



FEDERAL TRANSIT ADMINISTRATION

# 2016 APTA Annual Meeting

## Safety Rulemaking and SMS Implementation Pilot Program

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FEDERAL TRANSIT ADMINISTRATION

# Today's Agenda

- Rulemaking Update
- Safety Management System (SMS) Pilot Program
- Resources
- Questions

# Rulemaking Update

Rulemaking Timeline		
Regulation	Rulemaking Timeline	Status
Public Transportation Safety Program 49 CFR Part 670	NPRM Published: 8/14/2015 Comment By: 10/13/2015	Final Rule Published: 8/11/2016 Effective: 9/12/2016
State Safety Oversight 49 CFR Part 674	NPRM Published: 2/27/2015 Comment By: 4/28/2015	Final Rule Published: 3/16/16 Effective: 4/15/16
Public Transportation Agency Safety Plan 49 CFR Part 673	NPRM Published: 2/5/2016 Comment By: 4/5/2016	Final Rule in Development
National Public Transportation Safety Plan 49 CFR Part 673	Proposed National Safety Plan Published: 2/5/2016 Comment By: 4/5/2016	Final Guidance Document in Development
National Public Transportation Safety Certification Training Program 49 CFR Part 692	NPRM Published: 12/3/2015 Comment By: 2/1/2016	Final Rule in Development
Preventing Transit Worker Assault NPRM	NPRM Expected: 2017	
Bus Testing 49 CFR Part 665	NPRM Published: 6/23/2015 Comment By: 8/24/2015	Final Rule Published: 8/1/2016 Effective: 10/31/2016
Transit Asset Management 49 CFR Part 625	NPRM Published: 9/30/2015 Comment By: 11/30/2015	Final Rule Published: 7/26/2016 Effective: 10/1/2016

# Public Transportation Safety Program

RULE

National Public  
Transportation Safety Plan  
GUIDANCE

National Public Transportation  
Safety Certification Training  
Program

RULE

Public Transportation  
Agency Safety Plans

RULE

State Safety Oversight (SSO)  
Program

RULE

**TRANSIT  
AGENCIES**



SAFER PUBLIC TRANSPORTATION

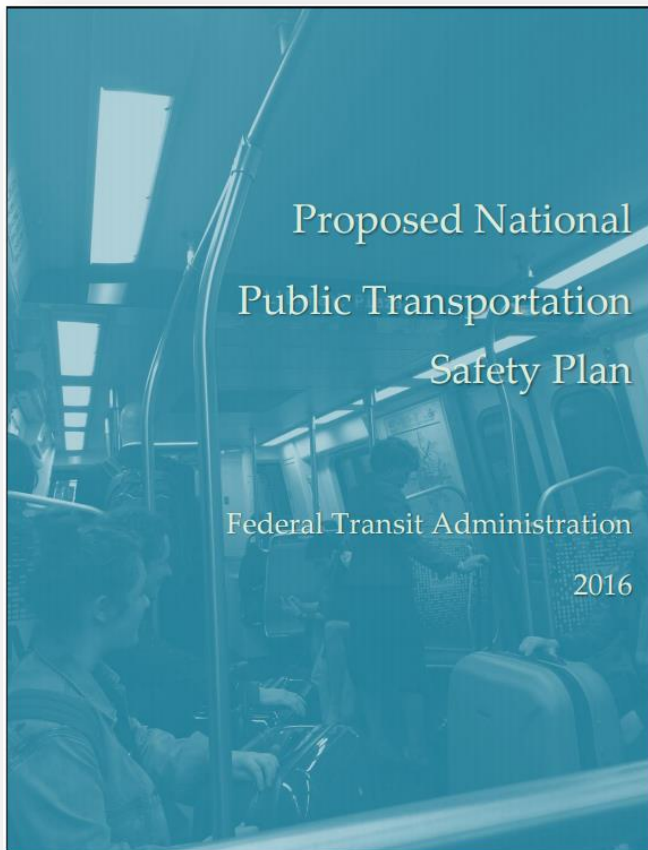
# Public Transportation Safety Program

## Final Rule



- Formally establishes FTA's safety policy - Safety Management Systems (SMS)
- Commits FTA to creating and implementing a National Public Transportation Safety Plan
- Establishes the procedural rules for enforcement of FTA's safety programs

# Proposed National Public Transportation Safety Plan (National Safety Plan)



The Plan is:

- NOT a rule
- FTA's strategic plan
- Sets safety performance criteria for Agency Safety Plan performance targets



# Public Transportation Agency Safety Plan NPRM (PTASP or Agency Safety Plan)



- Would require a transit agency to implement an SMS through an Agency Safety Plan
- Would require larger transit agencies to certify they have established and implemented a Plan
- Smaller transit agencies may have State DOT draft and certify a Plan



# National Public Transportation Safety Certification Training Program NPRM



- Training program for rail transit system auditing and oversight
- Interim Provisions and NPRM only apply to rail fixed guideway systems
- Bus agency participation is voluntary, but highly encouraged

# State Safety Oversight (SSO) Final Rule



- Oversight of rail fixed guideway public transportation systems
- SSO Final Rule (49 CFR Part 674) replaces the current SSO rule at 49 CFR Part 659
- SSO Programs have to be certified by April 15, 2019

# Preventing Transit Worker Assault NPRM



- FAST Act requirement
- FTA's Online Dialogue on Operator Assault NPRM
- NPRM expected in 2017

# SMS Pilot Program

# SMS Implementation Pilot Program Overview

**In partnership with select transit agencies, FTA is developing and testing practical guidance materials and tools to help the transit industry transition to safety management systems (SMSs).**

Through this program, FTA:

- Provides onsite training, guidance, technical assistance, and expertise on SMS implementation;
- Identifies best practices and lessons learned; and
- Develops insights on how best to support the industry-wide transition to SMSs.

# SMS Implementation Pilot Program Status Update

## Chicago Transit Authority (CTA) Pilot

FTA continues to support CTA's transition to an SMS, applying lessons learned to the development of SMS guidance documents and technical assistance tools for the industry.

## Leveraging aviation's SMS expertise

Safety experts from United Airlines have shared their real-world experience implementing and operating an SMS, including employee safety reporting and data visualization.



# SMS Implementation Pilot Program Status Update

## Small and Mid-size Bus Agencies Pilot

FTA initiated an SMS pilot with three small and mid-size bus agencies in Maryland. This pilot is focused on developing and testing SMS guidance documents and technical assistance tools for:

- Bus agencies of all sizes
- Rural transit operators
- Contractor-operated services



Montgomery County, MD



Frederick County, MD



Charles County, MD



# Resources to Help You Prepare Now

## Read, watch, and participate

- Review FTA's *SMS Framework*
- Participate in webinars explaining new regulations and guidance
- Visit FTA's YouTube page to view previous webinars
- Read our newsletter *TSO Spotlight*



## Get trained

Through FTA's safety website:

- Take the *SMS Awareness* online course
- Sign up for classroom courses
- Request an Individual Training Profile (ITP)
  - Courses based on your role

## Sign up to receive updates

Sign up for GovDelivery

- FTA announcements and new documents
- <https://public.govdelivery.com/accounts/USDOTFTA/subscriber/new>

A screenshot of the FTA GovDelivery sign-up form. The header shows the U.S. Department of Transportation Federal Transit Administration logo and a bus. The form is titled "Email Updates" and includes a text box for "Email Address" with a red asterisk indicating it is required. Below the text box are "Submit" and "Cancel" buttons. A small note at the bottom states: "Your contact information is used to deliver requested updates or to access your subscriber preferences." Links for "Privacy Policy" and "Help" are also visible.

Questions? Comments? Email us at **FTASafetyStakeholder@dot.gov**

# Questions?

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