

FTA

FEDERAL TRANSIT ADMINISTRATION

FTA Region IV State Programs Team Annual Meeting

Office of Planning & Program Development Updates

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Federal Transit Administration

Agenda

- What is OPPD?
- Updates
- Performance-based Planning & Programming
 - TIP/STIP and LRTPs
 - NTD Reporting
- Risk-based Planning Certification Reviews
- FY 19 Grantmaking:
 - Anticipated Application Activity (AAA)
 - Application Reminders
 - Consolidating Applications
- NEPA & Section 106 Coordination
- Questions and Answers

Office of Planning & Program Development (OPPD)

- OPPD Responsibilities – Urbanized Areas
 - Pre-award Application Development & Processing
 - Planning Oversight
 - STIP, LRTP, & UPWP Approvals
 - Planning Certification Reviews
 - Performance-based Planning & Programming
 - Technical Assistance
 - National Environmental Policy Act (NEPA)
 - Section 106 of Historic Preservation Act
 - Coordination with PMPO and OFPO

Updates

- FTA Transit Asset Management (TAM)
 - TIP/STIP and LRTP implications
 - TAM Plans (TAMP)
- Planning Oversight
 - Risk-based Planning Certification Reviews
 - Circular 8100.ID
- FY 2019 Grant Details
- Application Consolidation
- Split letter language

Update: Performance Based Planning & Programming (PBPP)

- **May 27, 2016**: FTA/FTA publish [final rule](#) on Statewide, Nonmetropolitan, and Metropolitan Transportation
- **July 26, 2016**: FTA publishes [final rule](#) on TAM.
- **Summary**:
 - ***New requirements*** for MPOs to coordinate with transit providers, set targets, integrate performance targets and progress into planning documents.
 - ***Data-driven approach to planning and programming*** of transportation funding, based in part on targets and progress.

PBPP: MTP & TIP/STIP Implications

- **After October 1, 2018, any amendment to MTP or TIP/STIP triggers requirement to incorporate TAM into planning process.**
 - Includes new MTP and TIP/STIPs requiring federal approval.
- **Should include:** Performance targets + progress towards achieving targets

Performance Measures

Rolling Stock: The percentage of revenue vehicles (by type) that exceed the useful life benchmark (ULB).

Equipment: The percentage of non-revenue service vehicles (by type) that exceed the ULB.

Facilities: The percentage of facilities (by group) that are rated less than 3.0 on the Transit Economic Requirements Model (TERM) Scale.

Infrastructure: The percentage of track segments (by mode) that have performance restrictions. Track segments are measured to the nearest 0.01 of a mile.



TRANSIT
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PBPP: TAM Targets

- **Targets**: review/updates
 - Transit Providers: annually
 - MPOs: with MTP and TIP updates
- **Target Setting**:
 - Collaborative process*
 - Strives towards achieving a State of Good Repair
 - Per final rule, should be ***based on “realistic expectations” using the most recent data and financial resources.***
- **NTD**: report targets annually.

*TAM targets need not be the same for transit providers, MPOs, and State DOTs

PBPP: TAM Targets & Planning Documents

- **Kudos**: FDOT, for developing:
 - Statewide MOA for TAM target setting and data sharing between FDOT, MPOs, and transit providers.
 - Standard TAM language for inclusion in MPO TIPs, at their discretion.



PBPP: TAM Plans

- Transit provider responsibilities for TAM Plans:
 - Determine if your agency is a Tier 1 or Tier 2
(https://www.transit.dot.gov/TAM/gettingstarted/Tier-II_workflow)
 - Tier 1 agencies operate **rail** or operate **101 or more vehicles** in peak service (all fixed-route or any non-fixed route mode)
 - Tier 2 agencies are **5311 recipients, tribes** or have **100 vehicles or fewer** in peak service (all fixed-route and each non-fixed route mode)
- Plan elements vary by Tier
 - Tier 1 only: Set targets for State of Good Repair (SGR) (January 1, 2017)
 - Provide SGR targets to MPO & State DOT (prior to June 30, 2017)

PBPP: TAM Plans

Key Resources

- State DOT's are **required** to offer group plans
- MPO's & other direct/designated recipients may also sponsor group TAM plans
- **TAM Plans**: updated at least every 4-years
- Additional details may be found at [FTA's TAM webpage](#).



Group Plan Sponsor Workbook



TAM Plan Template for Small Providers



Tribal Reporting Tool [BETA]

PBPP: TAM Plans

- **Kudos:** GDOT and NCDOT, for developing group plans for both Tier I and II providers!



Update: Planning Oversight

- FTA/FHWA Planning Certification Reviews:
 - New risk-based approach
 - More efficiently allocates FTA, FHWA, State DOT and MPO staff references
 - Focuses on-site review to “high-risk” or “critical” topics
 - Expedites process, including:
 - Feedback
 - Ability to identify & address deficiencies, achieve compliance
 - Certification Report delivery.

Update: Planning Oversight

- FTA Circular 8100.d: notable changes
 - References OMB's uniform administrative requirements
 - Updates based on FAST Act
 - Repealed activity references removed.
 - Clarifies that State can be Designated Recipient for metropolitan planning program funds.
 - Programmatic changes updated.
 - Consolidated Planning Grant section.

Anticipated Application Activity (AAA)

- Outlines proposed FY2019 grant activity, by recipient.
- Opportunity between recipients & FTA to discuss grantmaking.
- 74% complete, recommend incomplete AAA's be transmitted ASAP.
- Distributed to recipients: November, 2018
- ***Entering a Temporary FAIN assists tracking efforts.***
- Accurate, updated contact information in AAA, including Emergency Contacts are important.

Anticipated Application Activity (AAA)

- Timeline

- Recommended “transmittal” dates have passed.
- Prioritize Lapsing, Critical, and Discretionary applications.
- ***Strong partnerships with State DOT’s may assist grant development:***
 - STIP amendments
 - Governor’s Apportionment/Split letters (small urbans)
 - Where applicable:
 - FHWA Flex funds processing (Form I576)
 - Toll/Transportation Development Credit (TDC) approvals

Office of Planning and Program Development

Updates

FFY19 FTA Region IV Important TrAMS Deadlines	
Date	Action
Immediately	<ul style="list-style-type: none"> Review attached carryover and lapsing balances. Confirm federal funding is appropriately programmed in the TIP/STIP. Initiate flex funding requests (FHWA Form 1576), if applicable Obtain toll credit approval/authorization, if applicable. Review Civil Rights documentation, address outstanding concerns. Ensure your SAM status is active and note the expiration date.
December 2018/January 2019	<ul style="list-style-type: none"> Share with FTA the anticipated timeline for application funding activity in FY19, highlighting applications containing lapsing and critical funding. Begin discussing any required NEPA determinations needed for grant applications in FY19 with FTA Community Planner.
January 11, 2019	<ul style="list-style-type: none"> Return AAA Sheet to aviance.webb@dot.gov.
March 29, 2019	TrAMS Transmittal deadline for all applications containing <i>lapsing funds</i> .
April 26, 2019	<ul style="list-style-type: none"> TrAMS Transmittal deadline for all other <i>current year and non-lapsing</i> grant applications, including critical cash flow funds. TrAMS Submittal deadline for all applications containing <i>lapsing funds</i>.
May 31, 2019	TrAMS Submittal deadline for all applications for current year and <i>non-lapsing grant applications</i> , including critical cash flow funds.
September 20, 2019	Anticipated closing of the TrAMS System. <u>ALL</u> applications must be awarded by this date in TrAMS.

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Application Reminders - Attachments

- Ensure projects programmed in the **current** STIP/TIP & UPWP and attached to application
 - TIP/STIP amendments should include TAM
- Other application attachments, as applicable:
 - Split letter/Governor's Apportionment
 - TDC approval letter/memo
 - UPWP (for 5307 planning)
 - FHWA Form I 576 (for flex funds), signed by State DOT and FHWA division.
 - *Incorrect code on form = application will have to be redone.*

Application Reminders – Designated Recipients

- Applies to 5307 and 5310 Programs.
- Please ensure the following are uploaded to the “Recipient Documents” in TrAMS:
 - Designated Recipient Letter
 - Opinion of Counsel
 - Authorizing Resolution from MPO
- This may impact future application progress.

Application Reminders – Split Allocation Letters

- Supplemental agreements eliminated in TrAMS
- Designated Recipients must authorize a grant award to directs.
- Split letters should include:
 - *“As identified in this Split Letter, the Designated Recipient authorizes the assignment/allocation of Section 5307 to the Direct Recipient(s) named herein. The undersigned agree to the Split Letter and the amounts allocated/assigned to each Direct Recipient. Each Direct Recipient is responsible for its application to the Federal Transit Administration to receive Section 5307 funds and assumes the responsibilities associated with any award for these funds.”*
- **Reminder**: split allocations should be attached to TrAMS applications.

Application Reminders – TAM

- Certification of TAM Plan part of 2019 Certifications & Assurances
- 5337 Applications should contain the following in the Executive Summary:
 - *“By executing this grant, we certify that projects funded in this grant are included in the [20XX] TAM plan investment prioritization section.”*
- Coordination between State DOT, transit provider, and MPO(s) is necessary.

Opportunities to Consolidate Applications

- Talk to your Pre- and Post-Award Managers first
 - Case by case basis
- May streamline application processing and reporting.
- Can combine multiple years of one FTA program (i.e. 5307)
- Consolidating FTA programs with similar eligibility requirements may be considered (i.e. “Super Grants”)
 - Each FTA program should be a separate project
 - Post-award considerations - cannot move funds between different FTA programs

Opportunities to Consolidate Applications

- **Kudos:** GDOT, for development of a “Super Grant” this year!



National Environmental Policy Act & Section 106

- Early NEPA/Section 106 consultation important to avoid potential delays, especially for:
 - Construction activities
 - Discretionary applications
- FTA has developed NEPA and Section 106 “checklists” to:
 - Create consistency
 - Potentially streamline process
 - Inform Class of Action (COA), made by FTA.

National Environmental Policy Act & Section 106

FTA Responsibilities	Recipient Responsibilities
Provide NEPA & Section 106 Checklists	Provide clear, accurate, and complete NEPA & Section 106 Checklists
Ask for additional information (if applicable)	Describe the Locally Preferred Alternative (LPA, where applicable)
Review environmental documents for technical and legal sufficiency	Provide additional information/documentation (as
Initiate consultation to satisfy Section 106	Notify FTA of any changes to project and/or ALI
Determine NEPA Class of Action	

Office of Planning and Program Development Updates

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