

Region IV State Programs Team Annual Meeting

April 25-27, 2016

Puerto Rico Highway and Transportation Authority (PRHTA)/ New 5307 Oversight Responsibilities



- The Puerto Rico Department of Transportation and Public Works (PRDTPW), is the umbrella agency for transportation in Puerto Rico.
- The Puerto Rico Highways and Transportation Authority (PRHTA) is the Designated Recipient of the Federal Transit Administration (FTA), and Federal Highway Administration (FHWA) funds.



- In the Urbanized Areas programmed projects result from a planning process in cooperation with the private and public transit providers with the Metropolitan Planning Organization (MPO).
- The members of the MPO include:
 - Representatives from municipal governments (Mayors)
 - State Agencies involved in transportation issues
 - Operators of Mass Transportation Systems
 - State Level Planning Agencies.



- Since the beginning of the requirement that MPO's be constituted and because of the wide powers and resources that the PRDTPW has in our relatively small Island, this Agency was designated as the operational and technical staff of the MPO for all the urbanized areas in Puerto Rico.
- To assure a forum for cooperative decision-making in the planning process, this MPO makes policy and programming decisions through three Policy Committees.
- The first Policy Board Committee is for the San Juan Urbanized Area (SJUA), defined by the 2010 Census as falling in the funding category of areas with over one million inhabitants and comprising of thirty-eight (38) municipalities.



- The second Policy Board Committee is for Urbanized Area of Aguadilla (AUA), which falls in the funding category of areas with over 200,000 and less than one million inhabitants. It is defined as comprising the eleven (11) municipalities,
- The third Policy Board Committee is for Urbanized Areas Under 200,000 Population (UZA), which falls in the funding category of areas with under 200,000 and over than 50,000 in population. It is defined as comprising of twenty-nine (29) municipalities.



Puerto Rico FTA Grantees at a Glance

0	56 w/active TrAMS	Region IV FY 2015 Grant Awards			
	accounts	State	# of Grants	Amount	
0	33 w/active grants	Alabama	23	\$64,476,011	
	San Juan UZA=21	Florida	120	\$483,008,286	
	 Aguadilla UZA=3 	Georgia	37	\$212,430,116	
	Small UZA=8	North Carolina	69	\$245,725,150	
0	114 active grants	South Carolina	32	\$36,274,163	
	• San Juan UZA=51	Tennessee	62	\$87,745,521	
	 Aguadilla=4 	Mississippi	12	\$29,443,576	
	Small UZA=27	Kentucky	26	\$67,302,465	
	• DOT=32	Puerto Rico	25	\$64,655,596	
0	70 grant awards in	Virgin Islands	-	\$0	
	last 3 years				
0	2 on suspension	TOTAL			
			406	\$1,291,060,884	



FTA identified a number of grantees lacking technical capacity...



High number of Triennial Review findings

Year	R4 Reviews	PR Reviews	%	Findings	PR Findings	%
2013	44	8	18%	493	76	15%
2014	41	12	29%	318	106	33%
2015	46	8	17%	221	75	34%



PRHTA and FTA:

- Discussed the possibility of PRHTA applying for federal funds on behalf of a number of smaller municipalities.
- Refined that list of municipalities based on:
 - Tier 1: Inactivity (no awarded grants, and no triennial reviews within the last 3 years)
 - Tier 2: Active grantees but small size transit operations (1 grant every year or every 2 years)

• Tier 3: Active grantees (2 grants)

 Note: We also considered future funding programmed in the Puerto Rico Statewide Transportation Improvement Program (STIP).

Tier 1 Actions:

- 22 inactive recipients were identified as having grantee ID but no awarded grants, and no triennial reviews within the last 3 years
- FTA issued a letter notifying inactive grantees of their sub-recipient status.
- PRHTA issued a letter providing guidance.

Municipality of Aguadilla Municipality of Aguas buenas Municipality of Aibonito Municipality of Arecibo Municipality of Cabo Rojo Municipality of Ceiba Municipality of Coamo Municipality of Corozal Municipality of Isabela Municipality of Lajas Municipality of Las Marias Municipality of Las Piedras Municipality of Loiza Municipality of Luquillo Municipality of Maunabo Municipality of Moca Municipality of Naguabo Municipality of Penuelas Municipality of Rincon Municipality of Rio Grande Municipality of Toa Alta Municipality of Utuado

Tier 2: Actions

 FTA and PRHTA visited the Mayors of the direct recipients who were identified as potential sub-recipients.



 Mayors were given time to discuss with their staff and make a decision

Outcome: 8 recipients visited accepted becoming sub-grantees!

- Municipality of Gurabo
- Municipality of Lares
- Municipality of Aguada
- Municipality of Fajardo
- Municipality of Yabucoa
- Municipality of San Sebastian
- Municipality of Naranjito
- Municipality of Canovanas

Tier 2 Next Steps:

- FTA issued a letter formalizing the agreement
- PRHTA issued a letter providing guidance.

PRHTA's Letter

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PUERTO RICO	
Autoridad de Camturas y Tianguntacije	
15 de marzo de 2016	
Hon, Lorone J. Soto Villanueva	
Alcaldesa	
Município de Capózapas	
PO Box 1612	
Capóyapas, Puerto Rico 00729-1612	
Estimada señora alcaldesa:	
El pasado 4 de febrero de 2016, se aprobó la cuarta enmienda del "Sta	
Unprovement Brogram" (STIP 2014-2017). Bajo el Programa por Fo Urbanizadas, Sección 5307, su municipio tiene programados varios proyect	
2015 y 2016. Puede ecceder el STIPen el portal de internet del Departamen Obras Publicas en el siguiente enlace:	nto de Transportación y
http://www.dtop.gov.pr/carretere/det_content.asp?cn_id=325	
La Oficina de Coordinación Federal estará preparando el "grant" donde se i Para poder procesar el mismo, su Municipio deberá someter antes del <mark>vien</mark>	
lo siguiente:	
Certificación de Pareo de Fondos	
 Itinerario del Municipio para la realización de cada uno de los p ("Milestane") 	aroyectos programados.
Es necesaria su pronta respuesta debido a que solamente segenerará un " los proyectos de todos los municípios que no son "grantee". Por lo tento	grant" donde se incluitán Les imperativo que sus
documentos estén disponibles en la fecha indicada para poder somet programado.	
De requerir mayor información al respecto favor comunicarse con la Planifi al 787-721-8787 extensión 1690 ó 1601.	icadora Jossie Y. Correa
Cardisimente,	
Nedzie E. Zee Rodriguez	
Directore	
Oficina de Coordinación Federal	
3012/JCD/ep	
Exercise Guberysamental Roberts Sanchez Vibella Apartiade 42007, Sen Juan, PR 00540-2007	
Tel. (747) 723.8767 www.dtup.gov.pt	otop
Tacebuck.com/Ittp	<u> </u>

FTA's Letter



REGION IV 200 Paschmas & NIW, dabama, Florida, Gaorgia, Suha 1400 Vannucky, Mastatigol, dama, Gui 50000 North Carolina, Paera Rico, South Carolina, 404-465-5605 (tax) Tannasasa, Winh Island



Honorable XXX:

Thank you for meeting with the Federal Transit Administration (FTA) on January to discuss the "FTA New Grantee Process", and the "FTA Recipient vs. Subprojett, Reportabilitier." This litter forenaliser your Manicipality's transition from discret recipient to approximate the FTA, so a subprojetty to the Paeric Rico Highway and Transportation Authority (PRHTA). As a result, any own federal transit finding, will done updid the det PRHTA as a subproginget. We are looking forward to expediting the use of transit funds in your Municipality.

If you have any questions or require additional information or guidance, please contact me at 404-865-5600 or Jessica Granell of my staff at 404-865-5622.

Regards,

Yvette G. Taylor, Ph.D. Regional Administrator

CC: Carmen Villar, Executive Director, PRHTA Nadgie Zea, Federal Programs Office, PRHTA

PRHTA's Interagency Agreement



An interagency agreement is created between the PRHTA as designated recipient and the sub-grantee

• PRHTA Responsibilities:

- Provide technical assistance to the MUNICIPALITY
- Monitor the effective operation of the PROJECT
- The purchase of PROJECT equipment (vehicles)
- Conduct periodic inspections and monitoring
- PRHTA will include in digital format a Quarterly Report Form and all required report as preventive maintenance record, quarterly use report



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• Sub-grantee responsibilities

- Undertake and complete the PROJECT as enclosed description of the approved application
- Project's local share
- Ensure that all assets shall be used for the provisions of public transportation services as described in the PROJECT for the duration of the equipment's useful life.
- Keep satisfactory records with regard to the use of the PROJECT
- Maintain as request by the an insurance coverage (vehicles)
- Maintain the PROJECT at a high level of cleanliness, safety, and mechanical soundness
- Perform and maintain record of daily check of the special operational features of the vehicle, in compliance with American with Disabilities Act (ADA) regulations.



Summary of Benefits

FTA Benefits

Less number of grantees
Less number of oversight reviews
Less number of findings

Sub recipient Benefits

- No Triennial Reviews
- Procurement flexibility state has greater flexibility with procurement
- Potential access of Toll revenue credits in lieu of local match
- Minimize the risk for inactive grants
- PRHTA will handle reporting, sub recipient must provide relevant information (FFR, MPR, NTD, etc).



Sub recipient Benefits

- PRHTA ensures compliance
 - Procurement
 - Documentation needs
 - Incidental uses
 - Drug and alcohol
 - Civil Right's requirements
 - ADA compliances (Paratransit Program)
- Do not have to put grants in TrAMS



PRHTA Benefits

Better grant management Ensure funds spent

