Public Transportation Agency Safety Plan (PTASP) Regulation Certification Fact Sheet

Overview

The PTASP regulation (49 CFR Part 673) requires each applicable recipient or State to certify their compliance with the PTASP regulation by July 20, 2020. In light of the extraordinary operational challenges presented by the COVID-19 public health emergency, FTA issued a Notice of Enforcement Discretion effectively extending the PTASP compliance deadline from July 20, 2020 to December 31, 2020. After the initial certification, each applicable recipient or State must certify their compliance annually.¹

When a recipient or State certifies, they are affirming that:

- The recipient or State has fulfilled all applicable PTASP regulation requirements. For example:
  - The State developed Agency Safety Plan (ASPs) that meet PTASP requirements for all small public transportation providers in its jurisdiction that did not opt out and notify the State.
  - The bus transit agency recipient meets the requirements of the PTASP regulation and has an ASP signed by the Accountable Executive and approved by the Board of Directors (or Equivalent Authority).
  - The rail transit agency recipient meets the requirements of the PTASP regulation and has an ASP signed by the Accountable Executive and approved by the Board of Directors (or Equivalent Authority) and State Safety Oversight Agency.
- Their subrecipients have fulfilled all applicable PTASP regulation requirements.

¹ The contents of this document do not have the force and effect of law and are not meant to bind the public in any way. This document is intended only to provide clarity to the public regarding existing requirements under the law or agency policies. Grantees and subgrantees should refer to FTA’s statutes and regulations for applicable requirements.
PTASP Regulation Applicability

There are three main groups that must certify their compliance with PTASP regulation requirements for their initial certification:

- Direct recipients of FTA’s Urbanized Area Formula Program (Section 5307) funds who had open Section 5307 grants on July 20, 2020. This includes small public transportation providers that did not opt to develop their own ASP.
- States with small public transportation providers, even if all small providers in the State opted to develop their own ASP.
- Rail transit agencies in FTA’s State Safety Oversight program.

A recipient of Section 5307 funds that passes the funds to an applicable transit provider will certify on behalf of that provider. In some cases, the recipient may be the State.

Recipients that did not have an open Section 5307 grant on July 20, 2020 must certify their compliance before FTA will process an application for Section 5307 funds.

Recipients or subrecipients of Section 5307 funds that are not yet operational must have an approved ASP, signed by their Accountable Executive, in place prior to initiating revenue service.

When to Certify

Applicable recipients and States must certify compliance with the PTASP regulation on or before December 31, 2020 in FTA’s Transit Award Management System (TrAMS). These entities should not complete this certification until they meet all applicable PTASP regulation requirements. This means that recipients should not complete this certification until after the Accountable Executive signs and the Board of Directors or Equivalent Authority approves the Agency Safety Plan (ASP).

Recipients and States can perform other certifications in TrAMS as appropriate and come back later to complete the PTASP regulation certification after they meet all requirements, on or before December 31, 2020.

How to Certify

Applicable recipients and States certify in TrAMS that they—and applicable subrecipients—meet relevant PTASP regulation requirements by performing the following steps. Note that FTA does not collect ASPs from recipients or States as part of the certification process.
Step 1

- Log in to TrAMS and navigate to the Certifications and Assurances page.
- Select Category “02,” title “Public Transportation Agency Safety Plan.”

Step 2

- TrAMS alerts the applicant or authorized representative that they are about to certify compliance with PTASP regulation requirements.
Step 3

- TrAMS alerts the user that their certification was successful.

For help with the TrAMS system, please contact the TrAMS Help Desk (877-561-7466 or FTA.TrAMS.help@dot.gov). For all other PTASP-related questions, please contact the PTASP Technical Assistance Center (877-827-7243 or PTASP-TAC@dot.gov).

PTASP Technical Assistance Available Now
- Access one-on-one Agency Safety Plan support
- Learn and share through the PTASP Community of Practice
- Explore the PTASP Resource Library

https://www.transit.dot.gov/PTASP-TAC