Today’s Agenda

- Presidential Transition
- TSO Leadership Changes
- TRACS Member Nomination Status
- Rulemaking Status
- Safety Standards Development
- Safety Management System (SMS) Pilots Status Update
- Resources
- Questions
Presidential Transition

- Our mission remains the same
- FTA leadership waiting to hear from President-Elect Trump’s transition team
TSO Leadership Changes

- Director of WMATA Safety Oversight
  - Angela Dluger
- TSO-I Special Assistant
  - Lynn Spencer
- Acting Deputy Associate Administrator
  - Kimberly Burtch
- Acting Director of the Office of System Safety
  - Candace Key
- Acting Director of the Office of Safety Review
  - Patrick Nemons
## FTA Safety Rulemaking Timeline

<table>
<thead>
<tr>
<th>Regulation</th>
<th>Rulemaking Timeline</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Safety Oversight</td>
<td>NPRM</td>
<td>Final Rule</td>
</tr>
<tr>
<td>49 CFR Part 674</td>
<td>Published: 2/27/2015</td>
<td>Published: 3/16/16</td>
</tr>
<tr>
<td></td>
<td>Comment By: 4/28/2015</td>
<td>Effective: 4/15/16</td>
</tr>
<tr>
<td>Public Transportation Safety Program</td>
<td>NPRM</td>
<td>Final Rule</td>
</tr>
<tr>
<td>49 CFR Part 670</td>
<td>Published: 8/14/2015</td>
<td>Published: 8/11/2016</td>
</tr>
<tr>
<td></td>
<td>Comment By: 10/13/2015</td>
<td>Effective: 9/12/2016</td>
</tr>
<tr>
<td>Transit Asset Management</td>
<td>NPRM</td>
<td>Final Rule</td>
</tr>
<tr>
<td>49 CFR Part 625</td>
<td>Published: 9/30/2015</td>
<td>Published: 7/26/2016</td>
</tr>
<tr>
<td></td>
<td>Comment By: 11/30/2015</td>
<td>Effective: 10/1/2016</td>
</tr>
<tr>
<td>Bus Testing</td>
<td>NPRM</td>
<td>Final Rule</td>
</tr>
<tr>
<td></td>
<td>Comment By: 8/24/2015</td>
<td>Effective: 10/31/2016</td>
</tr>
<tr>
<td>National Public Transportation Safety Program</td>
<td>NPRM</td>
<td>Final Rule in Development</td>
</tr>
<tr>
<td>Certification Training Program</td>
<td>Published: 12/3/2015</td>
<td></td>
</tr>
<tr>
<td>49 CFR Part 692</td>
<td>Comment By: 2/1/2016</td>
<td></td>
</tr>
<tr>
<td>Public Transportation Agency Safety Plan</td>
<td>NPRM</td>
<td>Final Rule in Development</td>
</tr>
<tr>
<td>Safety Plan</td>
<td>Published: 2/5/2016</td>
<td></td>
</tr>
<tr>
<td>National Public Transportation Safety Plan</td>
<td>Proposed National Safety Plan</td>
<td>Final Guidance Document in Development</td>
</tr>
<tr>
<td>49 CFR Part 673</td>
<td>Published: 2/5/2016</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Comment By: 4/5/2016</td>
<td></td>
</tr>
<tr>
<td>Preventing Transit Worker Assault NPRM</td>
<td>NPRM</td>
<td>Under Development</td>
</tr>
<tr>
<td></td>
<td>Expected: 2017</td>
<td></td>
</tr>
</tbody>
</table>
Rulemaking Update
Final Rules
State Safety Oversight (SSO) Final Rule

• Oversight of rail fixed guideway public transportation systems
• SSO Final Rule (49 CFR Part 674) replaces the current SSO rule at 49 CFR Part 659
• SSO Programs must be certified by April 15, 2019

Effective Date: 4/15/16
Interim Safety Certification Training Program Provisions

- Three components
  - Required training program
  - Required Technical Training Plan
  - Voluntary
- Fills in the gap requirements until the National Public Transportation Safety Certification Training Program Final Rule is published

Effective Date: 5/28/15
Public Transportation Safety Program
Final Rule

- Formally establishes FTA’s safety policy - Safety Management Systems (SMS)
- Commits FTA to creating and implementing a National Public Transportation Safety Plan
- Establishes the procedural rules for enforcement of FTA’s safety programs

Effective Date: 9/12/16
Transit Asset Management (TAM) Final Rule

- Requires FTA grantees to develop management plans for their public transportation assets, including vehicles, facilities, equipment, and other infrastructure

Effective Date: 10/1/16
TAM Website

https://www.transit.dot.gov/TAM
Bus Testing Final Rule

- Requires newly procured buses to meet minimum thresholds
- Scoring system that makes it easier to compare similar bus models from different manufacturers
- Better inform local transit agencies as they evaluate and purchase buses for use in their communities

Effective Date: 10/31/2016
Bus Testing Website

On August 1, 2014, FTA announced a final rule for bus testing for improving the process of ensuring the safety and reliability of new transit buses. The rule satisfies requirements in MAP-21 to establish minimum performance standards, a standardized scoring system, and a pass-fail threshold that will better inform local transit agencies as they evaluate and purchase buses.

FTA dedicates the bus testing rule to the memory of our departed colleague, Gregory Rymarz, whose vision, energy and intelligence were instrumental in its creation.

Related Links

- Bus Testing Final Rule
- Bus Testing Final Rule Press Release

Contact Us

Office of Research, Demonstration, and Innovation
Federal Transit Administration
1620 New Jersey Avenue, S.E.
Washington, DC 20590
United States

Phone: 202-366-4052

Business Hours:
9:00am-5:00pm ET, M-F

https://www.transit.dot.gov/research-innovation/bus-testing
Rulemaking Update
Rules Under Development
National Public Transportation Safety Certification Training Program NPRM

- Training program for rail transit system auditing and oversight
- Interim Provisions and NPRM only apply to rail fixed guideway systems
- Bus agency participation is voluntary, but highly encouraged
Public Transportation Agency Safety Plan
NPRM (PTASP or Agency Safety Plan)

- Would require a transit agency to implement an SMS through an Agency Safety Plan
- Would require larger transit agencies to certify they have established and implemented a Plan
- Smaller transit agencies may have State DOT draft and certify a Plan
Proposed National Public Transportation Safety Plan (National Safety Plan)

The Plan is:
• NOT a rule
• FTA’s strategic plan
• Sets safety performance criteria for Agency Safety Plan performance targets
Transit Worker Assault NPRM

• FAST Act requirement
• FTA’s National Online Dialogue on Transit Worker Assault
• NPRM expected in 2017
Safety Standards Development
Safety Standards Development

- **May-June 2016**: FTA and industry completed review of existing safety standards and protocols.
- **December 2016**: FTA will publish report of findings and recommendations.
- **2017**: FTA will look to TRACS to inform development of additional safety standards.
SMS Pilots
SMS Implementation Pilot Program Overview

In partnership with select transit agencies, FTA is developing and testing practical guidance materials and tools to help the transit industry transition to SMS.

Through this program, FTA:

• Provides onsite training, guidance, technical assistance, and expertise on SMS implementation

• Identifies best practices and lessons learned

• Develops insights on how best to support the industry-wide transition to SMS
Leveraging aviation’s SMS expertise

Safety experts from United Airlines have shared their real-world experience implementing and operating an SMS, including employee safety reporting and data visualization.

Chicago Transit Authority (CTA) Pilot

FTA continues to support CTA’s transition to an SMS, applying lessons learned to the development of SMS guidance documents and technical assistance tools for the industry.
SMS Implementation Pilot Program
Status Update

Small and Mid-size Bus Agencies Pilot

FTA initiated an SMS pilot with three small and mid-size bus agencies in Maryland. This pilot is focused on developing and testing SMS guidance documents and technical assistance tools for:

- Bus agencies of all sizes
- Rural transit operators
- Contractor-operated services
Resources to Help You Prepare Now

Read, watch, and participate

- Review FTA’s SMS Framework
- Participate in webinars explaining new regulations and guidance
- Visit FTA’s YouTube page to view previous webinars
  - Read our newsletter TSO Spotlight

Get trained

Through FTA’s safety website:
- Take the SMS Awareness online course
- Sign up for classroom courses
- Request an Individual Training Profile (ITP) – Courses based on your role

Sign up to receive updates

Sign up for GovDelivery
- FTA announcements and new documents
- https://public.govdelivery.com/accounts/USDOTFTA/subscriber/new

Questions? Comments? Email us at FTASafetyStakeholder@dot.gov
TRACS Member Appointment Status

- FTA received 82 applications
- Pending review by FTA Acting Administrator and USDOT Secretary
Proposed New TRACS Tasks

- Rail Communications Phraseology and Techniques
- Medical Fitness for Duty
Questions?