

**49 U.S.C. Section 5329 Interim Provisions: Required and Voluntary Training (Proposed)**

<b>Personnel Covered by 49 U.S.C. Section 5329</b>	<b>SMS Awareness (1 hour on-line)</b>	<b>SMS Leadership</b>	<b>Level 100: SMS Principles for Rail Transit</b>	<b>Level 101: SMS Principles for SSO Programs</b>	<b>Level 102: SMS Principles for Bus Transit</b>	<b>Level 200: Advanced SMS Principles for Rail Transit</b>	<b>Level 201: Advanced SMS Risk Management</b>	<b>Level 202: Advanced SMS Principles for Bus Transit</b>	<b>Level 300: SMS Risk Control Strategies</b>	<b>Level 301: SMS Assurance and Auditing Normal Operations and Risk Controls</b>	<b>Training and Qualification Program Plan</b>
FTA Executive Management Team		<b>R</b>									
FTA Office of Safety and Oversight (TSO) Personnel	<b>R</b>		<b>R</b>	<b>R</b>	<b>R</b>	<b>R</b>	<b>R</b>	<b>R</b>	<b>R</b>	<b>R</b>	<b>R</b>
FTA Designated HQ Policy/Program Personnel (TPM, TBP, TPE)	<b>R</b>		<b>R</b>	<b>R</b>	<b>R</b>	<b>R</b>		<b>R</b>			<b>R</b>
FTA Designated Regional Office Personnel	<b>R</b>		<b>R</b>	<b>R</b>	<b>R</b>	<b>R</b>		<b>R</b>			<b>R</b>
Executive Directors / Designees at SSO Agencies		<b>R</b>									
SSOA Program Administrators	<b>R</b>		<b>R</b>	<b>R</b>		<b>R</b>	<b>R</b>		<b>R</b>	<b>R</b>	<b>R</b>
SSOA Investigators and Auditors	<b>R</b>		<b>R</b>	<b>R</b>		<b>R</b>	<b>R</b>		<b>R</b>	<b>R</b>	<b>R</b>
SSOA Engineers	<b>R</b>		<b>R</b>	<b>R</b>		<b>R</b>	<b>R</b>		<b>R</b>	<b>R</b>	<b>R</b>
SSOA Analysts	<b>R</b>		<b>R</b>	<b>R</b>		<b>R</b>	<b>R</b>		<b>R</b>	<b>R</b>	<b>R</b>
Rail Transit Agency Board of Directors Safety Designee	<b>V</b>	<b>V</b>									
Rail Transit Agency Chief Executives (CEO)	<b>V</b>	<b>V</b>									
Rail Transit Agency Chief Safety Officer	<b>V</b>		<b>V</b>			<b>V</b>	<b>V</b>	<b>V</b>	<b>V</b>	<b>V</b>	
Rail Transit Agency Safety Staff	<b>V</b>	<b>V</b>	<b>V</b>			<b>V</b>	<b>V</b>	<b>V</b>	<b>V</b>	<b>V</b>	
Bus Transit Agency Chief Executives (CEO)	<b>V</b>	<b>V</b>									
Bus (5307) Transit Agency Safety Officer	<b>V</b>				<b>V</b>			<b>V</b>			
Bus (5307) Transit Agency Safety Staff	<b>V</b>				<b>V</b>			<b>V</b>			
Bus (5311) Transit Agency Safety Manager	<b>V</b>				<b>V</b>			<b>V</b>			
State DOT Program Manager (5311 sub-recipients)	<b>V</b>				<b>V</b>			<b>V</b>			
FTA and SSOA Contractors	<b>R</b>										<b>R</b>

**R=Required; V=Voluntary**