
This issue of the Public Transportation Agency Safety Plan (PTASP) Technical Assistance Center (TAC) Update focuses on using a safety risk register to document Safety Risk Management and Safety Assurance activities.

Featured Resources

New! Webinar: The Safety Risk Register in Action
Presentation | Recording

Sample Safety Risk Registers:

- Bus Safety Risk Register | Guide
- Rail Safety Risk Register | Guide

These tools explore the benefits of voluntary use of a safety risk register, show you how to use it, and offer examples to help you determine the tools or methods that best suit your agency’s Safety Management System (SMS).

Sample Safety Risk Assessment Matrices for Bus Transit Agencies and Rail Transit Agencies: These resources show how to establish a safety risk assessment matrix appropriate for an agency’s size and complexity of operations.

New! Transit Bus Accident Investigations—Background Research (Report 0222): Presents research that supports Effective Practices in Bus Transit Accident Investigations, which offers bus transit agencies leading transit industry practices to perform investigations.

New! Medical Fitness for Duty and Fatigue Risk Management Report: Assesses current standards and identifies gaps in practices for fatigue risk management, hours of service for transit workers, route and personnel scheduling, medical examination certifications, testing for sleep disorders, and training.

Access the entire TAC Resource Library by visiting FTA’s PTASP TAC website.

Need Assistance?
Contact the PTASP TAC at
PTASP-TAC@dot.gov
1-87 PTASP-AID
1 (877) 827-7243
Contact the TAC for one-on-one technical sessions for ASP development and implementation support.

Bipartisan Infrastructure Law

Frequently Asked Questions (FAQs):
Bipartisan Infrastructure Law Changes to PTASP Requirements

Have questions about the Bipartisan Infrastructure Law?
Email FTA-IIJA@dot.gov

Upcoming Webinar

Leadership, Tools, and Practices for a Robust SMS (Roundtable)
When: Wednesday, September 28
2:00 p.m. ET
Register Here
Q & A Highlights

Question 1:

What type of data should we include in our hazard identification and risk assessment log?

FTA Response:

FTA does not prescribe what transit agencies should record in hazard identification and risk assessment logs. The PTASP regulation requires agencies to maintain documentation related to the implementation of their SMS for three years, including results from SMS processes and activities. However, FTA does not stipulate the methods of documentation (49 CFR § 673.31).

Transit agencies may choose to use or tailor one of the sample safety risk registers provided by FTA to support their agency’s hazard tracking. This is an information management tool agencies can voluntarily use to document their Safety Risk Management and Safety Assurance activities.

Examples of data and information a transit agency may want to record in such tools include:

- Identified hazards and the potential consequences associated with these hazards;
- Existing related mitigations your agency may have in place;
- Initial safety risk ratings of the potential consequences;
- New mitigations your agency has implemented to eliminate or minimize the risk associated with the consequences of the hazards;
- Revised safety risk ratings; and
- Mitigation monitoring measures and activities to ensure mitigation implementation and effectiveness.

Question 2:

Could a rail transit agency’s (RTA) State Safety Oversight Agency (SSOA) have requirements for a safety risk register or hazard log?

FTA Response:

Yes, under the authority of the State Safety Oversight (SSO) program, an SSOA may choose to require that each RTA under its jurisdiction use a safety risk register or hazard log. This requirement would be spelled out in the SSOA’s Program Standard. For example, an SSOA

ASP Directory

FTA added an Agency Safety Plan Directory to the TAC Resource Library. Transit agencies can use these publicly available ASPs as a resource to help with their own ASP.

FTA has not assessed whether any of the plans meet applicable statutory or regulatory requirements. This directory is provided for technical assistance only.

If you would like to add your ASP to the ASP directory, please contact PTASP-TAC@dot.gov.
may require that its agencies use a safety risk register to document the results of Safety Risk Management and Safety Assurance activities. FTA recommends that the SSOA coordinate with each RTA regarding the agency’s information technology capabilities and the other tools or resources it uses to meet the PTASP requirements and address the SSOA’s program standard.

The contents of this document do not have the force and effect of law and are not meant to bind the public in any way. This document is intended only to provide clarity to the public regarding existing requirements under the law or agency policies. Grantees and subgrantees should refer to FTA’s statutes and regulations for applicable requirements.