

2023 NTD Safety & Security Quick Reference Guide – Rail Mode Reporting

Reportable Event: A safety or security event occurring on transit right-of-way or infrastructure, at a transit revenue facility, at a maintenance facility or rail yard, during a transit related maintenance activity, or involving a transit revenue vehicle. Excluded from this event reporting requirement are events that occur off transit property where affected persons, vehicles, or objects come to rest on transit property after the event; OSHA events in administrative buildings; deaths that are a result of illness or other natural causes; other events, including collisions that occur while traveling to or from a transit-related maintenance activity; and collisions involving a supervisor car or other transit service vehicle operating on public roads.

AR and CR modes report only Major Security events (any type) and Non-major assaults on transit workers.

S&S-40 Major Event Report	S&S-50 Non-Major Monthly Summary
<p>MAJOR THRESHOLDS</p> <p>An event meeting the reportable event definition AND meeting <u>one or more</u> of the following reporting thresholds:</p> <ul style="list-style-type: none"> • A fatality confirmed within 30 days (including suicide) • An injury requiring transport away from the scene for medical attention for one or more persons (partial exception in the case of Other Safety Events) • Serious injury (may not involve transport away from scene) • Substantial property damage (including towing any vehicle for disabling damage) • An evacuation for life safety or to the rail right-of-way • Runaway train • Rail transit vehicle collision occurring at a grade crossing or intersection • Rail transit vehicle collision with an individual • Collision with another rail vehicle (revenue or non-revenue) • A mainline or yard derailment of revenue or non-revenue vehicles <p><i>Reports are due within 30 days of the date of the event.</i></p>	<p>NON-MAJOR THRESHOLDS</p> <p>Assault on a transit worker with no injury (non-major), Other Safety Incident injuries meeting the reportable event definition that are NOT a result of a collision, derailment, evacuation, security event, hazmat spill, Act of God; and non-major fire.</p> <p>Non-Major Assaults on Transit Workers</p> <ul style="list-style-type: none"> • Non-injury (no transport) physical or non-physical assault on a transit worker. <p>Other Safety Incident:</p> <ul style="list-style-type: none"> • Single injury event requiring transport away from the scene for medical attention. <p>Fire:</p> <ul style="list-style-type: none"> • Required suppression but does not meet a major incident reporting threshold. <p><i>Reports due by the end of the following month</i></p>
<p>EVENT TYPES</p> <ul style="list-style-type: none"> • Derailment (mainline or yard) • Collision (incl. suicide/attempted suicide/assault involving transit vehicle contact) • Fire • Hazardous material spill (requires <i>specialized</i> clean-up) • Acts of God (nature) • System security: <ul style="list-style-type: none"> ○ Arson ○ Bomb threat/bombing ○ Burglary / vandalism ○ Chemical/biological/radiological/nuclear release ○ Cyber security event ○ Hijacking ○ Sabotage ○ Suspicious package ○ Other security event (shots fired, projectiles, etc.) • Personal Security: <ul style="list-style-type: none"> ○ Assault (no transit vehicle contact) ○ Homicide (no transit vehicle contact) ○ Suicide or attempted suicide (no transit vehicle contact) ○ Robbery ○ Larceny/theft ○ Motor vehicle theft ○ Rape ○ Other personal security events (e.g., perpetrator tased) • Other Safety Events (two injuries and/or another threshold) 	<p>EVENT TYPES/EXAMPLES</p> <p>Non-Major Assaults on Transit Workers</p> <ul style="list-style-type: none"> • Verbal assault/harassment • Spitting or striking • Interference with transit worker's duties <p>Other Safety Incidents:</p> <p>Injury due to:</p> <ul style="list-style-type: none"> • Slip/trip • Fall <ul style="list-style-type: none"> ○ Including person making contact with a non-moving transit vehicle • Injury to maintenance worker • Boarding/alighting • Abrupt or evasive transit vehicle maneuvers • Mobility device (e.g., wheelchair) securement issues • Stairs/elevator/escalator injury <p>Excludes individuals transported for mental health evaluation unrelated to a reportable event due to declarations of self-harm, solely for intoxication, or drug overdose.</p> <p>Fire:</p> <ul style="list-style-type: none"> • Requires suppression but no major threshold is met <ul style="list-style-type: none"> ○ Small fire on right-of-way ○ Small fire in a transit station