

# **SMS Roundtable: Safety Event Investigation Causal and Contributing Factors**

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# Question 1:

- How is your SSOA involved with RTA event investigations? Why has your agency chosen this approach?

**1. Delegation**

**2. Review**

**3. Ownership**

**4. Escalation**

# Question 2:

- How does your agency's program standard address identifying causal and contributing factors in safety event investigations? Why did your agency choose this approach?
- **Robust Root Cause Analysis**
- **Direct line from Factor to Mitigation**
- **Allow the process to work (and seek understanding when disagreements occur)**

# Question 4:

## Training and Processes or Procedures

- What is your agency's process for reviewing causal and contributing factors in an RTA's safety event investigation report? What if the causal and/or contributing factor(s) are unclear or unacceptable?
  - **Documentation of root-cause analysis**
  - **Report review checklist**
  - **Require revisions to incomplete or insufficient CAPs**

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