



# U.S. Department of Transportation Federal Transit Administration



Region IV State Programs Team  
Annual Meeting  
Atlanta, GA  
January 26 27, 2011





# Agenda

## Wednesday, January 26, 2011

- 8:30 am**      **Welcome and Introductions**
- 8:45 am**      **FY 2011 FTA Updates**
- 9:15 am**      **State of the States: Region IV DOT Showcase**
- 10:15 am**      ***BREAK***
- 10:30 am**      **State of the States: Region IV DOT Showcase**
- 11:30 pm**      ***LUNCH BREAK* (Presentation by GDOT on GA HB 277)**
- 12:45 pm**      **Planning and Pre-Award Activities and Updates**



# Agenda

## Wednesday, January 26, 2011 (cont.)

- |         |   |
|---------|---|
| 2:00pm  | NEPA Updates  |
| 2:45 pm | <i>BREAK</i>  |
| 3:00 pm | Reporting and Oversight Activities and Updates                              |
| 4:00 pm | National Rural Transit Assistance Program: Available Resources and Services |
| 4:45 pm | Re-cap of the Day/General Q/A   |
| 5:30 pm | Dinner at Baronda Italian Restaurant (optional)                             |





# Agenda

## Thursday, January 27, 2011

- 8:30 am Florida/Georgia Pilot Team
- 9:00 am Discretionary Programs: Updates and Lessons Learned
- 9:45 am Atlanta Streetcar Tour
- 11:00 am Martin Luther King Jr. National Historic Site Tour
- 12:15 pm *LUNCH*
- 12:45 am Civil Rights/Legal Updates
- 1:30 pm Depart MLK National Historic Site for GDOT
- 2:00 pm State DOT Institute





# Agenda

## Thursday, January 27, 2011 (cont.)

- 2:30 pm**      **Back to Basics: Taking a Closer Look at the Section 5310 and 5311 Circulars and Requirements**
- 3:00 pm**      **Region IV SPT Goals: Setting the Bar in FY 2011**
- 3:15 pm**      **Recap of Meeting/ General Q/A/ and Action Items**
- 3:30 pm**      **Adjourn**





# State Programs Team - Contact Information

- David Schilling, Team Lead
  - *NCDOT, SCDOT, VIDPW*
  - *404.865.5632, david.schilling@dot.gov*
- Valencia Williams, Community Planner
  - *MDOT, TDOT*
  - *404.865.5634, valencia.williams@dot.gov*
- Andres Ramirez, General Engineer
  - *ALDOT, FDOT*
  - *404.865.5611, andres.ramirez@dot.gov*
- Robert Buckley, Community Planner
  - *GDOT, KYTC*
  - *404.865.5618, robert.buckley@dot.gov*



# U.S. Department of Transportation Federal Transit Administration



## Region IV State Programs Team Annual Meeting

### Planning and Program Development





# Planning and Program Development

## Agenda

- FY 2011 Grant Making
- TEAM Training
- New Grantee Process
- Electronic DBE Reporting
- Program Updates
- Census Criteria





# Planning and Program Development

## FY 2011 Grant Making

- Funding – FY 2011 and Carryover
  - Supplemental Agreements
  - 5309 Discretionary Funding
- Application Deadlines
- Helpful Hints
- Certifications and Assurances





# Planning and Program Development

## Funding – FY 2011 and Carryover

- FY 2011 Partial Apportionment (5/12)
- Carryover and Lapsing balances available
  - Notifications sent in November
  - Earlier Deadline for lapsing dollars requiring DOL (e.g. JARC)
  - State schedules for calls for projects & STIP should be coordinated to meet schedule





# Planning and Program Development

## Carryover/Lapsing Balances

- Apply for oldest balances first
- Carryover balances need to be reprogrammed in current STIP
- Revised Split Allocation Letters needed if changing allocations to subrecipients
- Transfer requests (to other programs or to direct recipients) need to be done in advance
- Best Practice: Application schedule





# Planning and Program Development

## Governor's Apportionment Recipients/ Supplemental Agreements

- Supplemental Agreements required when:
  - Direct Recipient applies directly to FTA
  - The Direct Recipient is not a Designated Recipient
- Always required, but not universally enforced until this year (FL & PR)
- Benefits:
  - Allows for better tracking of apportionment obligations & deobligations
  - Helps prevent lapsing funds





# Planning and Program Development

## Scorecard Handout for Supplemental Agreements





# Planning and Program Development

## 5309 Discretionary Funding & Other Earmarks

- Eligibility
- Orphan Earmarks
  - Need for partnership
  - Assistance with technical assistance





# Planning and Program Development

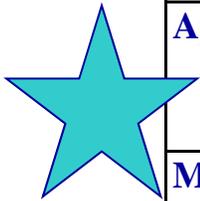
## Orphan Earmarks Handout





# Planning and Program Development

<b>October 29, 2010</b>	<p>TEAM opened:</p> <ul style="list-style-type: none"> <li>• Execute FY 2010 Awards</li> <li>• Pin FY 2011 Certifications and Assurances</li> <li>• Update Recipient Information Screen, which includes contact info for agency personnel</li> <li>• Check the Civil Rights screen to prevent delays from lapsed/expired programs</li> <li>• Begin entering Draft Grants for carryover balances, if known</li> </ul>
<b>November 8, 2010</b>	Carryover notifications emailed by FTA to grantees and cc to MPOs
<b>December 1, 2010</b>	Response due to FTA regarding carryover and lapsing fund applications
<b>April 29, 2011</b>	<p>Region IV <b><u>lapsing grant deadline for all draft grants requiring DOL</u></b> certification to be entered in TEAM and under FTA to review</p> <ul style="list-style-type: none"> <li>• After you have ensured that the draft application has been entered accurately and completely, please notify your FTA representative to review the application.</li> </ul>
<b>May 31, 2011</b>	<p><b><u>Region IV lapsing grant deadline for submission to DOL.</u></b></p> <ul style="list-style-type: none"> <li>• Grant submissions for lapsing funds after this date will be handled on a case-by-base basis and may not make it through the grant review process in sufficient time to obligate during the FY.</li> <li>• DOL can take up to 30-60 days.</li> <li>• Following DOL certification, discretionary grants will be sent for Congressional Release (which can take 30-60 days).</li> </ul>
<b>June – July, 2011</b>	<p>Review and processing of current year and non-lapsing Grant Applications and non-DOL grant applications; Discretionary Grants in Release</p> <ul style="list-style-type: none"> <li>• We will prioritize the carryover and new FY apportionment application reviews during this period, particularly if the apportionment notice is late (FY 2010 was published in May 2010).</li> </ul>
<b>July 31, 2011</b>	<b><u>Review IV grant deadline for non-DOL grant applications.</u></b>
<b>August – September, 2011</b>	<p>Obligations, Awards, and Execution of Grants</p> <ul style="list-style-type: none"> <li>• During this time, we expect to obligate and award the funding that has been in process since May. This will include grants returning from DOL and if discretionary funding, following their release.</li> </ul>





# Planning and Program Development

## Helpful Hints

- New Grant Applications vs. Grant Amendments
- Project Eligibility
- Environmental Coordination and Approval
- Flex Funds and Transfers
- Transfers of JARC to direct recipients (small UZA)
- Compliance with other requirements (e.g. C&A, Civil Rights, planning)





# Planning and Program Development

## FY 2011 Certifications & Assurances

- Published in Federal Register on 11/2/2010
- Grantees have 90 days to certify (by 2/2/2011)
- Not accepting paper certifications: Needs to be done in TEAM.
  - Both the Certifying Official and Attorney need to enter their PIN #'s in TEAM.
- If one person can certify for both:
  - Need to attach documentation to TEAM that demonstrates the C&A's have been reviewed by both parties.





# Planning and Program Development

## DOT Certifications & Assurances Status

DOT	C & A PIN
ALDOT	Y
<b>FDOT</b>	<b>N</b>
GDOT	Y
KYTC	Y
<b>NCDOT</b>	<b>N</b>
SCDOT	Y
<b>TDOT</b>	<b>N</b>
MDOT	Y
<b>VI</b>	<b>N</b>
<b>PR</b>	<b>N</b>





# Planning and Program Development

## TEAM Training

- New and Improved TEAM Training is here!
- Will be utilizing webinar technology for more consistent training throughout Region IV
- New TEAM Training Website:  
[http://www.fta.dot.gov/regions/regional\\_offices\\_12240.html](http://www.fta.dot.gov/regions/regional_offices_12240.html)
- Next Training:
  - Program Management Webinar:
    - Thursday, February 24 @ 10am (Tentative)
- Should be recorded and posted on website!





# Planning and Program Development

## New Grantee Process

- Step 1 – Demonstrate Legal Capacity
- Step 2 – Civil Rights
- Step 3 – Financial Capacity
- Step 4 – Technical Capacity
- Step 5 – Other Requirements

[http://www.fta.dot.gov/regions/regional\\_offices\\_7507.html](http://www.fta.dot.gov/regions/regional_offices_7507.html)





# Planning and Program Development

## New Grantee Process

- Deliverable Checklist
  - Opinion of Counsel \_\_\_\_\_
  - Authorizing Resolution \_\_\_\_\_
  - Title VI Program \_\_\_\_\_
  - DBE Program \_\_\_\_\_
  - EEO Program \_\_\_\_\_
  - 3-5 Year Financial Plan \_\_\_\_\_
  - Financial Questionnaire \_\_\_\_\_
  - Org Chart \_\_\_\_\_
  - Technical Capacity Orientation \_\_\_\_\_
  - TEAM documents \_\_\_\_\_
  - TEAM Training Webinar \_\_\_\_\_





# Planning and Program Development

## New Grantee Process

- Pipeline
  - **Alabama**
    - Baldwin County
  - **Georgia**
    - Athens-Clarke County (Withdrawn)
  - **Puerto Rico**
    - Ciales, p R
    - Aguas Buenas, PR
    - Aibonito, PR
    - Corozal, PR
    - Rincon, PR
    - Ceiba, PR
    - Naguabo, PR
    - Ponce, PR





# Planning and Program Development

## DBE Reporting

- To gain access to DBE reports a TEAM User Access form **must** be filled out specifically for DBE Reporting

<b>Management System (TEAM) User Access Request</b>		TPM Form-1 Revised 1/22/2009
		DBE Reporting
Modify User	<input type="checkbox"/>	Username
Delete User	<input type="checkbox"/>	
<a href="#">Privacy Act</a>		



- You do not need to select any additional Application Access roles
- Signed by Main FTA POC in your office



# Planning and Program Development

## DBE Reporting

- Deadlines:

### Report Due Dates

<u>Semi-Annual Reports</u>	<u>ARRA Quarterly Reports</u>
June 1, 2011	January 10, 2011
December 1, 2011	April 10, 2011
	July 10, 2011
	October 10, 2011





# Planning and Program Development

## Program Updates

- Planning – UPWP, TIP, STIP
- Coordinated Planning Updates
- JARC/NF
- New Starts Updates





# Planning and Program Development

## Planning- UPWP

- At a minimum, a UPWP includes a description of the planning work and resulting products, who will perform the work, time frames for completing the work, the cost of the work, and the source(s) of funds.
- The UPWP should be developed with cooperation between the MPO, State, and operators of publicly owned transit.
- The UPWP should identify work proposed for the next one or two year period; including activities that address the planning factors.
- State collects and submit all draft UPWPs to FHWA and FTA for review and approval.





# Planning and Program Development

## Planning- UPWP

- All planning studies need to be in the UPWP. (i.e. 5339 and 5307 funded)
- The UPWP should discuss the major planning priorities facing the Metropolitan Planning Area (MPA).
- FTA Regional office staff is responsible for reviewing the UPWP of all MPOs to determine the eligibility of proposed activities for Federal funding.
- FTA Circulars 8100.1C and 9030.1D should be referenced for assistance in determining eligible planning activities for the UPWP





# Planning and Program Development

## Planning- TIP/STIP Requirements

- Cover a period of no less than four years and be updated at least every four years
- Fiscally constrained for the first 2 years
- Include a complete list and description of all FHWA/FTA funded projects that will be carried out in the first four years of the STIP (capital and non-capital projects).
- Each metropolitan transportation improvement program (TIP) shall be included without change in the STIP, directly or by reference, after approval of the TIP by the MPO and the Governor.





# Planning and Program Development

## Planning- TIP/STIP Requirements

- TIP in non-attainment area subject to a FHWA/FTA conformity finding.
- FTA and FHWA review and approve STIPs and Amendments. Submit to both FTA SPT and UZA planner/rep.
- Program carryover (previous year) balances in current STIP
- FTA Circulars 9030.1D, 9040.1F, 9045.1, 9050.1, 9070.1F, 9300.1B, and 8100.1C should be referenced for assistance in determining eligible activities for the STIP.





# Planning and Program Development

## Coordinated Planning

- FTA programs: 5310, 5316, 5317
- Mobility Management
- Coordinated Transportation Plan
- JARC/NF Reminders





# Planning and Program Development

## Coordinated Transportation Plan

- FTA Circulars 9050.1; 9045.1; 9070.1F
- Should follow the update cycle for Metropolitan Transportation Plan
- Every Four years in air quality nonattainment and maintenance areas
- Every Five years in air quality attainment areas
- States may update the coordinated plan to align with the competitive selection process





# Planning and Program Development

## JARC/NF Reminders

- Call for projects
- TIP/STIPs
  - Small UZA projects
- Grant Applications
  - Program of Projects
- Public Notification





# Planning and Program Development

## New Starts Policy Shift

- New Starts Rule Making – Closed August 2
- Cost effectiveness calculation
- Impact of Policy Change for Projects in the pipeline
- New Starts/Small Starts approval process: PE, FD, PD





# Planning and Program Development

## Proposed Urban Area Criteria for the 2010 Census

- US Census Bureau published the Proposed Urban Area Criteria for the 2010 Census on August 24, 2010
  - Criteria for defining urban areas based on the 2010 Census.
  - New List of UZAs will be announced in the Spring of 2012.
  - FTA will start using new data with the FY 2013 apportionments.
- The Census determinations are strictly technical and are made without regard to any of the Federal programs that use them.
- Congress took action to grandfather in areas that were impacted by census in 1990 and in 2000, to phase in the adverse impacts over several years. Congress could do that again.





# Planning and Program Development

## Proposed Impacts - Urban Area Criteria for the 2010 Census

- **Impact 1:** Slight reduction in mergers of adjacent UZAs or incorporation of outlying areas into a nearby UZA.
- **Impact 2:** As in the past two censuses, more of the urbanized areas identified are likely to have populations over 200,000.
- **Impact 3:** Some of the decennial census data FTA currently uses will now be available only from the American Community Survey (ACS).
- **Impact 4:** The new authorization could include changes in eligibility, program structure, or planning requirements independent of the changes individual areas experience as a result of the 2010 Census.





# Planning and Program Development

## Wrap-up

- Comply with the grant deadlines; develop an application schedule
- Submit supplemental agreement documentation
- Help us with Section 5309 Discretionary "Orphan Earmarks"
- Don't forget to submit C&As & DBE reports in TEAM
- Remember all of the resources we have available: TEAM Training, New Grantee Process
- Remember DOT role: UPWP, TIP/STIP, Coordinated planning





# Questions?





# U.S. Department of Transportation Federal Transit Administration



## SUSTAINABILITY PRESENTATION HUD, DOT, EPA

Atlanta, GA  
January 26, 2011



# Sustainability

## Agenda

- Introduction of Panel - Tom Thomson, FTA Deputy Administrator
- HUD-DOT-EPA Partnership for Sustainable Communities Updates
- Project Locations
- Recently Funded Projects in Region IV





# Sustainability

## HUD-DOT-EPA Partnership for Sustainable Communities – Updates

**Ms. Emma Newsome, U.S. HUD**

- Six Guiding Livability Principles
- Regional Partnership (HUD, DOT, EPA, Other Agencies)
- Other Interested National Partners – USDA, FEMA, etc.





# Sustainability

## Project Locations

**Dr. Anne Keller, EPA  
Senior Sustainability Advisor**

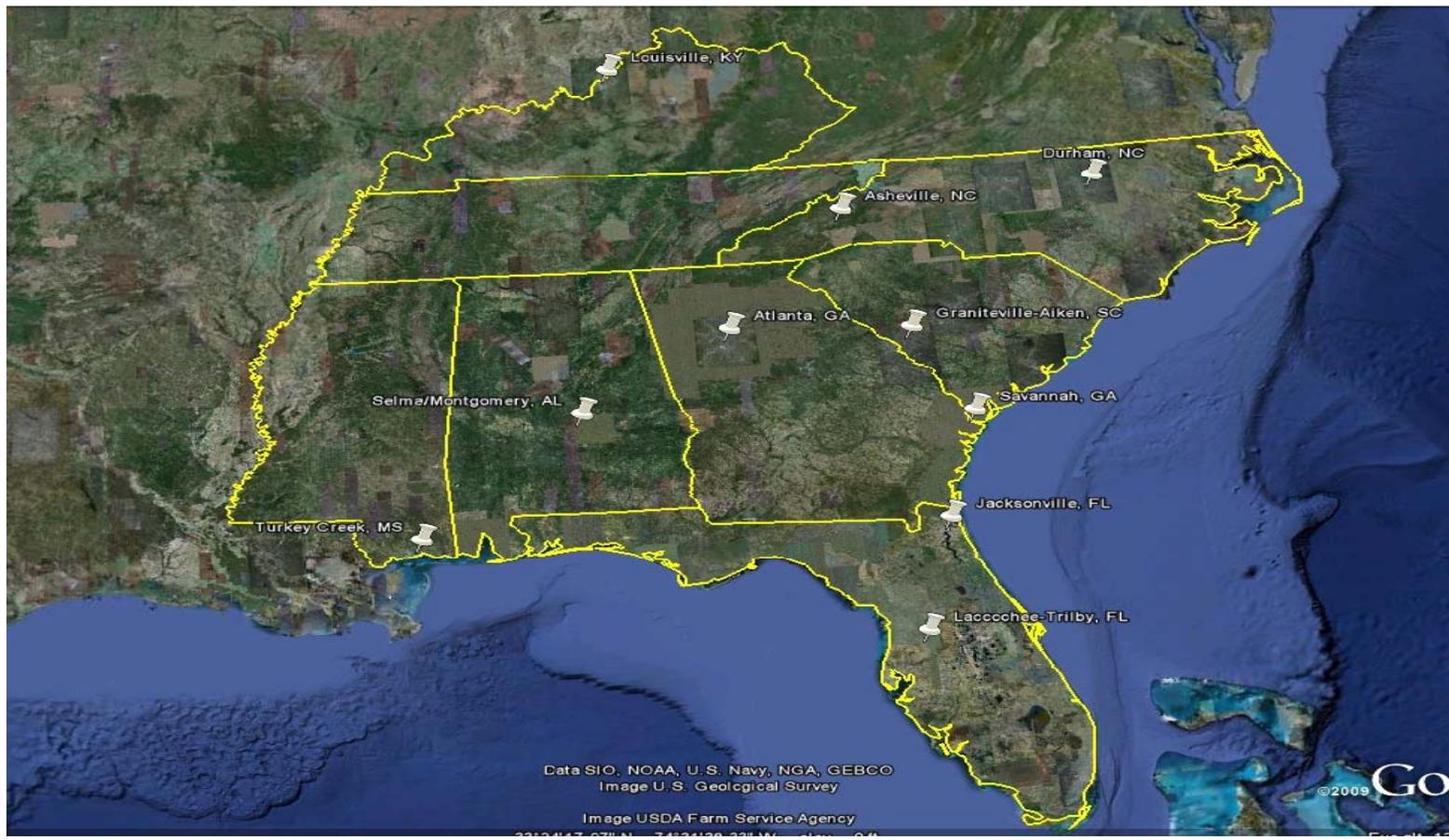
- 2010 Partnership Projects





# Sustainability

## Map of 2010 Partnership Projects In Region IV





# Sustainability

## Recently Funded Projects In Region IV

**Elizabeth Martin, FTA  
Community Planner**

### **Partnership's Activities:**

- Funds for Urban Circulator and Bus and Bus Livability Projects
- TIGER Grants
- Joint DOT TIGER II-HUD Community Challenge Grants





# Sustainability

## Recently Funded Projects In Region IV

### Partnership's Activities continued:

- Brownsfields Pilot Communities
- Mixed-Income Transit-Oriented Development Action Guide
- Policy Statement on Bicycle and Pedestrian Accommodation Regulations and Recommendations
- Change to Federal Transit Administration's New Start Program





# Sustainability

## Recently Funded Projects In Region IV

STATE	Number of Projects Awarded
Alabama	1
Florida	6
Georgia	8
Kentucky	2
Mississippi	2
North Carolina	5
South Carolina	3
Tennessee	4
<b>Total</b>	<b>31</b>





# Sustainability

## Handouts

- Map of 2010 Grant Awards
- List of 2010 Cumulative Sustainability Grants in Region IV





# Questions?





# U.S. Department of Transportation Federal Transit Administration



## NEPA/Environmental Updates

### Region IV State Programs Team Annual Meeting





# NEPA/Environmental Update

## Agenda

- FTA Environmental Protection Specialist Positions
- Lessons Learned
  - NEPA and FTA Involvement
  - Resources Available
  - Lessons on DCEs
- NEPA Re-Evaluations
- Brownfield/Hazardous Waste/Contaminated Sites Policy
- Transit Facility Planning Reminders
- FTA Approval/Concurrence Process





# NEPA/Environmental Update

## Environmental Protection Specialist Position

- New positions created to help manage the NEPA process
- EPS in each Region
  - TPE (HQ) staff, but located in Regional offices
  - Two EPS in Region IV
- Goal of position is to focus NEPA document to reduce length of text and review time
  - Critical to involve FTA early in process
  - Primary contact is still your state programs team contact





# NEPA/Environmental Update

## Some Lessons Learned to Date

- FTA Involvement
  - FTA funds for Planning or Construction?
  - Lead agency, Cooperating Agency, or Participating Agency
- Lead Agency Role vs Federal Responsibility
  - Lead Federal agency responsible for class of action determination (1501.4) and for management and preparation of the environmental document (23 CFR 771.109(c))
  - Lead Federal agency responsible for Section 106 process and Section 4(f) is a solely an Administration determination
- Confusion over term 'Environmental'
  - NEPA vs Phase I and/or Phase II ESA





# NEPA/Environmental Update

## Resources Available

- FTA Region IV Website  
([www.fta.dot.gov/regional\\_offices\\_871.html](http://www.fta.dot.gov/regional_offices_871.html))
  - Right side of site, "Region IV" box
  - "Grantee Resource Center"  
([www.fta.gov/regions/region4/regional\\_offices\\_261.html](http://www.fta.gov/regions/region4/regional_offices_261.html))
  - Environmental/NEPA Resources





# NEPA/Environmental Update

## DCE Template

- Class of Action (CE, EA, EIS)
- Two types of CE (“Listed” [23 CFR 771.117(c)], and “Documented” [23 CFR 771.117(d)])
  - DCE template on website is most up-to-date
  - Information highlighted in yellow are notes to be deleted when submitted to FTA
  - Grantee’s responsibility to review and approve the information provided to FTA for review if hiring a consultant





# NEPA/Environmental Update

## DCE Continued

- Applicant to submit the DCE with a transmittal letter affirming review and verification of the information documenting the action as a DCE (23CFR771.117(d)(#))
- Location (including address) – Section B
  - Importance of good graphics indicating project boundaries
  - Reference so can find graphics if included in Appendix (Figure # and title, etc)
  - Organization of Appendix
- Note that project is included most recent approved TIP (if not in MPO, note in narrative and include information that it is included in the STIP) –Section C





# NEPA/Environmental Update

## DCE Continued

- Include information regarding traffic impacts, including construction traffic impacts – Section E
- Include information about coordination with SHPO for historic resources – Section G
- Use the FTA noise manual for noise analysis (link in DCE template) – Section H
- In most cases, vibration is not applicable so a simple sentence noting that the project would not involve steel wheel/steel rail, etc will suffice – Section I





# NEPA/Environmental Update

## DCE Continued

- Include information from Phase I/Phase II ESA – Section K
  - Include information about coordination with relevant State agency regarding any proposed remediation
- Address potential for community disruption/Environmental Justice concerns – Section L
  - Disproportionate and Adverse Effect to minority/low-income populations (EO 12898)
  - Focus is on who benefits vs who is burdened for EJ
  - Provide description of surrounding community, discuss impacts (positive and negative) and any disproportionate adverse effects to low-income and/or minority populations





# NEPA/Environmental Update

## DCE Continued

- Importance of good graphics – parks/recreation areas; wetlands; floodplains, etc – Sections M, N, O, etc
- Address whether or not the project is within the 100-year or 500-year floodplain and any mitigation measures if applicable – Section O
- Address stormwater in water quality section as well – BMPs, etc – Section P





# NEPA/Environmental Update

## Re-Evaluations (23 CFR 771.129)

- Written evaluation of Draft EIS required if Final EIS is not submitted within 3 years of DEIS circulation
- Written evaluation of FEIS required before further approvals (entry to final design, authority to acquire ROW, etc) if no major steps to advance the project within 3 years of last approval (FEIS, supplemental, or last major approval or grant)
- Consult with FTA prior to requesting any major approval or grant after approval of EIS, FONSI, or CE





# NEPA/Environmental Update

## Other Environmental/NEPA Resources

- Guidance and Procedures Information
  - General NEPA information, including our implementing regulations and CEQ Regulations
- Additional Resources
  - Information that is of use for NEPA evaluations





# NEPA/Environmental Update

## Brownfields/Hazardous Waste Sites

- FTA Policy prior to 1998 was to avoid contaminated sites
- Current policy allows flexibility in FTA involvement with remediation of contaminated sites
  - Circular 5010.1D (Page IV-9, (4))
  - Policy of 1998





# NEPA/Environmental Update

## Transit Facility Planning Reminders

- A planning justification is required for every facility project
- Planning justifications for a transit facility
  - Feasibility Study/Needs Assessment
  - Transportation plans, UPWPs
  - Other studies
- Include justifications (summary) in TEAM applications





# NEPA/Environmental Update

## Transit Facility Planning Reminders

- Resources
  - 9300.1B Capital Facilities and Formula Grant Programs
  - Transit Facility Checklist  
([http://www.fta.dot.gov/regions/regional\\_offices\\_7502.html](http://www.fta.dot.gov/regions/regional_offices_7502.html))





# NEPA/Environmental Update

## FTA Review/Concurrence Process – “Cliff Notes”

- Planner/SPT member reviews the document
- 30 day review and initial comments provided
- Revised Document resubmitted; when ready for concurrence coordinated with Environmental Protection Specialist, Regional Counsel, and Director; RA approves
- EA and EIS – Public Comment Period; must be officially “blessed” before issuance to the public. Close of comment period; document is resubmitted to FTA with comments addressed
- FTA issues the finding – concurrence with DCE, issuance of FONSI, or issuance of ROD





# Questions?





# Contact Information

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Phone: 404.865.5472





## U.S. Department of Transportation Federal Transit Administration



# Reporting and Oversight Activities and Updates

Andres Ramirez, General Engineer  
Maggie Sandberg, General Engineer  
Ted Schmidt, ARRA Engineer  
David Schilling, State Programs Team Leader





# Program Management and Oversight

## Agenda:

- Introduction and Oversight Updates
- FTA Reporting
- Section 5310, 5316 and 5317 annual reporting and performance report requirements
- FFATA
- State Management Reviews
- ARRA
- Tools/Resources/Training/Upcoming Events





# Program Management and Oversight

## Oversight Updates:

### Oversight Reviews and Contractors FY11

- Triennials – 42
- FMO – 7
- PSR – 9





# Program Management and Oversight

## Oversight Updates:

### Workshops

- Triennial Workshop in PR, Feb 23-24, 2011
- Triennial Workshop, March 30, 31 2011





# Program Management and Oversight

## Oversight Updates:

### State Level Oversight to Sub-recipients

- Some states perform better oversight than others
- Need a more consistent Level





# Program Management and Oversight

## Oversight Updates:

### OIG

- Red Flags During Reviews
- Currently following up on a few items throughout the region





# Program Management and Oversight

## Oversight Updates:

### **Friendly reminders**

- 1512 and 1201c Reporting on Time
- Timely Closeout of grants





# Program Management and Oversight

## FTA Reporting

- Quarterly FFR/MPRs are due 30 days after the end of the quarter
  - Jan 30, April 30, July 30, and Oct 30
- Please ensure to get financial and project information to the DOT in a time for timely submittals
- MPRs should be detailed; include reasons for any delays
- DOTs – Annual Reporting (except ARRA 5309 w/ construction or required by SMR finding)



# Program Management and Oversight

## Oversight Reminders

Procurement – Complete independent cost and price analyses; Adhere to Buy America requirements

Financial – Watch overdraws, please contact us immediately if this happens

Preventive Maintenance (PM) - Follow NTD standards - cost should reflect the numbers submitted; PM is only for year of expenditure or the immediate preceding year; do not roll over PM funds.



# Program Management and Oversight

## Section 5310, 5316 and 5317 annual reporting and Performance Reporting Requirements

- Government Performance and Results Act of 1993 (GPRA)

Includes:

1. Section 5310 Performance measures
2. Section 5316 Program measures
3. Section 5317 Program measures





# Program Management and Oversight

## Section 5310 Performance Measures

- Performance Measures
  1. Gaps in Service Filed
  2. Ridership (One Way Trips)
- Due on an Annual basis (October 31) Covering the Previous Fiscal Year





# Program Management and Oversight

## Section 5316 Program Measures

- Performance Measures
  1. Actual or estimated number of jobs accessed by the JARC Projects in the Geographical area Covered
  2. Actual or estimated number of rides (One Way Trips) provided





# Program Management and Oversight

## Section 5317 Program Measures

- Performance Measures
  1. Services that impact availability of transportation for individuals with disabilities
  2. Additions or changes to environmental infrastructure as a result of the projects implemented in the reporting year
  3. Actual or estimated number of rides (one-way trips)





# Program Management and Oversight

## Section 5310, 5316 & 5317 References

- Section 5310 Performance Measures  
FTA C9070.1F Chapter VI part 17(a)
- Section 5316 Program Measures  
FTA C9050.1 Chapter VI part 16(d)
- Section 5317 Program Measures  
FTA C9045.1 Chapter VI part 16(c)





# Program Management and Oversight

## Federal Funding Accountability and Transparency Act (FFATA)

- FFTA Sub-award Reporting System (FSRS)
- Public Domain [www.USASpending.gov](http://www.USASpending.gov)
- Grants awarded after October 1, 2009

By the end of the next month after any month in which the grantee makes a sub-award





# Program Management and Oversight

## FFATA Reporting Procedures

- Direct recipients must register on-line to use the [www.FSRS.gov](http://www.FSRS.gov) reporting site. To register, the recipient must have a valid DUNS and current CCR registration.
- Sub-recipients must also have DUNS, but are not required to register in CCR.
- The direct recipient must report the information about each first tier sub-award over \$25,000.





# Program Management and Oversight

## FFATA Reporting Procedures (cont'd)

- Just first tier sub-recipients (not vendors/third party contracts)
- Deadline to report is the end of the month after the grantee makes a sub-award (not the end of the month after FTA awards the grant – unless the grantee gave pre-award authority to subs, or awards the sub-awards the same month)





# Program Management and Oversight

## FFATA Reporting Procedures (cont'd)

- No report is required until the month after the grantee makes a sub-award (Sub-recipient signs agreement)
- If The State DOT has a standing agreement with sub-recipients and considers the sub-awards to be made at the time of the FTA grant award, the report would be due in FSRS by the end of the month after FTA obligated the grant to the DOT (This deadline applies also when pre-award authority is used).





# Program Management and Oversight

## FFATA Required Data

The required data elements in FSRS for each first tier sub-award over \$25,000 :

- Name of entity receiving sub-award
- DBA Name
- DUNS of the entity and its parent and DUNS+4
- Amount of Sub-Award
- Sub-award Number (Note: assigned by recipient)
- CFDA Number (Note: The same CFDA associated with the FTA award)





# Program Management and Oversight

## FFATA Required Data (Cont'd)

- Place of performance & Congressional District
- Total compensation and names of top five executives, if required (Note: Not typically required, with thresholds of \$25 M and 80% of total revenue coming from Federal funds)
- Award title descriptive of the purpose of the funding action
- Location of the entity (Including Congressional District)





# Program Management and Oversight

## FFATA Resources

- Information and training materials about FFATA sub-award reporting and FSRS are posted on [www.USASpending.Gov/news](http://www.USASpending.Gov/news).

(Add your email address under the “What’s New” Section To receive new information on changes and updates)

- Information and reporting guidance on FFATA Subaward Reporting System (FSRS) at: [www.FSRS.GOV](http://www.FSRS.GOV)





# Program Management and Oversight

## FY 2011 State Management Reviews

- 4 State Management Reviews
  - KYTC, MDOT, NCDOT and TDOT
- 2 ARRA/SMR Follow-up Special Reviews
  - GDOT, VIDPW
- SMR Workshops
  - Raleigh, NC – December 14-15, 2010 (NCDOT staff)
  - Nashville, TN – March 15-16, 2011





# Program Management and Oversight

## SMR Process:

- FTA Headquarters develops list of states subject to SMR
- FTA selects SMR contractor
- Desk Reviews – FTA Region Offices
- Agenda Packages
- Review/Draft Report
- Final Report/Corrective Action
- Correct Findings (by due date)
- SMR Close-Out Letter





# Program Management and Oversight

## FY 2011 State Management Reviews

- Focus areas of the FY 2011 reviews include:
  - Financial
  - Procurement
  - Buy America
  - NTD
  - Preventative Maintenance





# Program Management and Oversight

## FY 2010 SMR Lessons Learned:

- FFRs/MPRs – on-time, complete and accurate
- Certifications and Assurances
- Grants Management
- Publish list of awards – Section 5316, 5317
- Indirect Costs
- Buy America
- Title VI – Limited English Proficiency Requirement





# Program Management and Oversight

## FY 2012 and 2013 State Management Reviews

- FY 2012
  - GDOT, GADHS, VIDPW
- FY 2013
  - ALDOT, ADSS, FDOT, SCDOT





# Program Management and Oversight

## American Recovery and Reinvestment Act (ARRA)

- All ARRA dollars have been obligated!
- No amendments currently allowed (as of September 30, 2010)
- Budget Revisions are limited to those not requiring prior FTA approval per 5010.1D





# Program Management and Oversight

## American Recovery and Reinvestment Act (ARRA)

### Budget Revisions Allowed:

- When the budget revision does not require prior FTA approval per FTA Circular 5010.1D
  - Change in scope is less than 20%
  - Change in existing ALI does not take away from complying with ADA or CAA compliance
  - Number of vehicles is no more than 20% or two units for fewer than 10 vehicles
  - Budget revision does not change the size or physical characteristics
  - Does not involve a deletion or addition of an ALI (unless adding an ALI through a cost savings)

**Note:** Where a budget revision is initiated as a result of cost savings, documentation should be included in the TEAM grant file. A budget revision that includes the addition of an activity line item is subject to FTA review and approval on a case-by-case basis.





# Program Management and Oversight

## ARRA Reporting and Oversight

- Continue Implementing your ARRA Projects
- Continue Reporting per Statutory Deadlines
  - ARRA FFR/MPRs – due 30 days after the end of each quarter
  - 1512s – due 30 days after the end of each quarter
  - 1201(c) – due February 17, 2011
- ARRA Reporting Website
  - [http://www.fta.dot.gov/index\\_9440\\_10542.html](http://www.fta.dot.gov/index_9440_10542.html)



# Program Management and Oversight

## ARRA Reporting and Oversight

### 1201(c) Webinar

- Thursday Jan 27, 1:30 pm
- Friday Jan 28, 1:30 pm
- Monday Jan 31, 1:30 pm





# Program Management and Oversight

## ARRA Reporting and Oversight

### Construction Reporting

1. TEAM Quarterly Reports (FFR's and MPR's) are a start, but this data is often a month old when we get it, and for short duration construction projects, a lot can happen in 3-4 months.
2. So, since most construction projects have monthly reports which include Status (% complete), Photos, Activities Completed, Upcoming Activities, and an Updated Schedule; by the grantee (sub-recipient) forwarding this monthly report information to the State and/or FTA Project Manager we can better follow progress, and be alerted to issues which may significantly affect the cost of the project.
3. Monthly (and sometimes even weekly) reports have been very helpful for oversight.





# Program Management and Oversight

## ARRA Reporting and Oversight

### ARRA Site Visits

- Grants with large construction projects
- New grantees (sub-recipients)
- Issues





# Program Management and Oversight

## ARRA Reporting and Oversight

Visits consist of:

### **Interview - Questions regarding:**

- Financial Oversight
- Procurement
- Continuing Control
- Civil Rights
- Construction





# Program Management and Oversight

## ARRA Reporting and Oversight

Visits consist of:

Review of Procurement Documentation Grantees (sub-recipients) should have hard copies and digital (CD) for:

- RFP's
- Contracts
- PO's
- Bid Tabulations
- Selection Committee Ratings ready in advance





# Program Management and Oversight

## ARRA Reporting and Oversight

Visits consist of:

Site Walk with Photos – Grantees (sub-recipients) should have half size Site Layout and/or Floor Plan with digital (CD) ready in advance.





# Program Management and Oversight

## ARRA Reporting and Oversight

- Visits take from 2-3 hours to a half a day or more depending on the number, size, and complexity of the construction site(s).
- Purpose is to make sure grantees (sub-recipients) are managing their grants and construction activities in accordance with federal requirements, and to assist where necessary.
- For State Grants the prime responsibility for this activity is the State.





# Program Management and Oversight

## ARRA Reporting and Oversight

New ARRA team members coming soon:

- David Powell
- Janice Aharav
- Conridge Lewis





# Questions?





## U.S. Department of Transportation Federal Transit Administration



# National Rural Transit Assistance Program: Available Resources and Programs





# RTAP Overview

## Overview:

- RTAP provides a source of funding to assist in the design and implementation of training and technical assistance projects and other support services tailored to meet the needs of transit operators in nonurbanized areas.
- No more than 2 percent of the funds appropriated for Section 5311
- 100% Federal funded
- Allocations are located in FR





# RTAP Program Objectives

## Objectives:

- To promote the safe and effective delivery of public transportation in nonurbanized areas and to make more efficient use of public and private resources;
- To foster the development of State and local capacity for addressing the training and technical assistance needs of the rural transportation community;
- To improve the quality of information and technical assistance available through the development of training and technical assistance resource materials;





# RTAP Program Objectives

## Objectives (cont.):

- To facilitate peer-to-peer self help through the development of local networks of transit professionals;
- To support the coordination of public, private, specialized, and human service transportation services; and,
- To build a national database on the nonurbanized segment of the public transportation Industry.





# RTAP Eligible Activities

## Eligible Activities:

- States may use RTAP funds to support nonurbanized transit activities in four categories: training, technical assistance, research, and related support services.
- The State should develop State RTAP activities through a process that provides maximum opportunity for the participation of rural transit operators, both public and private





## Introduction to National RTAP

**Federal Transit Administration**

**Region 4**

**State Programs Meeting**

**January 26-27, 2011**



**FTA**

United States Department of Transportation  
Federal Transit Administration



## RTAP Purpose and Organization

- FTA program to provide training and technical assistance to rural and small urban and Tribal transit operators
- Funded by 2% of Section 5311 formula program for non-urbanized areas
  - National RTAP 15% of RTAP funds
  - Rest to states by formula
- FTA contracts with non-profit vendor
- RTAP manager in each state

## National RTAP Organization

- Neponset Valley Transportation Management Association (non-profit) under contract to FTA since 2008; contract expires 2013
- Five full-time and two part-time staff
  - 6 in Waltham, Massachusetts
  - 1 in South Carolina
- Product development guided by Review Board
  - 7 representatives of State Departments of Transportation
  - 7 representatives of rural / Tribal transit operators



# National RTAP Products and Services

- [www.nationalrtap.org](http://www.nationalrtap.org)
- Resource Center
  - Materials
  - Technical Assistance
- Bi-weekly eNews
- Training modules
- Technical briefs and other documents
- Webinars
- Peer-to-Peer Network
- National RTAP Web Applications
- Outreach



Welcome to National RTAP



Click here

Rural Transit Database



Click here

Photo across Rural Transit



Click here

National RTAP Webinars



Click here

**National RTAP News**

National RTAP Hosts Transit Professionals from Tokyo, Japan  
[Read More...](#)

National Rural Transit Assistance Program (RTAP) Announces the Appointment of One New Board Member  
[Read More...](#)

National RTAP Participation in Upcoming Events and Conferences:  
[Read More...](#)

Photos Across Rural and Tribal America Winners Announced  
[Read More...](#)

Save the Date! Thursday, November 18th, 1:00 pm (EST)!  
[Read More...](#)

[News Articles](#)

**Featured Resources**

 American Indian Transportation: Issues and Successful Models  
[Read More](#)

 START Learner's Guide  
[Read More](#)

 How to Buy a Vehicle  
[Read More](#)

 National RTAP Products Order Form  
[Read More](#)

 Building Quality Service—Everyone's a Customer Video  
[Read More](#)

**Featured Video**



Video | Powerpoint | Technical Brief

[Video Library](#)

**Featured Events**

National Tribal Transportation Conference Albuquerque, NM <http://ttao.colostate.edu>  
[Read More](#)

NYS Public Transit Industry Fall Conference & Trade Show Albany, NY <http://www.nytransit.org>  
[Read More](#)

Georgia Transit Association's 2010 Conference Athens, GA <http://www.watransit.com>  
[Read More](#)

[See All Events](#)

 **Products Under Development**

Crossing Great Divides: Elder Mobility Resources and Solutions in Indian Country

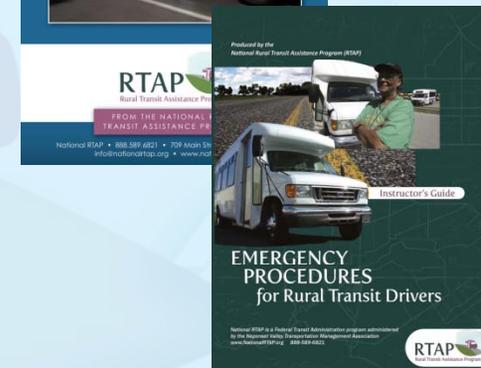
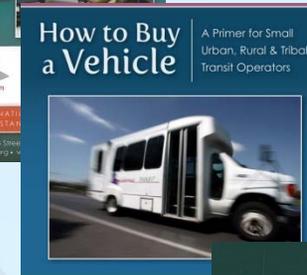
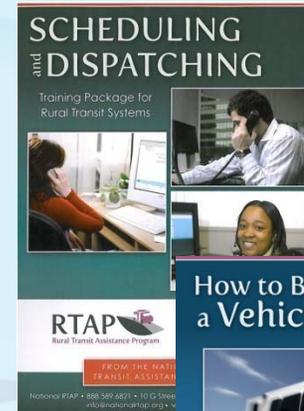
Sign up for National RTAP Communications

[Sign up](#) My Profile  First Name  Last Name  Email  [Continue](#)

- Staff available to provide one-on-one assistance
  - Phone, e-mail, online chat
  - Answer questions, research issues, send copies of materials
  - Monday-Friday, 9:00 am -5:30 pm
  - 888-589-6821
- Training modules, videos, documents available for downloading or ordering

# Training Modules

- Scheduling and Dispatching
- How to Buy a Vehicle
- Emergency Procedures for Rural Transit Drivers



## Technical Briefs and Documents

- Tribal Transit Grant Application and Assistance
- Reducing the Cost of Fuel Consumption
- Broadband Access and Rural Transit: Bringing Online Resources to Rural Communities
- FTA Transit Bus Safety and Security Program: Providing Technical Assistance to Rural Transit Systems
- The Dawning of a New Era in Transit: Major Trends and Strategic Imperatives
- Customer Service in Transit: How to Identify and Meet Customer Needs
- Exceptional Customer Service Across Generations: How to Harness the Power of Generational Dynamics

- 2009 series on Human Resources topics
- 2009 Scheduling and Dispatching
- 2010 Series: Putting the Riders' Needs First
  - Dawning of a New Era for Transit: Major Trends
  - Dawning of a New Era for Transit: Ten Strategic Imperatives
  - Exceptional Customer Service Across Generations
  - How to Measure and Report Your Impacts
  - Make Business Part of Rural Transit's Business
- PowerPoints and videos posted on website
- Technical briefs issued after presentation



## 2011 National RTAP Conference and Tribal Transit Programs Meeting

- Combination of two first-ever events
  - FTA meeting for Tribal transit grantees
  - Training and technical assistance featuring National RTAP products for Tribal grantees and State 5311 and RTAP managers
- Partner with Tribal conference organizers
- Southwestern or western location
- October or November 2011
- Travel stipends for Tribal representatives

## Priority Projects for 2011

- Release of ProcurementPRO
- National RTAP Web Apps
- National RTAP Conference and Tribal Transit Programs Meeting

## Web Apps

- Easy way for rural and Tribal transit providers to incorporate technology into their operations
- First step toward building an online community of users and data shared by all
- Free of charge
- Help available
  - Videos
  - Text
  - E-mail
  - Phone
- Hosted on National RTAP's website





# Website Builder

## Custom Sites

- National RTAP
- Pelivan Transit
- Region 6 Planning Commission
- Oglala Sioux Transportation
- CASTA
- Arizona DOT

The screenshot displays the National RTAP website interface. At the top, there is a navigation menu with links for Home, State, Partners, Legislative, Tribal, Peer Network, Resources, and About Us. Below the menu, there are several website examples:

- Pelivan Transit:** A website for Pelivan Transit, owned and operated by Grand Gateway EDA & Northeast Oklahoma Tribal Transit Consortium. It features a banner with two eagles and the text "Come Glide With Us".
- Region 6 Planning Commission:** A website for the Region 6 Planning Commission, featuring a banner with a globe and the text "REGION 6 Planning Commission".
- Oglala Sioux Transportation Department:** A website for the Oglala Sioux Transportation Department, featuring a large circular logo with a bison and the text "OGLALA SIOUX TRIBE TRANSPORTATION DEPARTMENT".

Additional elements visible in the screenshot include a "Click to Chat" button, a "Click to E-mail" button, and a phone number "Call now : 888-589-6821".

- First in a series of National RTAP “web apps” to be released
- Web-based tool to guide rural and Tribal grantees and state DOTs through FTA procurement procedures
- Basic project information entered by user
- System generates required documentation
- February 2011 release

# The Portal

## Portal - Login

- Accessing ProcurementPRO is done through the Portal Login.
- Each user has their own Username and Password.
- Each user is assigned to an Organization
- Each Organization is assigned to a Level (FTA, State, Local).
- Forgot Username/Password retrieval.

National  
**RTAP**  
Rural Transit Assistance Program

**Login**

Username  Password  **Login**

Remember me on this computer

**Need Help?** Forgot your Username? [Click here](#) to retrieve your password

**Create An Account** If you are a first time visitor, [Click here](#) to establish your account

**Web Apps** Website | Communication | Resource Library

Web apps, Lorem ipsum dolor sit amet, consectetur adipiscing elit. Pellentesque luctus

# The Portal

## Homepage

- Displays all Released web apps.
- User selects the appropriate web app they want to access.

### Website Builder



A complete content management system that will help you build and manage a website for your organization. [Click Here](#)

### Resource Library



Add the National RTAP Resource Library to your website, and manage and share your own documents online, with an easy-to-use web interface. [Click Here](#)

### Communication



Manage all your opt-in email lists, including newsletters, announcement lists, discussion groups and email communities. [Click Here](#)

### Calendar



Manage, publish and share your events online, and view events sponsored by other organizations. [Click Here](#)

### Peer to Peer



Looking for a Peer rural or Tribal transit operator or state program manager to provide guidance or help you resolve a problem? Would you like to become a Peer and offer your expertise to other transit providers or program managers? Request assistance or volunteer up here. [Click Here](#)

### ProcurementPRO



It is designed to give tribes, non-profits, municipalities and transportation agencies information and best practices regarding federal procurement requirements and processes when utilizing federal funds. [Click Here](#)

### 2 The Point Training



Is training your transit employees hard to schedule without interfering with operations or creating overtime expenses? Access training modules on the go in small, portable segments for a more flexible alternative to the traditional classroom setting. [Click Here](#)

### Google Transit



This collection of technical assistance tools will assist you with getting your bus route and schedule data ready to import into Google Transit. [Click Here](#)

# Procurement Pro

## Dashboard

The Dashboard allows the user to view all saved projects, archived projects, edit, email, print or create a new project.

**Rural Transportation Provider**

Welcome: Frank Condon ([Logout](#))

**Web Apps**

- Resources
- Communicate
- Events 18
- ProcurementPRO
  - Procurement Dashboard
  - Create a Project
  - Edit Project
  - Steps
  - Tabs
  - Milestones
- Members
- Admin

Create a new Project

Archived Projects

Learning Center (Help)

Live Support (Help)

**Your Projects**

Project Title	Edit	E-mail	Print
title of project testing			
test			
transit			
title			
Test Frank			
Mike's Rolling Stock \$200,000			

[Create a new project](#)

**Quick Tips**

Lorem ipsum dolor sit amet, consectetur adipiscing elit. Nullam sed cursus leo.[Read More](#)

Lorem ipsum dolor sit amet, consectetur adipiscing elit. Nullam sed cursus leo.[Read More](#)

**Video**

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**Live Support**

**Call Now**  
**888-589-6821**

Lorem ipsum dolor sit amet, consectetur adipiscing elit.

E-mail : [support@rtaportal.org](mailto:support@rtaportal.org)  
[support@example.com](mailto:support@example.com)

# Video Overview

National  
**RTAP**  
Rural Transit As



Program

Click to Chat  
with a specialist



Click to Email  
a specialist



- Home
- Partners
- Legislative
- Tribal
- Peer Network
- Videos
- Resources
- About



Create a new  
Project



Archived  
Projects



Learning Center  
(Help)



Live Support  
(Help)

## Your Projects

Project Title

Edit

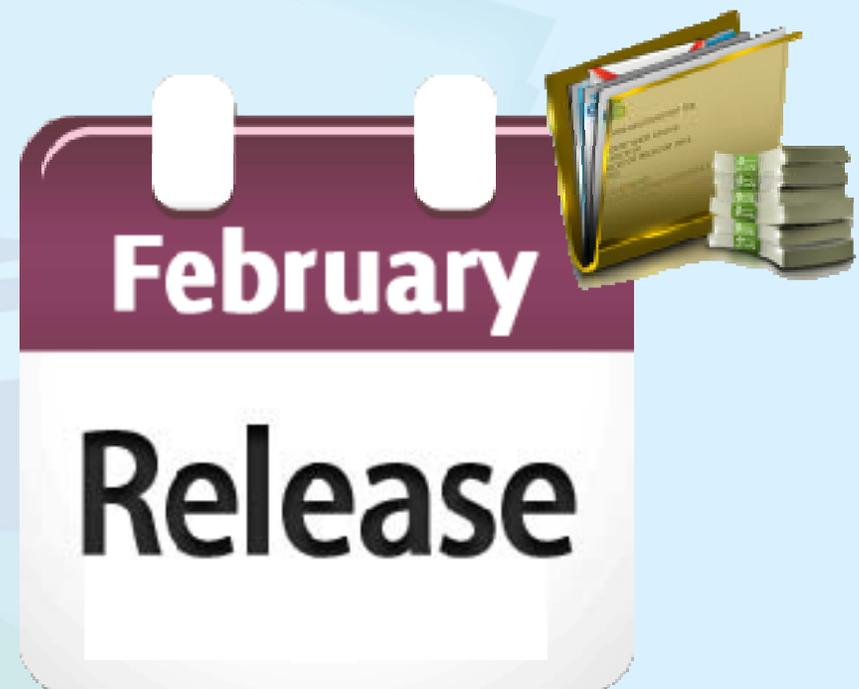
E-mail

Print



## Development Cycle

- Apps Needed
- Scope Out
- Development
- Alpha Testing
- Beta Testing
- Public Release



# Questions?





## Contact Information

National RTAP

781-895-1100 (office)

888-589-6821 (toll free)

864-979-051 (cell)

Frank Condon, [fcondon@nationalrtap.org](mailto:fcondon@nationalrtap.org)



# U.S. Department of Transportation Federal Transit Administration



## State Programs Team Annual Meeting

GA/FL Pilot Team





# Overview GA/FL Pilot Team

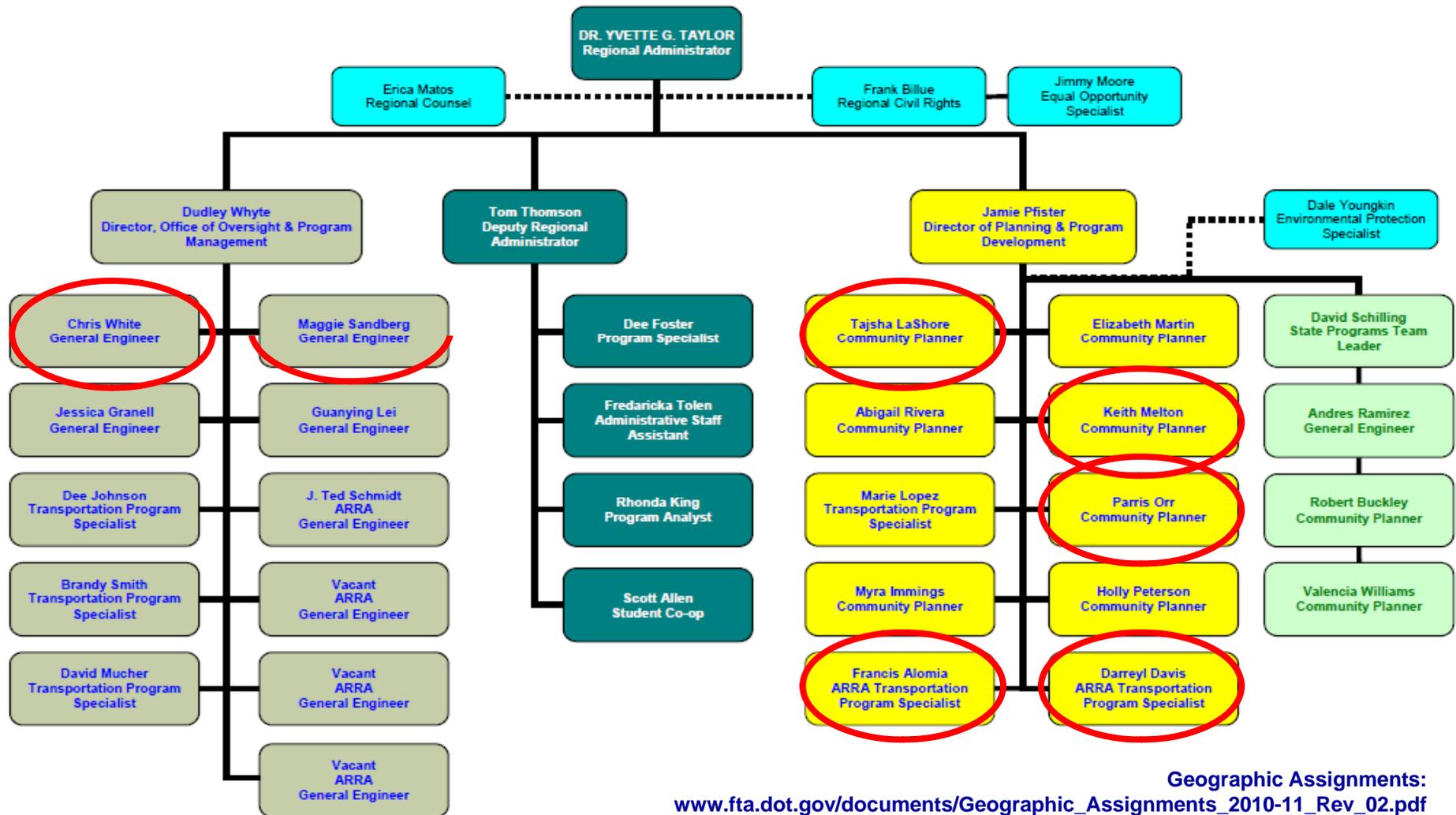
- FTA Organizational Update
- Georgia-Florida Pilot Team Mission Statement
- Georgia-Florida Pilot Team
  - Planners
  - Grants Managers
  - Program Managers/Engineers
- Questions





# FTA Organizational Update

## Federal Transit Administration – Region IV Organizational Chart





# GA-FL Pilot Team Mission Statement

*The mission of the GA-FL pilot team is to develop and implement procedures to enhance planning, grant development and oversight in order to increase efficiency and improve customer service to our grantees and partners.*





# GA-FL Pilot Team Geographic Assignments

## TEAM A

(Atlanta, Jacksonville, Miami, Orlando, Tampa, and Charlotte)

## TEAM B

(Augusta, Columbia, Savannah, Bonita Springs-Naples, Cape Coral, Daytona Beach, Melbourne, Pensacola, Port St. Lucie, Sarasota, Tallahassee, and small UZA's)

Keith Melton,  
Community Planner

Parris Orr,  
Community Planner

Tajsha LaShore,  
Grants Manager

Darreyl Davis,  
Grants Manager

Maggie Sandberg,  
Engineer/Program Manager

Chris White,  
Engineer/Program Manager

Francis Alomia,  
Engineer/Program Manager/  
Grants Manager



# GA-FL Planners

- Capital Project Development
- New Starts, Small Starts, Very Small Starts (Fixed Guideway Projects) Project Development and Associated Grants
- Feasibility Studies
- AA Studies and Associated Grants
- TIP/STIP Review, Approval, Amendments
- UPWPs
- LRTPs
- TMA Planning Certification Reviews
- Air Quality Conformity
- NEPA
- MPOs





# GA-FL Grants Managers

- Coordination of all available and lapsing funds
- Pre-Award Grant Application Reviews and Development
- Manage and provide oversight to grants that contain:
  1. Operating Assistance or Planning Only (5307)
  2. Capital Equipment Purchases
  3. Non-Construction activities





# GA-FL Grants Managers (cont'd)

- Quarterly/Annual Reports (FFR/MPR) in TEAM
- Grant Amendments
- Budget Revisions
- Inactive Grants
- Grant Closeouts





# GA-FL Engineers

- Manage and Provide Oversight to Grants (and projects) that contain:
  1. Engineering, Design, and Construction
  2. Preventive Maintenance
  3. Real Estate / ROW Acquisition
  4. Rail Vehicle Acquisition and Track Maintenance
  5. Vehicle Procurement
- Pre-Award Technical Review of Grant Applications
- New Starts, Small Starts, Very Small Starts (Fixed Guide-way Projects) Project Management and Oversight





# GA-FL Engineers (cont'd)

- Task Order Managers for Project Management Oversight Consultant (PMOC)
- Technical Reviews of Design & Construction, PE, FD
- Quarterly/Annual Reports (FFR/MPR) in TEAM
- Procurement issues / Buy America compliance
- Oversight Reviews – Triennials Specialized Reviews (as
- Annual Grantee Assessments
- Budget Revisions, Inactive Grants, Grant Closeouts





# GA-FL Pilot "Team A"

***Georgia & Florida Urbanized Area (Over 200K) – Atlanta, Jacksonville, Miami, Orlando, Tampa & Charlotte***

- **Planning & NEPA – Keith Melton**
  - 404-865-5614, [keith.melton@dot.gov](mailto:keith.melton@dot.gov)
- **Grants Development & Management - Tajsha LaShore**
  - 404-865-5606, [tajsha.lashore@dot.gov](mailto:tajsha.lashore@dot.gov)
- **Engineering, Oversight & Construction Grants Management – Maggie Sandberg**
  - 404-865-5612, [margarita.sandberg@dot.gov](mailto:margarita.sandberg@dot.gov)





# GA-FL Pilot "Team B"

***Georgia & Florida Urbanized Areas – Augusta, Columbus, Savannah, Bonita Springs-Naples, Cape Coral, Daytona Beach, Melbourne, Pensacola, Port St. Lucie, Sarasota, Tallahassee and small UZAs in Florida)***

- **Planning & NEPA - Elizabeth "Parris" Orr**
  - 404-865-5617, [elizabeth.orr@dot.gov](mailto:elizabeth.orr@dot.gov)
- **Grants Development & Management - Darreyl Davis**
  - 404-865-5631, [darreyl.davis@dot.gov](mailto:darreyl.davis@dot.gov)
- **Engineering, Oversight & Construction Grants Management – Chris White**
  - 404-865-5619, [Christopher.white@dot.gov](mailto:Christopher.white@dot.gov)





# GA-FL Pilot Team A & B

**Engineer/Program Manager/Grants Manager**

**Francis Alomia, 404-865-5638, [francis.alomia@dot.gov](mailto:francis.alomia@dot.gov)**

**Planning, NEPA, Program and Grant Development  
(Pre-award) and Program Management (Post-award)**

- **GDOT**

- **Robert Buckley, 404-865-5618, [robert.buckley@dot.gov](mailto:robert.buckley@dot.gov)**

- **FDOT**

- **Andres Ramirez, 404-865-5611, [andres.ramirez@dot.gov](mailto:andres.ramirez@dot.gov)**





# Questions





## U.S. Department of Transportation Federal Transit Administration



# Discretionary Programs: Updates and Lessons Learned

Robert Buckley, Community Planner





# Discretionary Programs

- In Fiscal Year 2010, FTA provided over **\$1.25B** in discretionary funding.
- Over **\$278M** in Region IV across 9 different discretionary programs.
- The State of Good Repair Program is the largest single allocation of discretionary funding in FTA's history.





# Discretionary Programs

## PROGRAMS AWARDED

- Urban Circulator Program \$130M
- Bus/Bus Facility Livability Program \$163M
- State of Good Repair \$776M
- Clean Fuels/Bus and Bus Facilities \$89M
- TIGGER II \$75M
- TIGER2 \$600M
- Alternatives Analysis Program \$25M
- Transit in the Parks (2 awards) \$53M
- Tribal Transit \$15M





# Discretionary Programs

## Important Dates

Discretionary Program	Federal Register Solicitation Date	Press Release Date	Federal Register Notice Publication
Urban Circulator Program	12/8/2009	7/8/2010	Not Yet Published
Bus/Bus Facility Livability Program	12/8/2009	7/8/2010	8/24/2010
State of Good Repair	5/4/2010	10/4/2010	11/30/2010
Clean Fuels/Bus and Bus Facilities	4/13/2010	11/4/2010	Not Yet Published
TIGGER II	4/13/2010	11/4/2010	Not Yet Published
TIGER2	4/26/2010	10/20/2010	Not Yet Published
Alternatives Analysis Program	5/28/2010	12/21/2010	Not Yet Published
Transit in the Parks (2 awards)	1/23/2009	4/5/2010	5/10/2010
	5/13/2010	12/22/2010	Not Yet Published
Tribal Transit	5/13/2010	12/14/2010	Not Yet Published



# Discretionary Programs

## Discretionary Funding for Region IV:

- *Urban Circulator – Total: 24,990,000*
  - Charlotte Streetcar: \$24,990,000
    - 1.5-mile streetcar route
    - 6 stops
    - 3 replica trolleys
    - Implemented on a reconstructed street already built with a double-track for streetcar vehicles and pedestrian and urban design enhancements





# Discretionary Programs

## Discretionary Funding for Region IV:

- *Bus and Bus Facility Livability - Total: \$17,838,909*
  - Broward Boulevard Livable Mobility Plan: \$8,034,017
  - LYNX Urban Trail Project: \$1,233,132
  - KYTC, LKLP Downtown Transit Hub: \$5,043,760
  - City of Asheville Fleet Replacement: \$428,000
  - SCDOT Multiuse Trail and Ped. Connectors: \$3,100,000





# Discretionary Programs

## Discretionary Funding for Region IV:

- *State of Good Repair* – Total: \$95,027,293

### **Alabama**

– Huntsville Rehab Shelters & Maint. Facility: \$620,000

### **Florida**

– Broward Transit Asset Management: \$1,000,000

– Gainesville Maintenance Facility: \$10,666,846

– Jacksonville Skyway Repair and Refurb: \$2,384,244

– Manatee Admin/Maintenance Facility: \$15,948,237

– St. Lucie Vehicle Replacement: \$4,550,000





# Discretionary Programs

## Discretionary Funding for Region IV:

- *State of Good Repair*

### **Georgia**

- MARTA Phase I Mobility Facility: \$18,320,000
- MARTA Transit Asset Management: \$1,360,000

### **Kentucky**

- KYTC Statewide Improvements: \$5,901,848
- LexTran Admin/Maintenance Facility: \$8,780,000
- TARC Fare Collection System Replacement: \$2,543,892

### **North Carolina**

- Asheville Transit Vehicle Replacement: \$1,388,500
- Wave Operations/Maintenance Facility: \$6,000,000
- Charlotte MARS Project: \$1,549,600
- CATS Transit Asset Management: \$400,000





# Discretionary Programs

## Discretionary Funding for Region IV:

- *State of Good Repair*

### South Carolina

- Charleston Intermodal Facility: \$6,020,126
- Coast RTA Electronic Farebox Equipment: \$144,000

### Tennessee

- Chattanooga Transit Asset Management: \$250,000
- Memphis Transit Vehicle Replacement: \$7,000,000
- Nashville Transit Asset Management: \$200,000





# Discretionary Programs

## Discretionary Funding for Region IV:

- *Clean Fuels – Total: \$13,129,060*
  - Jacksonville Hybrid Bus Replacement: \$4,000,000
  - MARTA Bus Retrofit: \$840,000
  - TARC Hybrid Bus Program: \$3,975,740
  - Charlotte Paratransit Buses: \$421,580
  - Pee Dee RTA Hybrid Buses: \$3,200,000
  - First TN HRA Biofuel Paratransit Vehicles: \$691,740





# Discretionary Programs

## Discretionary Funding for Region IV:

- *TIGGER II – Total: \$8,899,603*
  - Tallahassee Zero-Emission Elec. Buses: \$5,241,003
  - TARC Union Station Eff. Improvements: \$2,658,600
  - Charlotte Bus Canopy PVS Project: \$1,000,000





# Discretionary Programs

## Discretionary Funding for Region IV:

- *TIGER 2 – Total: \$107,795,643 (FTA Total: \$57,667,777)*

### *CAPITAL*

- **Atlanta Streetcar:** **\$47,667,777**
- Port of Miami Rail Access: \$22,767,000
- NW Tennessee Port (Lake County): \$13,000,000
- **Parramore BRT (Orlando):** **\$10,000,000**
- Port Manatee Marine Highway: \$9,000,000

### *PLANNING*

- Complete Streets Project (Peach County): \$1,491,490
- Augusta Sustainable Develop. Program: \$908,307
- Asheville Multi-Modal Neighborhood: \$850,000
- Dahlonega Complete Streets: \$720,069
- Lexington, NC Multi-Modal Station Plan: \$700,000
- Broad Street Road Diet (SC): \$456,000
- Greenville Jobs & Open Space Links: \$235,000





# Discretionary Programs

## Discretionary Funding for Region IV:

- *Alternatives Analysis – Total: \$4,765,000*
  - Gainesville BRT: \$425,000
  - LYNX Osceola County Corridor: \$800,000
  - Tallahassee Transit System Development: \$400,000
  - Gwinnett I-85 Corridor: \$600,000
  - Cobb NW Atlanta Corridor: \$1,360,000
  - Nashville Broadway/West End Corridor: \$1,180,000





# Discretionary Programs

## Discretionary Funding for Region IV:

- *Transit in the Parks – Total: \$5,650,000*
  - **St. Augustine – Castillo de San Marcos: \$250,000**
  - **Gulf Island National Seashore: \$2,800,000**
  - **Lee County Transit Ding Darling NWR: \$900,000**
  - **River of Grass Greenway Project: \$1,000,000**
  - **Guilford Courthouse National Military Park: \$100,000**
  - **Cades Cove Heritage Tours: \$600,000**





# Discretionary Programs

## Discretionary Funding for Region IV:

- *Tribal Transit – Total: \$286,910*
  - Mississippi Band of Choctaw: \$41,910
  - Eastern Band of Cherokee: \$190,000
  - Catawba Nation: \$55,000





# Discretionary Programs

## Lessons Learned:

- Ensure project is “ready to go”
- Ensure project has regional support
  - Attach letters of support from MPO, State, etc.
  - Attachments do not count toward page limits
- Notify and work with FTA region when applying
- Follow outline provided in the FR
- Specifically answer each evaluation criterion
- Ensure application is complete
- Submit to Grants.gov **EARLY**
- Take advantage of FTA feedback/debriefs
  - Coordinated through the Regional Office





# Questions?





# U.S. Department of Transportation Federal Transit Administration



**Civil Rights/Legal Updates  
Atlanta, GA  
January 27, 2011**





# Civil Rights

## Agenda

- DBE Updates
- Title VI Updates
- EEO Updates
- DOT Subrecipient's Chain of Command





# Civil Rights

## Disadvantaged Business Enterprise (DBE)

- DBE Goals are now due every 36 months based on category selection (A-B-C)
- Grantees still have to complete Semi-Annual Reports (due on June 1 and Dec 1)
- Starting January 3, 2011, these reports will be submitted electronically in the new DBE Module in TEAM.
- ARRA DBE Reporting – Reports due quarterly
- December 1, 2010 – Last paper submission





# Civil Rights

## DBE Reporting

- To gain access to DBE reports a TEAM User Access form **must** be filled out specifically for DBE Reporting

Management System (TEAM)		TPM Form-1
User Access Request		Revised 1/22/2009
		DBE Reporting
Modify User	<input type="checkbox"/>	Username
Delete User	<input type="checkbox"/>	
<a href="#">Privacy Act</a>		



- You do not need to select any additional Application Access roles
- Signed by Main FTA POC in your office



# Civil Rights

- Main Menu - (Home)
- ▣ Recipients
- ▣ Applications
- ▣ Execution
- ▣ Project Management
- ▣ Funding Adjustments
- ▣ Civil Rights
  - ▣ DBE Reporting
    - ▣ New
    - ▣ Existing
- ▣ TEAM Administration
- ▣ Dynamic Query
- ▣ Charter Registration
- ▣ Static Reports
- ▣ TEAM Documentation
- ▣ ARRA Reporting: 1201
  - ▣ Help Desk Information
- ▣ Exit

## Add DBE Uniform Report

\* = Required, all fields are required except Grant Number

Recipient: 1519-NEW ORLEANS RTA      Status: \* --Select--  
 Fiscal Year: \* 2011      Reporting Period: \* --Select--  
 Grant Number(s):  
(separated by commas)

### Annual DBE Goal(s):

Race Conscious Goal: \* %     
 Race Neutral Goal: \* %     
 Overall Goal: \* %

### Awards/Commitments Made During This Reporting Period:

	A. Total Dollars	B. Total Number	C. Total to DBEs (dollars)	D. Total to DBEs (number)	E. Total to DBEs/Race Conscious (dollars)	F. Total to DBEs/Race Conscious (number)	G. Total to DBEs/Race Neutral (dollars)	H. Total to DBEs/Race Neutral (number)	I. Percentage of Total Dollars to DBEs
8. Prime Contracts Awarded This Period:	\$ <input type="text"/>	<input type="text"/>	\$0	0	\$ <input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	0%
9. Subcontracts Awarded/Committed This Period:	\$ <input type="text"/>	<input type="text"/>	\$0	0	\$ <input type="text"/>	<input type="text"/>	\$ <input type="text"/>	<input type="text"/>	0%
<b>Total:</b>			\$0	0	\$0	0	\$0	0	0%

### DBE Awards/Commitments This Reporting Period Breakdown By Ethnicity & Gender:

	A. Black American	B. Hispanic American	C. Native American	D. Subcont. Asian American	E. Asian-Pacific American	F. Non-Minority Women	G. Other	H. Total (number)
10. Total Number of Contracts: (Prime and Sub)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	0
11. Total Dollar Value:	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$0

### Actual Payments on Contracts Completed This Reporting Period:

A. Number of Prime	B. Total Dollar Value of	C. DBE Participation	D. Total DBE	E.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>





# Civil Rights

## DBE Reporting

Applications  
Execution  
Project Management  
Funding Adjustments  
Civil Rights  
DBE Reporting  
    New  
    Existing

– required, all items are required except Grant number

Recipient: 1519-NEW ORLEANS RTA

Fiscal Year: \* 2010

Grant Number(s):  
(separated by commas)

Status: \* --Select--

Reporting Period: \* --Select--

- Make sure to select the appropriate:
  - Fiscal Year, and
  - Reporting period





# Civil Rights

## DBE Reporting

---

**Add DBE Uniform Report**

quired, all fields are required except Grant Number

Status: \*

Reporting Period: \*

- Status
  - “New/Draft” – means save it for later
  - “Ready for Regional Review” – means submit





# Civil Rights

## DBE Reporting

- Deadlines:

### Report Due Dates

<u>Semi-Annual Reports</u>	<u>ARRA Quarterly Reports</u>
June 1, 2011	January 10, 2011
December 1, 2011	April 10, 2011
	July 10, 2011
	October 10, 2011





# Civil Rights

## Equal Employment Program and Title VI

- Reports are due every 36 months
- Submit to FTA 30-45 days prior to expiration for adequate review time
- For DOTs, please coordinate with your Highway Division on the FTA expiration due dates (EEO)
- Send a file copy of the FHWA EEO Program approval letter to FTA Region 4 OCR
- **DON'T LET YOUR PROGRAMS EXPIRE**





# Civil Rights

- Four Factor Analysis Requirement (LEP)- address the 4 factor questions first because this is the basis of your LEP.
- LEP Handbook (4 Factor Analysis Guide)
- DOT- Subrecipients Chain of Command
- NPRM Rule Change





# Civil Rights

## Civil Rights Region IV Bi-Monthly Calls

- FTA Region IV has starting conducting CR Bi-Monthly Calls
- Please update your 2010 and 2011 calendars with the following dates:
  - 2010: December 6
  - 2011: January 20, March 14, May 19, July 11, September 12 and November 10
- All calls start at 10AM EST; the call in number is (877) 848 -7030; Access Code 255-1022





# Civil Rights

## Frank Billue

Regional Civil Rights Officer, FTA Region IV

404-865-5628

[Frank.billue@dot.gov](mailto:Frank.billue@dot.gov)

## Jimmy Moore

EEO Specialist (ARRA), FTA Region IV

404-865-5471

[Jimmy.Moore@dot.gov](mailto:Jimmy.Moore@dot.gov)





# Legal

- Overview
- How can legal serve as a resource?
- Common questions from grantees:
  - Real estate acquisition/sale
  - Disposition of federally funded assets
  - Charter service requests
  - Drug/alcohol policies
  - Buy America waiver requests
  - Certifications and Assurances





# Legal

- Helpful references
- Questions/Answers





# Legal

Erica Matos

Legal Counsel, Region IV

404-865-5474

[Erica.matos@dot.gov](mailto:Erica.matos@dot.gov)





## U.S. Department of Transportation Federal Transit Administration



# Institute for State Transportation Professionals





# State DOT Institute

## Overview:

- FTA initiative that will create a forum to allow DOTs to analyze, control and evaluate its processes, procedures activities, products and resources
- Preliminary stages of development
- Similar to the Coordination Institutes





# State DOT Institute

## Purpose and Objectives:

Help high-level DOT officials:

- Improve the technical and financial capacity of their departments;
- Maximize limited resources; and
- Make strategic decisions and improve program management skills.





# State DOT Institute

## Discussion Questions:

- How can FTA assist the DOTs with the Institute?
  - Organizational Structure
  - Grants Management
  - Program Guidance
- What is the ideal final product?
  - Action Plans
  - Training/Technical Assistance Resources/Manuals
- How many States per year?
- Locations?





# Questions?





## U.S. Department of Transportation Federal Transit Administration



# Back to Basics:

## A Closer Look at Section 5310 & 5311 Circulars

Robert Buckley, Community Planner





# Back to Basics: 5310 & 5311

## Agenda

- 5310 & 5311 Program Basics
- Cross Cutting Provisions and Requirements
  - Program of Projects (POP)
  - Program Management
  - State Admin
- 5310 Program Requirements
  - Reporting Requirements
  - Operating Pilot Program
- 5311 Program Requirements
  - Intercity Bus





# Back to Basics: 5310 & 5311

## Basics

- *5310 Program*

- Purpose:

- Assist private nonprofit groups in meeting the transportation needs of the elderly and persons with disabilities when the transportation service provided is unavailable, insufficient, or inappropriate to meeting these needs.

- Eligible Subrecipients

- Private non-profit organizations
- Governmental authorities where no non-profit organizations are available to provide service, and governmental authorities approve to coordinate services.

- Eligible Activities

- Capital expenses that support transportation to meet the special needs of older adults and persons with disabilities.

- Program Notes

- 10% Program Admin available at 100%
- Projects must be derived from local, coordinated plan
- Funding allocation made by formula based on the number of elderly persons and persons with disabilities in each State.





# Back to Basics: 5310 & 5311

## Basics

- *5311 Program*
  - Purpose:
    - Support public transportation in rural areas, with population of less than 50,000.
  - Eligible Subrecipients
    - State or local governmental authority
    - nonprofit organization
    - operator of public transportation or intercity bus service that receives federal transit program grant funds indirectly through a recipient.
  - Eligible Activities
    - capital, operating, and administrative expenses for public transportation projects that meet the needs of rural communities
  - Program Notes
    - 15% Program Admin available at 100%
    - 15% must be used to support intercity bus service\*\*
    - RTAP and Tribal Transit programs are funded as takedowns from 5311





# Back to Basics: 5310 & 5311

## Program of Projects (POP)

- *A list of projects to be funded in a grant application submitted to FTA by a State (p. I-3, C 9070.1F & 9040.1F)*
  - Lists:
    - Subrecipients by type (non-profit, public, private, etc)
    - Brief description of the projects
    - Total project cost
    - Federal share of each project
    - For 5311: includes intercity and RTAP projects
      - Also, projects should not exceed 2 years in duration





# Back to Basics: 5310 & 5311

## Program of Projects & FFATA

- In order to comply with FFATA State should provide the following info for each subrecipient:
  - Name of subrecipient
  - Amount of award
  - Location of subrecipient
  - Primary location of performance of award
    - City, State, and Congressional District
- Can be included in the POP or as an additional attachment.





# Back to Basics: 5310 & 5311

## Program of Projects (POP)

- *Categories of Approval*
  - Category A Projects
    - Met all Federal statutory and administrative requirements
    - Projects have unconditional approval upon grant award
    - Funds can be drawn down immediately following execution
    - Expectation is for most projects to be in this category





# Back to Basics: 5310 & 5311

## Program of Projects (POP)

- *Categories of Approval*

- Category B Projects

- State expects these projects to have met all Federal requirements during the current year
- Examples:
  - Project still needs NEPA approval (usually concurrence of DCE)
  - Pending TIP/STIP
  - Local match issues
- When State determines requirements have been met approval of project becomes unconditional and project can be advanced to Cat. A
- Drawdowns can begin once project has been shifted to Cat. A





# Back to Basics: 5310 & 5311

## Program of Projects (POP)

- *Categories of Approval*
  - Category C Projects
    - Program Reserve to accommodate unanticipated program needs
    - Optional
    - Established to allow states to obligate entire apportionment at one time, even if all projects have not been identified at grant time
    - No more than 10% of grant
    - Should not exceed amount the state can reasonably expect to allocate to new projects within the next 12 months
    - Must be allocated to specific projects within period of availability of funds
    - When projects are selected, States must notify FTA and update POP





# Back to Basics: 5310 & 5311

## Program of Projects (POP)

- *Revisions to the POP Not Requiring FTA Notification or Approval*
  - Deleting a project from the POP if the project cost is less than \$250,000 or 10 percent of the total of the POP, whichever is greater;
  - Advancing Projects from Cat. B to Cat. A provided all Federal requirements met
  - Allocate Cat. C funds to existing projects as long as funds are within period of availability
  - Reallocate funds within approved POP among approved projects
  - Add equipment or property transferred between subrecipients listed in POP
  - Transfer funds between intercity bus projects within the POP\*\*
  - Transfer funds between RTAP<sub>pro</sub> jects within the POP\*\*





# Back to Basics: 5310 & 5311

## Program of Projects (POP)

- *Revisions to the POP Requiring FTA Notification, but not Approval*
  - Allocate Cat. C funds to new projects under \$250k within period of availability.
  - Create new projects under \$250k with funds taken from other projects in POP.
  - Delete or reduce a project by more than \$250k or 10% of POP





# Back to Basics: 5310 & 5311

## Program of Projects (POP)

- *Revisions to the POP Requiring FTA Approval*
  - Allocate more than \$250k or 10% of total POP for any new capital project
  - Advance to Cat. A any prospective subrecipient with serious compliance questions
  - Advance to Cat. A any project with the acquisition of a property with a value over \$250k
  - Advance to Cat. A any project that does not meet NEPA for a listed CE
  - Alter intercity bus projects if the change would result in less than 15% of annual apportionment being designated for intercity projects\*\*





# Back to Basics: 5310 & 5311

## Program of Projects (POP)

- *Revisions to the POP Requiring FTA Approval*
  - Allocate more than \$250k or 10% of total POP for any new capital project
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  - Alter intercity bus projects if the change would result in less than 15% of annual apportionment being designated for intercity projects\*\*





# Back to Basics: 5310 & 5311

## Program of Projects (POP)

- *Revisions to the POP & TEAM Budget Revisions*
  - State should enter a budget revision in TEAM for any changes that affect budget line items
    - Should follow Budget Revision guidance: "Budget Revision #1 – 1/31/11: (Explanation of Change)"
- *Updates to POP*
  - Most recent POP should be submitted to FTA with annual FFR or as revisions are made.





# Back to Basics: 5310 & 5311

## Program Management

- *Common Rule - 49 CFR Subtitle 18.32 Equipment*
  - *A state will **use, manage, and dispose** of equipment acquired under a grant by the State in accordance with State laws and procedures;*
  - Must be defined in State Management Plan
- How many are updating SMPs?
  - Do the plans describe the state and/or DR criteria for selecting projects and distributing funds fairly and equitable among the various applicants incl. tribal governments?





# Back to Basics: 5310 & 5311

## Program Management

- *Procurement*

- States can follow own guidance
- Subrecipients should follow state procedures
- Minimum: state procurements must comply with 5 specific requirements
  - 5 year limitation of contract period of performance
  - Full and open competition
  - Prohibition on geographic preferences
  - Use of Brooks Act Procedures for A&E services if state has not adopted statute governing procurement
  - Inclusion in contracts of all Federal clauses





# Back to Basics: 5310 & 5311

## Program Management

- Equipment Management
  - Need to ensure adequate measures for proper management.
- Useful life of Vehicles
  - States can follow own guidance on:
    - Minimum useful life standards for vehicles
    - Procedures for determining fair market value
    - Developing policies and procedures for maintenance and replacement of vehicles
- Maintenance
  - Must be adequate to protect Federal interest for the useful life identified by the state.
  - FTA will review the State Maintenance Standards and Program
- Disposition (end of useful life)
  - States are **not required** to return to FTA proceeds from the disposition of equipment, **but must return to transit**





# Back to Basics: 5310 & 5311

## State and Program Admin

- *State Administration*

- Definition:

- Funds to administer the program and to provide technical assistance to subrecipients

- Eligible Costs include, but not limited to

- Salaries
- overhead expenses
- supplies, and office equipment used to administer the program.
- project planning, program development, development of vehicle and equipment specifications,
- management development, coordination of public transportation programs (public and private for-profit and non-profit)
- Research the State may deem appropriate to promote effective means of delivering public transportation service in non-urbanized areas (5311)
- Funding for subrecipients to support the coordinated planning process (5310)





# Back to Basics: 5310 & 5311

## Section 5310 Program

- *Reporting Requirement S*
  - Performance Measures
    - Gaps in Service Filed
    - Ridership (One Way Trips)
  - Covers the previous FY
  - Due 30 days after end of FY (October 31)
- *Pilot Program*
  - 33% allocation eligible for operations (50% match)
  - In Region IV: NCDOT, SCDOT
  - Has been extended through FY 2010





# Back to Basics: 5310 & 5311

## Section 5311 Program

- *Intercity bus 5311(f)*
  - Definition:
    - regularly scheduled bus service for the general public that operates with limited stops over fixed routes connecting two or more urban areas not in close proximity, that has the capacity for transporting baggage carried by passengers, and that makes meaningful connections with scheduled intercity bus service to more distant points, if such service is available.





# Back to Basics: 5310 & 5311

## Section 5311 Program

- *Intercity bus 5311(f)*
  - Eligible Assistance includes, but not limited to:
    - purchase vehicles or vehicle related equipment
    - planning and marketing for intercity bus transportation
    - capital grants for intercity bus shelters
    - joint-use stops and depots
    - operating grants through purchase-of-service agreements
    - user-side subsidies and demonstration projects
    - coordination of rural connections between small public transportation operations and intercity bus carriers





# Back to Basics: 5310 & 5311

## Section 5311 Program

- *Intercity bus 5311(f)*
  - Certification requirements/letters
    - State is required to spend at least 15% unless CEO of the state (Governor) certifies after consultation with intercity bus providers that needs are being met
    - Must be done for each FY the entire 15% is not met
    - Consultation process must be documented in the SMP





# Questions?





## U.S. Department of Transportation Federal Transit Administration



## Region IV SPT Goals: Setting the Bar in FY 2011

David Schilling, State Programs Team Leader





# Agenda

- FY 2010 Highlights
- FY 2011 SPT Goals
- FY 2011 SPT Objectives
- FY 2011 State DOT Goals for SPT
- FY 2011 SPT Training





# FY 2010 Highlights

- All ARRA funds obligated!
- FY 2010 State Partner Grants (DOTs, VIDPW, ADSS, GADHS)
  - Section 5303/04: 9 Grants; \$17.1M
  - Section 5307 (DOTs apply): 5 Grants; \$25.9M
  - Section 5309: 18 Grants; \$13.7M
  - Section 5310: 8 Grants; \$26.8M
  - Section 5311: 18 Grants; \$184.6M
  - Section 5316: 10 Grants; \$10.2M
  - Section 5317: 9 Grants; \$5.9M
- TOTAL: 77 Grants; Approximately \$284.2M





# FY 2010 Highlights

- Partnering Activities and Events
  - State Program Team Meeting: Atlanta, GA, January 2010
  - MPTA, GTA, GAMPO, FPTA, TASC, NCPTA, KPTA, TPTA
  - Bi-Monthly Conference Calls
  - Quarterly Meetings: SCDOT, NCDOT, GDOT
  - AL RTAP Training Meeting and Workshop: Oxford, AL and Huntsville, AL





# FY 2010 Highlights

- Training

- ALDOT/GDOT FTA 101 Training: Auburn, AL
- FDOT FTA 101 Training: Tampa, FL
- State Management Review Workshop: Atlanta, GA (5<sup>th</sup> Annual Meeting)
- TN FTA 101 Training: Murfreesboro, TN
- TDOT FTA 101 Training: Nashville, TN
- FFR Webinars
- NTI Classes: Real Estate, Project Management for Transit Facilities
- FTA Procurement Training: Atlanta, GA





# FY 2010 Highlights

- Guidance
  - Templates: DCE, Grant Language and Common Errors
  - Region IV Website: NEPA, TEAM, New Grantee, Grantee Resource Center
- Reviews
  - ALDOT, FDOT and SCDOT State Management Reviews





# FY 2011 SPT Goals

- To expand and deliver tools and resources that will enable the State DOTs to provide effective oversight and technical assistance to their subrecipients
- To enhance program compliance and to ensure successful program delivery
- To provide excellent customer service and support to the State DOTs





# FY 2011 SPT Objectives

- Implementation of 5307 Governor's Apportionment Supplemental Agreements
- FTA/FHWA/DOT Partnerships
- New TEAM training
- Timely and thorough close-out of all review findings
- Assist with updates to State Management Plans
- Implementing an effective and streamlined grant delivery program





# FY 2011 SPT Training

- KYTC, MDOT and TDOT FTA 101 Training
- TEAM Training/Webinars
  - February 24 , 10AM
- NEPA Training/Webinars
- SMR Workshop – Nashville, TN, March 15–16, 2011
- State Public Transportation Association Meetings – Please include FTA on the agenda





# FY 2011 SPT Reviews

- State Management Reviews
  - KYTC, MDOT, NCDOT, TDOT
- ARRA/ SMR Follow-up Reviews
  - VIDPW, GDOT





# Questions?

