

# FTA

FEDERAL TRANSIT ADMINISTRATION

FTA Region IV  
State Programs Team Annual Meeting  
OFPO Updates  
May 8, 2019

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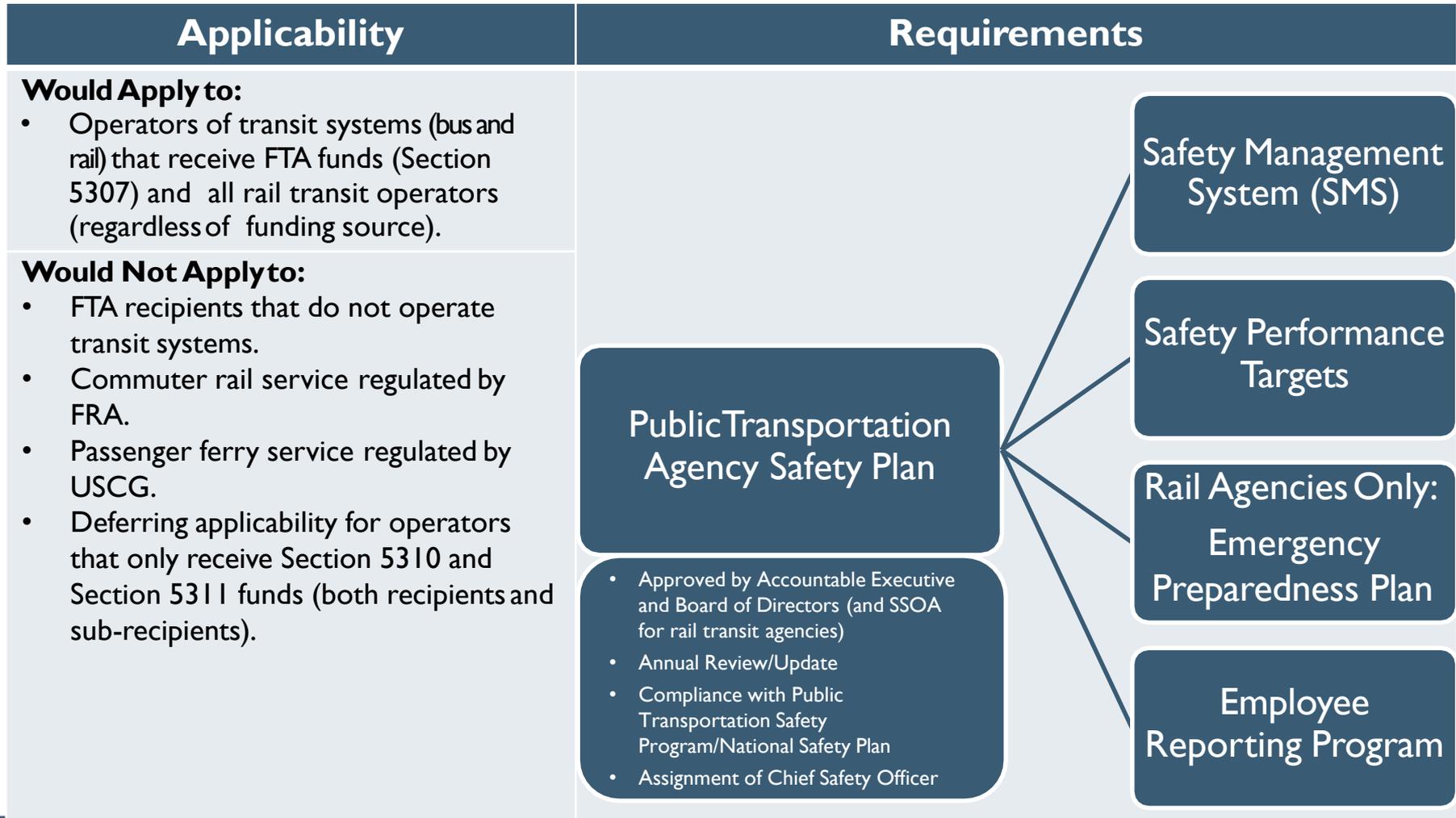


U.S. Department of Transportation  
Federal Transit Administration

# Office of Financial Management and Program Oversight Updates

- Public Transportation Agency Safety Plan
- Emergency Response Reporting
- Oversight Updates

# PTASP Applicability and Requirements



# Safety Plan Development and Implementation

- Rail transit agencies and large bus operators must develop and implement their own safety plans.
- A State must develop a safety plan for small transit agencies within the State that receive 5307 funds, unless the agency chooses to opt out.
  - Large bus operator = Operate greater than 100 vehicles in peak revenue service
  - Small bus operator = Operates 100 or fewer vehicles in peak revenue service

# Safety Plan Development and Implementation

- Discussion!
  - How are states preparing for this new requirement?
  - How many of your small transit agencies are planning to opt out?

# More Information and Follow-up Questions

- Webinars
  - FTA Events: <https://www.transit.dot.gov/about/events>
  - PTASP Website: <https://www.transit.dot.gov/PTASP>
  - PTSCTP Website: <https://www.transit.dot.gov/regulations-and-guidance/safety/safety-training>
- PTASP
  - Questions: [PTASP\\_QA@dot.gov](mailto:PTASP_QA@dot.gov)
- Other questions?
  - Call the TSO Main Number at 202-366-1783

# Emergency Response Reporting



# Emergency Response Reporting

- What is most important before/immediately after the disaster?
  - Status of transit agency (add an estimate restoration of service)
  - Evacuation support (# of passengers/ buses/trips)
  - Agency preparations prior to the incident
  - Fatalities and serious injuries, property damage, and/or personnel impacts
  - Resource needs
  - Any additional information needed for situational awareness

# Emergency Response Reporting

- Entries should follow the below listed guidance:
  - Reduced to very brief bullets
  - Identify if agency is a recipient or sub recipient
  - Plain language
  - Spell out acronyms
  - Tag updates with a date, e.g. (03/04/19)



# Emergency Response Reporting

- After the disaster:
  - Perform damage assessments
  - Develop and keep documentation damages and expenses
  - Potentially Eligible Costs
    - Capital projects to protect, repair, reconstruct, or replace equipment and facilities of a public transportation system that is in danger of suffering serious damage or has suffered serious damage as a result of an emergency
    - Operating costs of public transportation equipment and facilities in an area directly affected by an emergency
  - Be prepared to provide estimated costs to FTA



# ER References and Guidance

- Emergency Relief Program authorization: [49 U.S.C. § 5324](#)
- Emergency Relief Final Rule: [49 CFR Part 602](#)
- [Emergency Relief Manual: A Reference for States & Transit Agencies on Response and Recovery from Declared Disasters and FTA's Emergency Relief Program](#)
- FTA Emergency Relief Program Website:  
<https://www.transit.dot.gov/funding/grant-programs/emergency-relief-program/emergency-relief-program>

# Emergency Response Reporting

- Discussion!
  - Any feedback from last two hurricane seasons?
  - Other ways for the Region to help before/during/after emergencies?

# Oversight Updates

## FY 2019 Region IV Oversight Plan

- 50 Triennial Reviews
- 3 State Management Reviews
- 4 Financial (FMO/AUP/CAP) Reviews
- 9 Technical Assistance
- 6 Targeted Procurement Trainings

# Oversight Updates

- FY 2019 Comprehensive Reviews
  - RIRs: Responses were due on May 2, 2019
  - Site Visits: Contractor will be in touch to coordinate dates
- FY 2019 FTA-sponsored Review Workshops
  - Triennial Review Workshops (San Juan and Atlanta)
    - Tentatively Week of June 17
  - Financial Management Oversight (FMO) and Procurement Systems Review (PSR) Workshops (Atlanta)
    - Tentatively Week of July 23

# Comprehensive Review and Technical Assistance Program (CORTAP)

- Merger the Triennial and State Management Reviews in a single program.
- Increase FTA's flexibility in providing the appropriate level of oversight by:
  - Filling the gaps in existing oversight
  - Adapt to the increasingly complex funding, designation, and operating arrangement between grantees;
- Reduce the review burden for many grantees
- Shift to a more proactive oversight process
- Improve consistency across FTA oversight programs

# Training and Technical Assistance

- Agency desire to take a more proactive approach to program oversight focused on:
  - Improving grantee compliance
  - Capacity building for grantees
- Feedback from grantees and FTA regional staff
- Commitments to the industry to enhance education, training opportunities, and assistance for grantees
- Continued high rate of findings in key compliance areas

# Oversight Updates

- Discussion!
  - Any suggestions for improving FTA Oversight?
  - What other types of training/technical assistance opportunities would you like FTA to provide?

# Office of Financial Management and Program Oversight Updates

FTA Region IV Office of Financial Management and Program  
Oversight

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