



# U.S. Department of Transportation Federal Transit Administration

## FTA Guidance Updates and New Information

*State Programs Team Meeting  
Atlanta, GA  
April 15-16, 2009*





# Overview

- NTD: Policy on Reporting of Coordinated Human Services Transportation Data
- New Freedom Policy Change
- Section 5310 End of the Year Performance Reports
- Coordinated Planning





# NTD: Policy on Reporting of Coordinated Human Services Transportation Data

- On March 4, 2009, FTA published a final policy on the reporting of coordinated human services transportation data to the NTD
- Transit agencies can report all demand response services to the NTD including trips provided by the provider either in whole or by a third party to the NTD (sponsored trips can now be reported)
- By reporting these trips to NTD, providers can receive more funding via the formula for the 5307 program
- Charter trips cannot be reported





# New Freedom Policy Change

- FTA published a proposed NF policy change on January 26, 2009
- Policy expands the type of projects its considers to be "Beyond the ADA"
- New and expanded fixed route and demand responsive service in rural and small urbanized areas designed to meet the needs of individuals with disabilities is now eligible
- Comments were due on February 23, 2009; final rule has not been published





# Section 5310 – End of the Year Performance Reports

- States are required to submit their program measures on behalf of their Section 5310 subrecipients as part of their annual program of projects status reports
- Reports are due by October 31 each year and should cover the 12-month
- Reports should be submitted in TEAM.
- The State should submit both quantitative and qualitative information as available on each of the following measures:
  - Gaps in Service Filled
  - Ridership
- Program measures can be found on page VI-13 of the Section 5310 Circular:  
[http://www.fta.dot.gov/laws/circulars/leg\\_reg\\_6622.html](http://www.fta.dot.gov/laws/circulars/leg_reg_6622.html)





# Coordinated Planning

## Session Purpose:

1. Ensure State DOTs are on track to submit and administer Section 5310, 5316 and 5317 program grants
2. Review the requirements to receive coordinated planning program funds
3. Ensure **NO** FY 2007 Section 5310, 5316 and 5317 funds lapse (9/30/09)
4. Discuss JARC/NF Reporting Requirements





# Coordinated Planning Grant Program Requirements

In order to receive 5310, 5316 and 5317 funds:

- DR letter from Governor on file
- Project was selected from a local coordinated plan
- Project selected through a competitive selection process
- Project in current STIP
- Program of Projects
- Approved State Management Plan





# Coordinated Planning

State	FY	5316 - JARC		5317 - NEW FREEDOM		Total
		Rural	Small Urban	Rural	Small Urban	
Alabama	2007		549,235	549,123	427,039	5,775,423
	2008	1,044,281	873,064	593,188	461,307	
	2009	449,145	375,505	255,130	198,406	
Florida	2007	622,888	250,688			3,535,505
	2008			571,500	96,817	
	2009	387,687	782,258	245,802	577,865	
Georgia	2007	1,141,655	919,739	625,568	450,350	7,993,124
	2008	1,236,793	996,384	675,768	486,490	
	2009	531,945	428,545	290,648	209,239	
Kentucky	2007					1,121,095
	2008				168,742	
	2009	489,453	123,466	266,858	72,576	
Mississippi	2007	1,041,935	150,126	466,476	69,215	4,402,150
	2008	1,128,763	162,636	503,909	74,770	
	2009	485,481	69,950	216,731	32,158	
North Carolina	2007	1,452,051	919,021	892,873	668,035	9,753,509
	2008	1,573,055	995,606	964,523	721,643	
	2009	676,571	428,210	414,842	47,079	
South Carolina	2007					3,319,948
	2008	871,933	559,922	490,086	399,539	
	2009	375,018	240,823	210,786	171,841	
Tennessee	2007					3,770,381
	2008	1,016,470	650,733	631,396	407,771	
	2009	437,184	279,881	171,563	175,383	
Virgin Islands	2007					47,899
	2008					
	2009	40,578		7,321		



# Coordinated Planning Matrix

State DOT	DR Letter	Approved State Management Plan	Competitive Selection Process	Inclusion in Current STIP	Applying in FY 09 (Y/N)
Alabama/ADSS (07-09)	YES	YES			
Florida (08-09)	YES	YES			
Georgia/GADHR (07-09)	YES	YES			
Kentucky (09)	YES	YES			
Mississippi (07-09)	YES	YES			
North Carolina (07-09)	YES	YES			
South Carolina (08-09)	YES	YES			
Tennessee (08-09)	YES	YES			
Virgin Islands (09)	YES	YES			





# Coordinated Planning - Transfer of Funds

- JARC/NFP
  - Between small urban and rural apportionments
    - State must certify needs are being met from area transferred from
  - Small urban and rural apportionments to Section 5307 or 5311 apportionments
    - JARC/NFP requirements do not change
    - Direct recipients of the 5307 and 5311 programs can apply directly to FTA
    - New scope codes established for JARC/NF projects included within a Section 5311 or 5307 grant.





# Coordinated Planning - Transfer of Funds

- JARC Only
  - Transfer small urban and rural allocations to large urbanized area, Statewide JARC program/plan must be in place
- Notify FTA of all transfers
- Allocation letter for all small UZAs intending to apply directly for 5316/5317 apportionments (after CS)





# Coordinated Planning - Friendly Reminders

- TEAM:
  - In Project Details:
    - For 5316 and 5317 programs, briefly describe the competitive selection process
    - Reference approved SMP and provide FTA approval date (from letter)
  - Attach the Program of Projects (POP)
    - Include name of coordinated plan and page number of project or goal that project was derived from (option to attach plans)
  - Use correct scopes for programs (Appendix C & D of Program Circulars, ALI tree scope code, separate administrative code 610-00; 11.80.00 ADMIN)



# Coordinated Planning - Friendly Reminders

- When possible, combine your FY07–09 JARC and NF program funds into ONE grant application (One for JARC, One for NFP)
- Have 5310, 5316 and 5317 Grant applications submitted no later than JULY 15, 2009
- Update your FTA representative throughout your process – KEEP US INFORMED!





# JARC/NF Reporting Requirements

- States and DRs have received 3 emails regarding JARC/NF Freedom reporting
  - <http://ftajarcnf.cesnn.com/>
- FTA hired contractors to collect and analyze data
- States and DRs will submit information to a web-based system
- FTA suspended activity until after May 1 but DRs should start gathering information





# JARC/NF Reporting Requirements

- Designated recipients will be asked to report on basic program elements, including geographic coverage and units of service provided.
- Designated recipients will be required to provide a brief profile for each JARC- or NF-supported service with the following information:
  - Service description - Include route name and/or # (as applicable)
  - Local evaluation process
  - Accomplishments/Success
  - Lessons learned
- All reporting requirements can be found at:
  - <http://ftajarcnf.cesnn.com/>





# Questions?

