



FEDERAL TRANSIT ADMINISTRATION

2021 Funding Opportunity:

Pilot Program for Transit-Oriented Development (TOD) Planning

May 7, 2021



U.S. Department of Transportation
Federal Transit Administration



FEDERAL TRANSIT ADMINISTRATION

The contents of this webinar do not have the force and effect of law and are not meant to bind the public in any way. This document is intended only to provide clarity to the public regarding existing requirements under the law or agency policies. Grantees and subgrantees should refer to FTA's statutes and regulations for applicable requirements of the TOD Pilot Program.

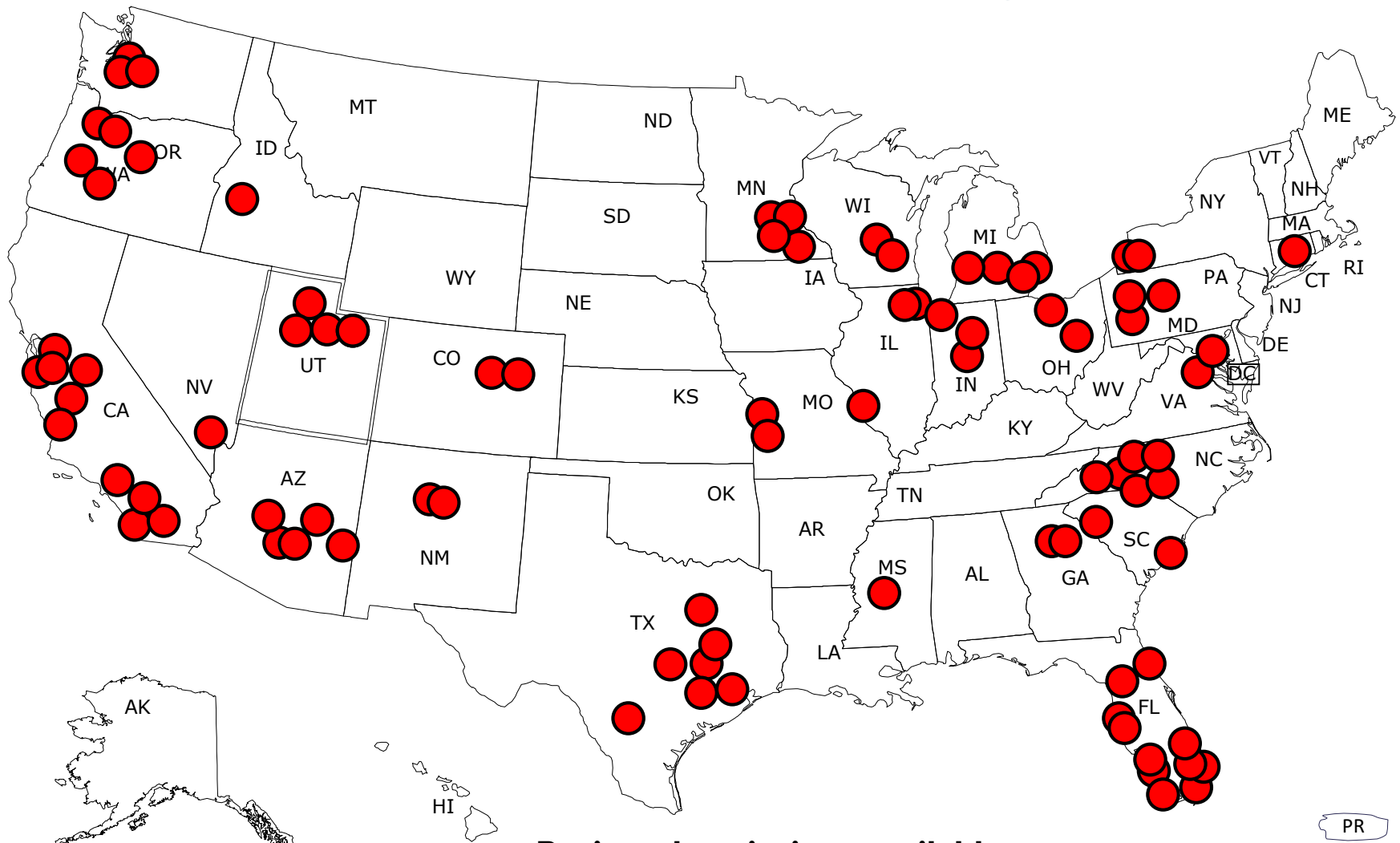


U.S. Department of Transportation
Federal Transit Administration

Introduction - Pilot Program for TOD Planning

- Grants for comprehensive planning in new fixed guideway and core capacity improvement transit project corridors
- Sixth funding round with \$10 million available
 - Five previous rounds = 90 projects for \$80 million
- NOFO released April 21, 2021
- Applications due June 21, 2021 (aim to apply earlier)
- Estimated Project Selection Announcements: Oct. 2021 or Nov. 2021

Awards, 2015-2020: 90 Projects, \$80M



AK & HI not to scale

Project descriptions available at
<http://www.transit.dot.gov/TODPilot>

FTA

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Goals for the Program

- Encourage comprehensive TOD planning at transit station areas in corridors containing eligible projects
- Support TOD planning work that goes beyond what local agencies would usually fund themselves
- Encourage partnerships to maximize chances for successful TOD implementations

Transit Project Requirements

- TOD planning work must be associated with a new fixed guideway or core capacity improvement project as defined in the NOFO
 - **Does not** need to be a candidate for or recent recipient of Capital Investment Grants Program funding (or candidate for or recent recipient of any FTA/DOT funding)
 - Fixed guideway projects under construction or recently opened can be considered “new”
 - Core capacity improvement projects are also “new”

Eligible Applicants

- Existing FTA grantees that meet one of the following:
 - Sponsor of an eligible transit project and partnering with an entity in the project corridor that has land use authority
 - An entity in an eligible transit project corridor that has land use authority and is partnering with the transit project sponsor
 - Sponsor of an eligible transit project and has land use authority
- Only one application per transit project corridor
- Multiple corridors allowed (but separate application needed for each)

Eligible Activities

- Policies to encourage TOD with the development of affordable housing
- Develop TOD plans to increase access to environmental justice populations, reduce greenhouse gas emissions, and the effects of climate change
- An equity and inclusion program/plan or equity-focused policies

Work Plans

- Address all six aspects in NOFO section (C)(3)(ii)
 - A. Enhance economic development and ridership
 - B. Facilitate multimodal connectivity and accessibility
 - C. Increase non-motorized access to transit hubs
 - D. Enable mixed-use development
 - E. Identify infrastructure needs associated with the transit project
 - F. Include private sector participation
- Identify final deliverables
 - See NOFO section (C)(3)(ii) for examples

Ineligible Activities

- TOD planning work in a single transit capital project station area
- Transit project development activities that would be reimbursable under an FTA capital grant
- Capital projects
- Site- or parcel-specific planning
 - See NOFO section (C)(3)(iii) for examples

Grant Conditions

- Awards of \$250,000 to \$2 million
- Maximum 80 percent Federal funding share
- In-kind contributions permitted; transportation development credits (toll revenue credits) do not count towards the local match requirement
- Pre-award authority guidance with notice of award selections
- Section 5303 Metropolitan Planning Program requirements apply

Submitting an Application

- Apply through grants.gov (FTA-2021-004-TPE-TODP)
 - Don't wait until June 21!
- SF 424 Mandatory form with attachments:
 - Applicant and Proposal Profile supplemental form, available via <http://www.transit.dot.gov/TODPilot>
 - A map of the proposed study area
 - Evidence of partnerships between the transit project sponsor and land use planning authority
 - Documentation of local (non-federal) funding commitments

Initial Application Screening Criteria

- Eligible applicant and transit project
- Evidence of partnership
- Complete application including:
 - Overview of comprehensive TOD planning project
 - Work plan by task, including substantial deliverables
 - Schedule in terms of milestones
 - Project budget and identification of matching funds
 - Performance measures for the planning work
 - Potential impediments and how the work plan will address

Formal Application Evaluation Criteria

- Based on the information provided in the Applicant and Proposal Profile supplemental form and other attachments
 - Demonstrated need for TOD planning funds
 - Strength of the work plan, schedule and process
 - Funding commitments for TOD planning work

Additional Considerations

- Department objectives
 - Address affordable housing needs
 - Provide equitable housing choices
 - Avoid displacement of low-income households
 - Geographic diversity
 - Diversity in the size of the grantees receiving funding
 - Applicant's receipt of other competitive awards

Environmental Justice

- Environmental justice populations include low income and minority population(s) as defined in Executive Order 12898 “Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations” and FTA’s Environmental Justice Circular 4703.1.
- Applicants are encouraged to identify any EJ populations located within the proposed service area and describe anticipated benefits to that population(s).
- The geographic unit should be appropriate to the scope of the project.

A formal EJ analysis is not requested.

Equity

- **Executive Order 13985:**
 - Advancing Racial Equity and Support for Underserved Communities Through the Federal Government
 - Pursue a government-wide approach to advancing equity for all, including people of color and others who have been historically underserved, marginalized, and adversely affected by persistent poverty and inequality

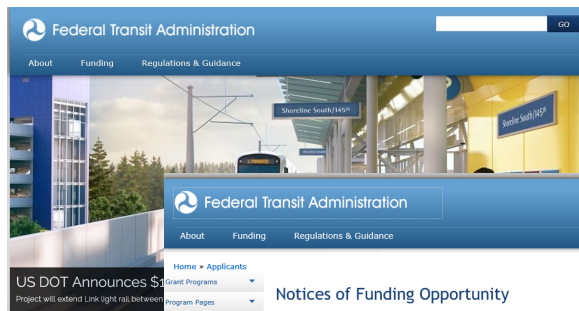
Climate Change

- **Executive Order 14008 & 13900**
 - Protecting Public Health and the Environment and Restoring Science to Tackle Climate Crisis
 - Tackling the Climate Crisis at Home and Abroad
 - An approach to achieve net-zero emissions by no later than 2050
 - Addressing the disproportionately high and adverse human health, environmental, climate-related and other cumulative impacts on disadvantaged communities



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Applying for FTA Grant Opportunities in Grants.gov



Notices of Funding Opportunity

The following Notices of Funding Availability/Opportunity are linked to the Federal Register website. The Federal Register is the Federal Government's official daily publication of rules, proposed rules, and notices of federal agencies, and provides a forum for public participation in the democratic process.

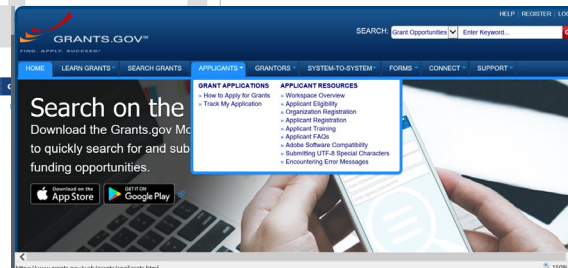
To learn more about FTA NOFAs, choose a notice type or conduct a title search by keyword. Results will include a summary of the notice and a link to the PDF of the appropriate Federal Register page.

Date Effective	Date Closed	Keywords
to	to	
<input type="button" value="Filter"/>		

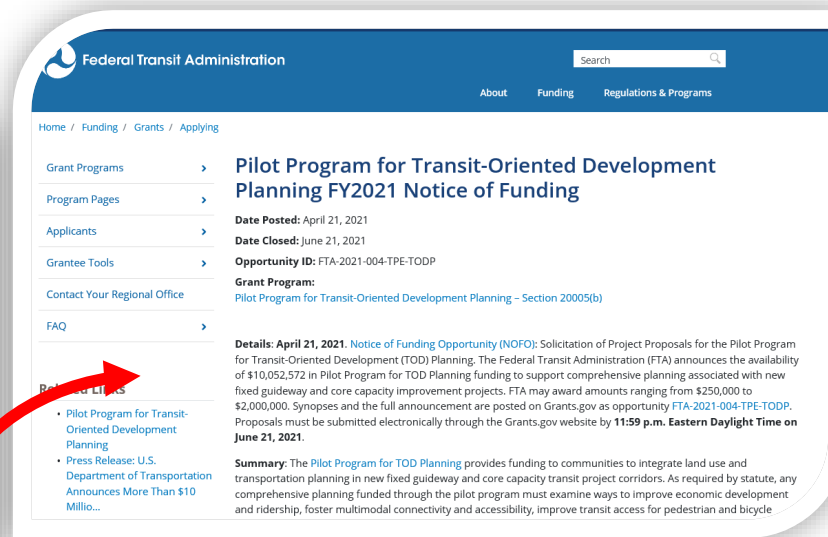
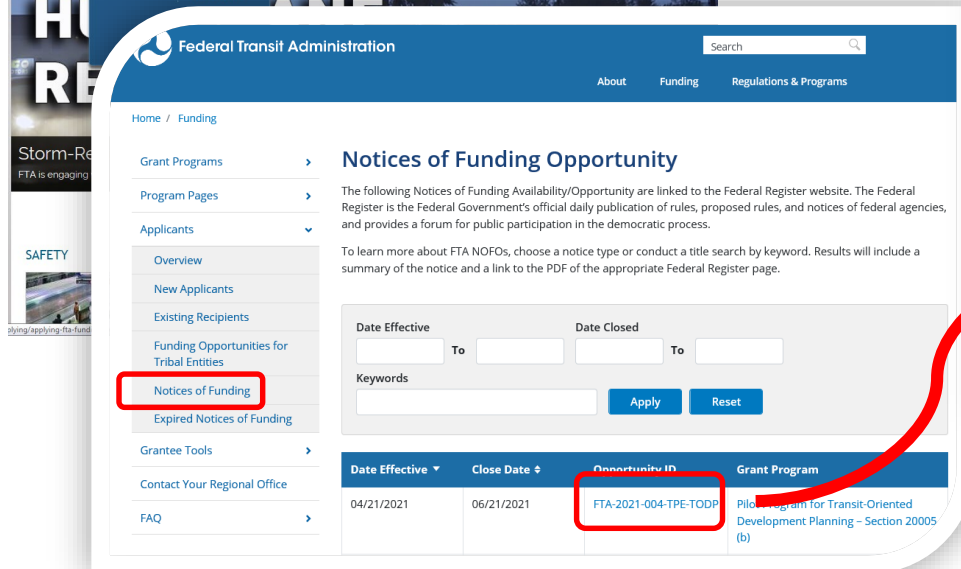
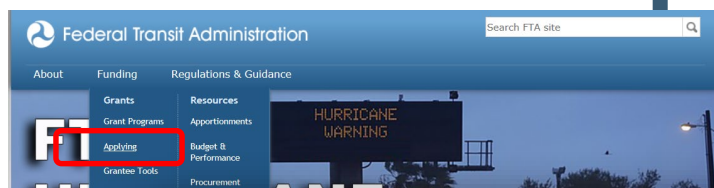
Date Effective	Close Date	Opportunity ID
2/11/2019	4/15/2019	FTA-2019-002-TPM-PF



U.S. Department of Transportation
Federal Transit Administration



FTA Notice of Funding Opportunity



FTA website:

Funding Opportunity Notices:

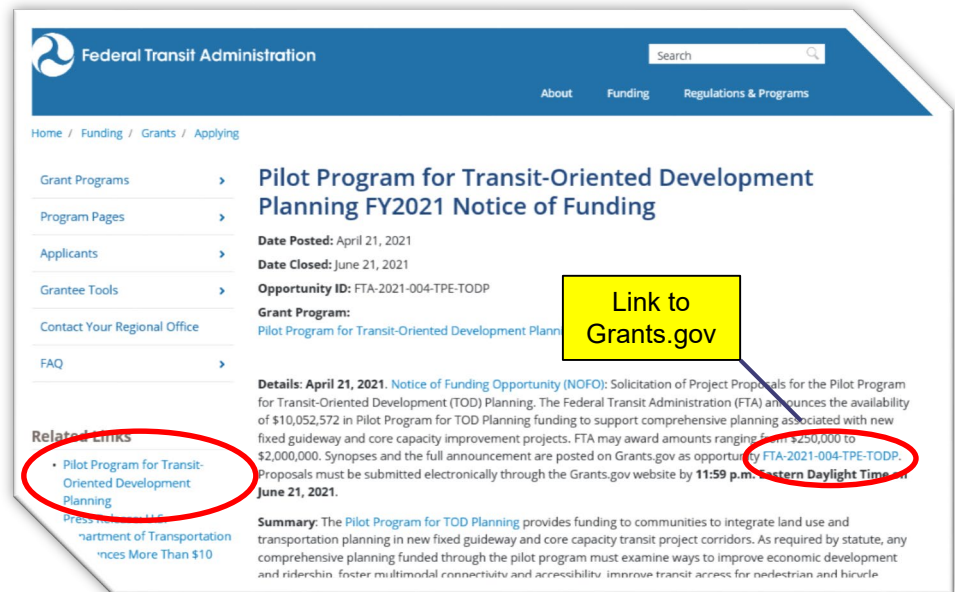
<https://www.transit.dot.gov/>

<https://www.transit.dot.gov/funding/grants/notices>

FTA Notice of Funding Opportunity

FTA NOFO Page contains:

- Summary Description
 - Application requirements
 - Eligibility
- Supplemental Form
- Links to Grants.gov
- Links to Program Information
- Other references and links



Link and Instructions for attaching the supplemental form to the SF-424: All applicants must complete the attached [supplemental form](#) and attach it to their submission in GRANTS.GOV.

<https://www.transit.dot.gov/funding/grants/notices>



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FTA NOFO provides hyperlinks to Grants.gov opportunity notice, related documents, and application package.

Download Application

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VIEW GRANT OPPORTUNITY

FTA-2021-004-TPE-TODP
2021 Competitive Funding Opportunity: Pilot Program for Transit-Oriented Development (TOD) Planning
Department of Transportation
DOT/Federal Transit Administration

Apply Subscribe

SYNOPSIS VERSION HISTORY RELATED DOCUMENTS PACKAGE

Print Synopsis Details ?

General Information

Document Type: Grants Notice Version: Synopsis 5
Funding Opportunity Number: FTA-2021-004-TPE-TODP Posted Date: Apr 21, 2021
Funding Opportunity Title: 2021 Competitive Funding Opportunity: Pilot Program for Transit-Oriented Development (TOD) Planning Last Updated Date: Apr 21, 2021
Original Closing Date for Applications: Jun 21, 2021
Opportunity Category: Discretionary Current Closing Date for Applications: Jun 21, 2021
Opportunity Category Explanation: Archive Date: Jul 21, 2021
Funding Instrument Type: Grant Estimated Total Program Funding: \$10,052,572
Category of Funding Activity: Transportation Award Ceiling: \$2,000,000
Category Explanation: Award Floor: \$250,000
Expected Number of Awards: 15
CFDA Number(s): 20.500 – Federal Transit Capital Investment Grants
Cost Sharing or Matching Requirement: Yes

NOTE: Anyone can download the application package from Grants.gov. However, only “authorized organizational representatives” can submit applications in Grants.gov.

SYNOPSIS VERSION HISTORY RELATED DOCUMENTS PACKAGE

Print Related Documents List ?

Click on the following file link(s) to download the related document(s):

File Description	File Name	Last Updated Date/Time	File Size
Folder: Full Announcement - FTA-2021-004-TPE-TODP	FTA-2021-004-TPE-TODP-Full Announcement - FTA-2021-004-TPE-TODP.zip	Apr 21, 2021 10:57:17 AM EDT	462.5 KB
Signed Fed Opportunity Notice	TPE-210310-002 Final Clean.pdf	Apr 21, 2021 10:56:31 AM EDT	288.7 KB
Supplemental Form	TOD-2021-Supplemental-Form.pdf	Apr 21, 2021 10:57:17 AM EDT	193.9 KB

SYNOPSIS VERSION HISTORY RELATED DOCUMENTS PACKAGE

Print Package List ?

Select Grant Opportunity Package

PLEASE READ BEFORE APPLYING!
If you view and complete your application package using Grants.gov downloadable PDF forms, you MUST have Adobe Reader installed. You may receive a validation error using incompatible versions of Adobe Reader. To prevent a validation error, it is now recommended you uninstall any earlier versions of Adobe Reader and install the latest compatible version of Adobe Reader. If more than one person is working on the PDF forms, ALL applicants must be using the same Adobe Reader version. [Click for more information on Adobe Reader Compatibility.](#)

Opportunity Package(s) Currently Available for this Funding Opportunity:

CFDA	Competition ID	Competition Title	Opportunity Package ID	Opening Date	Closing Date	Actions
20.500	FTA-2021-004-TPE-TODP	2021 Pilot Program for Transit-Oriented Development (TOD) Planning	PKG00266645	04/21/2021	06/21/2021	Preview Apply



Application Package

[SYNOPSIS](#) [VERSION HISTORY](#) [RELATED DOCUMENTS](#) [PACKAGE](#)

Print Package List ?

Select Grant Opportunity Package

PLEASE READ BEFORE APPLYING!

If you view and complete your application package using Grants.gov downloadable PDF forms, you MUST have Adobe Reader installed. You may receive a validation error using incompatible versions of Adobe Reader. To prevent a validation error, it is now recommended you uninstall any earlier versions of Adobe Reader and install the latest compatible version of Adobe Reader. If more than one person is working on the PDF forms, ALL applicants must be using the same Adobe Reader version. [Click for more information on Adobe Reader Compatibility.](#)

Opportunity Package(s) Currently Available for this Funding Opportunity:

CFDA	Competition ID	Competition Title	Opportunity Package ID	Opening Date	Closing Date	Actions
20.500	FTA-2021-004-TPE-TODP	2021 Pilot Program for Transit-Oriented Development (TOD) Planning	PKG00266645	04/21/2021	06/21/2021	Preview Apply

Preview Opportunity Package Details ?

Opportunity Package Details:

Agency Contact Information: April McLean-McCoy
FTA Office of Planning and Environment
e-mail: April.McLeanMcCoy@dot.gov
phone: (202) 366-7429

Who Can Apply: Organization Applicants

PACKAGE FORMS:

Download Instructions

Mandatory Forms (Click to Preview)	Optional Forms (Click to Preview)
» SF424 Mandatory Form [V2.0] » Grants.gov Lobbying Form [V1.1] » Attachments [V1.2]	

Close

OMB Number: 4540-0002
Expiration Date: 01/01/2019

APPLICATION FOR FEDERAL ASSISTANCE SF-424 - MANDATORY

1.a. Type of Submission:
☒ Application
☐ Plan
☐ Funding Request
☐ Other
Other (specify):

1.b. Frequency:
☒ Annual
☐ Quarterly
☐ Other
Other (specify):

1.d. Version:
☒ Initial ☐ Resubmission ☐ Revision ☐ Update

2. Date Received:

3. Applicant Identifier:

4.a. Federal Entity Identifier:

4b. Federal Award Identifier:

STATE USE ONLY:

5. Date Received by State:

6. State Application Identifier:

1.c. Consolidated Application/Plan/Funding Request?
Yes ☐ No ☒ [Explanation](#)

7. APPLICANT INFORMATION:

a. Legal Name:

b. Employer/Taxpayer Identification Number (EIN/TIN):

c. Organizational DUNS:

d. Address:
Street1:
City:
State:
Country:
e. Organizational Unit:

Street2:
County / Parish:
Province:
Zip / Postal Code:

Please fill out the following form. [Highlight Existing Files](#)

ATTACHMENTS FORM

Instructions: On this form, you will attach the various files that make up your grant application. Please consult with the appropriate Agency Guidelines for more information about each needed file. Please remember that any files you attach must be in the document format and named as specified in the Guidelines.

Important: Please attach your files in the proper sequence. See the appropriate Agency Guidelines for details.

1) Please attach Attachment 1

2) Please attach Attachment 2

3) Please attach Attachment 3

4) Please attach Attachment 4

5) Please attach Attachment 5

6) Please attach Attachment 6

7) Please attach Attachment 7

8) Please attach Attachment 8

9) Please attach Attachment 9

10) Please attach Attachment 10

11) Please attach Attachment 11

12) Please attach Attachment 12

13) Please attach Attachment 13

14) Please attach Attachment 14

Add Attachment

Delete Attachment

View Attachment

Application Package

v1.4

Reset Form
Validate Form

Applicant and Proposal Profile

FY 2021 Pilot Program for Transit-Oriented Development Planning

Section I. Applicant Information

Is this a resubmission due to an invalid/error message from FTA? ☐ Yes ☒ No

Organization Legal Name:

FTA Recipient ID number:

Organization Chief Executive Officer:
(Name and Direct Phone Number)

Is the proposed comprehensive planning work associated with a new fixed guideway transit project or a core capacity improvement transit project as outlined in the Notice of Funding Opportunity? ☐ Yes ☐ No

Is the applicant either the sponsor of this transit project or an entity with land use authority in the transit project corridor (or both)? ☐ Yes ☐ No

The “**Attachment Form**” shown below is included in the SF-424 Grant Application Package in Grants.gov.

Please attach the **FTA Supplemental Form** to the **Attachment Form** shown below when submitting in Grants.gov.

CAUTION: Do not use “**Supplemental Form**” from prior years or create your own form. Please use the file provided on the FTA website.

Please fill out the following form.
Highlight Existing Fields

Authorized for Local Reproduction
Standard Form 424 Mandatory (Effective 08/2005)
Prescribed by OMB Circular A-102

ATTACHMENTS FORM

Instructions: On this form, you will attach the various files that make up your grant application. Please consult with the appropriate Agency Guidelines for more information about each needed file. Please remember that any files you attach must be in the document format and named as specified in the Guidelines.

Important: Please attach your files in the proper sequence. See the appropriate Agency Guidelines for details.

1) Please attach Attachment 1	<input style="width: 80px;" type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
2) Please attach Attachment 2	<input style="width: 80px;" type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
3) Please attach Attachment 3	<input style="width: 80px;" type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
4) Please attach Attachment 4	<input style="width: 80px;" type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
5) Please attach Attachment 5	<input style="width: 80px;" type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
6) Please attach Attachment 6	<input style="width: 80px;" type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
7) Please attach Attachment 7	<input style="width: 80px;" type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
8) Please attach Attachment 8	<input style="width: 80px;" type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
9) Please attach Attachment 9	<input style="width: 80px;" type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>

Grants.gov – Applicants

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- » Workspace Overview
- » Applicant Eligibility
- » Organization Registration
- » Applicant Registration
- » Applicant Training
- » Applicant FAQs
- » Adobe Software Compatibility
- » Submitting UTF-8 Special Characters
- » Encountering Error Messages

FOR APPLICANTS

Check Your Eligibility
Are you or your organization eligible for a grant? Learn how to check your eligibility here.
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GRANTS.GOV Applicant Support
1-800-518-4726
support@grants.gov

<https://www.grants.gov/web/grants/applicants.html>

Applicant – Organization Registration



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FOR APPLICANTS

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Are you or your organization eligible for a grant? Learn how to check your eligibility here.
[Check Your Applicant Eligibility »](#)

Get Registered
To apply, you need to register an account with Grants.gov. Begin registering today.
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[Organization Registration »](#)

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[Apply for a Grant Opportunity](#)
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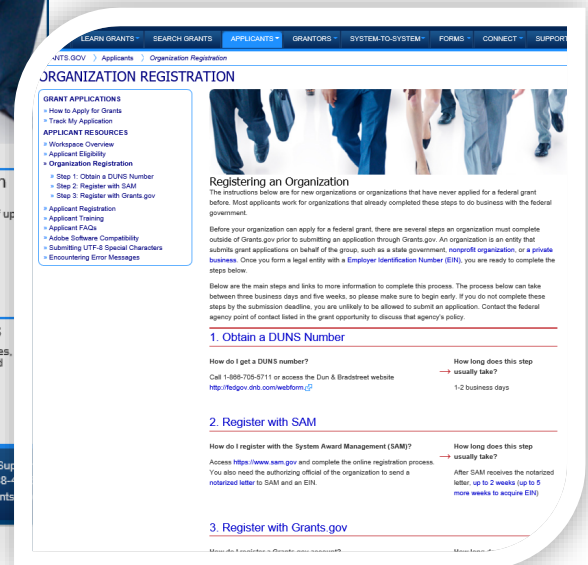
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GRANTS.GOV > Applicants > Organization Registration

ORGANIZATION REGISTRATION

GRANT APPLICATIONS

- » How to Apply for Grants
- » Track My Application

APPLICANT RESOURCES

- » Workspace Overview
- » Applicant Eligibility
- » Organization Registration
- » Applicant Training
- » Applicant FAQs
- » Adobe Software Compatibility
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Registering an Organization
The instructions below are for new organizations or organizations that have never applied for a federal grant before. Most applicants work for organizations that already completed these steps to do business with the federal government.

Before your organization can apply for a federal grant, there are several steps an organization must complete outside of Grants.gov prior to submitting an application through Grants.gov. An organization is an entity that submits grant applications on behalf of the group, such as a state government, nonprofit organization, or a private business. Once you form a legal entity with a Employer Identification Number (EIN), you are ready to complete the steps below.

Below are the main steps and links to more information to complete this process. The process below can take between three business days and five weeks, so please make sure to begin early. If you do not complete these steps by the submission deadline, you are unlikely to be allowed to submit an application. Contact the federal agency point of contact listed in the grant opportunity to discuss that agency's policy.

1. Obtain a DUNS Number

How do I get a DUNS number?
Call 1-866-705-8711 or access the Dun & Bradstreet website <http://dunbradstreet.com/>

How long does this step usually take?
1-2 business days

2. Register with SAM

How do I register with the System Award Management (SAM)?
Access <https://www.sam.gov> and complete the online registration process. You also need the authorizing official of the organization to send a notarized letter to SAM and an EIN.

How long does this step usually take?
After SAM receives the notarized letter, up to 2 weeks (up to 5 more weeks to acquire EIN)

3. Register with Grants.gov

Organizations must register in Grants.gov in order to submit applications

Workspace in Grants.gov

GRANTS.GOV > Applicants > Workspace Overview

WORKSPACE OVERVIEW

GRANT APPLICATIONS

» How to Apply for Grants

» Track My Application

APPLICANT RESOURCES

» Workspace Overview

» Workspace Basic

» Workspace Intermediate

» Workspace Advanced

» Workspace Process

» Workspace Roles

» Custom Roles

» Applicant Eligibility

» Organization Registration

» Applicant Registration

» Applicant Training

» Applicant FAQs

» Adobe Software Compatibility

» Submitting UTF-8 Special Characters

» Encountering Error Messages

Get Started on Your Workspace Application

Workspace is the standard way for organizations or individuals to apply for federal grants in Grants.gov. Workspace allows a grant team to simultaneously access and edit different forms within an application. Plus, the forms can be filled out online or offline—your choice.

Grants.gov Workspace also allows applicants and organizations to tailor their application workflow. Here are three approaches that applicants can take when completing a Workspace application:

Basic

+ Best for organizations with 1-2 registered Grants.gov users

+ Application forms are downloaded and emailed to collaborators before being uploaded back to the workspace

[LEARN MORE >](#)

Intermediate

+ Best for organizations with 3-5 registered Grants.gov users

+ Applicant teams are typically comprised of an AOR who submit a Workspace application overseas for

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Advanced

+ Best for organizations with external Grants.gov users, such as consultants

Using Custom Roles in Workspace

Organizations are also able to create custom roles for organization.

[LEARN MORE >](#)

Web Addresses

- FTA Funding Opportunities:
 - <https://www.transit.dot.gov/funding/grants/notices>
- Grants.gov:
 - <http://www.grants.gov/>
 - <http://www.grants.gov/web/grants/applicants.html>
- Contact Grants.gov Support Center to get help with Grants.gov issues:
GRANTS.GOV Applicant Support
1-800-518-4726
support@grants.gov

Common Questions (1 of 3)

- When are the responses to this Notice of Funding Offering due?
- What is the maximum allowable period of performance, if we get a TOD planning award?
- Are MPOs and Cities eligible for an award?

Common Questions (2 of 3)

- If we get a TOD planning award, does this mean we must eventually pursue a Capital Investment Grants application?
- We have a BRT service in operation that does not meet the fixed guideway BRT definition in the NOFO, but are considering a possible upgrade that will meet this definition, would this project be eligible?

Common Questions (3 of 3)

- My agency received TOD Pilot Program funds under a previous funding opportunity. Are we eligible for consideration of another award?
- Is there a minimum or maximum number of transit project stations that can be included in the comprehensive TOD plan?

Open Session: Q & A

Please enter your questions in the Q & A box



Thank you!

For more information about the program, visit
<http://www.transit.dot.gov/TODPilot>

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