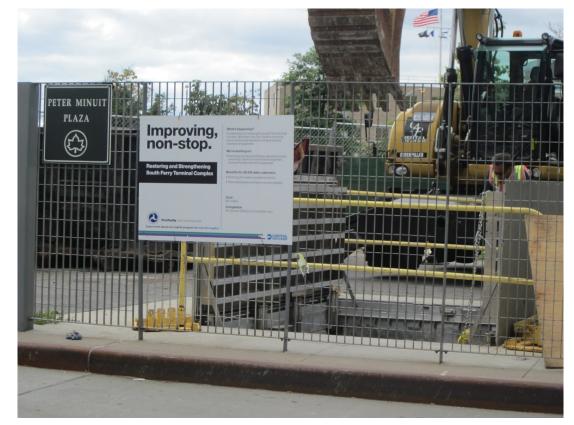
- Vehicle repair and replacement
- Passenger, operations, or administrative facility repair or rebuilding
- Repair or replacement of other infrastructure and equipment such as power or track components and maintenance equipment
- Replacement of supplies kept in the normal course of doing business that were owned by the transit agency and destroyed in the disaster



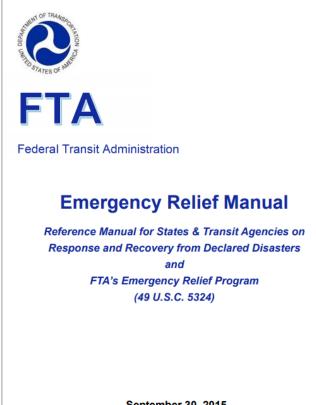
Hurricane Sandy repair work, New York, NY

Disaster Resilience

- Flood protection measures such as sea walls; wet and dry water proofing; strengthening systems that remove rainwater from public transportation facilities; or raising rail signal, power, or other equipment above expected flood elevations
- Relocating facilities to higher ground
- Building covered storage to protect rolling stock
- Burying power lines to protect them from highwind events
- Resilient features built into recovery projects that are integral and cost-effective, such as using stronger materials



South Ferry Station resilience project, New York, NY - 2014



September 30, 2015

Ineligible Expenses

- Heavy maintenance (i.e. normally expected costs due to seasonal and natural occurrences)
- Projects that change the function or capacity of a transit system
- Failures due to internal causes, such as design flaws or lack of maintenance
- Projects with FTA funding obligated prior to the disaster
- Reimbursement for lost revenue
- Replacement of supplies and materials not owned by the applicant and not incorporated into the transit system
- Labor for salaried management as a direct cost
- Preparations prior to storm or disaster forecasts
- Costs reimbursed by another source, such as FEMA



Winter storm Skylar, Boston, MA - 2018

Key Policies

- **Federal Share** is 80%, but may be increased by the Secretary
- When ER funds have not been appropriated, 5307 and 5311 funds may be used to respond to a disaster but cannot be replenished or replaced if ER funds are subsequently appropriated
- FTA will not reimburse expenses already reimbursed by FEMA or any other federal agency
- FTA cannot pay for any expenses covered by insurance.
- Emergency and permanent repairs that do not involve functional, locational, or capacity changes do not need to be in the TIP/STIP
- FTA waives the remaining useful life for destroyed assets; damaged assets that are repaired retain useful life
- FTA remains entitled to reimbursement if the recipient disposes of assets with a Federal interest and a fair market value of more than \$5000



Corroded MTA equipment from Hurricane Sandy, New York, NY - 2013

Key Policies

- <u>Pre-award authority</u> is granted for ER projects retroactive to the date on which preparations for the storm or disaster began in response to forecasts
- Requests for <u>waivers</u> to administrative requirements that inhibit disaster response and recovery may be submitted to FTA's Emergency Relief Docket at <u>www.regulations.gov</u>
- Charter-like services are permitted if the action is directly related to a declaration of emergency
- Sole-source procurements are allowed if the public exigency or emergency does not permit a competitive procurement.
- Track separately all disaster-related expenses and outcomes to facilitate reimbursement later, whether FEMA or FTA

Working with FEMA

- FTA's ER program may receive an appropriation months after an event
- Work with FEMA immediately after an event
- If ER receives funding for a specific event, transit operators MUST stop working with FEMA and begin working with FTA
- We will work with FEMA to transfer any paperwork that is in-process
- Applicants must inform FTA if they are seeking or have received FEMA funding
- We work closely with FEMA to ensure expenses aren't paid for twice





The Additional Supplemental Appropriations for Disaster Relief Act of 2019 (Disaster Relief Act), signed into law on June 6, 2019, appropriated **<u>\$10,542,000</u>** for FTA's Emergency Relief Program for transit systems affected by major declared disasters occurring in calendar year 2018



California wildfires - 2018



Guam typhoon - 2018



Alaska earthquake - 2018





North Carolina flooding from Hurricane Florence - 2018

Notice of Availability of Emergency Relief Funding (NAERF)

- Published December 3, 2019
- Deadline is January 17, 2020

The application process will occur in two stages

- 1. Applicants submit proposals requesting reimbursement of eligible costs
- FTA will announce allocation of funds on its website at <u>www.transit.dot.gov</u>. Recipients with allocations enter a grant application in the FTA's <u>Transit Award</u> <u>Management System</u> (TrAMS)

Funds will be allocated on a **<u>non-competitive</u>** basis



Iowa tornadoes- 2018

Eligible Recipients

Public transportation agencies, States, Territories, local governmental authorities, Indian tribes, and other FTA grant recipients...

...that provide or fund public transportation services in counties designated as eligible for any category of Federal Emergency Management Agency (FEMA) Public Assistance...

...for a major declared disaster with an incident period partially or entirely occurring within calendar year 2018.

https://www.transit.dot.gov/funding/grantprograms/emergency-relief-program



New Hampshire snowstorm - 2018

Eligible Projects and Expenses

Public transportation emergency operations, emergency protective measures, emergency repairs, and permanent repairs

Ineligible Expenses

Expenses previously reimbursed by FEMA or insurance proceeds

Please see the FTA' s <u>Emergency Relief Manual</u> for a complete list and description of eligible and ineligible expenses



Oklahoma wildfires - 2018

Federal Matching = 80% except:

- ADA or Clean Air Compliance:
 - Vehicles = 85%
 - Vehicle-related equipment and facilities = 90%
- Disaster in an insular area = 100%
- Disaster response limited to debris removal, emergency protective measures, emergency repairs, and emergency operations expenses:
 - Oklahoma Wildfires (DR-4373) = 90%
 - California Wildfires (DR-4382) = 90%
 - California Wildfires (DR-4407) = 90%
 - Florida Hurricane Michael (DR-4399)
 - Completed on or before 11/24/2018 = 100%

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- Completed on or after 11/25/2018 = 90%
- Any disaster in calendar year 2018 for which FEMA later increases the Public Assistance Federal share



Michigan flooding - 2018

How to Apply

A complete submission to **<u>GRANTS.GOV</u>** consists of:

- SF-424 Application for Federal Assistance
- Supplemental form for this NAERF
- Supporting documentation

Emergency Operating Documentation

• Purpose, dates, hours, number of vehicles, and fares charged.

Detailed Damage Assessments for Capital Projects

- Location, type of facility or equipment, nature and extent of damage
- The most feasible and practical method of repair or replacement
- Estimated repair and replacement cost



Tohono O'odham Nation flooding- 2018

Reporting Requirements

Post-award reporting requirements include submission of the Federal Financial Reports (FFRs) and Milestone Progress Reports (MPRs) in TrAMS consistent with the FTA's grants management Circular 5010.1E

Oversight and Audits

FTA will provide oversight of grants funded through the Emergency Relief Program using its standard oversight programs, including Triennial Reviews and State Management Reviews



Hawaii Kilauea volcanic eruption - 2018

References, Regulations, & Guidance

Federal Register Notice 84 FR 66271 – December 3, 2019 Public Transportation Emergency Relief Funds for Transit Systems Affected by Major Declared Disasters Occurring in Calendar Year 2018

Emergency Relief Final Rule – October 7, 2014 49 CFR Part 602 – Emergency Relief

Emergency Relief Program Manual

Emergency Relief Manual: A Reference for States & Transit Agencies on Response and Recovery from Declared Disasters and FTA's Emergency Relief Program

FTA Award Management Requirements (C.5010.1E)

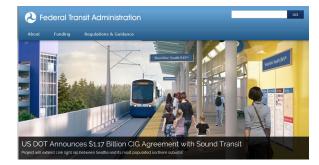
Federal requirements for insurance, asset disposition, etc.

FTA Emergency Relief Docket (FTA-2019-0001)

Permits recipients to request FTA waivers of administrative requirements by submitting a request at www.regulations.gov 20



Applying for FTA Grant Opportunities in Grants.gov







U.S. Department of Transportation Federal Transit Administration



ER Grant Opportunity

https://www.grants.gov/web/grants/view-opportunity.html?oppId=322825

Grant Opportunity Page contains:

- Summary Description
 - Application requirements
 - Eligibility
- Supplemental Form
- Links to Grants.gov
- Links to Program Information
- Other references and links

SEARCH: Grant Opportunities V Enter Keyword. GRANTS.GOV[™] APPLICANTS GRANTORS SYSTEM-TO-SYSTEM FORMS CONNECT SUPPORT LEARN GRANTS VIEW GRANT OPPORTUNITY « Back I Lin FTA-2020-002-TPM-ER Public Transportation Emergency Relief Funds for Transit Systems Affected by Major Declared Disasters Occuring in Calendar Year 2018 Department of Transportation DOT/Federal Transit Administration SYNOP SIS Print Synopsis Details Document Type: Grants Notice Version: Synopsis 4 Funding Opportunity Number: FTA-2020-002-TPM-ER Posted Date: Dec 03, 2019 Funding Opportunity Title: Public Transportation Emergency Relief Funds for Last Updated Date: Dec 03, 2019 Transit Systems Affected by Major Declared Original Closing Date for Applications: Jan 17, 202 Disasters Occuring in Calendar Year 2018 Current Closing Date for Applications: Jan 17, 202 Opportunity Category: Discretionary Archive Date: Feb 16, 202 portunity Category Explanation Estimated Total Program Funding: \$10,462.93 Funding Instrument Type: Gran Award Ceiling: \$0 Category of Funding Activity: Transportation Award Floor: \$0 Category Explanation xpected Number of Awards: 100 CFDA Number(s): 20.527 -- Public Transportation Emergency Relief Cost Sharing or Matching Requirement: Yes

HELP | REGISTER

Link and Instructions for attaching the supplemental form to the SF-424: All applicants must complete the attached supplemental form and attach it to their submission in GRANTS.GOV.

> https://www.transit.dot.gov/funding/grant-programs/emergency-reliefprogram



Download Application

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Application Package

Preview Opportunity Package Details	
Opportunity Package Details:	
Agency Contact Information: Thomas Wilson Office of Program Management E-mail: Thomas.Wilson@dot.gov Phone: (202) 386-5279	
Who Can Apply: Organization Applicants	
PACKAGE FORMS:	Download Instructions
	Download Instructions
Mandatory Forms (Click to Preview)	Optional Forms (Click to Preview)
 » SF424 Mandatory Form [V1.2] » Grants.gov Lobbying Form [V1.1] » Attachments [V1.2] 	
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			CMID Number: 4043-00 Expiration Date: 010100				
APPLICATION FOR FEDE	RAL ASSISTANCE SF-424 - MA	NDATORY					
1.a. Type of Submission: Application Plan Funding Request Other Other (specify):	1.b. Frequency: Annual Quarterly Other Other (specify):	1.d. Version: Initial Resubmission 2. Date Received:	Revision Update STATE USE ONLY: 5. Date Received by State: 6. State Application Identifier:				
1.c. Consolidated Application/PI Yes No X Explan		4a. Federal Entity Identifier: 4b. Federal Award Identifier:	• Sale Approach Alemant.				
7. APPLICANT INFORMATION:			4				
a. Legal Name:							
b. Employer/Taxpayer Identifical	ion Number (EIN/TIN):	c. Organizational DUNS:	c. Organizational DUNS:				
d. Address:							
Street1:		Street2:					
City:		County / Parish:					
State:		Province:					
Country		Zip / Postal Code:					
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e. Organizational Unit:							

Please fill out the following form.			Highlight Existing Fields
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ATTACHMENTS	5 FORM		
Instructions: On this form, you will attach the various files that make Agency Guidelines for more information about each needed file. Pleas and named as specified in the Guidelines.			
Important: Please attach your files in the proper sequence. See the a	appropriate Agency Guidelin	es for details.	
1) Please attach Attachment 1	Add Attachment	Delete Attactment	View Attachment
2) Please attach Attachment 2	Add Attachment	Delete Attachment	View Attachment
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Application Package

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Public Transportation Emergency Relief Program										
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Section I. Applicant Informa	ation									
Is this a resubmission due to an invalid/error m	nessage from FTA? 🔿 Yes 💿 No									
Organization Legal Name:										
FTA Recipient ID number:										
FEMA Disaster Number:										
Applicant Type:	○ State government									
(pick one)	O Federally-recognized Native American Tribe									
	O Direct Recipient									
If Other, spec	C Other									
Project Location (State):										
Counties Affected:										

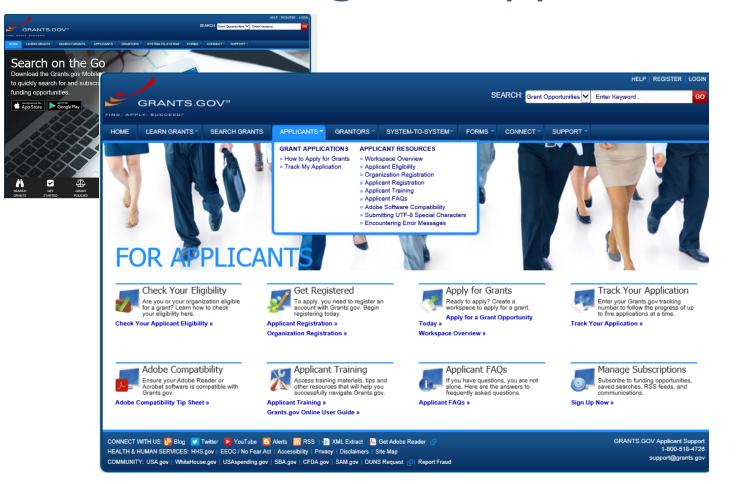
CAUTION: Do not use "**Supplemental Form**" from prior years or create your own form. Please use the file provided on the FTA website or in Grants.gov The "**Attachment Form**" shown below is included in the SF-424 Grant Application Package in Grants.gov.

Please attach the **FTA Supplemental Form** to the **Attachment Form** shown below when submitting in Grants.gov.

	Please fill out the following form.	Highlight Existing Field	s
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	Important: Please attach your files in the proper sequence. See th	appropriate Agency Guidelines for details.	
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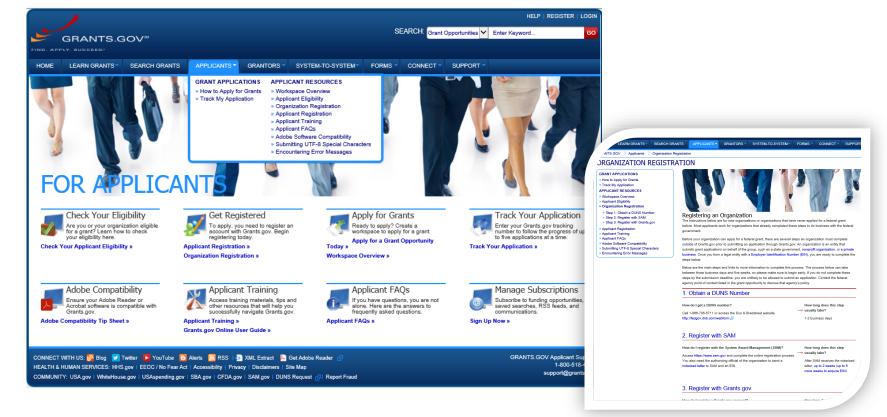
Grants.gov – Applicants



https://www.grants.gov/web/grants/applicants.html



Applicant – Organization Registration



Organizations must register in Grants.gov in order to submit applications



Workspace in Grants.gov

GRANTS.GOV) Applicants) Workspace Overview

WORKSPACE OVERVIEW

GRANT APPLICATIONS » How to Apply for Grants Track My Application APPLICANT RESOURCES Workspace Overview » Workspace Basic » Workspace Intermediate Workspace Advanced » Workspace Process » Workspace Roles Custom Roles Applicant Eligibility Organization Registration Applicant Registration Applicant Training Applicant FAQs Adobe Software Compatibility Submitting UTF-8 Special Characters Encountering Error Messages

Get Started on Your Workspace Application

Workspace is the standard way for organizations or individuals to apply for federal grants in Grants.gov. Workspace allows a grant team to simultaneously access and edit different forms within an application. Plus, the forms can be filled out online or offline-your choice.

Grants.gov Workspace also allows applicants and organizations to tailor their application workflow. Here are three approaches that applicants can take when completing a Workspace application:

Basic		Advanced										
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Web Addresses

- FTA Emergency Relief Program:
 - <u>https://www.transit.dot.gov/funding/grant-programs/emergency-relief-program</u>
 - Federal Register Notice: <u>https://www.federalregister.gov/documents/2019/12/03/2019-26068/public-transportation-emergency-relief-funds-for-transit-systems-affected-by-major-declared</u>
 - Emergency Relief for 2018 Disasters: <u>https://www.transit.dot.gov/funding/grant-programs/emergency-relief-program/emergency-relief-2018-disasters</u>
 - Grants.gov: Opportunity: <u>https://www.grants.gov/web/grants/view-opportunity.html?oppId=322825</u>
- Grants.gov:
 - <u>http://www.grants.gov/</u>
 - <u>http://www.grants.gov/web/grants/applicants.html</u>
- Contact Grants.gov Support Center to get help with Grants.gov issues: GRANTS.GOV Applicant Support 1-800-518-4726 <u>support@grants.gov</u>



Questions?

Thomas Wilson

Emergency Relief Program Manager Office of Program Management Federal Transit Administration, Washington, D.C.

202-366-5279 Thomas.Wilson@dot.gov