



Oversight Procedure 56 - Letter of No Prejudice Review

1.0 PURPOSE

The purpose of this Oversight Procedure is to describe the review, analysis and recommended procedures and reporting requirements that the Federal Transit Administration (FTA) expects from the Project Management Oversight Contractor (PMOC) regarding the advancement of project activities that are not otherwise subject to automatic pre-award authority prior to execution of a Full Funding Grant Agreement (FFGA) or Small Start Grant Agreement (SSGA).

2.0 BACKGROUND

Letter of No Prejudice (LONP) or Early Systems Work Agreement (ESWA) may be issued following the completion of all required reviews under the National Environmental Policy Act of 1969 (NEPA). It is frequently in the best interests of projects to advance certain activities prior to the full execution of the FFGA or SSGA. Advancing activities that are on the project schedule critical path and that may require an extended period of time to complete may lead to significant cost savings and may reduce the potential for schedule delays later in the project.

The LONP permits a Project Sponsor to incur costs on a project using non-federal resources with the understanding that the costs incurred after the LONP may be reimbursable as eligible expenses or may be eligible for credit toward local matching share if the project is approved for federal funding at a later date.

Unlike an LONP, an ESWA obligates an amount of available budget authority specified in law. An ESWA covers a period of time the FTA considers appropriate; the period may extend beyond the period of current authorization. If a Project Sponsor does not carry out the project for reasons within its control, the Project Sponsor must repay all government payments made under the ESWA plus reasonable interest and penalty charges the FTA establishes in the agreement.

FTA Policy and Procedures for FY 2013 Grants, 77 Federal Register 200 (October 16, 2012), Section V (B), pages 63701-63703 describes the requirements that Project Sponsors seeking an LONP from FTA must meet.

In addition, FTA Policy and Procedures for FY 2013 Grants specifies that a written request accompanied by sufficient information and justification be delivered to the appropriate FTA regional office. FTA Policy and Procedures for FY 2011 Grants lists the following information to be included with the request:

- Description of the activities to be covered by the LONP or ESWA.

- Justification for advancing the identified activities. The justification should include an accurate assessment of the consequences to the project scope, schedule, and budget should the LONP not be approved.
- Allocated level of risk and contingency for the activity requested.
- Status of procurement progress, including, if appropriate, submittal of bids for the activities covered by the LONP or ESWA.
- Strength of the capital and operating financial plan for the New or Small Starts project and the future transit system.
- Adequacy of the Project Management Plan.
- Resolution of any readiness issues that would affect the project, such as land acquisition and technical capacity to carry out the project.

Review of the Project Sponsor's compliance with these requirements and readiness to advance the proposed activities is part of FTA's due diligence review prior to issuing a LONP or ESWA. These reviews protect FTA's interests by providing a final check that required activities have been completed and required project resources are available. The LONP review for all projects is an update of any prior readiness reviews and risk assessments that ensures proposed spending before grant agreement is a prudent and cost-effective investment of local funds and future federal funds. An ESWA review is more rigorous and is similar to what is required in advance of an FFGA.

3.0 OBJECTIVES

The objective of the review of readiness for an LONP is to verify that the project will maintain its eligibility for the reimbursement of incurred costs. This reimbursement may be with federal funds or crediting of expended funds toward the required local match. The objective of the review of readiness for an ESWA is to verify that the project sponsor is advancing the project in accordance with all approved project management plans and other applicable requirements and that the project is likely to complete the requirements necessary for an FFGA. The review is intended to confirm that:

- All required conditions for issuance of the LONP or ESWA have been completed and the associated documents are complete, accurate and meet all federal requirements;
- The project definition is sufficiently advanced to support proceeding with the proposed activities with minimal risk that changes to either the early work or the remaining construction will be necessary;
- Advancing the proposed activities is justified in terms of controlling project costs, maintaining the project schedule and/or reducing the potential for schedule delays; and/or mitigating potential project risks; and
- The Project Sponsor has sufficient project management capacity and capability to effectively carry out the proposed activities while completing the other work needed to prepare the project for execution of the FFGA.
- The Project Sponsor has identified adequate financial resources to fund the requested activities prior to issuance of an FFGA or SSGA.

4.0 REFERENCES

The following are the principal, but by no means the only, references to federal statutes, legislation, Executive Orders, regulation and guidance with which the PMOC should review and be familiar with

in order to develop a solid understanding of the requirements related to the Project Sponsor's project work being reviewed under this OP:

4.1 Statutes and Legislation

- Intermodal Surface Transportation Efficiency Act of 1991, Pub. L. No. 102-240, 105 Stat. 1914, Dec. 18, 1991 (codified as amended by Pub. L. 103-272, 108 Stat. 745, July 5, 1994, in scattered sections of 49 and 23 United States Code).
- Transportation Equity Act for the 21st Century (TEA-21), Pub. L. No. 105-178, June 9, 1998, as amended by the TEA-21 Restoration Act, Pub.L. No. 105-206, June 22, 1998.
- The Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Pub. L. 109-59.
- Moving Ahead for Progress in the 21st Century Act (MAP-21), Pub. L. 112-141, effective October 1, 2012.
- National Environmental Policy Act of 1969, as amended, 42 U.S.C. §§ 4321 *et seq.*
- Section 106 of the National Historic Preservation Act, 16 U.S.C. § 470f.
- Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, 42 U.S.C. §§ 4601 *et seq.*
- Federal highway and surface transportation laws, Title 23, United States Code.
- FTA enabling statutes, 49 U.S.C. Chapter VI

4.2 Regulations

- Project Management Oversight, 49 C.F.R. Part 633
- Major Capital Investment Projects, 49 C.F.R. Part 611
- Joint FTA/FHWA regulations, Metropolitan Planning, 23 C.F.R. Part 450
- Joint FTA/FHWA regulations, Environmental Impact and Related Procedures, 23 C.F.R. Part 771
- EPA regulations, "Determining Conformity of federal Actions to State or Federal Implementation Plans," 40 C.F.R. Part 93.
- U.S. DOT regulation, Uniform Relocation Assistance and Real Property Acquisition for Federal and Federally Assisted Programs, 49 C.F.R. Part 24
- FTA regulations, "Pre-Award and Post-Delivery Audits of Rolling Stock Purchases," 49 C.F.R. Part 663; and FTA Disposition of Inquiries, "Pre-Award and Post-Delivery Audits of Rolling Stock Questions and Answers," 57 *Fed. Reg.* 10834 (1992).
- Buy America Requirements, 49 C.F.R. Part 661
- "FTA Policy and Procedures for FY 2011 Grants," 76 Federal Register 26, Part IV, page 6970, (February 8, 2011)
- "FTA Policy and Procedures for FY 2013 Grants," 77 Federal Register 200, Section V (B), pages 63701-63703 (October 16, 2012).

4.3 FTA Circulars

- FTA Circular 9300.1A Capital Program, Grant Application Instructions, November 1, 2008.
- C4220.1F, Third Party Contracting Requirements
- C5010.1C, Grant Management Guidelines, November 1, 2008
- FTA Master Agreement
- C5800.1, Safety and Security Management Plan, August 1, 2007

- FTA Circular 5200.1, "Full Funding Grant Agreements Guidance," December 5, 2002.

4.4 Guidance

- Guidance for Transit Financial Plans, June 2000
- Quality Assurance and Quality Control Guidelines
- Project and Construction Management Guidelines,
- Value Engineering Process Overview, January 1998
- New Starts Letter of No Prejudice Checklist (latest version). Note: Pre-MAP-21 version (2008) attached as Appendix B

5.0 PROJECT SPONSOR SUBMITTALS

In advance of performing the review, the PMOC should study the project documents listed below. Electronic files should be obtained in native format to allow the PMOC to confirm the accuracy and consistency of calculations. The PMOC should notify FTA of important discrepancies in the project information that would hinder the review. An example would be a mismatch between drawings and cost estimate in which the drawings are current and the cost estimate is not.

- Scope / Project Definition
 - Final environmental documents and NEPA determination (CE, FONSI or ROD)
 - Basis of Design Report, Design Criteria Reports
 - Latest versions of Project Design Drawings, Design Criteria, Standards and Specifications
 - Master Permitting Plan and Schedule
 - Geotechnical Baseline Report
 - Passenger level boarding design documents
 - Transit capacity and operating plan
- Project Management Plan and sub-plans completed including but not limited to:
 - Project Management Capacity and Capability Plan including Project Staffing Plans (focused on staffing for the proposed activities to be advanced)
 - Real Estate Acquisition and Management Plan (RAMP) if real estate will be acquired
 - Rail and/or Bus Fleet Management Plan (RFMP, BFMP) if rolling stock will be acquired
 - List of inter-governmental and third-party agreements accompanied by signed agreements with jurisdictions, railroads, utilities, other third parties for the work to be advanced or performed
 - Risk Assessment, Risk and Contingency Management Plan, current Risk Register
 - Project Delivery Plan, Contract Packaging Plan, Procurement Policies and Procedures
 - Quality Management Plan
- Schedule
 - Schedule Basis and Assumptions or Basis of Schedule Report
 - Cost and resource loaded project schedule in original and SCC format; schedule narrative describing critical path and near-critical activities, expected durations, and logic
- Cost
 - Capital Cost Basis and Assumptions or Basis of Cost Report

- Latest version of the capital cost estimate in original and SCC format, including all cost categories

The PMOC should also obtain and review the results of the most recent oversight reviews conducted prior to the project's advancement to the current phase of project development, including:

- Project Management Plan Review (OP 20)
- Project Sponsor Project Management Capacity and Capability Review (OP 21)
- Real Estate Acquisition and Management Plan Review (OP 23)
- Project Scope Review (OP 32C)
- Project Delivery Method Review (OP 32D)
- Capital Cost Review (OP 33)
- Project Schedule Review (OP 34)
- Risk and Contingency Management Review (OP 40)
- Readiness Reviews (OP 50 – 54)
- Small Starts Readiness Review (OP 60, if applicable)
- Copies of Monthly Oversight Reports (OP 25)

6.0 SCOPE OF WORK

The scope of this procedure is to confirm that the project definition and all of the documentation and analysis required to advance the project is developed to assure that the proposed activities can be cost-effectively advanced prior to the execution of an FFGA or SSGA with minimal risk. In addition, the review should confirm whether the Project Sponsor has the project management capacity and capability to effectively manage the proposed activities while continuing to advance the remainder of the project in preparation for the FFGA or SSGA. The review should focus on those components of the project that the Project Sponsor seeks to advance.

The usefulness of the review is based on the perception and judgment of the reviewers. Ideally, they should be senior technical managers qualified to actually perform the work being reviewed. Because transit projects are complex and interdisciplinary in nature, the reviewers should have a broad range of knowledge, experience and capabilities. Right-of-way documentation should be reviewed by professionals with right-of-way experience, utility plans and agreements should be reviewed by professionals with experience in utility engineering and coordination, early construction of structures or other specific elements should be reviewed by individuals with experience in those disciplines, and vehicle documentation should be reviewed by individuals with vehicle design and procurement experience. This review is divided into three areas described in tables below. Review items may be modified to accommodate the particular circumstances associated with a project and the activities that the Project Sponsor seeks to advance.

- 1) Confirmation of the justification for advancing the proposed activities and the Project Sponsor's readiness for early Engineering work or bidding/procurement of the design activities or contract packages for the components of the project to be advanced, including plans, specifications, and contract provisions;
- 2) Confirmation that the proposed activities are consistent with the Project Management Plan and relevant sub-plans and NEPA requirements with respect to scope, schedule, and budget;

3) Confirmation of the readiness of the Project Sponsor's organization with respect to having in place the necessary qualified project staff; consistent project management plans, procurement and construction management procedures; needed interagency, third party, and real estate agreements; and required financial resources to undertake the proposed activities.

The following specific reviews are designed to confirm the readiness for advancement of early engineering activities for the bidding/procurement of the proposed activities or contract packages:

Review Item	Review Objective	Review Method
Justification for advancement of the proposed activities prior to FTA's approval to enter the next phase or award of a Grant Agreement	Confirm that advancing the proposed elements of the project are justified by the potential time and cost savings.	Review by qualified professionals of the proposed activities and the Project Sponsor's justification for advancing the activities.
Construction Plans and Specifications (Utility Plans and Specifications)	Confirm that the Plans and Specifications completely and clearly define the required work.	Review by qualified engineer(s) with expertise in the area(s) of design.
Design, Construction or Procurement Contracts	Confirm that the Contract(s) completely and clearly defines the terms and conditions under which the Work will be performed and that all permits, real estate and other requirements will be in-place to void future claims.	Review by a person or contract administrator with experience in managing construction or procurement contracts of similar scope and complexity.
Quality assurance records and Quality Management Plans	Confirm that quality assurance checks and reviews of the design have been performed in accordance with the approved Quality Assurance Plan and that sufficient Quality Management Procedures are established for the proposed activities to be advanced.	Review by a person with experience in performing quality assurance reviews or audits.
Cost Estimate	Confirm that the estimate for the proposed activities is consistent with (1) the overall project cost estimate, and (2) the Plans, Specifications, and Contract General and Special Conditions, and that it is based upon contemporary cost information.	Review by a cost estimator with experience in estimating work of the proposed type, including the estimation of the construction cost impacts of contract special provisions related to risk transfer and construction limitations.

The following reviews and comparisons provide confirmation that the project and proposed activities to be advanced are consistent with the Environmental Documents and previously accepted project management plans:

Review Item	Review Objective	Review Method
Contract Plans and Specifications; Utility Plans and Specifications; special contract conditions; and Right-of-Way	Confirm that the work to be performed or constructed and the right-of-way to be acquired comply with the Environmental	Comparison, using qualified personnel, of the permitting, design and construction and right-of-way requirements of the

Plans in comparison to Environmental Documents	Documents.	Environmental Document with the designs and requirements of the proposed contracts.
Plans, specifications, and special contract conditions in comparison to Contract Packaging Plan	Verify consistency between the proposed activities and the adopted Contract Packaging Plan.	Compare scope of the proposed activities with contracting plan using qualified personnel. Particular attention should be paid to risk allocation / transfers and interfaces between contacts.
Plans, specifications, and special contract conditions with respect to Project Master Schedule	Verify consistency between the proposed activities and the Project Master Schedule.	Compare schedule information for the proposed activities, including availability of right-of-way and any owner furnished equipment or permits, with the Project Master Schedule using qualified personnel. Particular attention should be paid to schedule contingency for delays and the potential impacts to follow-on activities.
Capital Cost Estimate in comparison to Project Budget	Confirm that the estimated costs of the proposed activities, including appropriate contingencies, is affordable within the overall Project Budget.	Compare Capital Cost Estimate for the proposed activities with Project Budget using qualified personnel. Determine if any risks associated with advancing the proposed activities is appropriately accounted for by contingencies and risk mitigation measures.

The final set of reviews provides confirmation that the Project Sponsor has completed all the necessary prerequisites to advancing the proposed activities, and is capable and ready to manage the project and the proposed activities effectively.

Review Item	Review Objective	Review Method
Third Party Agreements and related contractual obligations	Confirm that necessary inter-governmental, interagency, utility and other third party agreements are in place to support the proposed activities.	Review third party agreements in the overall context of the project with qualified personnel. Particular attention should be provided to design standards; inclusion of betterments; and timing of reviews, permits, land transfers, and funds transfers. Review of contract documents by qualified personnel to identify any agreement

		related Owner obligations.
Real Estate Acquisition and Management Plan and related contractual obligations	Confirm that the RAMP is complete and that the Project Sponsor has the capacity and capability to carry out the real estate program in conformance with the Uniform Act and that required real estate will be available as required to avoid contract claims.	Review of the Real Estate Acquisition and Management Plan (RAMP) by qualified personnel to ensure that the Project Sponsor can effectively implement the real estate program. Review of contract documents by qualified personnel to identify any real estate related Owner obligations.
Procurement Policies and Procedures	Confirm that the Project sponsor has the technical capacity and capability as well as the Procurement Policies and Procedures in place to execute the proposed activities in compliance with federal policies, ensure a fair bidding environment, and are able to efficiently resolve issues and disputes that may arise.	Review by qualified personnel of Project Sponsor's Procurement organization and Procurement Policies and Procedures (including procedures related to advertisement, bidding, award, protests, disputes, changes, payment, etc.) for the planned activities.
Project Staffing Plan	Confirm that the Project Sponsor has adequately implemented a project staffing plan that ensures the necessary qualified staff will be available to manage and support the activities that are proposed to be advanced while managing the project and completing the required activities to support execution of the Grant Agreement.	Review by qualified personnel of Project Sponsor's plans for hiring or transferring staff or consultants to support the project. If transfers of existing staff are planned, investigate who will replace transferred staff. If hiring of new staff is planned, review reasonableness of the hiring schedule relative to salary schedule and availability of staff locally.
Financing Plan	Verify that money will be available to pay the costs associated with the proposed activities in addition to on-going project activities.	Review the availability of funds in the context of all project requirements to confirm that adequate funds will be available on the schedule proposed by the Project Sponsor. Confirm the current validity of any underlying assumptions associated with the Financing Plan, e.g., that borrowing will occur at a given time.

7.0 REPORT, PRESENTATION, RECONCILIATION

The PMOC's report shall integrate the findings and recommendations of the reviews above. The report should follow the outline below.

1.0 EXECUTIVE SUMMARY

1.1 Introduction

- 1.2 Summary of Findings
- 1.3 Conclusion/Recommendations

2.0 BACKGROUND

- 2.1 Project Description
- 2.2 Contract Description Specific to LONP or ESWA Request
- 2.3 Description of LONP or ESWA Activities
- 2.4 Methodology
- 2.5 Documents Reviewed

3.0 REVIEW, ANALYSIS AND ASSESSMENT

- 3.1 LONP or ESWA Justification
- 3.2 Consistency with Environmental Documents
- 3.3 Project Management Capacity and Capability
- 3.4 Schedule
- 3.5 Cost
- 3.6 Third Party Agreements
- 3.7 Real Estate Acquisition and Relocation Activities

4.0 CONCLUSION/RECOMMENDATIONS

The summary of findings should detail in three pages or less the following information:

- a) Justification for advancing the proposed project activities in terms of schedule, cost and risk;
- b) Project Sponsor's readiness to advance the proposed activities;
- c) Consistency of the proposed activities with the Environmental Compliance documents for the project;
- d) Completeness, accuracy and consistency of the required documentation supplied by the Project Sponsor;
- e) Professional opinion regarding the reliability of the scope, schedule and cost for the proposed activities and the ability of the project sponsor to manage the activities; and
- f) Recommendation (if PMOC considers a recommendation appropriate) of the project to FTA for approval of the LONP or ESWA based on the findings of the assessment.

After FTA approval, the PMOC should share the report with the Project Sponsor. In the event that differences of opinion exist between the PMOC and the Project Sponsor regarding the PMOC's findings, the FTA may direct the PMOC to reconcile its findings with the Project Sponsor and provide FTA with a report addendum covering the agreed modifications by the Project Sponsor and PMOC.

The report formatting requirements of OP 01 apply. When necessary, PMOC shall perform data analysis and develop data models that meet FTA requirements using Microsoft Office products such as Excel and Word and use FTA-templates when provided. The PMOC may use other software as required but documentation and report data shall be made available to FTA.

APPENDIX A
Acceptable Quality Level

	DESIRED OUTCOME	PERFORMANCE REQUIREMENT	CHECK LIST	PERFORMANCE MEASURE	ACCEPTABLE QUALITY LEVEL	MONITORING METHOD
1	The PMOC shall review and analyze project documents to determine the readiness of the Project Sponsor to advance project activities under an LONP or ESWA granted by FTA.	R1a. The PMOC shall develop and document a process for review and analysis of the required Project documents to determine Project Sponsor's justification for and readiness to advance the proposed project activities.		M1a. Review of the process documentation.	Q1a. PMOC provides documentation of the process.	MM1a. Periodic review by FTA or its agent.
		R1b. The PMOC shall use its process and project management judgment to review and analyze Project documents to determine the readiness of Project Sponsor to advance the proposed project elements and the justification for advancing the proposed elements in terms of cost, schedule and risk.		M1b. Documented review and analysis of Project documents to determine the readiness of Project Sponsor for LONP or ESWA.	Q1b. Review must be made and the PMOC provides internal verification that the process as documented has been followed.	MM1b. Periodic review by FTA or its agent and the PMOC's internal verification.

2	<p>The PMOC shall form a professional opinion of the Project Sponsor's readiness to advance the proposed activities following completion of all NEPA reviews and prior to completion of the approved phase or execution of an FFGA or SSGA.</p>	<p>R2a. The PMOC shall perform a review and analysis of the Project Sponsor's submitted plans and other documents to assure that all required analysis and documentation has been properly prepared and implemented to the extent necessary to reach readiness for advancing the project under an LONP or ESWA.</p>	<p>M2a. PMOC's review and opinion as to the preparation and implementation of required analysis and documentation for LONP or ESWA using sound management and engineering practices and professional experience.</p>	<p>Q2a. Professional opinion of the preparation and implementation of required analysis and documentation submitted by Project Sponsor for LONP or ESWA.</p>	<p>MM2a. Periodic review by FTA or its agent.</p>
	<p>R2b. The PMOC shall, after review and analysis of the Project Sponsor's submitted Plans and other documentation and the proposed LONP or ESWA determine whether all technical aspects of the documentation supporting the LONP or ESWA are complete and accurate and that there is consistency between the Project documentation and the proposed LONP or ESWA</p>	<p>M2b. PMOC's review and opinion as to accuracy, completeness and consistency between documentation and proposed LONP or ESWA demonstrates sound management and engineering practices and professional experience.</p>	<p>Q2b. Professional opinion of the accuracy, completeness and consistency between documentation and proposed LONP or ESWA.</p>	<p>MM2b. Periodic review by FTA or its agent.</p>	

3	The PMOC shall provide FTA with a written report of its findings, analysis, recommendations and professional opinions.	R3. The PMOC shall present its findings, analysis, recommendations and professional opinions to FTA in a written report.		M3. Review of the PMOC's presentation of findings, analysis, recommendations and professional opinions by the FTA.	Q3. Reports and presentations are professional, clear, concise, and well written. The findings and conclusions have been reconciled with other PMOC reports and have been reconciled with the Project Sponsor to the extent possible.	MM3. Periodic review by FTA or its agent.
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APPENDIX B

New Starts Letter of No Prejudice Checklist (2008 Version Pre-MAP-21)

**NEW STARTS PROJECT PLANNING AND DEVELOPMENT
CHECKLIST OF PROJECT SPONSOR SUBMITTALS TO FTA FOR A LETTER OF NO PREJUDICE (LONP) REQUEST AND
SPECIAL CATEGORIES OF PRE-AWARD AUTHORITY THAT REQUIRE FTA SUBMITTALS**

<i>PRODUCTS</i>	<i>FTA CONCURRENCE DATE</i>	<i>REFERENCE (Regulations, Guidance and Other Resources)</i>
<i>REQUIREMENTS FOR ALL LONPs</i>		
<p>Written request accompanied by sufficient information and justification to the appropriate FTA regional office, including the following information:</p> <ul style="list-style-type: none"> • Description of the activities to be covered by the LONP • Justification for advancing the identified activities, including an accurate assessment of the consequences to the project scope, schedule, and budget should the LONP not be approved • Information that indicates that the project will maintain its ability to receive a rating of “medium,” or better and that its cost effectiveness rating will be “medium,” or better, unless such project has been specifically exempt from such a requirement • Allocated level of risk and contingency for the activity requested • Status of procurement progress, including, if appropriate, submittal of bids for the activities covered by the LONP • Adequacy of the Project Management Plan • Resolution of any readiness issues that would affect the project, such as land acquisition and technical capacity to carry out the project • Brief summary of project characteristics and project map 	-	<ul style="list-style-type: none"> • FTA Fiscal Year 2008 Apportionments and Allocations and Program Information; Notice (Section V.B.)
Final NEPA Documentation (i.e., Categorical Exclusion, Finding of no Significant Impact, or Record of Decision) including description of required environmental permits and New Starts Rating Information in ROD if the New Starts Rating is less than “medium”		<ul style="list-style-type: none"> • 23 CFR 771 • 49 CFR 622 • 2006 Guidance on New Starts Policies and Procedures - May 16, 2006 (Section 1) - Reference for New Starts Rating Information in ROD
TIP Programming of PE, Final Design and Construction (Programming of PE is not necessary if project is already in final design)		<ul style="list-style-type: none"> • Capital Program Circular 9300.1A • Transportation Planning Final Rule

REQUIREMENTS FOR SPECIAL CATEGORIES OF PRE-AWARD AUTHORITY THAT DO NOT REQUIRE LONPs, BUT REQUIRE FTA WRITTEN APPROVAL	-	
Demolition of Buildings after Final NEPA Documentation, but before Final Design Approval	-	<ul style="list-style-type: none"> • FTA Fiscal Year 2008 Apportionments and Allocations and Program Information; Notice (Section V.B.)
Written request to the appropriate FTA regional office, including information to demonstrate that the building poses a potential fire-safety hazard or other hazard to the community in which it is located		
Real Estate Acquisition Management Plan (RAMP)		<ul style="list-style-type: none"> • 49 CFR 24 • Uniform Act • Real Estate Page of FTA Website and FTA Real Estate Course
Rolling Stock Acquired for a Future Extension of a Grantee's Fixed Guideway System	-	
Written request to the appropriate FTA regional office, including information to demonstrate that the rolling stock is being purchased for use on the New Starts project extension.		<ul style="list-style-type: none"> • 49 U.S.C. § 5309(h)(6)
Rail Fleet Management Plan (RFMP) and/or Bus Fleet Management Plan (BFMP), as applicable		<ul style="list-style-type: none"> • Grant Management Circular 5010.1C (Chapter 1) • FFGA Guidance 5200.1A (Chapter 2)