



# Public Transportation Agency Safety Plan (PTASP) Regulation Certification Fact Sheet

## Overview

The PTASP regulation (49 CFR Part 673) requires each applicable recipient or State to certify their compliance with the PTASP regulation by July 20, 2020. In light of the extraordinary operational challenges presented by the COVID-19 public health emergency, FTA issued a [Notice of Enforcement Discretion](#) effectively extending the PTASP compliance deadline from July 20, 2020 to December 31, 2020. After the initial certification, each applicable recipient or State must certify their compliance annually.<sup>1</sup>



When a recipient or State certifies, they are affirming that:

- The recipient or State has fulfilled all applicable PTASP regulation requirements. For example:
  - The State developed Agency Safety Plan (ASPs) that meet PTASP requirements for all small public transportation providers in its jurisdiction that did not opt out and notify the State.
  - The bus transit agency recipient meets the requirements of the PTASP regulation and has an ASP signed by the Accountable Executive and approved by the Board of Directors (or Equivalent Authority).
  - The rail transit agency recipient meets the requirements of the PTASP regulation and has an ASP signed by the Accountable Executive and approved by the Board of Directors (or Equivalent Authority) and State Safety Oversight Agency.
- Their subrecipients have fulfilled all applicable PTASP regulation requirements.

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<sup>1</sup> The contents of this document do not have the force and effect of law and are not meant to bind the public in any way. This document is intended only to provide clarity to the public regarding existing requirements under the law or agency policies. Grantees and subgrantees should refer to FTA's statutes and regulations for applicable requirements.



## PTASP Regulation Applicability

There are three main groups that must certify their compliance with PTASP regulation requirements for their initial certification:

- Direct recipients of FTA's [Urbanized Area Formula Program \(Section 5307\)](#) funds who had open Section 5307 grants on July 20, 2020. This includes small public transportation providers that did not opt to develop their own ASP.
- States with small public transportation providers, even if all small providers in the State opted to develop their own ASP.
- Rail transit agencies in FTA's State Safety Oversight program.

A recipient of Section 5307 funds that passes the funds to an applicable transit provider will certify on behalf of that provider. In some cases, the recipient may be the State.

Recipients that did not have an open Section 5307 grant on July 20, 2020 must certify their compliance before FTA will process an application for Section 5307 funds.

Recipients or subrecipients of Section 5307 funds that are not yet operational must have an approved ASP, signed by their Accountable Executive, in place prior to initiating revenue service.

## When to Certify

Applicable recipients and States must certify compliance with the PTASP regulation on or before December 31, 2020 in FTA's Transit Award Management System (TrAMS). These entities should not complete this certification until they meet all applicable PTASP regulation requirements. This means that recipients should not complete this certification until after the Accountable Executive signs and the Board of Directors or Equivalent Authority approves the Agency Safety Plan (ASP).

Recipients and States can perform other certifications in TrAMS as appropriate and come back later to complete the PTASP regulation certification after they meet all requirements, on or before December 31, 2020.

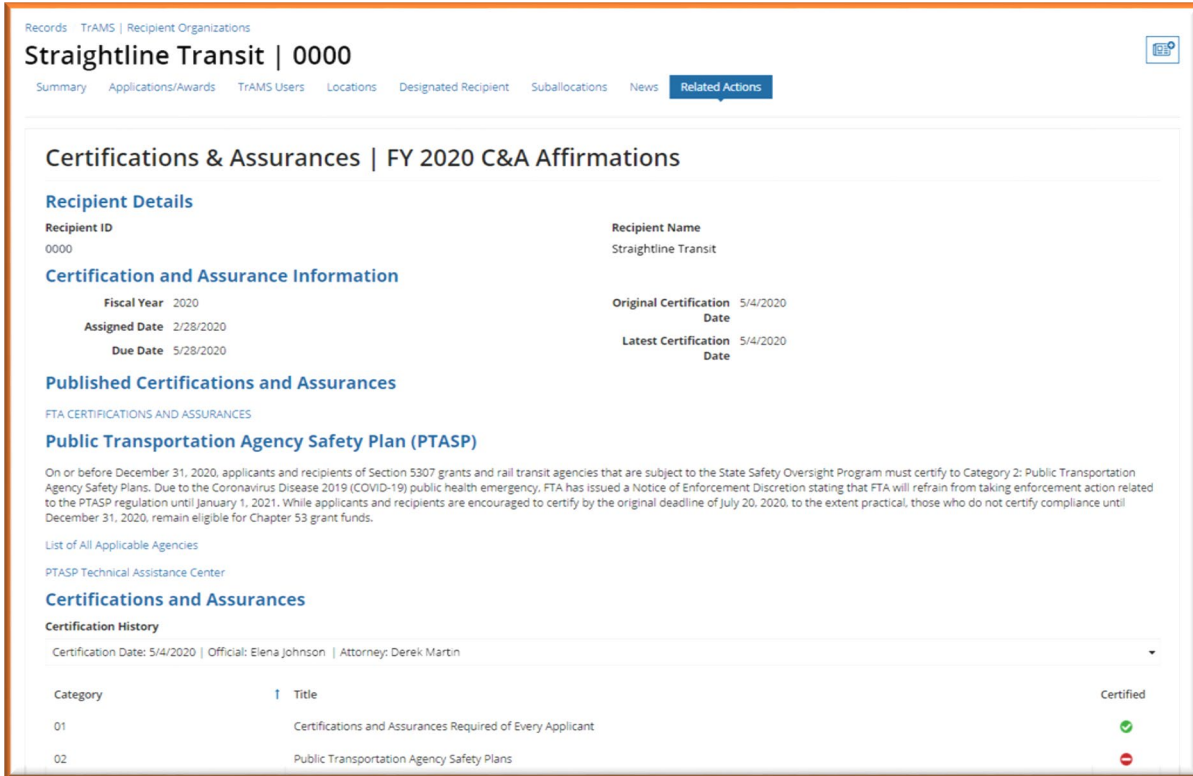
## How to Certify

Applicable recipients and States certify in [TrAMS](#) that they—and applicable subrecipients—meet relevant PTASP regulation requirements by performing the following steps. Note that FTA does not collect ASPs from recipients or States as part of the certification process.



## Step 1

- Log in to [TrAMS](#) and navigate to the Certifications and Assurances page.
- Select Category “02,” title “Public Transportation Agency Safety Plan.”



Records | TRAMS | Recipient Organizations

### Straightline Transit | 0000

Summary | Applications/Awards | TRAMS Users | Locations | Designated Recipient | Suballocations | News | **Related Actions**

#### Certifications & Assurances | FY 2020 C&A Affirmations

**Recipient Details**

<b>Recipient ID</b> 0000	<b>Recipient Name</b> Straightline Transit
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**Certification and Assurance Information**

<b>Fiscal Year</b> 2020	<b>Original Certification Date</b> 5/4/2020
<b>Assigned Date</b> 2/28/2020	<b>Latest Certification Date</b> 5/4/2020
<b>Due Date</b> 5/28/2020	

**Published Certifications and Assurances**

FTA CERTIFICATIONS AND ASSURANCES

**Public Transportation Agency Safety Plan (PTASP)**

On or before December 31, 2020, applicants and recipients of Section 5307 grants and rail transit agencies that are subject to the State Safety Oversight Program must certify to Category 2: Public Transportation Agency Safety Plans. Due to the Coronavirus Disease 2019 (COVID-19) public health emergency, FTA has issued a Notice of Enforcement Discretion stating that FTA will refrain from taking enforcement action related to the PTASP regulation until January 1, 2021. While applicants and recipients are encouraged to certify by the original deadline of July 20, 2020, to the extent practical, those who do not certify compliance until December 31, 2020, remain eligible for Chapter 53 grant funds.

List of All Applicable Agencies

PTASP Technical Assistance Center

**Certifications and Assurances**

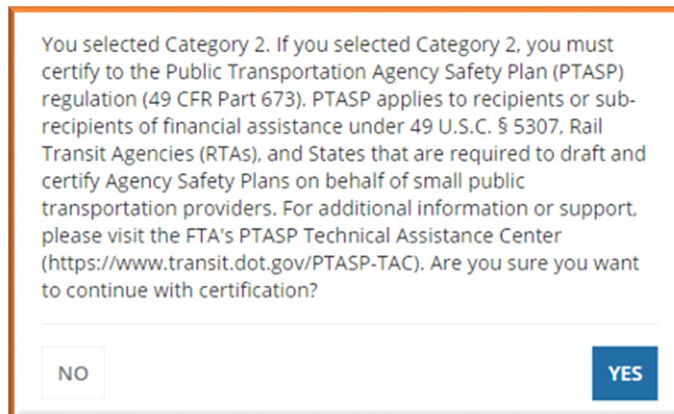
**Certification History**

Certification Date: 5/4/2020 | Official: Elena Johnson | Attorney: Derek Martin

Category	Title	Certified
01	Certifications and Assurances Required of Every Applicant	<input checked="" type="checkbox"/>
02	Public Transportation Agency Safety Plans	<input type="checkbox"/>

## Step 2

- TrAMS alerts the applicant or authorized representative that they are about to certify compliance with PTASP regulation requirements.

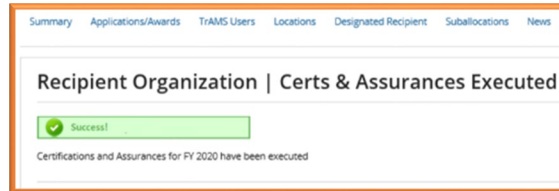


You selected Category 2. If you selected Category 2, you must certify to the Public Transportation Agency Safety Plan (PTASP) regulation (49 CFR Part 673). PTASP applies to recipients or sub-recipients of financial assistance under 49 U.S.C. § 5307, Rail Transit Agencies (RTAs), and States that are required to draft and certify Agency Safety Plans on behalf of small public transportation providers. For additional information or support, please visit the FTA's PTASP Technical Assistance Center (<https://www.transit.dot.gov/PTASP-TAC>). Are you sure you want to continue with certification?



## Step 3

- TrAMS alerts the user that their certification was successful.



For help with the TrAMS system, please contact the TrAMS Help Desk (877-561-7466 or [FTA.TrAMS.help@dot.gov](mailto:FTA.TrAMS.help@dot.gov)). For all other PTASP-related questions, please contact the PTASP Technical Assistance Center (877-827-7243 or [PTASP-TAC@dot.gov](mailto:PTASP-TAC@dot.gov)).

**PTASP Technical Assistance Available Now**

- Access one-on-one Agency Safety Plan support
- Learn and share through the PTASP Community of Practice
- Explore the PTASP Resource Library

<https://www.transit.dot.gov/PTASP-TAC>