



Agenda

2023 FTA Joint State Safety Oversight
(SSO) and Rail Transit Agency (RTA)
Workshop

November 14–16, 2023
St. Louis, MO



Hyatt Regency St. Louis at The Arch
315 Chestnut Street
St. Louis, MO 63102

Day 1 – Tuesday, November 14, 2023

In Person – State Safety Oversight Agency (SSOA) Representatives

All times are in the Central Time Zone

7:30 AM **Check-in and Registration**
Location: Regency C Foyer

8:00 AM **Opening and Introductions**
Session 1 **Location:** Regency ABCD

Richard Price, Meeting Facilitator
Program Analyst, Stakeholder Engagement
Office of Transit Safety and Oversight
Federal Transit Administration

8:10 AM **Welcome**
Session 2 **Location:** Regency ABCD

Dr. Melonie Barrington
Acting Deputy Associate Administrator
Office of Transit Safety and Oversight
Federal Transit Administration

8:20 AM **Remarks to the State Safety Oversight Agencies**
Session 3 **Location:** Regency ABCD

Joe DeLorenzo
Associate Administrator & Chief Safety Officer
Office of Transit Safety and Oversight
Federal Transit Administration

8:45 AM **Risk-Based Inspection (RBI) Program**
Session 4 **Location:** Regency ABCD

Cyrell McLemore, Elliott Shepherd and Emily Jessup
Federal Transit Administration

FTA will share the latest information about the RBI special directives and support for SSOAs as they develop and implement RBI programs to improve rail safety. We will also share instructions for how SSOAs can submit their RBI program development requirements to FTA for review.

9:15 AM
Session 5
Risk-Based Inspection Panel Discussion: State Safety Oversight Agency Insights – Part 1
Location: Regency ABCD

Facilitator: Cyrell McLemore, *Federal Transit Administration*
Panelists: Steve Bethel and Brian Brinkley, *Arizona Department of Transportation*
Sharmila Samarasinghe and Davis Rajtik, *Washington Metrorail Safety Commission*

Setting up an RBI program is new to many. Hear from your SSOA peers about what has been working for them as they develop their RBI program plans. This session will provide an opportunity to ask questions and exchange ideas.

10:00 AM
Break

10:30 AM
Session 6
Risk-Based Inspection Panel Discussion: State Safety Oversight Agency Insights – Part 2
Location: Regency ABCD

Facilitator: Cyrell McLemore, *Federal Transit Administration*
Panelists: Mike Pineau, *Massachusetts Department of Public Utilities*
Daren Gilbert, *California Public Utilities Commission*
Andrew Ennis, *Virginia Department of Rail and Public Transportation*

Setting up an RBI program is new to many. Hear from your SSOA peers about what has been working for them as they develop their RBI program plans. This session will provide an opportunity to ask questions and exchange ideas.

11:30 AM
Lunch (On your own)

1:00 PM
Session 7

Triennial Safety Audit Case Study – Breakout/Tabletop

Location: Regency ABCD

Facilitator: Ruth Lyons

Co-Facilitators: Tim Braxton, John Chism, Joseph Powell

Federal Transit Administration

During this interactive session, SSOAs will identify findings of non-compliance through the FTA Audit Team’s eyes. In this mock SSOA Triennial Safety Audit, participants will be broken out into groups and given the same scenario with imbedded “findings of non-compliance.” The team that identifies the most “findings of non-compliance” and creates appropriate “required actions” will be deemed the SSOA Workshop Mock Safety Audit winner.

3:00 PM

Break

3:30 PM
Session 8

Federal Transit Administration and State Safety Oversight Agency

Enforcement

Location: Regency ABCD

Erin Powell, *Federal Transit Administration*

A discussion about enforcement actions and using them in a safety oversight program.

4:30 PM

Adjourn

4:45–5:45 PM

Risk-Based Inspection Program Office Hours

15 minutes per session

Location: Sterling 3

1-on-1 meetings scheduled in advance of the workshop for SSOAs to address specific concerns with FTA’s RBI team.

Day 2 – Wednesday, November 15, 2023

Limited Hybrid – SSOA and RTA Representatives

All times are in the Central Time Zone

7:30 AM **Check-in and Registration**
Location: Regency C Foyer

8:00 AM **Day 1 Recap and Announcements for Day 2 – Hybrid**
Session 9 **Location:** Regency ABCD

Richard Price, Meeting Facilitator
Program Analyst, Stakeholder Engagement
Office of Transit Safety and Oversight
Federal Transit Administration

8:15 AM **Welcomes Remarks from the Office of Transit Safety and Oversight – Hybrid**
Session 10 **Location:** Regency ABCD

Joe DeLorenzo
Associate Administrator & Chief Safety Officer
Office of Transit Safety and Oversight
Federal Transit Administration

8:30 AM **FTA Deputy Administrator Remarks – Hybrid**
Session 11 **Location:** Regency ABCD

Veronica Vanterpool
Deputy Administrator
Federal Transit Administration

9:00 AM **Transit Safety Policy Updates – Hybrid**
Session 12 **Location:** Regency ABCD

Jeremy Furrer, Federal Transit Administration

This session will provide an update on transit safety policy items, including Bipartisan Infrastructure Law implementation, safety rulemaking, and other policy initiatives.

10:00 AM **Break**

10:30 AM
Session 13

FTA Data – Where to Find It and How to Use It – Hybrid

Location: Regency ABCD

Ivey Glendon and Gibran Abifadel

Federal Transit Administration

This session will provide an overview of safety data. Attendees will learn where to find and how to use safety data in the National Transit Database, and FTA will share information on a project to use safety data to identify risks and prioritize communication with SSOAs.

11:30 AM

Lunch (On your own)

1:00 PM
Session 14

Risk-Based Inspection Panel Discussion: Data Sharing and Analysis – Hybrid

Location: Regency ABCD

Facilitator: Cyrell McLemore, *Federal Transit Administration*

Panelists: Stewart Mader, Emily Jessup, and Jerry Stenquist

Federal Transit Administration

Brian Shiner

Federal Railroad Administration

During this interactive panel discussion, FTA will discuss approaches for updating Agency Safety Plans (ASPs) with RBI information and actions you should take to protect Sensitive Security Information (SSI) when sharing data used to assess safety risks and prioritize inspection activities. FRA will share their experience with data collection, analysis and prioritization, and provide insight about the benefits of data-driven inspections.

2:15 PM
Session 15

APTA Safety & Security Program Update – Hybrid

Location: Regency ABCD

Brian Alberts and Polly Hanson

American Public Transportation Association (APTA)

This session will cover APTA's peer review and safety audit programs, standards and recommended practices development, and programs that have been developed in the areas of safety and security. The major focus areas of APTA include safety and security certification, Safety Management Systems/ Public Transportation Agency Safety Plans implementation, trespasser and grade crossing safety, battery electric bus safety, safety-related technologies, cybersecurity, public safety strategies, initiatives to support those experiencing mental health issues and the unhoused, security standards, and

other safety/security trends. APTA will also discuss the various conferences in the Winter and Spring of 2023-2024.

3:15 PM

Break

3:30 PM

Session 16

Managing Safety at Rail Grade Crossing – Hybrid

Location: Regency ABCD

Elliott Shepherd, Federal Transit Administration

This is not your parents' grade crossing design. What are the safety issues we are faced with at today's rail grade crossing? What are passive warning devices, and what are active warning devices? What factors should be examined during device selection? There is no silver bullet.

4:00 PM

Session 17

Notification of an Unacceptable Hazard - Launching a Capital Project on a Summer Holiday – Hybrid

Location: Regency ABCD

Facilitator: Tim Braxton, Federal Transit Administration

Panelists: Justin Sobeck, Missouri Department of Transportation

Michael Gawlick, City of Kansas City, Missouri

Lauren Krutty, Kansas City Streetcar Authority

How do you turn an adverse condition on Main Street and an Interstate 670 bridge deck into success in just 16 days? Highlights of how the KC Streetcar Team navigated a mainline infrastructure issue using resourceful collaboration and partnership.

4:30–5:00 PM

General Q&A Session – FTA's Program Management Team – Hybrid

Location: Regency ABCD

Facilitator: Loretta Bomgardner, Federal Transit Administration

Panelists: Joe DeLorenzo, Dr. Melonie Barrington, Paulina Seider, Elliott Shepherd, Richard Wong

Federal Transit Administration

4:45–5:45 PM **Risk-Based Inspection Office Hours**
15 minutes per session
Location: Sterling 3

1-on-1 meetings scheduled in advance of the workshop for SSOAs to address specific concerns.

5:30–6:30 PM **Optional: Networking Opportunity**
Location: RED Bar

Day 3 – Thursday, November 16, 2023

Limited Hybrid – SSOA and RTA Representatives

All times are in the Central Time Zone

7:30 AM **Check-in and Registration**
Location: Regency C Foyer

8:00 AM **Day 2 Recap and Announcements for Day 3 – Hybrid**
Session 18 **Location:** Regency ABCD

Richard Price, Meeting Facilitator
Program Analyst, Stakeholder Engagement
Office of Transit Safety and Oversight
Federal Transit Administration

8:15 AM **Risk-Based Inspection Panel Discussion: Lessons Learned – Hybrid**
Session 19 **Location:** Regency ABCD

Facilitator: Cyrell McLemore, *Federal Transit Administration*
Panelists: Robert Hanson, *Massachusetts Department of Public Utilities*
Cameron Phelps, *Ohio Department of Transportation*
Daren Gilbert, *California Public Utilities Commission*
Larry Barlow and Rebecca Zepeda, *Valley Metro*

SSOAs across the country will share lessons learned from addressing common scenarios that may arise when implementing an RBI program. Participants are encouraged to ask the panelists questions to inform the development and implementation of effective RBI programs.

9:30 AM **Break**

10:00 AM **Transit Worker and Customer Assault – Hybrid**
Session 20 **Location:** Regency ABCD

Frank Hackett and Stewart Mader
Federal Transit Administration

This session will provide an overview of FTA’s risk assessment and mitigation development pertaining to the prevention of assaults on transit workers as well as insights into the ongoing analysis and research concerning the prevention of assaults on transit customers.

11:30 AM **Lunch (On your own)**

1:00 PM
Session 21
Safety Challenges – Managing Securement of Disabled Trains and Light Rail Vehicles – Hybrid
Location: Regency ABCD

Elliott Shepherd, *Federal Transit Administration*

A discussion about solutions to the challenge of securing disabled trains and light rail vehicles properly. Is there an issue with communication between personnel? How to share pertinent information in a manner that leads to proper securement of vehicles. A fundamental step to ensuring safety in the yard and in the shop.

2:00 PM
Session 22
National Transportation Safety Board (NTSB) Overview – Hybrid
Location: Regency ABCD

Facilitator: **Matthew DeDonato**, *Federal Transit Administration*

Panelist: **Joe Gordon, Ryan Frigo and Kara Waldrup**, *NTSB*

This session will provide an overview of the NTSB's incident investigation process, including what SSOAs and RTAs can expect when participating in the process.

3:00 PM
Break

3:30 PM
Session 23
Transportation Security Administration (TSA), Surface Operations – Hybrid
Location: Regency ABCD

J Bagley and Chris McKay

TSA Surface Operations

This session will provide an overview of TSA's Surface Operations and the Baseline Assessment of Security Enhancement (BASE) program for rail and bus and TSA's cybersecurity approach for transit.

4:30 PM
Close-out and Adjourn

Dr. Melonie Barrington

Acting Deputy Associate Administrator

Office of Transit Safety and Oversight

Federal Transit Administration