FY2021 Grants for Buses and Bus Facilities Competitive Program October 13, 2021





Tom Wilson

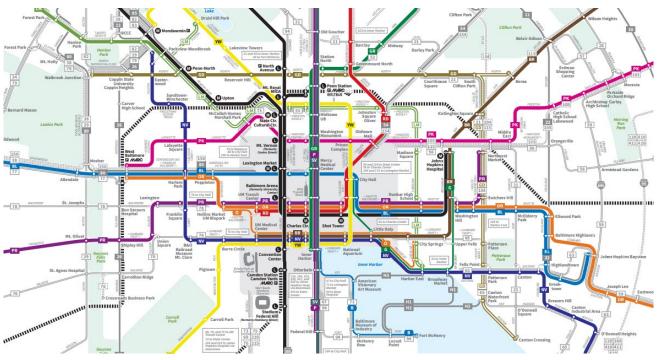
Office of Program Management Federal Transit Administration Transit.DOT.gov/bus-program



U.S. Department of Transportation
Federal Transit Administration

Overview

- Program Overview
- Previous Competitions
- Eligibility
- Evaluation Criteria
- Review and Selection
- Application Submission
- Wrap-Up
- Q&A



BaltimoreLink Abstract System Map ~ Maryland Transit Administration



PROGRAM OVERVIEW

Program Description

What is the Grants for Buses and Bus Facilities Program?

- The Grants for Buses and Bus Facilities Program (49 U.S.C. § 5339(b)) authorizes FTA to award grants "to assist in the financing of buses and bus facilities capital projects including;
 - Replacing, rehabilitating, purchasing, or leasing buses or related equipment
 - Rehabilitating, purchasing, constructing or leasing bus-related facilities"

Funding Award Information

- Projects will be selected on a competitive basis, consistent with the criteria outlined in the Notice of Funding Opportunity (NOFO)
- "In making grants under this subsection, the Secretary shall consider the age and condition of buses, bus fleets, related equipment, and bus related facilities" (49 U.S.C. § 5339(b)(2))
- Pre-award authority starts on the date projects are announced on FTA's website
- Funding is available for obligation for year of award plus three.
- No applicant may receive more than 10% of the total available
- A minimum of 10% must be allocated to rural projects

Cost Sharing or Matching Funds

- Projects selected under this opportunity are eligible for a maximum 80% Federal share,
 with a 20% non-Federal match
- Clean Air Act (CAA) and Americans with Disabilities Act (ADA) eligible vehicles are eligible
 for a maximum 85% Federal/15% local match; and CAA and ADA eligible costs directly
 related to vehicle equipment or facilities are eligible for a 90% Federal/10% local match
- Eligible sources of local match are listed in the NOFO in Section C(2)

2021 Important Program Information

FY 2021 Funding: \$409.59 million

- Maximum allocation = \$40.9 million
- Funding Opportunity Number: FTA-2021-008-TPM-BUS

Important Dates				
NOFO Published	9/20/2021			
Applications Due	11:59pm EST 11/19/2021			
Project Evaluations	December 2021			
Award Announcement	Q1 2022			



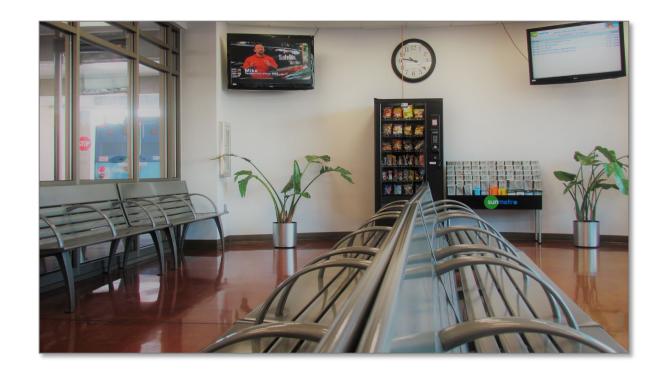
PREVIOUS COMPETITIONS

Recent Competitions

	FY 2018	FY 2019	FY 2020
Number of Eligible Proposals Received	339	318	282
5339(b) Funds Requested	\$2 billion	\$1.9 billion	\$1.8 billion
Projects/Funds Awarded	107 projects \$366.2 M	94 projects \$423.3 M	96 projects \$464 M

2020 Competition Highlights

- Of the 282 eligible proposals for FY 2020, 174 received an overall rating of Highly Recommended
- Awarded 96 projects in 49 States/territories
 - Large Urban: 45 projects for \$229,232,978 (49%)
 - Small Urban: 25 projects for \$108,257,742 (23%)
 - **Rural**: 26 projects for \$126,358,209 (28%)
- Average award was slightly over \$4.8 million with 79 projects receiving their full federal amount requested



ELIGIBILITY

Eligible Applicants

- Designated recipients that allocate funds to fixed route bus operators
- State or local governmental entities that operate fixed route bus service
- and Indian Tribes

Subrecipients

- Rural areas must submit as part of a consolidated application through a State proposal
- Eligible subrecipients include all otherwise eligible applicants and private nonprofit organizations engaged in public transportation

What is a Bus Facility?

FTA C-5100:

- FTA generally assists in building two kinds of facilities:
 - (1) facilities that support transit operations, such as maintenance garages and administrative buildings; and
 - (2) facilities that provide passenger amenities and extend into the built environment, such as bus or rail terminals, stations, shelters, and park-and-ride lots as well as intermodal facilities that include both transit and intercity bus or rail services.

Eligible Projects

- Replace, rehabilitate, purchase, or lease buses, vans and related equipment (including maintenance, IT equipment)
- Rehabilitate, purchase, construct, or lease bus-related facilities
- Costs incidental to the acquisition of buses or construction of a facility
- May include workforce development activities up to 0.5% of the project budget
- May include training at National Transit Institute (NTI) up to 0.5% of the project budget

Ineligible Projects

- Non-public transportation projects (e.g., school buses, prisoner transport, intra-facility shuttles, services not open to the general public) 49 USC § 5302(14)
- Operating expenses
- Preventive maintenance
- Other expanded capital eligibility items such as security drills or the establishment of a debt service reserve
- Previous project expenses
- Mobility Management



EVALUATION CRITERIA (NOFO SECTION E)

Evaluation Criteria

1. Demonstration of Need

- How will the proposed project address an unmet need for capital investment?
- How will the proposed project enhance the safety of the transit system?
- How will the proposed project improve connectivity of bus systems with other networks through the use of deployment-ready information technologies?
- **How** the application is a one-time or periodic investment that cannot be reasonably funded from FTA formula program allocations and State or local resources?
- What substantiating evidence can be provided to support these statements?

2. Demonstration of Benefits

- How will the proposed project improve the condition of the transit system?
- How will the proposed project improve the reliability of transit service?
- How will the proposed project enhance access and mobility?
- What substantiating evidence can be provided to support these statements?



Evaluation Criteria

3. Planning and Local/Regional Prioritization

- How is the proposed project consistent with local and regional long range planning documents?
- How is the proposed project consistent with local government priorities?
- What substantiating evidence can be provided to support these statements?
 - *Additional consideration given to *letters of support*
 - **Applicants may also address system, asset, or specific performance measures tracked by the applicant.

4. Local Financial Commitment

- When will the local funds be fully secured?
- What is the source of the local share?
- What is the percentage of local share?
- What substantiating evidence can be provided to support these statements?

Evaluation Criteria

5. Project Implementation Strategy

- How soon can the proposed project be obligated?
- How complete is the proposed project timeline?
- How reasonable is the proposed project timeline?
- What environmental work has been done?
- What procedural steps remain to project implementation?

6. Technical, Legal & Financial Capacity

- Does the applicant have the know-how to carry out the proposed project?
- What open technical, legal, or financial capacity issues exist, if any, and how does the applicant plan to address them?



REVIEW AND SELECTION (NOFO SECTION E(2))

Additional Considerations

This information may be used to prioritize project selections, along with the other considerations listed under the "Review and Selection Process" section of the NOFO E(2)

Justice40 Initiative

- OMB Interim Guidance July 20, 2021
- Grants for Buses and Bus Facilities is part of the pilot implementation of Justice40

Nonattainment Areas

Environmental Justice

Executive Order 14008: Tackling the Climate Crisis at Home and Abroad

Racial Equity and Barriers to Opportunity

 Executive Order 13985: Advancing Racial Equity and Support for Underserved Communities Through the Federal Government



Justice 40 Initiative

"FTA will give priority consideration to projects that support the government-wide Justice40 Initiative with the goal of delivering 40 percent of the overall benefits of relevant Federal investments to disadvantaged communities."

Justice 40 Definitions:

- "Community" means either
 - a group of individuals living in geographic proximity to one another,
 - or a geographically dispersed set of individuals (such as migrant workers or Native Americans),
 - where either type of group experiences common conditions
- "Disadvantaged" means
- Low income, high and/or persistent poverty
- High unemployment and underemployment
- Racial and ethnic residential segregation, particularly where the segregation stems from discrimination by government entities
- Linguistic isolation
- High housing cost burden and substandard housing
- Distressed neighborhoods
- High transportation cost burden and/or low transportation access

- Disproportionate environmental stressor burden and high cumulative impacts
- Limited water and sanitation access and affordability
- Disproportionate impacts from climate change
- High energy cost burden and low energy access
- Jobs lost through the energy transition
- Access to healthcare



Justice 40 Initiative

"FTA will give priority consideration to projects that support the government-wide Justice 40 Initiative with the goal of delivering 40 percent of the overall benefits of relevant Federal investments to disadvantaged communities."

If a project supports the Justice 40 Initiative, FTA is asking applicants to:

Explain their methodology

- State the community definition used, including ridership demographic information relevant to the Justice40 definition of disadvantaged community,
- ...the variable(s) considered, and
- ...what immediate and long-term benefits will be provided by the project request.

Describe their Stakeholder Engagement activities

 Provide evidence of strategies that the applicant has used in the planning process to seek out and consider the needs of those traditionally disadvantaged and underserved by existing transportation systems, such as low-income and minority households.

Climate Change

"In further support of Executive Order 14008, FTA will give priority consideration to applications that are expected to create significant community benefits relating to the environment, including those projects that incorporate low or no emission technology or specific elements to address greenhouse gas emissions and climate change impacts."

- Executive Order 13990: Protecting Public Health and the Environment and Restoring Science to Tackle the Climate Crisis:
 - Climate Change and Environmental Justice in planning stage
 - Climate Change impacts
 - Reduce emissions, promote energy efficiency, incorporate low or no emission technology

Additional information can be found here:

<u>Federal Register :: Protecting Public Health and the Environment and Restoring Science To Tackle the Climate Crisis</u>

Climate Change

- Demonstrate whether they have considered climate change and environmental justice in terms of the transportation planning process or anticipated design components with outcomes that address climate change (e.g., resilience or adaptation measures).
- Describe what specific climate change or environmental justice activities have been incorporated,
 - whether a project supports a Climate Action Plan,
 - whether an equitable development plan has been prepared,
 - and whether tools such as EPA's EJSCREEN have been applied in project planning.
- Describe specific and direct ways the project will mitigate or reduce climate change impacts including any
 components that reduce emissions, promote energy efficiency, incorporate electrification or low emission or
 zero emission vehicle infrastructure, increase resiliency, or recycle or redevelop existing infrastructure.

Environmental Justice

- Environmental justice populations include low income and minority population(s) as defined in Executive Order 12898 "Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations" and FTA's Environmental Justice Circular 4703.1.
- Applicants should identify any EJ populations located within the proposed service area and describe anticipated benefits to that population(s).
- The geographic unit should be appropriate to the scope of the project.

A formal EJ analysis is not required.

Racial Equity and Barriers To Opportunity

FTA also will give priority consideration to applications that encourage racial equity in two areas: (1) Planning and policies related to racial equity and overcoming barriers to opportunity; and (2) project investments that either proactively address racial equity and barriers to opportunity, including automobile dependence as a form of barrier, or redress prior inequities and barriers to opportunity

Executive Order 13985: Advancing Racial Equity and Support for Underserved Communities Through the Federal Government:

- How does the project address Racial Equity and Barriers To Opportunity?
- Fair treatment for all individuals, including individuals who belong to underserved communities that are characterized by poverty and lack of access to resources.
 - Planning and policies related to racial equity and barriers to opportunity.
 - Summarize project investment costs that are related to racial equity and barriers to opportunity.

Additional information can be found here:

<u>Federal Register :: Advancing Racial Equity and Support for Underserved Communities Through the Federal</u>
Government



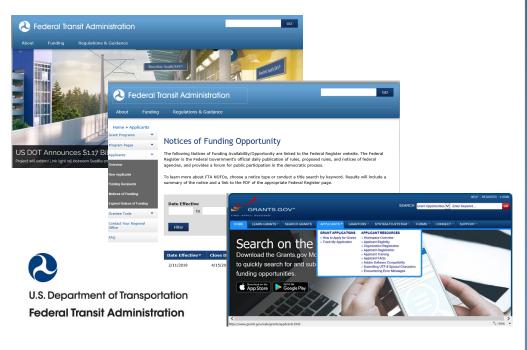
Racial Equity and Barriers To Opportunity

- Include sufficient information to evaluate how the applicant will advance racial equity and address barriers to opportunity.
- Describe any transportation plans or policies related to racial equity and barriers to opportunity they are implementing or have implemented in relation to the proposed project,
- Describe specific project investment details necessary for FTA to evaluate if the investments are being made either proactively to advance racial equity and address barriers to opportunity or redress prior inequities and barriers to opportunity.
- All project investment costs for the project that are related to racial equity and barriers to opportunity should be summarized.



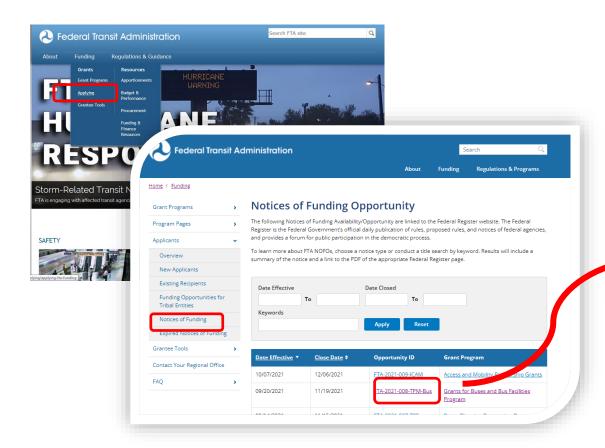
FEDERAL TRANSIT ADMINISTRATION

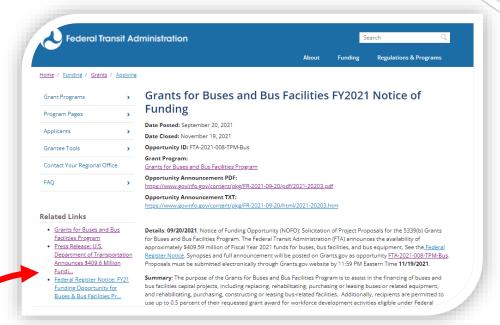
Applying for FTA Grant Opportunities in Grants.gov





FTA Notice of Funding Opportunity





FTA website:

Funding Opportunity Notices:

https://www.transit.dot.gov/

https://www.transit.dot.gov/funding/grants/notices

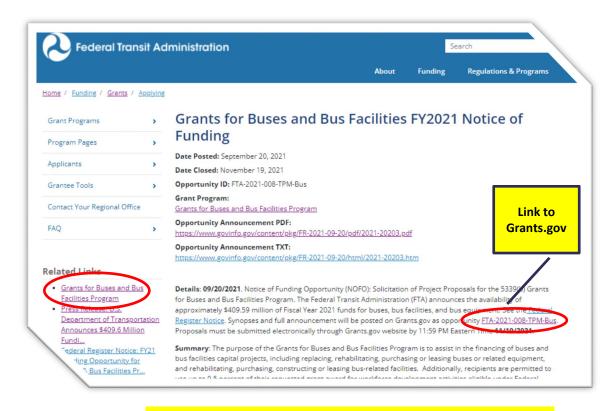
FTA Notice of Funding Opportunity

FTA NOFO Page contains:

- Summary Description
 - Application requirements
 - Eligibility
- Supplemental Form
- Links to Grants.gov
- Links to Program Information
- Other references and links

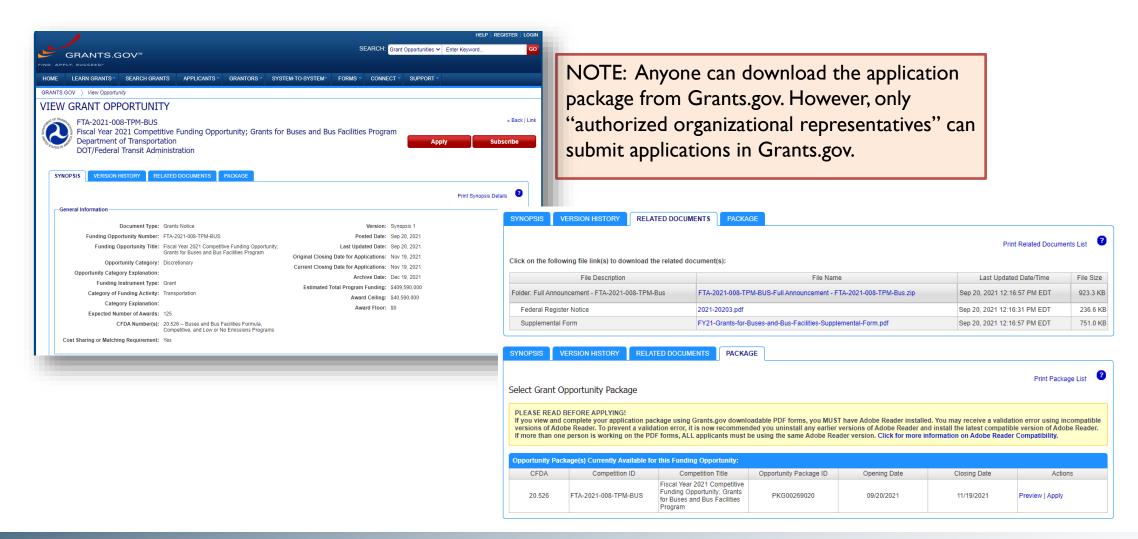
Link and Instructions for attaching the supplemental form to the SF-424: All applicants must complete the attached supplemental form and attach it to their submission in GRANTS.GOV.

https://www.transit.dot.gov/funding/grants/notices

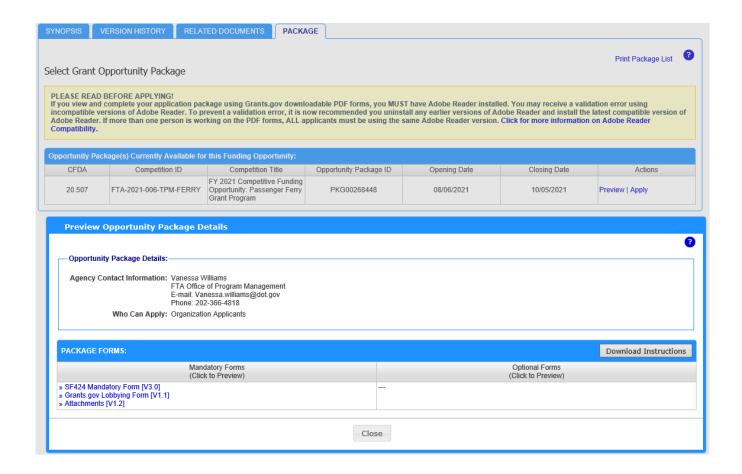


FTA NOFO provides hyperlinks to Grants.gov opportunity notice, related documents, and application package.

Download Application



Application Package



			OMB Number: 4040-0002 Expiration Date: 01/31/2019	
APPLICATION FOR FEDER	AL ASSISTANCE SF-424 - MAN	DATORY		
1.a. Type of Submission: Application	1.b. Frequency: Annual Quarterly	1.d. Version: Initial Resubmission 2. Date Received:	Revision Update STATE USE ONLY:	
Funding Request Other Other (specify):	Other Other (specify):	3. Applicant Identifier:	5. Date Received by State:	
		4a. Federal Entity Identifier:	6. State Application Identifier:	
.c. Consolidated Application/Plan/Funding Request? res No Explanation		4b. Federal Award Identifier:		
7. APPLICANT INFORMATION:				
a. Legal Name:				
b. Employer/Taxpayer Identificatio	n Number (EIN/TIN):	c. Organizational DUNS:		
d. Address:				
Street1:		Street2:		
Dity:		County / Parish:		
State:		Province:		
Country:		Zip / Postal Code:		
HOL DITTED CTATES				

e. Organizational Unit:

	Please fill out the following form.	Highlight Existing Fields					
F	ATTACHMENTS FORM						
∅	Instructions: On this form, you will attach the various files that make up your grant application. Please consult with the appropriate Agency Guidelines for more information about each needed file. Please remember that any files you attach must be in the document format and named as specified in the Guidelines.						
E.J.	Important: Please attach your files in the proper sequence. See the appropriate Agency Guidelines for details.						
	1) Please attach Attachment 1 Add Attachment Delete Attachment Vii	ew Attachment					
	Please attach Attachment 2	ew Attachment					
	3) Please attach Attachment 3 Add Attachment Delete Attachment Vis	ew Attachment					
	4) Please attach Attachment 4 Add Attachment Delete Attachment Vis	ew Attachment					
	5) Please attach Attachment 5 Add Attachment Delete Attachment Vis	ew Attachment					
	6) Please attach Attachment 6 Add Attachment Delete Attachment Vis	ew Attachment					
	7) Please attach Attachment 7 Add Attachment Delete Attachment Vis	ew Attachment					
	8) Please attach Attachment 8 Add Attachment Delete Attachment Vis	ew Attachment					
	9) Please attach Attachment 9 Add Attachment Delete Attachment Vis	ew Attachment					
	10) Please attach Attachment 10 Add Attachment Delete Attachment Vis	ew Attachment					
	11) Please attach Attachment 11 Add Attachment Delete Attachment Vis	ew Attachment					
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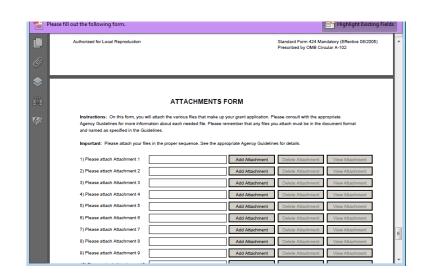
Application Package



CAUTION: Do not use "**Supplemental Form**" from prior years or create your own form. Please use the file provided on the FTA website.

The "Attachment Form" shown below is included in the SF-424 Grant Application Package in Grants.gov.

Form to the Attachment Form shown below when submitting in Grants.gov.



Grants.gov – Applicants

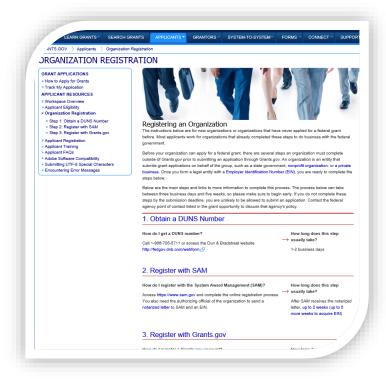


https://www.grants.gov/web/grants/applicants.html



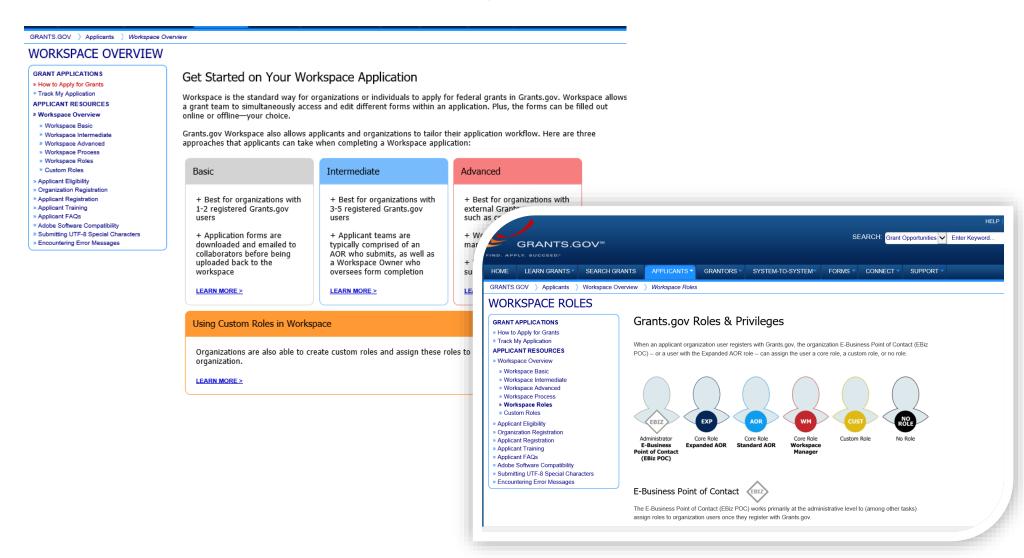
Applicant – Organization Registration





Organizations must register in Grants.gov in order to submit applications

Workspace in Grants.gov





Helpful Links

Grants.gov:

- http://www.grants.gov/
- http://www.grants.gov/web/grants/applicants.html

Contact Grants.gov Support Center to get help with Grants.gov issues:

GRANTS.GOV Applicant Support 1-800-518-4726 support@grants.gov

Grants for Buses and Bus Facilities Program:

• https://www.transit.dot.gov/bus-program

FY 2021 NOFO:

• <a href="https://www.transit.dot.gov/notices-funding/grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-buses-and-bus-facilities-fy2021-notice-funding-grants-



WRAP-UP

Application Pitfalls

- Ineligible applicants or projects
- Incorrectly identifying the useful life of asset
- Priorities/outcomes not aligned with criteria
- Lack of evidence substantiating project outcome claims in narrative
- Not citing evidence in narratives
- Not providing statutorily-mandated match
- Late submission
 - Submit by November 19, 2021, at 11:59 PM EST (-5:00 GMT)

Keys to Success

- Follow the NOFO
- Qualitative and Quantitative Information
- Provide evidence to support your statements
- Where have you been, where are you now, and where would you like to go?
- How will you judge success?
- Submit by November 19, 2021, at 11:59 PM EST (-5:00 GMT)

Helpful Links

Grants for Buses and Bus Facilities Program:

https://www.transit.dot.gov/bus-program

FY 2021 NOFO:

https://www.transit.dot.gov/notices-funding/grants-buses-and-bus-facilities-fy2021-notice-funding

FTA Circular 5100.1: Buses and Bus Facilities Program Guidance and Application Instructions

https://www.transit.dot.gov/regulations-and-guidance/fta-circulars/bus-and-bus-facilities-program-guidance-and-application

Questions

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