



Region IV State Programs Team
Annual Meeting

April 25-27, 2016

Puerto Rico Highway and Transportation Authority (PRHTA)/ New 5307 Oversight Responsibilities



Puerto Rico MPO Composition

- The Puerto Rico Department of Transportation and Public Works (PRDTPW), is the umbrella agency for transportation in Puerto Rico.
- The Puerto Rico Highways and Transportation Authority (PRHTA) is the Designated Recipient of the Federal Transit Administration (FTA), and Federal Highway Administration (FHWA) funds.



Puerto Rico MPO Composition

- In the Urbanized Areas programmed projects result from a planning process in cooperation with the private and public transit providers with the Metropolitan Planning Organization (MPO).
- The members of the MPO include:
 - Representatives from municipal governments (Mayors)
 - State Agencies involved in transportation issues
 - Operators of Mass Transportation Systems
 - State Level Planning Agencies.



Puerto Rico MPO Composition

- Since the beginning of the requirement that MPO's be constituted and because of the wide powers and resources that the PRDTPW has in our relatively small Island, this Agency was designated as the operational and technical staff of the MPO for all the urbanized areas in Puerto Rico.
- To assure a forum for cooperative decision-making in the planning process, this MPO makes policy and programming decisions through three Policy Committees.
- **The first Policy Board Committee is for the San Juan Urbanized Area (SJUA)**, defined by the 2010 Census as falling in the funding category of areas with over one million inhabitants and comprising of thirty-eight (38) municipalities.



Puerto Rico MPO Composition

- **The second Policy Board Committee is for Urbanized Area of Aguadilla (AUA)**, which falls in the funding category of areas with over 200,000 and less than one million inhabitants. It is defined as comprising the eleven (11) municipalities,
- **The third Policy Board Committee is for Urbanized Areas Under 200,000 Population (UZA)**, which falls in the funding category of areas with under 200,000 and over than 50,000 in population. It is defined as comprising of twenty-nine (29) municipalities.



Puerto Rico FTA Grantees at a Glance

- 56 w/active TrAMS accounts
- 33 w/active grants
 - San Juan UZA=21
 - Aguadilla UZA=3
 - Small UZA=8
- 114 active grants
 - San Juan UZA=51
 - Aguadilla=4
 - Small UZA=27
 - DOT=32
- 70 grant awards in last 3 years
- 2 on suspension

Region IV FY 2015 Grant Awards		
State	# of Grants	Amount
Alabama	23	\$64,476,011
Florida	120	\$483,008,286
Georgia	37	\$212,430,116
North Carolina	69	\$245,725,150
South Carolina	32	\$36,274,163
Tennessee	62	\$87,745,521
Mississippi	12	\$29,443,576
Kentucky	26	\$67,302,465
Puerto Rico	25	\$64,655,596
Virgin Islands	-	\$0
TOTAL	406	\$1,291,060,884



**FTA identified a number of
grantees lacking technical
capacity...**



High number of Triennial Review findings

Year	R4 Reviews	PR Reviews	%	Findings	PR Findings	%
2013	44	8	18%	493	76	15%
2014	41	12	29%	318	106	33%
2015	46	8	17%	221	75	34%



PRHTA and FTA:

- Discussed the possibility of PRHTA applying for federal funds on behalf of a number of smaller municipalities.
- Refined that list of municipalities based on:
 - Tier 1: Inactivity (no awarded grants, and no triennial reviews within the last 3 years)
 - Tier 2: Active grantees but small size transit operations (1 grant every year or every 2 years)
 - Tier 3: Active grantees (2 grants)
- Note: We also considered future funding programmed in the Puerto Rico Statewide Transportation Improvement Program (STIP).

Tier 1 Actions:

- 22 inactive recipients were identified as having grantee ID but no awarded grants, and no triennial reviews within the last 3 years
- FTA issued a letter notifying inactive grantees of their sub-recipient status.
- PRHTA issued a letter providing guidance.

Municipality of Aguadilla	Municipality of Las Piedras
Municipality of Aguas buenas	Municipality of Loiza
Municipality of Aibonito	Municipality of Luquillo
Municipality of Arecibo	Municipality of Maunabo
Municipality of Cabo Rojo	Municipality of Moca
Municipality of Ceiba	Municipality of Naguabo
Municipality of Coamo	Municipality of Penuelas
Municipality of Corozal	Municipality of Rincon
Municipality of Isabela	Municipality of Rio Grande
Municipality of Lajas	Municipality of Toa Alta
Municipality of Las Marias	Municipality of Utuado

Tier 2: Actions

- FTA and PRHTA visited the Mayors of the direct recipients who were identified as potential sub-recipients.



- Mayors were given time to discuss with their staff and make a decision

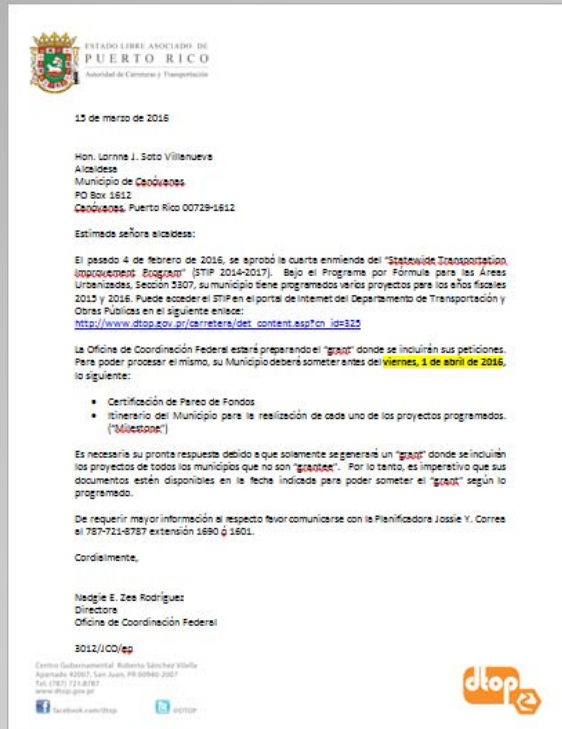
Outcome: 8 recipients visited accepted becoming sub-grantees!

- Municipality of Gurabo
- Municipality of Lares
- Municipality of Aguada
- Municipality of Fajardo
- Municipality of Yabucoa
- Municipality of San Sebastian
- Municipality of Naranjito
- Municipality of Canovanas

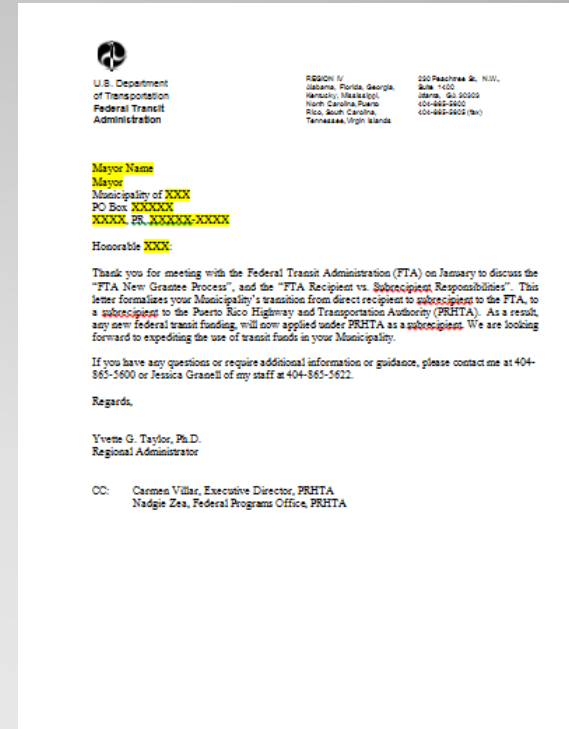
Tier 2 Next Steps:

- FTA issued a letter formalizing the agreement
- PRHTA issued a letter providing guidance.

PRHTA's Letter



FTA's Letter



PRHTA's Interagency Agreement



An interagency agreement is created between the PRHTA as designated recipient and the sub-grantee

- **PRHTA Responsibilities:**

- Provide technical assistance to the MUNICIPALITY
- Monitor the effective operation of the PROJECT
- The purchase of PROJECT equipment (vehicles)
- Conduct periodic inspections and monitoring
- PRHTA will include in digital format a Quarterly Report Form and all required report as preventive maintenance record, quarterly use report



An interagency agreement is create between the PRHTA as designated recipient and the sub-grantee

- **Sub-grantee responsibilities**

- Undertake and complete the PROJECT as enclosed description of the approved application
- Project's local share
- Ensure that all assets shall be used for the provisions of public transportation services as described in the PROJECT for the duration of the equipment's useful life.
- Keep satisfactory records with regard to the use of the PROJECT
- Maintain as request by the an insurance coverage (vehicles)
- Maintain the PROJECT at a high level of cleanliness, safety, and mechanical soundness
- Perform and maintain record of daily check of the special operational features of the vehicle, in compliance with American with Disabilities Act (ADA) regulations.



Summary of Benefits

FTA Benefits

- Less number of grantees
- Less number of oversight reviews
- Less number of findings

Sub recipient Benefits

- No Triennial Reviews
- Procurement flexibility – state has greater flexibility with procurement
- Potential access of Toll revenue credits in lieu of local match
- Minimize the risk for inactive grants
- PRHTA will handle reporting, sub recipient must provide relevant information (FFR, MPR, NTD, etc).



Sub recipient Benefits

- PRHTA ensures compliance
 - Procurement
 - Documentation needs
 - Incidental uses
 - Drug and alcohol
 - Civil Right's requirements
 - ADA compliances (Paratransit Program)
- Do not have to put grants in TrAMS



PRHTA Benefits

- Better grant management
- Ensure funds spent

