Passenger Ferry Grant Program Webinar

August 31, 2021



Vanessa Williams

Office of Program Management Federal Transit Administration



U.S. Department of Transportation Federal Transit Administration



Agenda

- Program Overview
- Eligibility Information
- Evaluation Criteria
- Application Requirements & Documents
- Q&A

PROGRAM OVERVIEW



Program Description

Section 5307(h) Passenger Ferry Grant Program

Authorizes FTA to award grants for passenger ferries through a competitive process for projects that support passenger ferry systems in urbanized areas, such as ferry vessels, terminals, and related infrastructure.

 The Passenger Ferry Grant Program is a set-aside from the Section 5307 – Urbanized Area Formula Program.

Funding Available

- \$38 million available in FY2021
 - At a minimum, \$4 million must go toward low or zero-emission ferry projects.



2020 Passenger Ferry Competition

Quick Facts:

- **19** eligible project proposals from **9** states plus U.S. Virgin Islands
- Total of **\$102** million requested
- 12 projects funded for a total of \$47.5 million
- Largest award was **\$5.2** million
- List of funded projects on the FTA website:
 - <u>https://www.transit.dot.gov/funding/grants/passenger-ferry-grant-program-section-5307</u>
- To date, approximately \$257 million has been awarded for 85 ferry projects.

2021 Important Program Dates

- Notice of Funding Opportunity: August 6, 2021
- Applications Due: October 5, 2021 by 11:59 P.M. EDT
 - Opportunity ID is FTA-2021-006-TPM-Ferry
 - Application Package can be found at <u>www.grants.gov</u> by searching for keyword "Passenger Ferry" or "Ferry Program".
 - It is recommended that applicants register on <u>www.grants.gov</u> at least 4 weeks prior to applying to ensure system access.
- **Project Evaluations:** October 2021
- Award Announcements: Late Winter 2021

ELIGIBILITY INFORMATION



Eligible Applicants

- Designated Recipients
- Direct Recipients of Section 5307 funds (or those eligible to become such recipients)
- States (including Territories of the United States)
- Federally-recognized Tribes

NOFO Section C. Eligibility Information, subsection 1. Eligible Applicants



Eligible Projects

- Capital projects to purchase, replace, or rehabilitate passenger ferries, terminals and related infrastructure and equipment (including fare equipment, communication devices).
- Projects must support public transportation ferry systems serving an urbanized area.
- Vehicle ferries are eligible if they also accommodate walk-on passengers.
- Workforce development/training

NOFO Section C. Eligibility Information, subsection 3. Eligible Projects

Eligible Projects (Cont.)

- Workforce Development:
 - Recipients are permitted to use up to 0.5% of the requested grant award for workforce development activities.
 - An additional 0.5% can be used training at the National Transit Institute.
- Cannot exceed 1% of the requested grant award.

See 49 U.S.C. 5314(b)

Examples Include:

- On-the-job training
- Apprenticeships
- Research for training programs
- Employment outreach programs

Ineligible Projects

Projects not eligible for Passenger Ferry funding:

- Planning
- Operating expenses
- Preventive maintenance

NOFO Section D. Application and Submission Information, subsection 5. Funding Restrictions



Cost Sharing or Matching

- Maximum 80% Federal / 20% non-Federal match unless:
 - Vehicles: Maximum 85% Federal/15% non-Federal match if compliant with the Clean Air Act (CAA) and/or the Americans with Disabilities Act (ADA) of 1990
 - Vehicle-related equipment or facilities: Maximum 90% Federal/10% non-Federal match if compliant with the Clean Air Act (CAA) and/or the Americans with Disabilities Act (ADA) of 1990
- The NOFO lists eligible sources of local match.

NOFO Section C. Eligibility Information, subsection 2. Cost Sharing or Matching



EVALUATION CRITERIA



Evaluation Criteria

1. <u>Demonstration of Need</u>

- What is the age, condition, and performance of assets to be replaced or rehabilitated?
- What are the capacity constraints and/or demand for new or expanded service?

2. <u>Demonstration of Benefits</u>

- How will the proposed project improve state of good repair, safety, ridership and reliability of service?
- Does the proposed project connect to other modes?
- For low or zero-emission ferries projects, does your proposed project reduce energy consumption?

3. Planning and Local/Regional Prioritization

- How is the proposed project consistent with local and regional priorities?
- Is the project in the long-range plan and TIP/STIP?
- Are there letters of support from local stakeholders?

Evaluation Criteria (Cont)

4. Local Financial Commitment

- How will the local funds be secured?
- What is the source of the local share, and is it available and set aside for the project?

5. Project Implementation Strategy

- Is the implementation strategy detailed, reasonable, and complete?
- What is the status of planning and environmental work?

6. <u>Technical, Legal, and Financial Capacity</u>

- Does the applicant demonstrate the ability to carry out the proposed project?
- Does the applicant have experience with projects of comparable

size/scope/requirements?

Other Considerations



Climate Change

- Executive Order 13990: Protecting Public Health and the Environment and Restoring Science to Tackle the Climate Crisis:
 - Climate Change and Environmental Justice in planning stage
 - Climate Change impacts
 - Reduce emissions, promote energy efficiency, incorporate low or no emission technology

-Additional information can be found here:

<u>Federal Register :: Protecting Public Health and the Environment</u> and Restoring Science To Tackle the Climate Crisis

Environmental Justice

- Environmental justice populations include low income and minority population(s) as defined in Executive Order 12898 "Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations" and FTA's Environmental Justice Circular 4703.1.
- Applicants should identify any EJ populations located within the proposed service area and describe anticipated benefits to that population(s).
- The geographic unit should be appropriate to the scope of the project.

A formal EJ analysis is not required.

Racial Equity and Barriers To Opportunity

Executive Order 13985: Advancing Racial Equity and Support for Underserved Communities Through the Federal Government:

- How does your project address Racial Equity and Barriers To Opportunity?
 - Fair treatment for all individuals, including individuals who belong to underserved communities that are characterized by poverty and lack of access to resources.
 - Planning and policies related to racial equity and barriers to opportunity
 - Summarize project investment costs that are related to racial equity and barriers to opportunity.
- <u>Federal Register :: Advancing Racial Equity and Support for Underserved</u> <u>Communities Through the Federal Government</u>

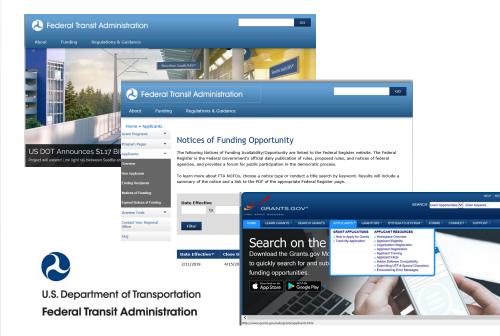
Other Considerations (Cont)

- Geographic diversity
- Diversity in transit system size
- Other competitive awards
- Low or zero-emission ferry projects

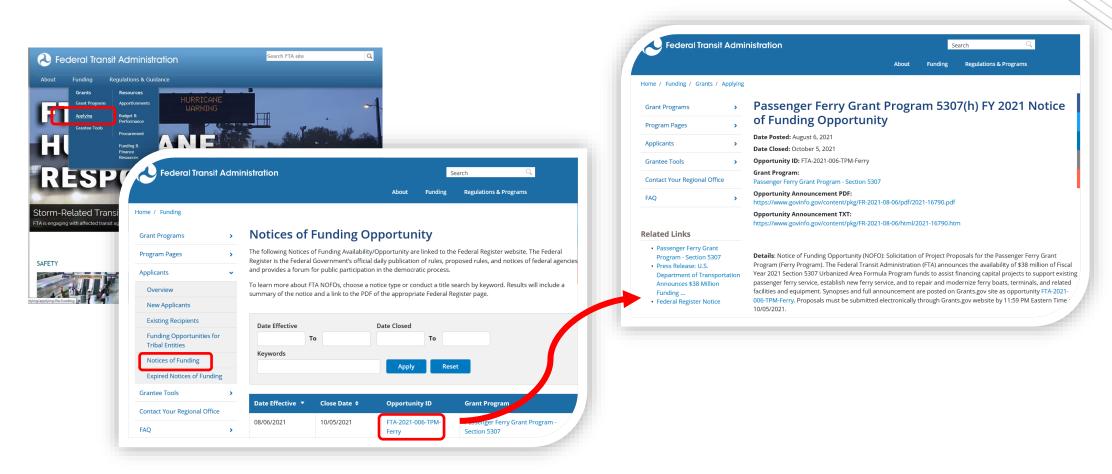
Application Instructions



Applying for FTA Grant Opportunities in Grants.gov



FTA Notice of Funding Opportunity



FTA website:https://www.transit.dot.gov/Funding Opportunity Notices:https://www.transit.dot.gov/funding/grants/notices

FTA Notice of Funding Opportunity

FAQ

FTA NOFO Page contains:

- Summary Description
 - Application requirements
 - Eligibility
- Supplemental Form
- Links to Grants.gov
- Links to Program Information
- Other references and links

Link and Instructions for attaching the supplemental form to the SF-424: All applicants must complete the attached supplemental form and attach it to their submission in GRANTS.GOV.

https://www.transit.dot.gov/funding/grants/notices

Federal Transit Administration Search **Regulations & Programs** About Funding Home / Funding / Grants / Applying Passenger Ferry Grant Program 5307(h) FY 2021 Notice Grant Programs of Funding Opportunity **Program Pages** Date Posted: August 6, 2021 Applicants Date Closed: October 5, 2021 Opportunity ID: FTA-2021-006-TPM-Ferry Grantee Tools Grant Program: Contact Your Regional Office Passenger Ferry Grant Program - Section 5307 **Opportunity** A 021-08-06/pdf/2021-16790.pdf https://www.g Link to **Opportunity A** https://www.go 021-08-06/html/2021-16790.htm Grants.gov **Related** Links Passenger Ferry Gran Details: Notice of Funding Opportunity (NOFO): Solicitation of Project Proposals for the Passenger Ferry Grant ram - Section S Program (Ferry Program). e Federal Transit Administration (FTA) announces the availability of \$38 million of Fiscal Year 2021 Section 5307 rbanized Area Formula Program funds to assist financing capital projects to support existing Department of Transportation passenger ferry service, establish new ferry service, and to repair and modernize ferry boats, terminals, and related Announces \$38 Million ent. Synopses and full announcement are posted on Grants.gov site as opportunity FTA-2021-^cunding ... 006-TPM-Ferry, Prope sals must be submitted electronically through Grants.gov website by 11:59 PM Eastern Time on eral Register Notice

> FTA NOFO provides hyperlinks to Grants.gov opportunity notice, related documents, and application package.

Download Application

GRANTS.GOV* ME LARN GRANTS* SEARCH GRANTS APPLICANTS* GRANTORS* SYS ANTS.GOV View Opportunity EW GRANT OPPORTUNITY FTA-2021-006-TPM-FERRY FTA-2021-006-TPM-FERRY FTA-2021-006-TPM-FERRY Passenger Ferry Opportunity: Passenger Ferry Opportunity: Passenger Ferry Opport Opport DOT/Federal Transit Administration SYNOPSIS VERSION HISTORY RELATED DOCUMENTS PACKAGE		HELP REGISTER LOGIN Keyword GO « Back Link Subscribe	NOTE: Any package from "authorized submit appli	n Grants organiza	.gov. Howe tional repr	ever, only resentatives			
General Information Document Type: Grants Notice Funding Opportunity Number: FTA-2021-006-TPM-FERRY Funding Opportunity Title: FY 2021 Competitive Funding Opportunity: Passenger Farry Grant Program Opportunity Category: Discretionary	Version: Synopsis 1 Posted Date: Aug 06, 2021 Last Updated Date: Aug 06, 2021 Original Closing Date for Applications: Oct 05, 2021		ERSION HISTORY RELATED DO		ЭЕ		Print Re	elated Documents	3 List
Opportunity Category Explanation:	Current Closing Date for Applications: Oct 05, 2021 Archive Date: Nov 04, 2021		File Description		File Na	me	Last Updated [Date/Time	File Size
Funding Instrument Type: Grant Category of Funding Activity: Transportation	Estimated Total Program Funding: \$38,000,000	Folder: Full Annour	cement - FTA-2021-006-TPM-Ferry	FTA-2021-006-TPI	I-FERRY-Full Announcemen	ERRY-Full Announcement - FTA-2021-006-TPM-Ferry.zip Au		49 AM EDT	403.9 k
Category Explanation:	Award Ceiling: \$0	Federal Registe	r Notice	2021-16790-FRN.p	4.pdf		Aug 06, 2021 09:40:4	49 AM EDT	281.1
Expected Number of Awards: 30	Award Floor: \$0	Supplemental F	Supplemental Form FY21-Passenger-Ferry-Grant-Program-Supplemental-Form.pdf Aug 0		Aug 06, 2021 09:40:1	16 AM EDT	204.4		
CFDA Number(s): 20.507 – Federal Transit Formula Grants Cost Sharing or Matching Requirement: Yes		SYNOPSIS VE	ERSION HISTORY RELATED DO		GE				
		Select Grant Op	pportunity Package					Print Package	List
		If you view and c incompatible ver	BEFORE APPLYING! complete your application package u sions of Adobe Reader. To prevent f more than one person is working o	a validation error, it is n	w recommended you unin	stall any earlier versions of Ad	dobe Reader and install the lates	st compatible ver	ersion o
		Opportunity Pack	age(s) Currently Available for this F	unding Opportunity:					
		CFDA	Competition ID	Competition Title	Opportunity Package ID	Opening Date	Closing Date	Actions	
		20.507	FTA-2021-006-TPM-FERRY Oppo	21 Competitive Funding tunity: Passenger Ferry Program	PKG00268448	08/06/2021	10/05/2021 Pr	review Apply	

Application Package

YNOPSIS	VERSION HISTORY RELAT	TED DOCUMENTS PACKA	AGE			
elect Grant (Opportunity Package					Print Package List 🥣
If you view and incompatible v	BEFORE APPLYING! I complete your application par ersions of Adobe Reader. To p If more than one person is wo	revent a validation error, it is n	low recommended you uninsta	III any earlier versions of A	dobe Reader and install th	ne latest compatible version of
pportunity Pa	ckage(s) Currently Available fo	r this Funding Opportunity:				
CFDA	Competition ID	Competition Title	Opportunity Package ID	Opening Date	Closing Date	Actions
20.507	FTA-2021-006-TPM-FERRY	FY 2021 Competitive Funding Opportunity: Passenger Ferry Grant Program	PKG00268448	08/06/2021	10/05/2021	Preview Apply
	E-mail: Va	e of Program Management nessa.williams@dot.gov 2-366-4818				
PACKAGE F	FORMS:					Download Instructions
	(Clic Idatory Form [V3.0] Lobbying Form [V1.1]	datory Forms k to Preview)			Optional Forms (Click to Preview)	
			Close			

APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY 1.a. Type of Submission: 1.b. Frequency: d. Version Initial Resubmission Revision Update Application Annual 2. Date Received: STATE USE ONLY: 🗌 Plan Quarterly Funding Request Other 5. Date Received by State: . Applicant Identifier Other Other (specify): Other (specify): 6. State Application Identifier: 4a. Federal Entity Identifier: 4b. Federal Award Identifier: 1.c. Consolidated Application/Plan/Funding Request? Yes No X Explanation 7. APPLICANT INFORMATION: a. Legal Name: b. Employer/Taxpayer Identification Number (EIN/TIN): . Organizational DUNS: d. Address: Street1: treet2: County / Parish: ovince: Zip / Postal Code: USA: UNITED STATES e. Organizational Unit:

Please	fill out the following form.		Highlight Existing Fi
	ATTA	CHMENTS FORM	
	Instructions: On this form, you will attach the various Agency Guidelines for more information about each ne and named as specified in the Guidelines.		
	Important: Please attach your files in the proper sequ	nce. See the appropriate Agency Guidelines for deta	ils.
	1) Please attach Attachment 1	Add Attachment Delete	e Attachment View Attachment
	2) Please attach Attachment 2	Add Attachment Delete	e Attachment View Attachment
	3) Please attach Attachment 3	Add Attachment Delete	e Attachment View Attachment
	4) Please attach Attachment 4	Add Attachment Delete	e Attachment View Attachment
	5) Please attach Attachment 5	Add Attachment Delete	e Attachment View Attachment
	6) Please attach Attachment 6	Add Attachment Delete	e Attachment View Attachment
	7) Please attach Attachment 7	Add Attachment Delete	e Attachment View Attachment
	8) Please attach Attachment 8	Add Attachment Delete	e Attachment View Attachment
	9) Please attach Attachment 9	Add Attachment Delete	e Attachment View Attachment
	10) Please attach Attachment 10	Add Attachment Delete	e Attachment View Attachment
	11) Please attach Attachment 11	Add Attachment Delete	e Attachment View Attachment
	12) Please attach Attachment 12	Add Attachment Delete	e Attachment View Attachment
	13) Please attach Attachment 13	Add Attachment Delete	e Attachment View Attachment
	14) Please attach Attachment 14	Add Attachment Delete	e Attachment View Attachment

OMB Number: 4040-0002 Expiration Date: 01/31/2019

Application Package

Reset Form

Applicant and Proposal Profile

Validate Form

FY 2021 Passenger Ferry Grant Program (5307(h))

Section I. Applicant Information

Is this a resubmission due to an invalid/error message from FTA? O Yes O No

Organization Legal Name:	
FTA Recipient ID :	
Organization Chief Executive Officer: (Name and Direct Phone Number)	
Applicant Eligibility:	State
	C Federally-Recognized Indian Tribe
	Oesignated or Eligible Direct Recipient of 5307 Urbanized Area Formula Program
Project Location	Small Urbanized Area
	C Large Urbanized Area
Description of services provided and areas ser	ved:

CAUTION: Do not use "**Supplemental Form**" from prior years or create your own form. Please use the file provided on the FTA website.

The "**Attachment Form**" shown below is included in the SF-424 Grant Application Package in Grants.gov.

Please attach the **FTA Supplemental Form** to the **Attachment Form** shown below when submitting in Grants.gov.

	Please fill out the following form.			😁 Highlight Existing	Fields
L)	Authorized for Local Reproduction		Standard Form 424 Mar Prescribed by OMB Circ	idatory (Effective 08/2005)	^
			Prescribed by OMB Circ	ular A-102	
\$					-11
閞	ATTACHN	IENTS FORM			
LJ.	Instructions: On this form, you will attach the various files th Agency Guidelines for more information about each needed fi and named as specified in the Guidelines. Important: Please attach your files in the proper sequence. S	le. Please remember that any files you	a attach must be in the de		
	1) Please attach Attachment 1	Add Attachment	Delete Attachment	View Attachment	
	2) Please attach Attachment 2	Add Attachment	Delete Attachment	View Attachment	
	3) Please attach Attachment 3	Add Attachment	Delete Attachment	View Attachment	
	4) Please attach Attachment 4	Add Attachment	Delete Attachment	View Attachment	
	5) Please attach Attachment 5	Add Attachment	Delete Attachment	View Attachment	
	6) Please attach Attachment 6	Add Attachment	Delete Attachment	View Attachment	
	7) Please attach Attachment 7	Add Attachment	Delete Attachment	View Attachment	Ξ
	8) Please attach Attachment 8	Add Attachment	Delete Attachment	View Attachment	
	9) Please attach Attachment 9	Add Attachment	Delete Attachment	View Attachment	
	II	1	,ı		

27

Grants.gov – Applicants



https://www.grants.gov/web/grants/applicants.html

Applicant – Organization Registration



LEARN GRANTS * SEARCH	GRANTS APPLICANTS GRANTORS SYSTEM-TO-SYSTEM	ORMS CONNECT SU
NTS.GOV) Applicants) Organization	on Registration	
ORGANIZATION REGIST	RATION	
GRANT APPLICATIONS + Novi 6 Apply for Grants - Track My Apply for Grants - Track My Apple for Grants - Versignace Conversion - Optimized and exploration - Optimized and exploration - Optimized and exploration - Optimized and apple for the apple of the	Core you form a legal entity with a Employer Identification hume stores	steps to do business with the fede a an organization must complete an organization is an entity that at, nonprofit organization, or a priv
	Below are the main steps and links to more information to complete this proc between three business days and five weeks, so please make sure to begin steps by the submission deadline, you are unlikely to be allowed to submit an agency point of context liste in the grant opportunity to discuss that agency	early. If you do not complete thes application. Contact the federal
	between three business days and five weeks, so please make sure to begin steps by the submission deadline, you are unlikely to be allowed to submit an	early. If you do not complete thes application. Contact the federal
	between three business days and five weeks so please make sure to begin stops by the userhinsion deadline you are unlikely to allowed to subtrat agency point of contact listed in the grant opportunity to discuss that agency: 1. Obtain a DUNS Number How do I get a DUNS number?	early. If you do not complete thes application. Contact the federal
	between three business dealine your an utility to a balance to begin stops by the utomican's dealine your are unlikely to allowed to submit agency point of contact listed in the grant opportunity to discuss that agency 1. Obtain a DUNS Number How do I get a DUNS number? Call 1-889-705-571 or access the Dun & Endstreet website	early. If you do not complete these application. Contact the federal s policy. How long does this step → usually take?
	between three business degins of five weeks, so please make sure to begin steps by the uchnision deadline, you are unlikely be allowed to subtrat agency point of contact listed in the grant opportunity to discuss that agency 1. Obtain a DUNS number How do I get a DUNS number? Call 1-889-705-571 for access the Dun & Bradstreet website http://fedgov.dhb.com/webform.(2)	early. If you do not complete these application. Contact the federal s policy. How long does this step → usually take?
	between three business degins of the weeks, so please make sure to begin steps by the unbinsion dealline, you are unlikely be allowed to subtrat agency point of context listed in the grant opportunity to discuss that agency 1. Obtain a DUNS Number Call 1-889-705-71 for access the Dun & Bradstreet website http://fedgov.db.com/webform.(2) 2. Register with SAM How do I register with the System Award Management (SAM)? Access thgs://www.aam.gov and complete the conten registration process. You also need the authorizing official of the organization to send a	andy. If you do not complete these application. Contact the federal policy. How long does this step → usually take? 1-2 business days How long does this step → usually take? After 5AM receives the nota- tafter, up to 2 week (up to 5

Organizations must register in Grants.gov in order to submit applications

Workspace in Grants.gov

GRANTS.GOV > Applicants > Workspace Overview

WORKSPACE OVERVIEW

GRANT APPLICATIONS
» How to Apply for Grants

* Track My Application

APPLICANT RESOURCES

» Workspace Overview

» Workspace Basic

Workspace Intermediate
 Workspace Advanced

» Workspace Process

» Workspace Roles

Custom Roles

Applicant Eligibility
 Organization Registration

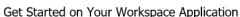
Applicant Registration

» Applicant Training

» Applicant FAQs

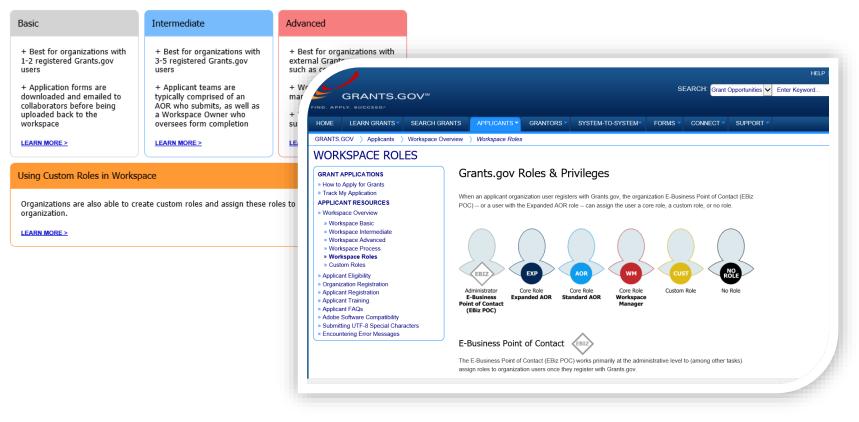
Adobe Software Compatibility

Submitting UTF-8 Special Characters Encountering Error Messages



Workspace is the standard way for organizations or individuals to apply for federal grants in Grants.gov. Workspace allows a grant team to simultaneously access and edit different forms within an application. Plus, the forms can be filled out online or offline—your choice.

Grants.gov Workspace also allows applicants and organizations to tailor their application workflow. Here are three approaches that applicants can take when completing a Workspace application:



Web Addresses

FTA Funding Opportunities:

https://www.transit.dot.gov/funding/grants/notices

Grants.gov:

- http://www.grants.gov/
- http://www.grants.gov/web/grants/applicants.html

Contact Grants.gov Support Center to get help with Grants.gov issues:

GRANTS.GOV Applicant Support 1-800-518-4726 <u>support@grants.gov</u>

Passenger Ferry Webpage:

• https://www.transit.dot.gov/passenger-ferry-grants

Reminder: Applications must be submitted through Grants.gov by

11:59 PM EDT on October 5, 2021

Late applications may not be accepted.

Questions

Vanessa Williams Federal Transit Administration Phone: 202-366-4818 Email: vanessa.williams@dot.gov



TRANSIT.DOT.GOV

FTA FEDERAL TRANSIT ADMINISTRATION