



U.S. Department
of Transportation
**Federal Transit
Administration**

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REGION 10 BULLETIN NO: 2011-11

Subject: FY 2011 Apportionments, Allocations, and Program Notice

Program Area: Notice

Date: February 8, 2011

The Federal Transit Administration (FTA) annually publishes one or more notices apportioning funds appropriated by law. In some cases, if less than a full year of funding is available; FTA publishes multiple partial apportionment notices. This notice is the first notice announcing partial apportionment of Fiscal Year (FY) 2011 formula funds. It also provides program guidance and requirements; and provides information on several program issues important in the current fiscal year. The notice also includes tables that show certain unobligated (carryover) funding discretionary programs from previous years that will be available for obligation during FY 2011.

FTA's current authorization, the Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) expired September 30, 2009. Since that time, Congress has enacted short-term extensions allowing FTA to continue its current programs. Most recently, the Continuing Appropriations and Surface Transportation Extensions Act, 2011, *as amended*, (Pub. L. 111-322, Div. C), continues the authorization of the Federal transit programs of the U.S. Department of Transportation (DOT) through March 4, 2011. It extends contract authority for programs in the Formula and Bus Grants account provided in the previous authorization extension Hiring Incentives to Restore Employment Act (Pub. L. 111-147) until March 4, 2011, *i.e.*, approximately 5/12th of the contract authority available in FY 2010.

This document apportions approximately \$3 billion in FY 2011 funds made available under the Continuing Appropriations and Surface Transportation Extensions of Act 2011, *as amended*, hereinafter, ("CR, 2011") among potential program recipients according to statutory formulas in 49 U.S.C. Chapter 53. This is in addition to over \$4.2 billion existing in unobligated formula funds available from prior years. The notice includes FY 2011 formula funds that are currently available, which is approximately 5/12 or 42.47 percent of the amounts that were available under the Consolidated Appropriations Act, 2010 (Pub. L. 111-117). The notice does not include any extension or reprogramming of any discretionary funds that lapsed to the designated project as of September 30, 2010. FTA will issue a supplemental notice at a later date for any additional increments of formula and discretionary funds that become available.

For each FTA program included in this notice, we have provided relevant information on the FY 2011 funding currently available, program requirements, period of availability, and other related program information and highlights, as appropriate. A separate section of the document provides information on program requirements and guidance that are applicable to all FTA programs.

The full Federal Register notice may be found at

http://www.fta.dot.gov/documents/FY_2011_CR_App_notice.pdf. **Please note that because of formatting errors occurring in tables that were published with the Federal Register, which may be confusing, check out**

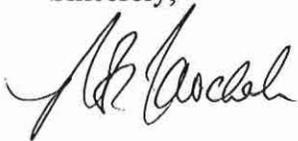
http://www.fta.dot.gov/funding/apportionments/grants_financing_12353.html **where the individual tables are listed separately in Excel spreadsheet format.** The program guidance circulars previously identified in this document may be accessed via the FTA Web site at http://www.fta.dot.gov/laws/leg_reg_circulars_guidance.html. In addition, copies of the following circulars and other useful information are available on the FTA Web site and may be obtained from FTA regional offices; Circular 4220.1F, “Third Party Contracting Guidance,” and Circular 5010.1D, “Grant Management Guidelines.” Both circulars were recently revised and can be found at the above guidance web site. The FY 2011 Annual List of Certifications and Assurances and Master Agreement are posted at http://www.fta.dot.gov/funding/apply/grants_financing_12194.html.

The DOT final rule on “Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs,” which was effective July 16, 2003, can be found at http://www.access.gpo.gov/nara/cfr/waisidx_04/49cfr26_04.html/.

For general information about this notice contact Kimberly Sledge, Team Leader, Transit Program Management Team, at 202-366-2053. An FTA headquarters contact for each major program area is included in the discussion of that program in the text of the notice.

For any specific requests for information or technical assistance, contact Region 10’s Linda Gehrke at 206-220-4463 or email linda.gehrke@dot.gov.

Sincerely,



R.F. Krochalis
Regional Administrator