

# FTA

FEDERAL TRANSIT ADMINISTRATION

## 2016 APTA Annual Meeting

### Safety Rulemaking and SMS Implementation Pilot Program

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# FTA

FEDERAL TRANSIT ADMINISTRATION

# Today's Agenda

- Rulemaking Update
- Safety Management System (SMS) Pilot Program
- Resources
- Questions

# Rulemaking Update

# Rulemaking Timeline

Regulation	Rulemaking Timeline	Status
<b>Public Transportation Safety Program</b> <b>49 CFR Part 670</b>	<b>NPRM</b> <b>Published: 8/14/2015</b> <b>Comment By: 10/13/2015</b>	<b>Final Rule</b> <b>Published: 8/11/2016</b> <b>Effective: 9/12/2016</b>
<b>State Safety Oversight</b> <b>49 CFR Part 674</b>	<b>NPRM</b> <b>Published: 2/27/2015</b> <b>Comment By: 4/28/2015</b>	<b>Final Rule</b> <b>Published: 3/16/16</b> <b>Effective: 4/15/16</b>
<b>Public Transportation Agency Safety Plan</b> <b>49 CFR Part 673</b>	<b>NPRM</b> <b>Published: 2/5/2016</b> <b>Comment By: 4/5/2016</b>	<b>Final Rule in Development</b>
<b>National Public Transportation Safety Plan</b> <b>49 CFR Part 673</b>	<b>Proposed National Safety Plan</b> <b>Published: 2/5/2016</b> <b>Comment By: 4/5/2016</b>	<b>Final Guidance Document in Development</b>
<b>National Public Transportation Safety Certification Training Program</b> <b>49 CFR Part 692</b>	<b>NPRM</b> <b>Published: 12/3/2015</b> <b>Comment By: 2/1/2016</b>	<b>Final Rule in Development</b>
<b>Preventing Transit Worker Assault NPRM</b>	<b>NPRM</b> <b>Expected: 2017</b>	
<b>Bus Testing</b> <b>49 CFR Part 665</b>	<b>NPRM</b> <b>Published: 6/23/2015</b> <b>Comment By: 8/24/2015</b>	<b>Final Rule</b> <b>Published: 8/1/2016</b> <b>Effective: 10/31/2016</b>
<b>Transit Asset Management</b> <b>49 CFR Part 625</b>	<b>NPRM</b> <b>Published: 9/30/2015</b> <b>Comment By: 11/30/2015</b>	<b>Final Rule</b> <b>Published: 7/26/2016</b> <b>Effective: 10/1/2016</b>

# Public Transportation Safety Program

RULE

SMS

National Public Transportation Safety Plan  
GUIDANCE

National Public Transportation Safety Certification Training Program

Public Transportation Agency Safety Plans

State Safety Oversight (SSO) Program

TRANSIT AGENCIES



SAFER PUBLIC TRANSPORTATION



RULE

RULE

RULE

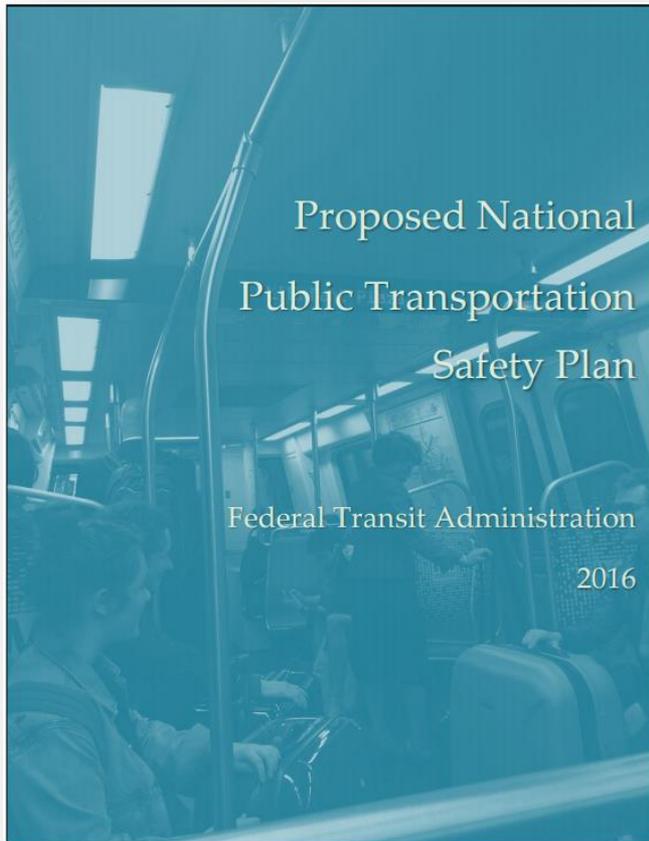


# Public Transportation Safety Program Final Rule



- Formally establishes FTA's safety policy - Safety Management Systems (SMS)
- Commits FTA to creating and implementing a National Public Transportation Safety Plan
- Establishes the procedural rules for enforcement of FTA's safety programs

# Proposed National Public Transportation Safety Plan (National Safety Plan)



The Plan is:

- NOT a rule
- FTA's strategic plan
- Sets safety performance criteria for Agency Safety Plan performance targets

# Public Transportation Agency Safety Plan NPRM (PTASP or Agency Safety Plan)



- Would require a transit agency to implement an SMS through an Agency Safety Plan
- Would require larger transit agencies to certify they have established and implemented a Plan
- Smaller transit agencies may have State DOT draft and certify a Plan

# National Public Transportation Safety Certification Training Program NPRM



- Training program for rail transit system auditing and oversight
- Interim Provisions and NPRM only apply to rail fixed guideway systems
- Bus agency participation is voluntary, but highly encouraged

# State Safety Oversight (SSO) Final Rule



- Oversight of rail fixed guideway public transportation systems
- SSO Final Rule (49 CFR Part 674) replaces the current SSO rule at 49 CFR Part 659
- SSO Programs have to be certified by April 15, 2019

# Preventing Transit Worker Assault NPRM



- FAST Act requirement
- FTA's Online Dialogue on Operator Assault NPRM
- NPRM expected in 2017

# SMS Pilot Program

# SMS Implementation Pilot Program Overview

**In partnership with select transit agencies, FTA is developing and testing practical guidance materials and tools to help the transit industry transition to safety management systems (SMSs).**

Through this program, FTA:

- Provides onsite training, guidance, technical assistance, and expertise on SMS implementation;
- Identifies best practices and lessons learned; and
- Develops insights on how best to support the industry-wide transition to SMSs.

# SMS Implementation Pilot Program Status Update

## Chicago Transit Authority (CTA) Pilot

FTA continues to support CTA's transition to an SMS, applying lessons learned to the development of SMS guidance documents and technical assistance tools for the industry.

## Leveraging aviation's SMS expertise

Safety experts from United Airlines have shared their real-world experience implementing and operating an SMS, including employee safety reporting and data visualization.



# SMS Implementation Pilot Program Status Update

## Small and Mid-size Bus Agencies Pilot

FTA initiated an SMS pilot with three small and mid-size bus agencies in Maryland. This pilot is focused on developing and testing SMS guidance documents and technical assistance tools for:

- Bus agencies of all sizes
- Rural transit operators
- Contractor-operated services



Montgomery County, MD



Frederick County, MD



Charles County, MD

# Resources to Help You Prepare Now

## Read, watch, and participate

- Review FTA's *SMS Framework*
- Participate in webinars explaining new regulations and guidance
- Visit FTA's YouTube page to view previous webinars
- Read our newsletter *TSO Spotlight*

## Get trained

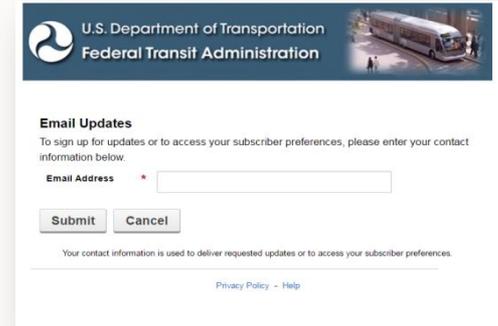
Through FTA's safety website:

- Take the *SMS Awareness* online course
- Sign up for classroom courses
- Request an Individual Training Profile (ITP)
  - Courses based on your role

## Sign up to receive updates

Sign up for GovDelivery

- FTA announcements and new documents
- <https://public.govdelivery.com/accounts/USDOTFTA/subscriber/new>



The screenshot shows the FTA GovDelivery sign-up page. At the top, it features the U.S. Department of Transportation Federal Transit Administration logo and a small image of a bus. Below the logo, the text reads "Email Updates" and "To sign up for updates or to access your subscriber preferences, please enter your contact information below." There is a text input field for "Email Address" with a red asterisk indicating it is required. Below the input field are "Submit" and "Cancel" buttons. At the bottom, there is a small line of text: "Your contact information is used to deliver requested updates or to access your subscriber preferences." and a link for "Privacy Policy - Help".

Questions? Comments? Email us at [FTASafetyStakeholder@dot.gov](mailto:FTASafetyStakeholder@dot.gov)

# Questions?

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