



FEDERAL TRANSIT ADMINISTRATION

2016 SSO Program Workshop

Safety Rulemaking Updates

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FEDERAL TRANSIT ADMINISTRATION

Agenda

- Moving Ahead for Progress in the 21st Century Act (MAP-21) and Fixing America's Surface Transportation (FAST) Act
- Rulemaking Timeline
- Public Transportation Safety Program Framework and FTA Safety Rules/Guidance

MAP-21 (2012) and FAST Act (2015): What's Changed?

- Explicit authority to issue nationwide safety directives and prohibit/restrict operations
- Requires the National Public Transportation Safety Plan to include minimum safety standards (other than vehicle performance standards)
- Requires FTA to conduct a review of public transportation safety standards and protocols, and issue a report with recommendations and actions to improve the safety of the public transportation industry
- Requires a study and report on evidentiary protection for safety program data
- Requires an NPRM on transit driver safety and risk of assault

Rulemaking Timeline

MAP 21/FAST Act Requirements	Federal Register Publication
Public Transportation Safety Program NPRM	Published 8/14/15 Final Rule under development
Proposed National Public Transportation Safety Plan	Published 2/5/16
Public Transportation Agency Safety Plan NPRM	Published 2/5/16
Interim Provisions Safety Certification Training Program NPRM	Effective 5/28/15 Final Rule under development
State Safety Oversight Final Rule	Published 3/16/16
Operator Assault NPRM	Under Development Statutory due date March 2017

Public Transportation Safety Program

RULE

National Public
Transportation Safety Plan
GUIDANCE

National Public Transportation
Safety Certification Training
Program

RULE

Public Transportation
Agency Safety Plans

RULE

State Safety Oversight (SSO)
Program

RULE

**TRANSIT
AGENCIES**

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Public Transportation Safety Program NPRM

- “Umbrella” document for all of the other Safety rulemakings and guidance
- Formally establishes FTA’s safety policy - Safety Management Systems (SMS)
- Creates FTA procedures for inspections, investigations, audits, examinations, and testing of facilities, equipment, rolling stock, and public transit system operations
- Sets rules of practice under FTA’s enforcement authority, including the issuance of directives or advisories
- Commits FTA to creating and implementing a National Public Transportation Safety Plan

Proposed National Public Transportation Safety Plan

It is not a rule

- It is...
 - FTA's strategic plan for improving transit safety performance
 - A policy document
 - A communication tool
 - A repository of standards, guidance, best practices, tools, technical assistance, and other resources

Public Transportation Agency Safety Plan NPRM

- The proposed rule would require an operator to develop and implement an SMS-based Agency Safety Plan
- Minimum requirements for each plan:
 - Plan approval;
 - Safety risk identification and evaluation;
 - Minimizing exposure to hazards;
 - Annual review and update of the plan;
 - Performance targets;
 - An adequately trained Safety Officer; and
 - A comprehensive training program.

National Public Transportation Safety Certification Training Program NPRM

- Authorizes a training program for Federal and State employees who conduct safety audits of public transportation system, as well as public transit employees who are directly responsible for safety oversight
- The Interim Provisions and NPRM only apply to rail fixed guideway systems. Bus participation is voluntary, but highly encouraged

FTA Training Website



The screenshot shows the homepage of the FTA Training Website. At the top, the Federal Transit Administration logo and name are displayed, along with the Office of Transit Safety & Oversight. Navigation links for 'Register Now' and 'Login' are present. A dark navigation bar contains links for 'WELCOME', 'RESOURCES', 'TRAINING', 'MAPS', 'EVENTS', 'CONTACT FTA', and 'HELP'. The main content area features a 'Welcome to FTA Safety' message, a search bar, and a 'Disclaimer' link. A central call-to-action box asks if the user needs an Individual Training Profile, providing four options: State Oversight Employees, Transit Agency Employees, Federal Agency Employees, and Bus and Voluntary Personnel. A 'Register with Website' button is prominently displayed. Navigation arrows for 'Previous' and 'Next' are also visible. Below the call-to-action box, a link to 'Register now for full site access' and a 'Download slideshow transcript' link are provided.

Federal Transit Administration
Office of Transit Safety & Oversight

Register Now Login

WELCOME RESOURCES TRAINING MAPS EVENTS CONTACT FTA HELP

Welcome to FTA Safety

Search all resources Go

Disclaimer

Do you need an Individual Training Profile as required by FTA's Safety Certification Training Program?

Select from the four agency types to find out if you need an Individualized Training Profile.

State Oversight Employees

Transit Agency Employees

Federal Agency Employees

Bus and Voluntary Personnel

Register with Website

◀ Previous

Next ▶

Register now for full site access

Download slideshow transcript

Operator Assault NPRM

- FAST Act requires NPRM on transit driver/operator safety and risk of assault
- Transit Advisory Committee for Safety (TRACS) delivered report on best practices and recommendations for preventing and mitigating assaults
- Next step - issue NPRM on protecting drivers/operators from risk of assault, considering
 1. Different safety needs of drivers of different modes
 2. Differences in operating environments
 3. Use of technology to mitigate driver assault risks
 4. Existing experience of agencies and operators that already are using or testing driver assault mitigation infrastructure
 5. Impact of the rule on future rolling stock procurements and vehicles currently in revenue service

State Safety Oversight (SSO) Program

- The SSO final rule strengthens the existing SSO program, providing for:
 - Greater State oversight responsibility
 - FTA approval of each State's SSO program standard
 - FTA authority to impose penalties on States with non-existent or non-compliant safety oversight programs
 - SSO Final Rule (49 CFR Part 674) replaces the current SSO rule at 49 CFR Part 659
 - Stronger SSOA authority to investigate accidents and oversee implementation of a System Safety Program Plan and Public Transportation Agency Safety Plan



Public Transportation Safety Program

- Establishes FTA's Safety Oversight Authority and program of requirements for most recipients of FTA funding
- Establishes FTA's commitment to a Safety Management System (SMS) approach
- Requires FTA to create National Public Transportation Safety Plan to communicate with public transit industry
- Formalizes a comprehensive framework for safe public transportation through 4 program components:

National Public Transportation Safety Plan

- Safety vision, mission, goals
- Performance management criteria and guidance
- Safety Risk Management practices and procedures
- SMS implementation guidance
- Best practices and safety plan templates
- Top Safety Priorities
- Safety Standards

GUIDANCE

National Public Transportation Safety Certification Training Program

- Federal/State employees (or their designees) who conduct audits and examinations of public transportation systems
- Personnel of public transportation systems who have direct responsibility for safety oversight at their system

Public Transportation Agency Safety Plans

Identifies:

- Methods for identifying and evaluating safety risk
- Framework for agencies to identify strategies for minimizing public exposure to unsafe conditions
- Performance targets and criteria based on criteria established in the National Safety Plan
- Requires training for personnel responsible for safety

TRANSIT AGENCIES

State Safety Oversight (SSO) Program

- Oversees the agency safety plan for the rail transit systems
- Investigates and enforces plan
- Audits rail transit systems
- Reports on safety status of each transit rail agency

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Questions?

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