FTA 101 & Grants Development

FPTA Briefing: September 16, 2019

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Courtney Roberts
Discussion Topics

✓ Overview of FTA Region IV
✓ Funding
✓ Helpful Guidance & Updates
✓ FFY20 Grant Making Guidance
  ✓ Pre-award considerations
  ✓ Grant development
  ✓ NEPA & Section 106
  ✓ Post-award Considerations
✓ Questions & Answers
FTA Region IV Overview
Overview of FTA Region IV

Areas Served

Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee, The Commonwealth of Puerto Rico, and the United States Virgin Islands
Funding
FFY19 Grant Totals

- As of 9/10/19
- 389 grants processed
- Nearly $1.2 billion awarded
- FL top state in grants and funding
  - 104 applications
  - $570,384,533 in application funding
# FTA Funding Opportunities

<table>
<thead>
<tr>
<th>FY 2019 Competitive Program</th>
<th>Amt Available</th>
<th>NOFO Publication Date</th>
<th>Submittal Deadline</th>
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<tr>
<td>Tribal Transit Grants</td>
<td>~5M</td>
<td>5/10/2019</td>
<td>7/9/2019</td>
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<td>Integrated Mobility Innovation (IMI) Demonstration Program</td>
<td>~$15M</td>
<td>5/8/2019</td>
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<td>TOD Pilot Planning Grants</td>
<td>~$19M</td>
<td>Summer 2019</td>
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<tr>
<td>Emergency Relief – Tranche #3</td>
<td>~ 10M</td>
<td>TBD</td>
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Helpful Guidance & Updates
End of FFY 19: Important Dates

✓ **September 24, 2019 @8pm**: TrAMS Shuts down for Financial Activities

✓ **September 25, 2019 @2pm**: ECHO Shuts down

✓ **October 4, 2019 @2pm**: ECHO re-opens for FFY 2020

✓ Additional details on year end closeout, from FTA’s website, may be found [here](#).
Emergency Reporting Before, During and After

• What is most important now?
  – Status of transit agency (add an estimate restoration of service)
  – Evacuation support (# of passengers/ buses/trips)
  – Agency preparations prior to the incident
  – Fatalities and serious injuries, property damage, and/or personnel impacts
  – Resource needs
  – Emergency declarations
  – Any additional information needed for situational awareness
Emergency Relief Funding

- Keep all documentation and provide a summary of all expenses once requested. If Emergency Relief funding is provided it may be used for reimbursement of:
  - Capital projects to protect, repair, reconstruct, or replace equipment and facilities of a public transportation system that is in danger of suffering serious damage or has suffered serious damage as a result of an emergency
  - Operating costs of public transportation equipment and facilities in an area directly affected by an emergency

- Statute: 49 U.S.C. § 5324
- Regulation: 49 CFR Part 602
Emergency Relief Funding

• When Congress appropriates Emergency Relief funds to FTA, FTA assumes sole responsibility for disaster relief grants for transit-related costs. From this point forward all transit related reimbursements should be made directly to FTA.

• Any approved or in-progress FEMA grants for transit-related costs must be transferred to FTA’s Emergency Relief Program.

• Transit-related costs already reimbursed by FEMA do not need to be returned to FEMA and they are not eligible for FTA funding.
Emergency Relief Funding

For ER questions please contact

Roxanne Ledesma
ER Team Lead
Email: roxanne.ledesma@dot.gov
O: (404) 865-5631 | C: (202) 774-8003
ER Website: FTA Emergency Relief Program
We are here
PTASP

✓ Public Transportation Agency Safety Plan (PTASP) Final Rule

✓ Applicability: recipients and sub-recipients of Urbanized Area Formula Funds, operating a public transportation system and/or rail transit.

✓ Deferred for small operators only receiving 5310 and/or 5311 funds.

✓ Does not apply to activities regulated by other agencies (i.e. FRA: commuter rail, U.S. Coast Guard: passenger ferry service)

✓ Effective 7/19/19, safety plan must be in place by 7/20/20
PTASP

✓ Safety plan should include targets based on safety performance measures established in National Public Transportation Safety Plan (NPTSP):

✓ **Fatalities**: 1) total number of reportable fatalities and 2) rate per total vehicle revenue miles, by mode

✓ **Injuries**: 1) total number of reportable injuries and 2) rate per total vehicle revenue miles, by mode

✓ **Safety Events**: 1) total number of reportable events and 2) rate per total vehicle revenue miles, by mode.

✓ **System Reliability**: mean distance between major mechanical failures, by mode.

✓ Additional information may be found on [FTA’s PTASP website](https://www.fta.dot.gov/ptasp.html).
TAM

✓ **Reminder**: annual Transit Asset Management (TAM) annual requirements for applicable agencies:

✓ Submit the following to NTD:
  ✓ Annual narrative report.
  ✓ Annual data report.

✓ Continue reporting on facility condition assessments.

✓ Set performance targets, to be approved by accountable executive

✓ Revisit TAM plans (as applicable).
TAM

✓ **Caveats:**

✓ TAM project sponsors must submit one consolidated annual data report and one consolidated annual narrative on behalf of its participants.

✓ MPOs do not need to revisit targets annually, but are encouraged to coordinate with FDOT and relevant transit providers to revisit targets, as applicable.

✓ **Targets should be included in the Transportation Improvement Program (TIP) and Metropolitan Transportation Plans (MTP).**

✓ **TIP/STIPs and MTPs without targets may result in project delays.**
Joint Development

✓ Public comment period for proposed changes to Circular 7050.1A closed 6/3/19.

✓ Proposed changes seek to streamline process:

✓ Fair Share of Revenue:
  ✓ Eliminate minimum revenue threshold and monetary requirement.
  ✓ Project sponsor must continue to report amount and source of revenue received, which must be used for transit purposes.

✓ Submission & Review Process - revise project request form to reflect changes to fair share of revenue, including removing:
  ✓ Baseline market analysis, and
  ✓ Certification of compliance
FTA seeks public comment on revisions to Project Management Oversight (PMO) rule.

Notice of Proposed Rulemaking issued 8/26/19, comments due 10/25/19

Proposed changes seek to streamline process by redefining a “major capital project” as:

- a new rail transit or bus rapid transit project, OR
- an extension, rehabilitation, or modernization of an existing system AND:
  - A total project cost of $300 million or more, AND
  - Federal investment of $100 million or more.
FFY20 Grantmaking Guidance
Anticipated Award Activity (AAA)

✓ Similar process to last year (FFY19)

✓ Recipients may note anticipated FY20 grant activity
  ✓ # of applications, associated details, prerequisites, & expectations.
  ✓ Recommend recipients prioritize lapsing & “critical” applications.

✓ Likely more narrow definition of “Critical”

✓ GM/CEO/City Manager may be asked to confirm “criticality” of application.
Anticipated Award Activity (AAA)

✓ Consideration of:

✓ Consolidated and/or multi-sourced (“Super Grant”) applications

✓ Time sensitive activities, such as: Discretionary grants, Construction projects

✓ Please contact your Pre- and Post-Award Managers to discuss FFY 20 game plan!

✓ Early engagement may reduce potential future delays.
Helpful Grantmaking Resources

✓ **TrAMS User Guide**: helps recipients navigate TrAMS

✓ **TrAMS Webpage**: includes webinars and tip sheets.

✓ **FTA TRO-4 Trainings**: includes pre-award, MPR/FFR, and others.

✓ **FTA Regulations & Guidance**

✓ **FTA Apportionments**: identify amount of funds available to a State and/or urbanized areas.

✓ **Final Circulars**: guidance for FTA and related programs.
TrAMS User Guide

✓ Excellent initial reference for TrAMS related questions.
  ✓ Often contains answers to common questions.

✓ Contains helpful information for many functions:
  ✓ User roles & capabilities
  ✓ Executing Certifications & Assurances
  ✓ Application development, transmission and submission
  ✓ And many others!
4.4.6 Submit Application to FTA

After the FTA has completed their initial review of the application and has assigned a FAIN to the application, FTA will return the application to the recipient for formal submission. A ‘Task’ will be assigned to users in the recipient organization with the Submitter role group. All Submitters will receive an email notice indicating that a task has been assigned.

12) Submit to FTA: To submit the application, click the ‘Submit to FTA’ button.

13) The ‘Application Validation | Submit Application for FTA Review’ Screen will be shown. Enter your 4-digit PIN in the ‘User PIN’ field and click the ‘Confirm’ button. Refer to the ‘Required PIN Resets’ page if you do not know your PIN number. ‘Cancel’ to return the submission task to the ‘Task’ tab.
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**Example:** TrAMS User Guide

Step by step instructions With visuals
Application Development: Pre-requisites

- Ensure your SAM is active
- Civil Rights documents & programs are current or in review status
- Certifications & Assurances PIN’d by designated official and attorney
- Compliant TAM Plan with certification checked in 2020 C & A’s
- Early NEPA & Section 106 Consultation (as applicable) for construction
- Designated Recipient information in TrAMS Recipient Profile (as applicable)
- Correct Section of Statute entered in TrAMS
- New* Compliant Public Transportation Agency Safety Plan (effective 7/20/20)
Application Development: Attachments

✓ Project/Activity programmed in TIP/STIP Split letter (large urban) or Governor's apportionment letter (small urban)

✓ If applicable, also include:
  ✓ FDOT approval of Transportation Development Credits (if applicable)
  ✓ Program of Projects Documentation
  ✓ FHWA Form 1576 (flex funds) signed by FHWA and FDOT
  ✓ Table 3a – Special Rule Operating (large urban)
  ✓ Relevant page(s) of UPWP (5307 planning funds)
  ✓ Form 424 (Discretionary)
Application Development: Financial Considerations

✓ Reservation Considerations:

✓ Multiple Urbanized Areas = Multiple Projects

✓ PM and ADA paratransit = separate projects

✓ CMAQ and STP/STBG Flex = separate projects

✓ If planning to apply indirect costs, please ensure appropriate documentation is in place.
Application Development: FTA Program “tips”

✓ Section 5339: preventive maintenance (PM) & operating are ineligible activities.

✓ Section 5307:

✓ 1% security is applied at the urbanized area level.

✓ There are no monetary operating caps for small urbanized areas.

✓ Section 5310:

✓ 55% must be spent on “traditional” 5310 projects. Eligible activities may be found here.

✓ Projects should be derived from a Locally Coordinated Human Services Plan.

✓ Section 5337: PM is eligible, operating is not.
Application Development: Application & Project Titles

✓ Application Title
  ✓ FTA Program (i.e. 5307, FHWA Flex to 5307)
  ✓ Activity (i.e. bus procurement, operating, PM, etc.)
  ✓ Urbanized Area(s)

✓ Project Title
  ✓ Project-specific activities
  ✓ If the application contains more than one of the following, include:
    ✓ Urbanized Area
    ✓ FTA program
Application Development: Period of Performance

✓ Period of Performance:

✓ 2 years after last milestone

✓ Round up to next March 30th.

✓ Example:

✓ Last milestone = May 20, 2019

✓ 2 years after = May 20, 2021

✓ Round up to next March 30, making Period of Performance = March 30, 2022.
Application Development: Executive Summary

✓ Should include:

✓ Federal and nonfederal funding amounts (totals & by year)

✓ Section of Statute(s) (i.e. 5307)

✓ **Brief** overview of activities to fund

✓ Application attachments (i.e. TIP/STIP, split letter, etc.)

✓ Note the following requires additional language:

✓ Procurement of rolling stock

✓ Use of 3rd party contractors.
Application Development: Executive Summary

✓ For procurement of rolling stock, please add:

✓ [RECIPIENT NAME] will ensure that all vehicles purchased are accessible, purchased from an FTA approved TVM, and reported to FTA within 30 days after contract is signed.

✓ [RECIPIENT] will check the current listing of Transit Vehicle Manufacturers (TVM) (https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list) to ensure each is listed as a certified TVM in compliance with FTA regulations.
Application Development: Executive Summary

✓ For use of 3rd party contractors, please add:

✓ The recipient or Sub-recipient (when applicable) will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance)

✓ The recipient or Sub-recipient (when applicable) will ensure contractors procured will not be on the FTA Suspension and Debarment list.
Application Development: Executive Summary

✓ For 5337 funds, please add:

✓ “By executing this award, we certify that projects funded in this award are included in the [20XX] TAM plan investment prioritization section.”
Application Development: Executive Summary

✓ It is unnecessary to include the following in the application details/executive summary section. These are now TrAMS prompts:

✓ Research and indirect costs

✓ Period of performance

✓ Application end date
Application Development: Executive Summary

Describe the general purpose of the award

Does this application include funds for research and/or development activities?

- Yes, this application includes funds for research and/or development activities.
- No, this application does not include funds for research and/or development activities.

Now a TrAMS prompt (in application details)

Period of performance has its own section, to be populated (in application details)

Select the date for which all award activities will be completed

03/30/2025
Application Development: Project Information

✓ Project-level details, such as:
  ✓ FTA program
  ✓ Total federal and nonfederal costs for project (not application)
  ✓ Overview of project activities
  ✓ Any other project-related details.

✓ For example, an $100,000 application with 2 projects:
  ✓ Project 1: $80,000 FTA 5307, $20,000 local funds for preventive maintenance and purchase of bus shelters.
  ✓ Project 2: $20,000 FTA 5339, $5,000 for procurement of security cameras
Application Development: Project level “tips”

✓ Certain activities should be separated into different projects
  ✓ Different Sections of Statute = different projects
  ✓ Multiple Urbanized Areas = Multiple Projects
  ✓ PM and ADA paratransit = separate projects
  ✓ CMAQ and STP/STBG Flex = separate projects
✓ 5337: high intensity motorbus & State of Good Repair = separate projects.
Differentiating CMAQ vs. STBG

FHWA Form 1576 (flex funds) References
Funding Source, Recipient, & Amount

FHWA TRANSFER REQUEST

In accordance with provisions of title 23 U.S.C., the State transportation department indicated below requests that Federal-aid Highway Program contract authority and obligation authority be transferred as shown.

Requesting Agency: Florida Department of Transportation

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<th>Item #</th>
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<th>Fiscal Year</th>
<th>Program Code</th>
<th>Demo ID or Urban Area Code</th>
<th>Amount</th>
<th>Name</th>
<th>Title</th>
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From/To out of balance by: $0.00

TOTAL FROM $12,775,850.00

TOTAL TRANSFER $12,775,850.00
## Differentiating CMAQ vs. STBG

**FHWA Form 1576 (flex funds) References**

**Funding Source, Recipient, & Amount**

**CMAQ**

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<tr>
<th>Item #</th>
<th>Description of Entity From</th>
<th>Fiscal Year</th>
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*From/To out of balance by: $0.00*

**TOTAL FROM**: $12,775,850.00

**STBG**

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*From/To out of balance by: $0.00*

**TOTAL TRANSFER**: $12,775,850.00
Application Development: Scope and ALIs

- Each activity should have a corresponding scope of activity line item (ALI)

- Examples:
  - 300 scope = Fixed route operating
  - 111 scope = Bus rolling stock
  - 11.12.XX ALI = buy replacement bus

- FTA’s website has a Scope & ALI tree for application development.
Application Development: “tips”

✓ Multiple years of operating = multiple ALIs (1 per year)

✓ Large urban (special rule) and small urban have different ALIs for fixed-route operating

✓ “Special Rule” operating amount cannot exceed table 3a cap

✓ ADA paratransit operating is a different scope/ALI than fixed-route
# Example: Fixed-Route Operating Scope/ALIs

<table>
<thead>
<tr>
<th>Scope Code</th>
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### Example: Fixed-Route Operating Scope/ALIs

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- **Small Urbanized Area Operating ALI**
- **Large Urbanized Area Operating ALI**
  - Two ALIs – depending on number of buses (Table 3a)
Example: Accessing Table 3a

**Fiscal Year 2019 Apportionment Tables (Full Year)**

Table 1. *FTA Appropriations and Apportionments for Grant Programs*

Table 2. *Section 5303 and 5304 Statewide and Metropolitan Planning Apportionments*

Table 3. *Section 5307 and 5340 Urbanized Area Formula Appropriations*

**Table 3A. Section 5307 Operating Assistance Special Rule Operator Caps**

Table 4. *5307 Apportionment Formula*

Table 5. *Formula Apportionments Data Unit Values*

Can be found (by year) on [FTA apportionments page](#)
Example: Table 3a

Details RE: operating cap outlined in “NOTES” section at top of table 3a

<table>
<thead>
<tr>
<th>B</th>
<th>C</th>
<th>D</th>
<th>E</th>
<th>F</th>
<th>G</th>
<th>H</th>
<th>I</th>
</tr>
</thead>
<tbody>
<tr>
<td>Urbanized Area of Service Provided</td>
<td>Public Transportation System Operator</td>
<td>Vehicles Operated in Peak Fixed Route and Demand Response Service</td>
<td>Apportionment to Urbanized Area</td>
<td>Percent of Apportionment Attributable to Operator based on Vehicle Revenue Hours</td>
<td>Eligible Percent Factor Category</td>
<td>FY 2019 Maximum Amount of Section 5307 Operating Assistance Allowed</td>
<td>FY 2019 Maximum Amount of Section 5307 Operating Assistance Allowed 5307(a)(2)(B)</td>
</tr>
<tr>
<td>Urbanized Area XXXX</td>
<td>Transit Agency XXXX</td>
<td>12</td>
<td>$3,527,086</td>
<td>100.00%</td>
<td>75%</td>
<td>$2,645,277</td>
<td>$2,645,277</td>
</tr>
</tbody>
</table>

**NOTES:**

1. Agencies that are included in this table AND that are eligible for grants under the Section 5307 Urbanized Area Formula Program are eligible to receive operating assistance under the provisions of 5307(a)(2)(A), subject to local allocation, up to the amounts specified in column "I" in Fiscal Year 2019.

2. Beginning in FY17, agencies may alternatively use program funds up to the amount shown in column "I" for operating assistance under 5307(a)(2)(B), provided that this amount is no greater than 50 or 75 percent of the total amount allocated to them for eligible projects through the local planning process, consistent with the eligible percent factor shown in column "G".
Example: Table 3a

Details RE: operating cap outlined in “NOTES” section at top of table 3a

**NOTES:**

1. Agencies that are included in this table AND that are eligible for grants under the Section 5307 Urbanized Area Formula Program are eligible to receive operating assistance under the provisions of 5307(a)(2)(A), subject to local allocation, up to the amounts specified in column "I" in Fiscal Year 2019.

2. Beginning in FY17, agencies may alternatively use program funds up to the amount shown in column "I" for operating assistance under 5307(a)(2)(B), provided that this amount is no greater than 50 or 75 percent of the total amount allocated to them for eligible projects through the local planning process, consistent with the eligible percent factor shown in column "G".

<table>
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<tr>
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<th>I</th>
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<tr>
<td>Urbanized Area XXXX</td>
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<td>100.00%</td>
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<td>$2,645,277</td>
<td>$2,645,277</td>
</tr>
</tbody>
</table>

- Number of vehicles (for use in determining Correct ALI)
- Potential eligible cap percentage and/or amounts (see NOTES section)
Example: ADA Paratransit Scope/ALI

<table>
<thead>
<tr>
<th>Scope Code</th>
<th>Scope Code Name</th>
<th>Activity Type</th>
<th>All #</th>
<th>All Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>117-00</td>
<td>Other Capital Items (Bus)</td>
<td>Other Capital Items (Bus)</td>
<td>11.73.00</td>
<td>Contingencies/Program Reserve</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>11.74.00</td>
<td>Public Buyout</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>11.77.00</td>
<td>Project Income</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>11.78.00</td>
<td>Capital Project Income</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>11.79.00</td>
<td>Project Administration</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>11.7A.00</td>
<td>Preventive Maintenance</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>11.7B.00</td>
<td>Site Capitalization</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>11.7C.00</td>
<td>Non Fixed Route ADA Paratransit</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>11.7D.00</td>
<td>Community Service Facilities</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>11.7E.00</td>
<td>TDM Activities - CMAQ only</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>11.7F.00</td>
<td>Mobility Management (5302<a href="1">a</a>(L)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>11.7G.00</td>
<td>Debt Service Reserve (5302<a href="1">a</a>(K)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>11.7H.00</td>
<td>Transit Asset Management (5337, 5307, 5311)</td>
</tr>
</tbody>
</table>

Reminders:
- Be mindful of ADA operating cap at urbanized area level
- Preventive maintenance + ADA paratransit = two projects
  - *required to reserve funds in TrAMS
Application Development: “tips”

✓ In Section 5307, Preventive Maintenance is eligible for the current and/or preceding year (max of 2 ALIs).

✓ 5337: insert TAM language in Executive Summary

✓ Milestones:
  ✓ 3rd party contactors = at least 3 milestones
  ✓ Rolling stock procurement = at least 5 milestones
Example: 3rd Party Contractor Milestones

<table>
<thead>
<tr>
<th>Milestone Name</th>
<th>Est. Completion Date</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Start Date</td>
<td>11/1/2018</td>
<td>ADA Complementary Paratransit Start Date</td>
</tr>
<tr>
<td>End Date</td>
<td>9/30/2019</td>
<td>ADA Complementary Paratransit End Date</td>
</tr>
<tr>
<td>RFP</td>
<td>10/1/2018</td>
<td>ADA Complementary RFP published</td>
</tr>
</tbody>
</table>
## Example: Rolling Stock Milestones

<table>
<thead>
<tr>
<th>Milestone Name</th>
<th>Est. Completion Date</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>RFP Issue Date</td>
<td>8/1/2018</td>
<td>RFP published</td>
</tr>
<tr>
<td>Contract Award Date</td>
<td>10/1/2018</td>
<td>Contract Awarded</td>
</tr>
<tr>
<td>Initial Delivery Date</td>
<td>3/1/2019</td>
<td>First Vehicle Delivered</td>
</tr>
<tr>
<td>Final Delivery Date</td>
<td>2/14/2020</td>
<td>All Vehicles delivered</td>
</tr>
<tr>
<td>Contract Completion Date</td>
<td>5/1/2020</td>
<td>Contract closed out</td>
</tr>
</tbody>
</table>
Application Development: Extended Budget Descriptions

✓ Each activity ALI should have an extended budget description (EBD)

✓ The EBD should adequately describe the associated activity, for example:

✓ Provide useful life for capital items over $5,000 in value.

✓ Rolling stock replacements should include make, model, year, VIN#, and mileage (in EBD or as attachment)

✓ ALIs using 1% security projects for 5307 should note this in the EBD.
Application Development: Program Specific Considerations

✓ For Preventive Maintenance, please include the following in the EBD:

✓ Period of activity (i.e. 10/1/2018-9/30/19)

✓ Useful life of items over $5k in value

✓ For items under $5k, insert:

  ✓ The agency [Name] does not anticipate the purchase of items over $5,000 in value at this time. Any items with value over $5,000 identified during the execution of the activities under this ALI will be included via budget revision (actual value and useful life).”
Application Development: Environmental Findings

- Environmental Class of Action is critical to application advancement and pre-award authority

- Review appropriate FTA circular to program-specific requirements

- Generally, 1 environmental finding per ALI
NEPA & Section 106 Consultation

- Early consultation may reduce potential delays

- Consult FTA Environmental Protection Specialists for activities that may involve:
  - New construction
  - Rehabilitation or Renovation (of a structure)
  - Demolition
  - Fixed-guideway or corridor base bus/rail
  - Historic or Cultural Resources
Environmental Class of Action

✓ Three Types:

✓ **Categorical Exclusions (CE)**
  ✓ Listed CEs
  ✓ Documented CEs

✓ **Environmental Assessments (EA)**

✓ **Environmental Impact Statements (EIS)**
Region IV Environmental Protection Specialist Contact Information:

Stan Mitchell, EIT, MBA
FTA Region IV
Phone: (404) 865-5643
E-mail: stanley.a.mitchell@dot.gov

Julia “Carrie” Walker, MHP
FTA Region IV
Phone: (404) 865-5645
E-mail: julia.walker@dot.gov
Application Considerations: Amendments vs. Budget Revisions

✓ Amendment - significant change to:
  ✓ scope and/or federal funding
  ✓ NEPA determination

✓ Budget Revision - no changes to:
  ✓ Scope/purpose
  ✓ Type/amount of federal funding
Application Considerations: Amendments vs. Budget Revisions

✓ Please review [FTA Circular 5010.1E](#) for specific details.

✓ Coordination with FTA Pre- and Post-award managers are highly recommended.

✓ Budget Revisions and Amendments (including zero-dollar amendments) may result in unforeseen consequences.

✓ FTA staff can review your details and advise as to the best course of action.