

# DOT

*This is a General, Sample Section 5310 Application. Required language, details, and eligibility are subject to change based on given circumstances.*

Items in orange or with a 👍 are FTA or TrAMS-generated.  
Items in blue are entered by the Recipient.  
Items in green are tips.  
Items in red may be changed by the Recipient elsewhere.

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	XXXX-2020-4 [Automatically assigned by TrAMS; will change just prior to Submittal]
<b>Temporary Application Number</b>	XXXX-2020-4
<b>Application Name</b>	5310 Capital and Operating Assistance, Area Transit Authority – [UZA], [ST]
<b>Application Status</b>	In-Progress
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/30/2024 [The Original Period of Performance End Date should be set to the next March 30 a minimum of 5 years beyond the latest milestone date in the application. For example, identify final milestone in the application (e.g., 12/31/2021), add two years (e.g., 12/31/2026). If that milestone falls after March 30 of that year, extend end date to March 30 of the following year (e.g., 03/30/2027)].
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

## Part 1: Recipient Information [May be updated at SAM.gov]

**Name:** XXXX Area Transit Authority

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
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1234

Transit Authority

XXXX AREA TRANSIT AUTHORITY

123456789

**Location Type****Address****City****State****Zip**

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**Union Information** [May be updated at the Recipient Level] (Info deleted for privacy reasons)

<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION</b>
Address 1	
Address 2	
City	
State	
Zipcode	
Contact Name	
Telephone	
Fax	
E-mail	
Website	
<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION</b>
Address 1	
Address 2	
City	
State	
Zipcode	
Contact Name	

Fax	
Website	
<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION</b>
Address 2	
State	
Contact Name	
Fax	
Website	
<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION</b>
Address 2	
State	
Contact Name	
Fax	
Website	
<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION</b>
Address 1	

Address 2	
State	
Contact Name	
Fax	
Website	
<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION</b>
Address 1	
Address 2	
City	
State	
Zipcode	
Contact Name	
Telephone	
Fax	
E-mail	
Website	
<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION</b>
Address 1	
Address 2	
City	
State	
Zipcode	
Contact Name	
Telephone	

Fax	
E-mail	
Website	

## Part 2: Application Information

**Title: 5310 Capital and Operating Assistance, Area Transit Authority – UZA, State**

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
1234-2020-4	In-Progress	Grant	3/19/2020	3/19/2020	No

### Application Executive Summary

1. FIRST SENTENCE OF ALL EXECUTIVE SUMMARIES. This application is to request Section 53XX funds apportioned to the [UZA or STATE NAME] in the amount of \$XXXX. [Include breakdown of all Sections and UZAs/States as applicable]

2. Detail on each of the following five elements (for additional information see <https://www.transit.dot.gov/funding/grantee-resources/teamtrams/transit-award-management-system-trams> )

**Purpose:** The purpose of this award is to provide the XXX and its subrecipients operating assistance and capital funding to provide transportation services for people with disabilities and older adults.

**Activities to be Performed:** Funds will be used to cover eligible operating expenses such as driver salaries, fuel, items having a useful life of less than one year, preventive maintenance, etc. in order to continue transit operations. Funds will also be used for eligible capital investment including the purchase of two 8-passenger vans.

**Expected Outcomes:** Funding will permit (insert transit agency or State DOT) and its subrecipients to continue to provide service for people with disabilities and older adults.

**Intended Beneficiaries:** People with disabilities and older adults in the state's rural and small urban areas.

**Subrecipient Activities:** The following subrecipients will receive operating assistance to maintain services. Subrecipient 1, Subrecipient 2, etc. The following subrecipients will receive vehicles or funding under this grant: Subrecipient 3, Subrecipient 4, etc.

2. Traditional Projects: A minimum of 55% of the annual state/UZA apportionment must be spent on traditional capital projects planned, designed, and carried out to meet the special needs of seniors and individuals with disabilities when public transportation is insufficient,

inappropriate, or unavailable. For traditional 5310 projects Scope Code 641-11 “5310 Projects” should be used, which has all applicable ALIs for capital activities. Please provide the specific percentage for each grant.

Examples of Traditional 5310 Projects (55% Minimum must be spent on these types of projects) include but are not limited to: Accessible Van or Bus procurement, Wheelchair lifts, ramps and securement devices, POS- Purchase of Service, Transit Related information technology systems, and Mobility Management

Traditional Project Scopes: Scope 641 Bus Rolling Stock (ALIs 11.12.15 and 11.13.04, Scope 600: Other Program Costs (ALIs 11.7A.00 and 11.7L.00), Scope 610: State/Programs Administration (ALI 11.80.00). Please note 11.7A.00 ALI is only eligible on 5310 funded vehicles.

Note for recipient: Please explain how the Traditional 5310 Projects are met (55%), this should be included in the executive summary. Specify the ALI's that are being used for the Traditional 5310 Projects (55%)

3. Nontraditional/New Freedom Projects : a recipient may allocate up to 45% of its apportionment for operating assistance.

Examples of Nontraditional/New Freedom Projects 5310 Projects (up to 45% may be spent on these types of projects) include but are not limited to: Travel Training, Volunteer Driver Program, building an accessible path to transit: pedestrian signals, curb cuts, sidewalks, etc., Purchasing vehicles to support shared rides such as taxi's or vanpools, and Route operations beyond ADA

For New Freedom 5310 projects use scope 647-00.

Note for recipient: Please explain how the New Freedom 5310 Projects are met (up to 45%), this should be included in the executive summary. Specify the ALI's that are being used for the New Freedom 5310 Projects (up to 45%)

4. Other Eligible 5310 Projects: a recipient may allocate up to 45 percent of its apportionment for operating assistance. Other Non-Traditional 5310 projects use scope 641-00. Specify the corresponding scopes and ALIs for non-traditional projects in the executive summary.

5. State/Program Administration: a recipient may allocate up to 10 percent of its apportionment for State/Program Administrative costs with no local match required. State/Program Administration must use scope 610-00, and ALI 11.80.00.

6. Example: The total capital cost is \$1,880,072 or 63.74%. The operating assistance total is \$1,069,461 or 36.26%. Traditional Section 5310 Projects (minimum 55% must be spent of traditional) is 63.74% of the funds applied for. While non-traditional 5310 is 36.26% (Nontraditional/New Freedom may be up to 45%) of the funds awarded.

7. If applicable include: \$XXXX (10% of the apportionment) of funds will be applied towards Program/State Administration (100% federal share).

8. [Recipient NAME] is the Designated/Direct Recipient of [Section of Statute] Program Funds. If applying directly for a 5310 sub-allocation within an urbanized area (UZA), please attach the applicable “Split/Governor’s Apportionment” letter and/or sub-allocation table for the UZA in TrAMS. The letter must be signed by the Designated Recipient. For large UZAs in which multiple operators receive suballocated 5310 funds, each grantee must attach a suballocation letter (split letter) in TrAMS that supports the amount of the sub/allocation.

9. [Recipient] certifies that the goals and objectives set to identify the projects were derived from the Human Services Coordination Plan, and all grants were selected among all human service providers in the local area within each district. All vehicles purchased will be ADA compliant unless a certificate of equivalent service is filed.

Please note the Mobility Management ALI can be used for both Traditional and New Freedom Projects.

Operating Expenses:

- Traditional Capital Projects: operating costs associated with contracted service are eligible capital expenses.
- New Freedom: Capital and operating expenses for new public transportation services and alternatives beyond those required by the ADA, designed to assist individuals with disabilities and seniors. Above and beyond operating expenses can use the New Freedom ALI.

10. Please attach the following, as applicable:

- TIP/STIP and POP
- POP information: Need to include the specific information required in the POP. **Please review the circular for guidance on the POP.** Please ensure all information is clearly displayed in the POP and the 55% requirement is clearly shown. FTA will cross reference the application and the POP.
- Any other important/relevant info - In-kind match, Insurance proceeds, etc.

11. Please include the following statement: "all projects are derived from the current Coordinated Plan."

If application includes rolling stock procurement:

1. [Recipient NAME] certifies that all vehicles purchased are accessible, purchased from an FTA approved TVM, and reported to FTA within 30 days after contract is signed.

2. [Recipient] will check the current listing of Transit Vehicle Manufacturers (TVM) (<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

If application includes activities to be carried out by 3rd Party Contractors:

1. The recipient or Sub-recipient (when applicable) will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance)

2. The recipient or Sub-recipient (when applicable) will ensure contractors procured will not be on the FTA Suspension and Debarment list.



No Selection Made



No Selection Made

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.



No Selection Made

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
John	Doe	President/General Manager	faces.ftafakeaccount1234@gmail.com	XXX-XXX-XXXX

FTA Points of Contact are assigned by FTA and will appear in this box after Transmittal

## Application Budget Control Totals [Application Level budget data automatically pulled up from the ALI Level]

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula	5310-1A	20513	\$620
Local			\$280
Local/In-Kind			\$0
State			\$0



State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$900</b>

## Application Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
1234-2020-4-P1	600-00 (600-)	OTHER PROGRAM COSTS	\$80.00	\$20.00	\$100.00	0
1234-2020-4-P1		11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))	\$80.00	\$20.00	\$100.00	0
1234-2020-4-P1	610-00 (610-)	STATE / PROGRAMS ADMINISTRATION	\$100.00	\$0.00	\$100.00	0
1234-2020-4-P1		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$100.00	\$0.00	\$100.00	0
1234-2020-4-P1	641-00 (641-)	5310 PROJECTS	\$240.00	\$60.00	\$300.00	5
1234-2020-4-P1		11.12.15 BUY REPLACEMENT VAN	\$80.00	\$20.00	\$100.00	1
1234-2020-4-P1		11.13.04 BUY <30-FT BUS FOR EXPANSION	\$80.00	\$20.00	\$100.00	4
1234-2020-4-P1		11.7A.00 PREVENTIVE MAINTENANCE	\$80.00	\$20.00	\$100.00	0
1234-2020-4-P1	647-00 (647-)	NEW FREEDOM PROJECTS	\$200.00	\$200.00	\$400.00	0

1234-2020-4-P1	30.09.01	UP TO 50% FEDERAL SHARE	\$200.00	\$200.00	\$400.00	0
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## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

**Project Title:** 5310 FFY Capital and Operating Assistance -XXXX, TN-MS-AR

Project Number	Temporary Project Number	Date Created	Start Date	End Date
1234-2020-4-P1	1234-2020-4-P1	3/19/2020	1/1/2021	9/30/2022 ←

### Project Description

Include here:

- FTA program section
- Dollar amounts: Federal, State, funding added in this application (or grant amendment).
- Fiscal year of funds and break down – (if there are multiple years of funding in the application) source of local match

These Project Level Start/End Dates reflect the earliest & latest milestones entered & are different than the Application Period of Performance. The End Date at the Project Level should be used to calculate the End Date you enter at the Application Level.

### Project Benefits

Include the result of the project, who will be affected, and how they will benefit

### Additional Information

None provided

### Location Description

This project is located in the UZA 470380.

## Project Location (Urbanized Areas)

UZA Code	Area Name
490000	XXXX, AR

## Congressional District Information

State	District	Representative
State	8	Name
State	9	Name

## Program Plan Information

### STIP/TIP

Date: 12/2/2020

Description: If a capital project or item is to be funded, please make sure the appropriate relevant pages of the TIP or STIP documentation is copied, scanned and attached in TrAMS (page or pages only – not the entire TIP or STIP document).

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals [Project Level budget data automatically pulled up from the ALI Level]

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula	5310-1A	20513	\$620
Local			\$280
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

<b>Total Eligible Cost</b>	<b>\$900</b>
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## Project Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
1234-2020-4-P1	600-00 (600-)	OTHER PROGRAM COSTS	\$80.00	\$20.00	\$100.00	0
1234-2020-4-P1		11.7L.00 MOBILITY MANAGEMENT (5302(A)(1)(L))	\$80.00	\$20.00	\$100.00	0
1234-2020-4-P1	610-00 (610-)	STATE / PROGRAMS ADMINISTRATION	\$100.00	\$0.00	\$100.00	0
1234-2020-4-P1		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$100.00	\$0.00	\$100.00	0
1234-2020-4-P1	641-00 (641-)	5310 PROJECTS	\$240.00	\$60.00	\$300.00	5
1234-2020-4-P1		11.12.15 BUY REPLACEMENT VAN	\$80.00	\$20.00	\$100.00	1
1234-2020-4-P1		11.13.04 BUY <30-FT BUS FOR EXPANSION	\$80.00	\$20.00	\$100.00	4
1234-2020-4-P1		11.7A.00 PREVENTIVE MAINTENANCE	\$80.00	\$20.00	\$100.00	0
1234-2020-4-P1	647-00 (647-)	NEW FREEDOM PROJECTS	\$200.00	\$200.00	\$400.00	0
1234-2020-4-P1		30.09.01 UP TO 50% FEDERAL SHARE	\$200.00	\$200.00	\$400.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
NEW FREEDOM PROJECTS (647-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

### Extended Budget Description

Operating assistance to a new Route 205 which serves a significant number of elderly and disabled individuals. Route 205 is affiliated with the vanpooling program with ACME Transit. Providing support for alternative transportation options for persons with disabilities, beyond the requirements of the Americans with Disabilities Act (ADA).

Operating Assistance for the [operator name]. Operating Assistance will cover 11/1/2021 - 8/30/2022

### TIP:

\*The grantee may include operating assistance projects of up to two years' duration in its annual program of projects. If it will fund two years, an additional ALI should be added for each individual fiscal year.

\* If, No, 3rd Party Contractors will not be used for this budget activity line item. Please insert a minimum of 2 milestones.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula	5310-1A	20513	\$200
Local			\$200
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$400</b>
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<b>Milestone Name</b>	<b>Est. Completion Date</b>	<b>Description</b>
Start Date	11/1/2021	
End Date	8/30/2022	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

<b>Scope Name / Code</b>	<b>Line Item #</b>	<b>Line Item Name</b>	<b>Activity</b>	<b>Quantity</b>
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

ACME will utilize its state admin allocation to fund salaries, work with a consultant to develop vehicle specifications, implement a vendor solicitation process, select a vehicle vendor, develop vendor contracts, and perform in-factory vehicle inspections and contractual monitoring. These programs expand transportation alternatives that benefit individuals with disabilities.

**TIPs:**

\*Scope Code 610-00 and ALI 11.80.00 must be used for State Program Administration.

\*Program Administration: Administration expenses, which are capped at 10% of state/UZA apportionment, do not require a local match, federal share is 100 percent.

\* If, No, 3rd Party Contractors will not be used for this budget activity line item. Please insert a minimum of 2 milestones.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula	5310-1A	20513	\$100
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$100</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	
End Date	9/30/2021	

**Budget Activity Line Item: 11.7L.00 - MOBILITY MANAGEMENT (5302(A)(1)(L))**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

These funds will be used to create a bus Mobility Management position. This activity is categorized as a traditional project under 5310. This position will be charged with a series of tasks to provide assistance to persons with disabilities facing mobility issues by providing outreach to prospective riders with disabilities, identifying barriers preventing people with disabilities from using existing services, and assisting with development and planning of new services.

**TIPs:**

\*Mobility management is considered an "Other Capital Item" activity type and is available under most scopes. While the ALIs below follow the format for bus and rail capital, they can also be found under the related 600 series scope codes.

\*Please state in the EBD if this traditional or Non-Traditional/New Freedom.

\* If, No, 3rd Party Contractors will not be used for this budget activity line item. Please insert a minimum of 2 milestones.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5310 - Mobility of Sr. & Indv. w/ Disabilities Formula	5310-1A	20513	\$80
Local/In-Kind			\$0
State/In-Kind			\$0
Transportation Development Credit			\$0
<b>Total Eligible Cost</b>			<b>\$100</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	
End Date	6/30/2022	

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
5310 PROJECTS (641-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

PM for [operator name]. PM activity will cover the period of 01/1/2021 - 02/28/2022.

**TIPs:**

\*In Section 5310, Preventive maintenance is eligible under the Section 5310 program and may be considered a traditional capital project under Scope Code 641-00 but is limited to preventive maintenance of Section 5310-funded vehicles.

\*Indicate whether any individual PM item(s) will be over \$5,000. If an individual item is expected to be over \$5,000, list item(s) with respective useful life and individual dollar amount. When there are no items over \$5,000, include "The agency [Name] does not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included via budget revision (actual value and useful life)."

\*Preventive Maintenance is current and/or preceding year. If it will fund two years, an additional ALI should be added for each individual fiscal year.



\* If, No, 3rd Party Contractors will not be used for this budget activity line item. Please insert a minimum of 2 milestones.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula	5310-1A	20513	\$80
Local			\$20
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$100</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	
End Date	2/28/2022	

**Budget Activity Line Item: 11.13.04 - BUY <30-FT BUS FOR EXPANSION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
5310 PROJECTS (641-00)	11.13.04	BUY	BUY EXPANSION - CAPITAL BUS	4

**Extended Budget Description**

ACME Transit will use these funds to purchase 4 medium-duty 25-foot clean diesel minibuses at a cost of \$25 each/\$100 total. The buses will be used for new service along Ventnor and Atlantic Avenues, connecting Downtown to the Marvin Gardens neighborhood. Useful life is four years or 100,000 miles.

Clean Air Act standards (CAA) and the Americans with Disabilities Act (ADA) requirements will be met with these vehicles. The fleet status section of TrAMS has been updated to reflect this addition. ACME Transit will be exercising options for these vehicles off of the Yorknapatawpha County Transit Authority's (YCTA) contract (consortium).

TIPs:

\*If expansion, include a statement with the justification for the expansion. For recipients with 50 or more fixed-route revenue vehicles, please be mindful of your spare ratio.

\* Notice that Rolling Stock procurements should have a minimum of 5 milestones (e.g. RFP, Contract Award, Delivery of first Vehicle, Delivery of All Vehicles, and Contract Complete)

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula	5310-1A	20513	\$80
Local			\$20
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$100</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2021	
Contract Award Date	12/1/2021	
Initial Delivery Date	2/1/2022	
Final Delivery Date	2/28/2022	
Contract Completion Date	9/30/2022	

**Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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**Extended Budget Description**

ACME Transit will use these funds to purchase one (1) wheelchair-accessible minivan with rear folding ramp to serve seniors and individuals with disabilities \$100 each. The vehicle being replaced (VIN number: 1GBDV13137D176089 ) has reached the end of its useful life. It will not be sold for more than \$5,000.00. Information about the vehicle being replaced is provided in the attached pdf or Excel spreadsheet. ACME has certified that Lifespan Resources is eligible to receive funds under the Section 5310 Traditional program (5310 Traditional Program use Scope Code 111). The van will be used for service along Lavender and Magnolia Avenues, connecting Downtown to the Marvin Gardens neighborhood. Clean Air Act standards (CAA) and the Americans with Disabilities Act (ADA) requirements will be met with these vehicles. The fleet status section of TrAMS has been updated to reflect this addition. ACME Transit can operate and maintain this vehicle expansion.

**TIP:**

\* Notice that Rolling Stock procurements should have a minimum of 5 milestones (e.g. RFP, Contract Award, Delivery of first Vehicle, Delivery of All Vehicles, and Contract Complete)

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5310 - Mobility of Sr. & Indv. w/ Disabilities Formula	5310-1A	20513	\$80
Local			\$20
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$100</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2021	
Contract Award Date	12/1/2021	
Initial Delivery Date	2/1/2022	

Final Delivery Date	2/28/2022
Contract Completion Date	9/30/2022

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

**Type 07:** Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
5310 PROJECTS (641-00)	11.12.15	BUY REPLACEMENT VAN	1	\$80.00	\$100.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

**Type 07:** Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with

no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
5310 PROJECTS (641-00)	11.13.04	BUY	4	\$80.00	\$100.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

**Type 07:** Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
5310 PROJECTS (641-00)	11.7A.00	PREVENTIVE MAINTENANCE	0	\$80.00	\$100.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

**Type 04:** Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7L.00	MOBILITY MANAGEMENT (5302(A)(1)(L))	0	\$80.00	\$100.00

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

**Type 04:** Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$100.00	\$100.00

<b>Finding: Class II(c) - Categorical Exclusions (C-List)</b>
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### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

### Categorical Exclusion Description

**Type 04:** Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
NEW FREEDOM PROJECTS (647-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$200.00	\$400.00

## Part 4: Fleet Details

No fleet data exists for this application.



There are no review comments to display at this time.



UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION

**GRANT AGREEMENT**  
**(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

**Recipient Information**

Recipient Name: XXXX Area Transit Authority

Recipient ID: 1234

DUNS No: 151999422

**Application Information**

Federal Award Identification Number: 1234-2020-4

Application Name: 5310 Capital and Operating Assistance, XXXX Area Transit Authority -XXXX, TN-MS-AR UZA

Application Start Date: N/A



Original Award End Date: 3/30/2025

Current Award End Date: N/A

Application Executive Summary: 1. First sentence template, "This is an FFY XXXX Section XXXX application in the amount of \$XXXX Federal Funds. The application is for (provide description of projects)." Should include: the section of FTA funding, the total federal apportionment, fiscal year, amount of Federal share, amount of nonfederal share, source of nonfederal share, and brief summary of application activities.

2. Traditional Projects: A minimum of 55% of the annual state/UZA apportionment must be spent on traditional capital projects planned, designed, and carried out to meet the special needs of seniors and individuals with disabilities when public transportation is insufficient, inappropriate, or unavailable. For traditional 5310 projects Scope Code 641-11 "5310 Projects" should be used, which has all applicable ALIs for capital activities.

Examples of Traditional 5310 Projects (55% Minimum must be spent on these types of projects) include but are not limited to: Accessible Van or Bus procurement, Wheelchair lifts, ramps and securement devices, POS- Purchase of Service, Transit Related information technology systems, and Mobility Management

Traditional Project Scopes: Scope 641 Bus Rolling Stock (ALIs 11.12.15 and 11.13.04, Scope 600: Other Program Costs (ALIs 11.7A.00 and 11.7L.00), Scope 610: State/Programs Administration (ALI 11.80.00). Please note 11.7A.00 ALI is only eligible on 5310 funded vehicles.

Note for recipient: Please explain how the Traditional 5310 Projects are met (55%), this should be included in the executive summary. Specify the ALI's that are being used for the Traditional 5310 Projects (55%)

3. Nontraditional/New Freedom Projects : a recipient may allocate up to 45% of its apportionment for operating assistance.

Examples of Nontraditional/New Freedom Projects 5310 Projects (up to 45% may be spent on these types of projects) include but are not limited to: Travel Training, Volunteer Driver Program, building an accessible path to transit: pedestrian signals, curb cuts, sidewalks, etc., Purchasing vehicles to support shared rides such as taxi's or vanpools, and Route operations beyond ADA

For New Freedom 5310 projects use scope 647-00.

Note for recipient: Please explain how the New Freedom 5310 Projects are met (up to 45%), this should be included in the executive summary. Specify the ALI's that are being used for the New Freedom 5310 Projects (up to 45%)

4. Other Eligible 5310 Projects: a recipient may allocate up to 45 percent of its apportionment for operating assistance. Other Non-Traditional 5310 projects use scope 641-00. Specify the corresponding scopes and ALIs for non-traditional projects in the executive summary.

5. State/Program Administration: a recipient may allocate up to 10 percent of its apportionment for State/Program Administrative costs with no local match required. State/Program Administration must use scope 610-00, and ALI 11.80.00.

6. Example: The total capital cost is \$1,880,072 or 63.74%. The operating assistance total is \$1,069,461 or 36.26%. Traditional Section 5310 Projects (minimum 55% must be spent of traditional) is 63.74% of the funds applied for. While non-traditional 5310 is 36.26% (Nontraditional/New

Freedom may be up to 45%) of the funds awarded.

7. If applicable include: \$XXXX (10% of the apportionment) of funds will be applied towards Program/State Administration (100% federal share).

8. [Recipient NAME] is the Designated/Direct Recipient of [Section of Statute] Program Funds. If applying directly for a 5310 sub-allocation within an urbanized area (UZA), please attach the applicable "Split/Governor's Apportionment" letter and/or sub-allocation table for the UZA in TrAMS. The letter must be signed by the Designated Recipient. For large UZAs in which multiple operators receive suballocated 5310 funds, each grantee must attach a suballocation letter (split letter) in TrAMS that supports the amount of the sub/allocation.

9. [Recipient] certifies that the goals and objectives set to identify the projects were derived from the Human Services Coordination Plan, and all grants were selected among all human service providers in the local area within each district. All vehicles purchased will be ADA compliant unless a certificate of equivalent service is filed.

Please note the Mobility Management ALI can be used for both Traditional and New Freedom Projects.

Operating Expenses:

- Traditional Capital Projects: operating costs associated with contracted service are eligible capital expenses.
- New Freedom: Capital and operating expenses for new public transportation services and alternatives beyond those required by the ADA, designed to assist individuals with disabilities and seniors. Above and beyond operating expenses can use the New Freedom ALI.

10. Please attach the following, as applicable:

- TIP/STIP and POP information. Need to include the specific information required in the POP, i.e. project type, page of coordinated plan, etc. See circular for list. POP needs to be consistent with grant.
- Any other important/relevant info - In-kind match, Insurance proceeds, etc.

11. Please include the following statement: "all projects are derived from the current Coordinated Plan."

If application includes rolling stock procurement:

1. [Recipient NAME] certifies that all vehicles purchased are accessible, purchased from an FTA approved TVM, and reported to FTA within 30 days after contract is signed.

2. [Recipient] will check the current listing of Transit Vehicle Manufacturers (TVM) (<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

If application includes activities to be carried out by 3rd Party Contractors:

3. The recipient or Sub-recipient (when applicable) will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance)

4. The recipient or Sub-recipient (when applicable) will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

#### **Application Budget**

Total Application Budget: \$900.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$620.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$280.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$620.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$280.00

#### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
49 USC 5310 - (MAP 21)Formula Grants for Enhanced Mob of Sr. & Ind. w Disab	5310-1A	20513	\$620
Local			\$280
Local/In-Kind			\$0

State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$900</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Original Certification Date:

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

**EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*XXXX Area Transit Authority*