



# Recovery Act

## Certifications and Reporting



April 16, 2009





## Overview

1. ARRA Certifications
2. Status of Region IV Certifications
3. Reporting
  - FTA Reporting Requirements
  - ARRA Sec.1201(c)(2)
  - ARRA Sec. 1512
  - ARRA Sec. 1609



## **ARRA Certifications**

1. ARRA Sec. 1201:
  - Maintenance of Effort Certification
2. ARRA Sec. 1511:
  - Project Vetting Certification
3. ARRA Sec. 1607:
  - Accepting Funds and Responsibility



## **ARRA Certifications**

- Secretary LaHood Letter to Governors – February 27, 2009
- ONE-DOT Certs – for FHWA and FTA
- Certifications Received are posted on [www.dot.gov](http://www.dot.gov) recovery site





## **Cert: ARRA Sec 1201- MOE**

- Maintenance of effort of State funding for all modes of transportation
- Applies to funding planned from 2/17/09 through 9/30/2010
- ONE-DOT Certification required by March 19
- FY 2011 FHWA funding will be affected by failure to meet MOE for State funding



## **Cert: Sec. 1607 – Accepting the Funds**

- S. 1607 –Governor accepts ARRA funds and will use them to create jobs and promote economic health
- Applies Government wide – all agencies and programs
- Due April 3, 2009
- Send copy to DOT
- Legislature may accept if Gov. declines ARRA funds



## **Cert: ARRA Sec 1511 – Project Vetting Cert**

- ARRA – Projects have been vetted and are good investments of ARRA \$
- Gov't wide Requirement- must be received before award
- One State cert based on TIP/STIP Process sufficient for FTA/FHWA if it cites STIP and live link to STIP that includes/or will include transit projects
- FHWA/FTA projects must be listed in STIP with ARRA as funding source



## **Status of Region IV Certifications**

- All 1201 and 1607 Certifications submitted
- 1511 Certifications posted: AL, GA, KY, MS, NC, PR, SC, TN, VI
  - 1511 certifications reference transit: AL, KY, MS, SC, VI
  - STIP/TIP live link to transit projects: AL, KY\*, MS, SC\*\*

\*Only State with the ARRA transit projects modified and amended in the STIP

\*\* Project lists for some transit projects found, but STIP amendments/mods not posted past 12-2008.





## **Reporting Requirements**

- FTA Reporting Requirements
- ARRA Sec.1201(c)(2) DOT
- ARRA Sec. 1512
- ARRA Sec. 1609



## **FTA Reporting Requirements**

- Quarterly FSR and MPR reports – Standard FTA Grants management reports
- All programs report quarterly
- Report due 10 days after end of each quarter, not usual 30, to support S. 1512 reporting requirement
- Specific ARRA data reporting



## **Reporting: Sec. 1201 (C)(2)**

- DOT Requirement in ARRA – Periodic Reports
- Due to Congress 90 days, 180 days, 1 year, 2 years, and 3 years after enactment
- First report due May 18; form to be finalized by May 8
- Second report due August 16 for cumulative period up to July 31.
- Requires financial and progress information at project level (sub-awards and contracts); likely will require info on aggregate hours and payroll data – direct jobs only
- DOT/FTA still working out logistics



## **Reporting: Sec. 1512**

- Government-wide requirement – starts 180 days after February 17
- Quarterly Reports due starting 10 days after each quarter for grantee/30 days for DOT
- OMB announced first reports will be due October
- OMB posted proposed data guidelines for public comment; due May 1
- States encouraged to review and comment
- Detailed project/activity level information – including jobs data





## **Reporting: Sec. 1512 Reports (cont)**

- Government-wide portal being explored to collect data
- All Recipients, sub-recipients, and contractors under ARRA must have DUNS ([www.dnb.com](http://www.dnb.com)) and CCR ([www.ccr.gov](http://www.ccr.gov)) registration
- DOT/FTA still working out logistics



## **Reporting: Sec 1609 Report**

- Report to Congress on status of NEPA compliance for ARRA projects
- FTA will use TEAM environmental findings to extent possible
- May require additional reporting from applicants/grantees
- First report has been submitted by FTA



## **FTA ARRA Websites**

### **FTA Public Site**

[http://www.fta.dot.gov/index\\_9440.html](http://www.fta.dot.gov/index_9440.html)

### **FTA Region IV Site**

[http://www.fta.dot.gov/regions/region4/regional\\_offices\\_9284.html](http://www.fta.dot.gov/regions/region4/regional_offices_9284.html)



**QUESTIONS?**