

FEDERAL TRANSIT ADMINISTRATION

Office of Transit Safety and Oversight

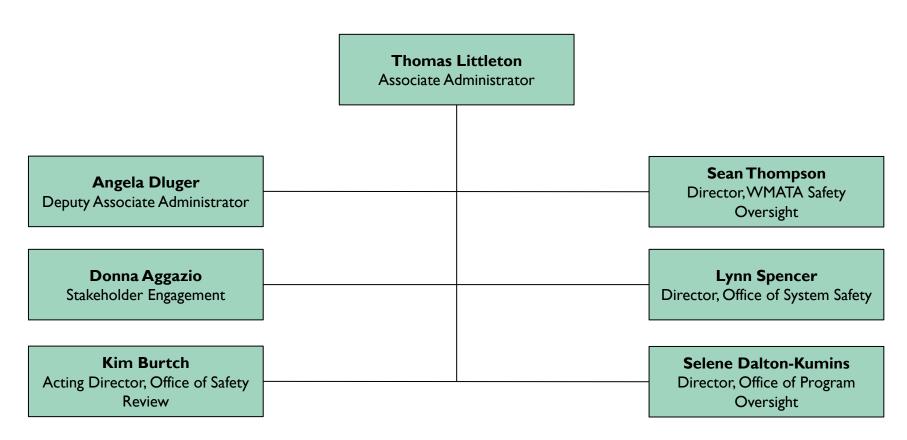
Transit Advisory Committee on Safety

Angela Dluger
Deputy Associate Administrator

December 1, 2015

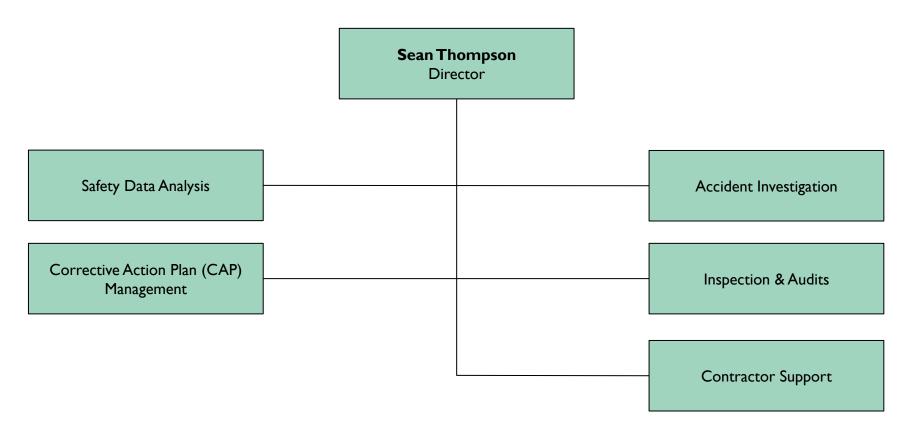


Office of Transit Safety and Oversight (TSO) December 2015





WMATA Safety Oversight (TSO-1.5) December 2015





Office of System Safety (TSO-10) December 2015

Lynn SpencerDirector

Kat Meilahn

Division Chief: Policy and Promotion

- Safety Management Systems
- Training & Technical Assistance
- Rulemaking

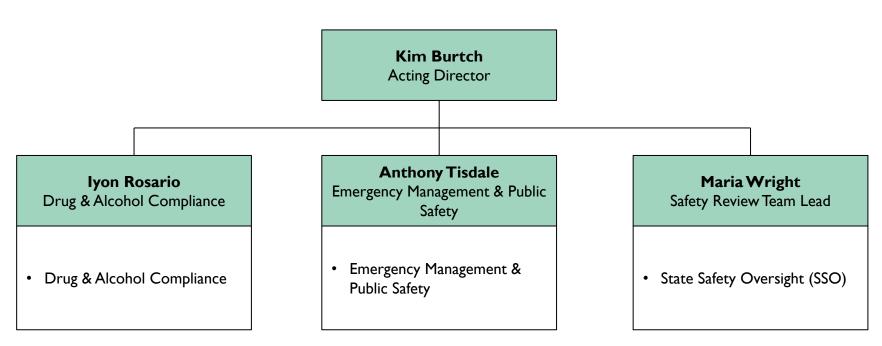
Rick Gerhart

Acting Division Chief: Safety Assurance and Risk Management

- Data Analysis and Reporting
- Accident Investigation/Engineering



Office of Safety Review (TSO-20) December 2015





Office of Program Oversight (TSO-30) December 2015

Selene Dalton-KuminsDirector

Scott Giering

Division Chief: Compliance Programs

- Oversight Review Program Management & Contract Administration
- Technical Assistance & Training

John Bodnar

Division Chief: Performance & Quality Assurance

- Performance Analysis,
 Reporting & Oversight
 Tracking System
- Quality Assurance & Internal Control



Recent FTA Safety Activities

- Conducted First Safety Management Inspection at WMATA and Released Findings and Required Actions (June 2015)
- Issued Dear Colleague Letter on Investigative Authority (July 31, 2015)
- Secretary Foxx directed the FTA to exercise our authority under law and assume temporary and direct safety oversight of Metrorail from the Tri-State Oversight Committee (TOC) (October 9, 2015)
- Readying the release of upcoming guidance and rulemaking documents (Ongoing)



FTA Oversight of WMATA Safety

Background

- WMATA's Metrorail system has experienced a number of safety lapses resulting in serious incidents, injuries and fatalities.
- The TOC lacks sufficient resources, technical capacity and enforcement authority to provide the hands on safety oversight needed.
- In October 9, Secretary Foxx directed the FTA to exercise our authority under law and assume temporary and direct safety oversight of Metrorail from the TOC.

FTA WMATA Safety Oversight

- FTA bridges gap while MD, VA and DC are responsible under federal law for reestablishing a new SSO for Metrorail.
- FTA's three goals in assuming direct safety oversight responsibility
 - 1) Improve Metrorail safety;
 - 2) Direct the TOC in its core safety oversight duties while assuming the lead role for key functions of the Metrorail State Safety Oversight Program and
 - 3) Support an expedited effort led by VA, MD and DC to establish a new, fully compliant, staffed, resourced and capable SSOA to replace the TOC, asap.



FTA Oversight of WMATA Safety

Washington Post Op-Ed by Secretary Foxx:

We all want Metro Fixed - and fast

— https://www.washingtonpost.com/opinions/we-all-want-metro-fixed--and-fast/2015/10/29/92d39df6-7cdd-11e5-beba-927fd8634498_story.html

WMATA Corrective Action Plan (CAP) Tracking Table

– http://www.fta.dot.gov/tso_I6628.html



FTA Investigative Authority

Statute allows us to:

- Investigate, inspect, examine, audit and test
- Obtaining factual information
- Issuing reports and directives
- Issuing subpoenas and taking depositions; requiring production of documents

How investigators interact with the transit industry

How different from the NTSB



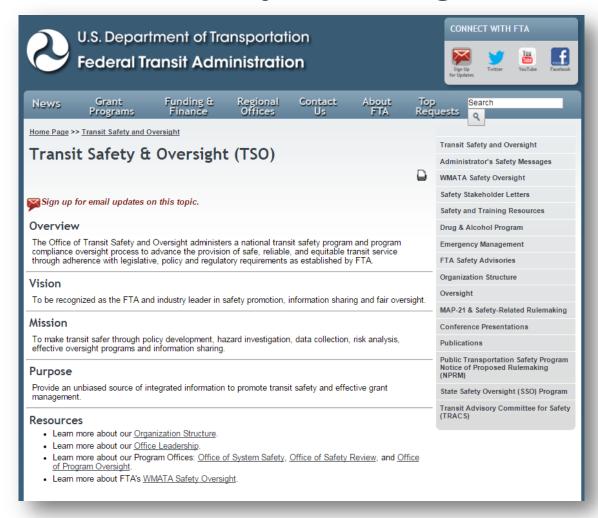
RULE **Public Transportation Safety Program** Establishes FTA's Safety Oversight Authority and program of requirements for most recipients of FTA funding • Establishes FTA's commitment to a Safety Management System (SMS) approach Requires FTA to create National Public Transportation Safety Plan to communicate with public transit industry Formalizes a comprehensive framework for safe public transportation through 4 program components: RULE **National Public National Public Transportation** Transportation Safety Plan Safety Certification Training • Safety vision, mission, goals Program • Performance management criteria and guidance • Safety Risk Management practices and procedures Federal/State employees (or their SMS implementation guidance designees) who conduct audits and Best practices and safety plan templates examinations of public transportation Top Safety Priorities Safety Standards systems Personnel of public transportation systems who have direct responsibility GUIDANCE for safety oversight at their system RULE RULE **Public Transportation Agency Safety Plans** State Safety Oversight (SSO) Program Identifies: Methods for identifying and • Oversees the agency safety plan for **TRANSIT** evaluating safety risk the rail transit systems • Framework for agencies to identify Investigates and enforces plan strategies for minimizing public AGENCIES Audits rail transit systems exposure to unsafe conditions Reports on safety status of each Performance targets and criteria transit rail agency based on criteria established in the National Safety Plan • Requires training for personnel responsible for safety SAFER PUBLIC TRANSPORTATION

Safety Rulemaking Timeline

| MAP-21 Requirements | Federal Register Publication |
|---|--------------------------------------|
| Transit Asset Management NPRM | Published 9/30/15 |
| Public Transportation Safety Program NPRM | Published 8/14/15 Reviewing Comments |
| National Public Transportation Safety Plan | Early 2016 |
| Public Transportation Agency Safety Plan NPRM | Early 2016 |
| Safety Certification Training Program NPRM Interim Provisions | Published 12/3/15 Effective 5/28/15 |
| Bus Testing NPRM | Published 6/23/15 |
| State Safety Oversight Final Rule Proposed Rule | Early 2016 Published 2/27/15 |



FTA Transit Safety & Oversight Website





Questions?

For further information:

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